

## Request for proposals (RFP 2020/038)

### Provision of Pension Plan Transition Advisory Services

#### 1. Background

- 1.1 The Green Climate Fund (the “GCF”, or the “Fund”) was established with the purpose of making a significant and ambitious contribution to the global efforts towards attaining the goals set by the international community to combat climate change. In the context of sustainable development, the Fund will promote a paradigm shift towards low-emission and climate-resilient development pathways by providing support to developing countries to limit or reduce their greenhouse gas emissions and to adapt to the impacts of climate change. The Fund’s headquarters are located in Songdo, Incheon City, Republic of Korea.
- 1.2 The GCF was designated as an operating entity of the financial mechanism of the United Nations Framework Convention on Climate Change (“UNFCCC”). It is governed and supervised by a Board that has responsibility for funding decisions pursuant to the Governing Instrument for the Green Climate Fund (the “Governing Instrument”). It is supported by an independent Secretariat, accountable to the Board, having management capabilities to execute day-to-day operations of the GCF, providing administrative, legal and financial expertise.

#### 2. Invitation

- 2.1 Through this request for proposals (“RFP”), the GCF is seeking to contract a qualified, reputable and experienced Firm to provide Support Services to Review the Country-related Aspects of Concept Notes and Funding Proposals . The terms of reference included in Annex 1 provides the details of the assignment and expected deliverables.
- 2.2 Proposals must be submitted to the GCF no later than **27 January, 2021 at 15:00 hrs** Korean Standard Time.
- 2.3 The RFP includes the following annexes:
- |         |                                  |
|---------|----------------------------------|
| Annex 1 | Terms of Reference               |
| Annex 2 | Requirement for Firm’s Proposals |
| Annex 3 | Evaluation Criteria              |
| Annex 4 | Company Profile Form             |
| Annex 5 | Acknowledgement Letter           |
| Annex 6 | Timeline                         |
| Annex 7 | Model Contract                   |
- 2.4 The terms set forth in this RFP, including all the annexes listed above, will form part of any contract, should the GCF accept your proposal. Any such contract will require compliance with all factual statements and representations made in the proposal, subject to any modifications agreed to by the GCF in the context of any negotiations entered into it.
- 2.5 The GCF may, at its discretion, cancel the requirement in part or in whole. It also reserves the right to accept or reject any proposal and to annul the selection process and reject all proposals at any time prior to selection, without thereby incurring any liability to proposers/firms.



- 2.6 Proposers may withdraw their proposal after submission provided that written notice of withdrawal is received by the GCF prior to the deadline prescribed for submission of proposals. No proposal may be modified subsequent to the deadline for submission of proposals. No proposal may be withdrawn in the interval between the deadline for submission of proposals and the expiration of the period of proposal validity.
- 2.7 All proposals shall remain valid and open for acceptance for a period of 90 calendar days after the deadline for submission of proposals. A proposal valid for a shorter period may be rejected. In exceptional circumstances, the GCF may solicit the proposer's consent to an extension of the period of validity. The request and the responses thereto shall be made in writing.
- 2.8 Effective with the release of this solicitation, all communications relating to this RFP must be directed only to the Head of Procurement by email at [procurement@gcfund.org](mailto:procurement@gcfund.org). Proposers must not communicate with any other personnel of the GCF regarding this RFP.
- 2.9 This RFP is issued under the GCF Administrative Guidelines on Procurement.

### **3. Joint Venture, Consortium or Association**

- 3.1 If the Proposer is a group of legal entities that will form or have formed a joint venture, consortium or association at the time of the submission of the Proposal, they shall confirm in their Proposal that:
  - a) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the joint venture jointly and severally,
  - b) if they are awarded the contract, the contract shall be entered into, by and between GCF and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture.
- 3.2 After the Proposal has been submitted to GCF, the lead entity identified to represent the joint venture shall not be altered without the prior written consent of the GCF. Furthermore, neither the lead entity nor the member entities of the joint venture can submit another proposal:
  - a) either in its own capacity; nor
  - b) as a lead entity or a member entity for another joint venture submitting another Proposal.
- 3.3 The description of the organization of the joint venture/consortium/association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the Joint Venture Agreement. All entities that comprise the joint venture shall be subject to the eligibility and qualification assessment by GCF.
- 3.4 Where a joint venture is presenting its track record and experience in a similar undertaking as those required in the RFP, it should present such information in the following manner:
  - a) Those that were undertaken together by the joint venture; and
  - b) Those that were undertaken by the individual entities of the joint venture expected to be involved in the performance of the services defined in the RFP.
- 3.5 Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the



experience of the joint venture or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials.

- 3.6 If a joint venture's Proposal is determined by the GCF as the most responsive Proposal that offers the best value for money, the GCF shall award the contract to the joint venture, in the name of its designated lead entity. The lead entity shall sign the contract for and on behalf of all other member entities.

#### 4. Request for Clarification of RFP Documents

- 4.1 A prospective proposer requiring any clarification of the solicitation documents may notify the GCF in writing to the email address [procurement@gcfund.org](mailto:procurement@gcfund.org) by the specified date and time mentioned in Annex 6. The subject line of the email **MUST** have the reference number and title of the RFP i.e. **RFP2020/038 – Provision of Pension Plan Transition Advisory Services**. The GCF will respond in writing to any request for clarification of the solicitation documents that it receives by the due date published in Annex 6. Written copies of the GCF response which contain information that may be of common interest to all bidders (including an explanation of the query but without identifying the source of inquiry) will be posted on the GCF website and/or communicated via email.

#### 5. Amendments to RFP Documents

- 5.1 At any time prior to the deadline for submission of proposals, the GCF may, for any reason, whether at its own initiative or in response to a clarification requested by a prospective proposer, modify the RFP documents by amendment. The amendments will also be posted on the GCF website and/or communicated via email.
- 5.2 In order to allow prospective proposers reasonable time in which to take the amendment into account in preparing their proposals, the GCF may, at its sole discretion, extend the deadline for the submission of proposal.

#### 6. Language of Proposals

- 6.1 The proposals prepared by the proposer and all correspondence and documents relating to the proposal exchanged by the proposer and the GCF, shall be written in English. Supporting documents and printed literature furnished by the proposer may be in another language provided they are accompanied by an appropriate translation of all relevant passages in English. In any such case, for interpretation of the proposal, the translation shall prevail. The sole responsibility for translation and the accuracy thereof shall be the responsibility of the proposer.

#### 7. Submission of Proposals

- 7.1 **Format and form of submission of bids:** Bidders are requested to send a Bid preferably<sup>1</sup> through the GCF Supplier portal at <https://gcf.supplier.ariba.com> (a supplier manual can be found on the GCF website <https://www.greenclimate.fund/who-we-are/procurement> as an attachment under this RFP) **or** via email to [procurement@gcfund.org](mailto:procurement@gcfund.org) and copied to [ktateshvili@gcfund.org](mailto:ktateshvili@gcfund.org)

*i) Submission through the Supplier Portal (SAP Ariba)*

1. Open <https://gcf.supplier.ariba.com/>

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<sup>1</sup> Suppliers shall note that GCF will be phasing out e-mail submission in the near future, therefore suppliers are encouraged to register and submit their Bid/Proposal through the GCF Supplier portal (SAP Ariba)



2. Click Register Now
3. Fill in Company Information, Fill in User account information and details under ‘Tell us more about your business’
4. Check ‘I have read and agree to the Terms of Use and ‘I have read and agree to the SAP Ariba Privacy Statement’ then click ‘Register’
5. Check your email and click the activation link.
6. Fill in additional information required, click Save and Close.
7. Your company information will be submitted to GCF and will be reviewed and approved. Once you register, please send an email to [procurement@gcfund.org](mailto:procurement@gcfund.org) with the RFP number and title as the email reference and confirm that you are interested in submitting a bid. You will then be invited to participate in the RFP. You will receive the RFP event notification email from the Green Climate Fund with a link to access the RFP. This link can be used only once. If you require to access the portal after you have activated the link, you can log in on <https://gcf.supplier.ariba.com> with your user name and password.
8. Review Prerequisites and Click ‘I accept the terms of this agreement’
9. Open the Technical Section of the RFP section and download the RFP document and technical proposal submission forms
10. Open the Financial Section of the RFP and download the financial bid submission forms
11. When ready to submit your bid, upload the technical bid under the TECHNICAL section and the financial bid under the FINANCIAL section. Please **DO NOT** enter or upload any financial information under the TECHNICAL section as this may result in disqualification.
12. Click ‘Submit Entire Response’ to submit bid.

*(For detailed instructions on how to access and submit a bid, see the supplier manual. It is recommended that bidders register on the portal as early as possible.)*

*ii) Submission via email*

Two separate files (technical and financial bids) should be attached to the email as below.

The Technical file should contain the technical proposal and be named as follows:

**RFP 2020 038 – TECHNICAL PROPOSAL - (name of proposer)**

Please **DO NOT** include any financial information in the technical proposal as this may result in disqualification.

The financial file should contain the financial proposal and be named as follows:

**RFP 2020 038– FINANCIAL PROPOSAL - (name of proposer)**

The subject line of the email should be as follows:

**RFP 2020/038– Provision of Pension Plan Transition Advisory Services (name of proposer)**

- 7.2 The Financial Proposal MUST be password protected. The authorized procurement officer will contact the bidders that pass the qualifying technical score for the password to open the Financial Proposal. Bidders should **NOT** send the password to the financial proposal until they are requested to do so by the procurement officer. Financial Proposals that are submitted without password protection may be rejected for non-compliance.
- 7.3 All prospective proposers are kindly requested to return the completed Acknowledgement Letter of RFP receipt (Appendix 5) by the date indicated in Appendix 6, duly signed by an authorized representative, via email, advising whether they intend to submit a proposal by the designated



closing date/time. Please also notify the Procurement Specialist immediately if any part of this RFP is missing and/or illegible.

## **8. Late proposals**

- 8.1 Any proposals received by the GCF after the deadline for submission of proposals prescribed in Annex 6 of this document shall normally be rejected.

## **9. Opening of Technical Proposals**

- 9.1 Technical Proposals will be opened on the date indicated in Annex 6. The purpose of this public opening is to record the names of proposers having submitted proposals by the due date and time. Only technical proposals will be opened at the public opening. The financial proposals will not be opened. Proposers submitting proposals are welcome to send one (1) representative, with proper authorization from their company, to observe the opening and recording of proposals received.

## **10. Opening of Financial Proposals**

- 10.1 GCF shall notify in writing those Proposers that have achieved the minimum qualifying technical score and request for the password for the Financial Proposals.

## **11. Corrupt, Fraudulent, Coercive, Collusive and other Prohibited Practices.**

- 11.1 The GCF requires that all GCF staff, proposers/bidders, suppliers, service providers and any other person or entity involved in GCF-related activities observe the highest standard of ethics during the procurement and execution of all contracts. The GCF may reject any proposal put forward by proposers, or where applicable, terminate their contract, if it is determined that they have engaged in corrupt, fraudulent, coercive, collusive or other prohibited practices.

## **12. Conflict of Interest**

- 12.1 In their proposal, proposers must (i) confirm that, based on their current best knowledge, there are no real or potential conflicts of interest involved in rendering Services for the GCF, and (ii) set out their policy on dealing with conflicts of interest should these arise.

## **13. Confidentiality**

- 13.1 Information relating to the evaluation of proposals and recommendations concerning selection of Firms will not be disclosed to Firms that submitted proposals.



## Annex 1 Terms of Reference

### I. Organization Background

The Green Climate Fund (the "GCF" or the "Fund") has been established by 196 Parties to the United Nations Framework Convention on Climate Change (UNFCCC). The Fund was established by a decision of the Conference of the Parties (COP) to the UNFCCC. It is headquartered in Songdo, Incheon, Republic of Korea, and the principal place of business is G-Tower, 175 Art Center-daero Yeonsu-gu, Incheon 22004. The Fund seeks to contribute to the achievement of the ultimate objective of the Convention. In the context of sustainable development, the Fund promotes the paradigm shift towards low-emission and climate-resilient development pathways by providing support to developing countries to limit or reduce their greenhouse gas emissions and to adapt to the impacts of climate change, taking into account the needs of those developing countries particularly vulnerable to the adverse effects of climate change. The Fund is guided by the principles and provisions of the Convention.

The Fund plays a crucial role in channeling new and predictable financial resources to developing countries. The GCF seeks to catalyze climate finance – both public and private, and at the national, regional, and international levels. The Fund is intended to operate at a larger scale than other comparable funds to promote the paradigm shift towards low-emission and climate-resilient development pathways. The Fund makes an ambitious contribution to global climate action and channels significant financial resources into developing countries to help build low-emission and climate-resilient societies. It is country-driven and undertakes actions that reflect the circumstances of each country concerned and its national aspirations. The GCF is a key enabler of the 2015 Paris Agreement on Climate Change.

The GCF was designated as an operating entity of the UNFCCC's financial mechanism, which it is ultimately accountable to. The COP guides the Board, including matters related to policies, programme priorities, and eligibility criteria. The Board takes appropriate actions in response to this guidance and reports to the COP annually.

Since its establishment, the GCF has approved 124 projects in 119 countries and has committed USD 5.6 billion in climate finance. Besides, it has built up an extensive program to support developing countries to identify the needs of the most vulnerable to climate change impacts. The GCF funding commitments are made by employing various financial instruments such as grants, Loans, Guarantees, and equity.

### II. Objective of the Assignment

The GCF would like to seek pension plan transition advisory from a qualified, reputable and experienced company/firm (the firm/the vendor) to support its transition from the existing pension fund administration service provider to a new provider. GCF's average number of staff currently stands at 230.

### III. Scope of the Services

The scope of the advisory services shall include but not limited to:

- a) Review of the contract and structure for the existing pension fund to understand the contract's key provisions and the structure of the pension fund.



- b) Obtain staff views on the gaps, if any, in the current pension fund administration service and recommend an international pension plan whose investment strategy, investment options, track record, governance, SDG policy, legal structure, reporting capabilities, and operations are suitable for the organization. Identify any additional value added services which may enhance staff's financial acumen as it relates to their retirement goals
- c) In consultation with the pension plan transition committee and the procurement unit support the RFP process from initiation to conclusion. The tasks may include:
  - i. Developing a timeline for the identification of the pension fund administration service provider and transition.
  - ii. Developing the terms of reference (TOR) for use in the preparation of a request for proposal (RFP)
  - iii. Supporting provider targeting and recommend a sourcing method to identify a provider to offer the pension fund administration service.
- d) Support the pension plan transition committee to evaluate proposals from prospective bidders to identify a new pension fund administration service provider.
- e) Develop a staff communication and transition plan and support continuous communication with staff on the transition process
- f) Review the winning bidder's offering and transition capabilities and explain to the staff, in conjunction with the winning bidder, the differences between the existing plan and that of the winning bidder.
- g) Support the contracting and negotiation process to ensure that the desired plan as recommended in (b) is achieved
- h) Work with the existing and new pension fund administration provider to ensure that there is a successful transfer of assets from the current pension fund, including supporting reconciliation where there are differences in the assets offered by the new service provider.
- i) Ensure continuous reporting and transition of historical performance/reporting into new provider

#### **IV. Tasks and Expected Output from the Assignment**

<b>Task No.</b>	<b>Nature of task</b>	<b>Description</b>	<b>Deliverable/Output</b>
<b>1.</b>	One-off	Review of the contract and structure for the existing pension fund to understand the contract's key provisions and the structure of the pension fund. To achieve this, the consultant may need to set-up a call with the current pension fund administration service provider (in the presence of a GCF representative) and review the contract with the current pension fund administration provider and other documents that the consultant may deem fit.	A report outlining: <ul style="list-style-type: none"> <li>i. Areas in the current plan that may need to be closely reviewed.</li> <li>ii. Any crucial clauses in the current contract that may need to be considered.</li> <li>iii. Gaps, if any, between the current plan and the staff's desired plan.</li> <li>iv. The ideal plan for the organization would be and whether there are providers</li> </ul>



Task No.	Nature of task	Description	Deliverable/Output
2.	One-off	Obtain staff views (through a staff survey or other means) on the gaps, if any, in the current pension fund administration service and recommend an international pension plan whose investment strategy, governance, legal structure, and operation are suitable for the organization. The firm should devise an appropriate approach to deliver on this.	in the market that can meet the plan's requirements. v. Recommended value added services which may enhance staff's financial acumen as it relates to their retirement goals
3.	One-off	Support the RFP process from initiation to completion	i. A TOR and evaluation criteria to support the development of a request for proposal (RFP). ii. A list of possible service providers. iii. A timeline for the identification of the service provider and transition
4.	One-off	Support the pension plan transition committee to evaluate proposals from prospective bidders to identify a new pension fund administration service provider.	
5.	One-off	Develop a staff communication and transition plan	Staff communication and transition plan. Continuous communication to all staff
6.	One-off	Review the winning bidder's offering, transition capabilities and explain to the staff, in conjunction with the winning bidder, the differences between the existing plan and that of the winning bidder.	A report outlining: i. The key differences between the existing plan and the new plan. ii. The improvements that the proposed plan offers. iii. Possible trade-offs, conversion, and rebalancing mechanisms in case the structure of the new plan differs from the existing plan iv. How staff concerns regarding the transition will be addressed
7.	One-off	Support the contracting and negotiation process to ensure that the desired plan as recommended in (b) is achieved	
8.	One-off	Work with the existing and new pension fund administration provider to ensure that there is a successful	A reconciliation statement per user outlining how differences were addressed



Task No.	Nature of task	Description	Deliverable/Output	
		transfer of assets from the current pension fund including supporting reconciliation where there are differences in the assets offered by the new service provider		
9	One-off	Ensure continuous reporting and transition of historical performance/reporting into new provider		

### Delievrables with Payment Milestones

No.	Title	Tasks	Payment
1.	RFP development	After completing the tasks 1, 2 and 3	30% of the Contract Price
2.	Comparative Report on existing and new plan	After Completing the tasks 4,5 and 6	30% of the Contract Price
3.	Final Transition Report	After completing the tasks 7,8 and 9	40% of the Contract Price

## V. The Consultant's Reporting Lines, Qualification, and Experience

### a) Reporting Lines

On a day-to-day basis, the firm will work directly with the Head of Finance. However, the firm shall primarily report to the CFO (Chief Finance Officer) and Director of Support Services. The firm will also interact with the Head of Human Resources and the staff council.

### b) Qualifications and Experience

#### For the Firm:

- i) The firm should have 5-10 years of experience in providing pension plan design or review services to international organizations.

#### For the Consultants:

- ii) The lead consultant shall have experience providing pension plan advisory to international organizations for over seven years, have bachelors degree in Finance/Economics/Business Administration or other relevant fields and be fluent in written and spoken English.
- iii) The other assignees/consultants shall have a minimum of 5 years of experience in providing pension plan advisory services to international organizations. Have bachelors degree in Finance/Economics/Business Administration or other relevant fields and should also be fluent in written and spoken English.



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**VI. Duration of the Services**

The assignment is expected to last no more than 8 months since we have a timeline of less than 12 months commencing December 2020 to transition to the new provider. There will be a break of about 8 weeks to allow bidders to submit their proposals for provision of the pension fund administration service.



## **Annex 2**

### **Requirements for Firms' Proposals Technical Proposal**

The technical proposal will be submitted in a separate file and will address all aspects of the Terms of Reference. The Technical Proposal shall have all the necessary details in response to the Terms of Reference and the proposer shall fill in the technical Forms (TECH Forms) which follow in this annex and which must be filled in accordingly.



## TECH Forms

### Form TECH-1: Technical Proposal Submission Form

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[Location, Date]

To: [Name and address of Client]

To whom it may concern:

We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Request for Proposal dated [Insert Date] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal, and a Financial.

We are submitting our Proposal in association with: [Insert a list with full name and address of each associated Consultant if submitting as an association]

We hereby declare that all the information and statements made in this Proposal are true and accept that any misinterpretation contained in it may lead to our disqualification.

If negotiations are held during the period of validity of the Proposal, i.e., before the date indicated in Paragraph Reference 2.7 of the RFP, we undertake to negotiate on the basis of the proposed staff, methodology and approach. Our Proposal is binding upon us and subject to the modifications resulting from Contract negotiations.

We undertake, if our Proposal is accepted, to initiate the consulting services related to the assignment.

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Authorized Signature [In full and initials]: \_\_\_\_\_

Name and Title of Signatory: \_\_\_\_\_

Name of Firm: \_\_\_\_\_

Address: \_\_\_\_\_



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## **Form TECH-2: Firm's Organization and Experience**

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### **A - Organization**

[Provide here a brief (two pages) description of the background and organization of your firm/entity and each associate for this assignment.]



**B - Experience**

[Using the format below, provide information on each assignment for which your firm, and each associate for this assignment, was legally contracted either individually as a corporate entity or as one of the major companies within an association, for carrying out consulting services similar to the ones requested under this assignment. Use not more than 10 pages.]

Assignment name:	Approx. value of the contract (in current US\$ or Euro):
Country: Location within country:	Duration of assignment (months):
Name of Client:	Total N° of staff-months of the assignment:
Address:	Approx. value of the services provided by your firm under the contract (in current US\$ or Euro):
Start date (month/year): Completion date (month/year):	
Narrative description of Project:	
Description of actual services provided by your staff within the assignment:	

Firm's Name: \_\_\_\_\_



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**Form TECH-3: Comments and Suggestions on the Terms of Reference and on Counterpart Staff and Facilities to be Provided by the Client**

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**On the Terms of Reference**

[Present and justify here any modifications or improvement to the Terms of Reference you are proposing to improve performance in carrying out the assignment (such as deleting some activity you consider unnecessary, or adding another, or proposing a different phasing of the activities). Such suggestions should be concise and to the point, and incorporated in your Proposal.]



## **Form TECH-4: Description of Approach, Methodology and Work Plan for Performing the Assignment**

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[Technical approach, methodology and work plan are key components of the Technical Proposal. You are suggested to present your Technical Proposal (Not more than 40 pages, inclusive of charts and diagrams) divided into the following three chapters:

- a) Technical Approach and Methodology,
- b) Work Plan, and
- c) Organization and Staffing,

a) Technical Approach and Methodology. In this chapter you should explain your understanding of the objectives of the assignment, approach to the services, methodology for carrying out the activities and obtaining the expected output, and the degree of detail of such output. You should highlight the problems being addressed and their importance, and explain the technical approach you would adopt to address them. You should also explain the methodologies you propose to adopt and highlight the compatibility of those methodologies with the proposed approach.

b) Work Plan. In this chapter you should propose the main activities of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and delivery dates of the reports. The proposed work plan should be consistent with the technical approach and methodology, showing understanding of the Terms of Reference and ability to translate them into a feasible working plan. A list of the final documents, including reports, drawings, and tables to be delivered as final output, should be included here. The work plan should be consistent with the Work Schedule of Form TECH-8.

c) Organization and Staffing. In this chapter you should propose the structure and composition of your team. You should list the main disciplines of the assignment, the key expert responsible, and proposed technical and support staff.]





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**Form TECH-5: Team Composition and Task Assignments**

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Professional Staff				
Name of Staff	Firm	Area of Expertise	Position Assigned	Task Assigned

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**Form TECH-6: Curriculum Vitae (CV) for Proposed Professional Staff**

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1. **Proposed Position** [only one candidate shall be nominated for each position]: \_\_\_\_\_
  
2. **Name of Firm** [Insert name of firm proposing the staff]: \_\_\_\_\_  
\_\_\_\_\_
  
3. **Name of Staff** [Insert full name]: \_\_\_\_\_
  
4. **Date of Birth:** \_\_\_\_\_ **Nationality:** \_\_\_\_\_
  
5. **Education** [Indicate college/university and other specialized education of staff member, giving names of institutions, degrees obtained, and dates of obtainment]: \_\_\_\_\_  
\_\_\_\_\_
  
6. **Membership of Professional Associations:** \_\_\_\_\_  
\_\_\_\_\_
  
7. **Other Training** [Indicate significant training since degrees under 5 - Education were obtained]: \_\_\_\_\_  
\_\_\_\_\_
  
8. **Countries of Work Experience:** [List countries where staff has worked in the last ten years]: \_\_\_\_\_  
\_\_\_\_\_
  
9. **Languages** [For each language indicate proficiency: good, fair, or poor in speaking, reading, and writing]: \_\_\_\_\_  
\_\_\_\_\_
  
10. **Employment Record** [Starting with present position, list in reverse order every employment held by staff member since graduation, giving for each employment (see format here below): dates of employment, name of employing organization, positions held.]:

From [Year]: \_\_\_\_\_ To [Year]: \_\_\_\_\_

Employer: \_\_\_\_\_

Positions held: \_\_\_\_\_



<p><b>11. Detailed Tasks Assigned</b></p> <p>[List all tasks to be performed under this assignment]</p>	<p><b>12. Work Undertaken that Best Illustrates Capability to Handle the Tasks Assigned</b></p> <p>[Among the assignments in which the staff has been involved, indicate the following information for those assignments that best illustrate staff capability to handle the tasks listed under point 11.]</p> <p>Name of assignment or project: _____</p> <p>Year: _____</p> <p>Location: _____</p> <p>Client: _____</p> <p>Main project features: _____</p> <p>Positions held: _____</p> <p>Activities performed: _____</p>
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**13. Certification:**

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience. I understand that any wilful misstatement described herein may lead to my disqualification or dismissal, if engaged.

\_\_\_\_\_ Date: \_\_\_\_\_  
[Signature of staff member or authorized representative of the staff] Day/Month/Year

Full name of authorized representative: \_\_\_\_\_

**Form TECH-7: Staffing Schedule<sup>1</sup>**

	Name of Staff	Staff input (in the form of a bar chart) <sup>2</sup>							Total staff-week input
		1	2	3	4	5	6	7	Total
1									
2									
3									
n									
<b>Grand Total</b>									

- 1 For Professional Staff the input should be indicated individually; for Support Staff it should be indicated by category (e.g. : draftsmen, clerical staff, etc.).
- 2 Weeks are counted from the start of the assignment. For each staff indicate separately staff input.

**Form TECH-8 Work Schedule**

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N°	Activity <sup>1</sup>	Weeks <sup>2</sup>						
		1	2	3	4	5	6	7
1								
2								
3								
4								
n								

- 1 Indicate all main activities of the assignment, including delivery of reports (e.g.: inception, interim, and final reports), and other benchmarks such as Client approvals. For phased assignments indicate activities, delivery of reports, and benchmarks separately for each phase.
- 2 Duration of activities shall be indicated in the form of a bar chart.

### **Financial Proposal**

The Proposer is required to prepare and submit the Financial Proposal in a separate file from the Technical Proposal.

The Financial Proposal must provide a detailed cost breakdown and a payment schedule preferably linked to the schedule of deliverables presented in Annex 1. Provide separate figures for each functional grouping or category including fees and cost-reimbursable expenses. The Financial Section shall provide details of unit /daily fee rate per proposed team member and total consultancy fees based on the time proposed for performance of the services. Any estimates for cost-reimbursable expenses, should be listed separately and capped. The Financial Proposal should be inclusive of the taxes where applicable<sup>2</sup>, and the applicable taxes should be clearly specified.

The Financial Proposal must also have the total consultancy fee summarized in addition to the break down covering the lump sum amount for purposes of determining the financial score and contract price. Financial Proposal Standard Forms (FIN Forms) shall be used for the presentation of the Financial Proposal.

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<sup>2</sup> (a) Under Article 10 of the Headquarters Agreement, the property of the Green Climate Fund (“Fund”), including the property of any offices, subsidiary bodies or facilities established by the Fund, the Fund’s operations and transactions, and any property of the Fund in transit to or from the Headquarters, are:

- (i) Exempt from all direct taxes, except those which are, in fact, no more than charges for public utility services;
- (ii) Exempt from all indirect taxes, including any value-added tax and/or other similar tax, and excise duties levied on important purchases of goods and services for official purposes; and
- (iii) Exempt from customs duties, prohibitions and restrictions on imports and exports in respect of articles of any kind imported or exported by the Fund for its official use, except for prohibitions and restrictions on imports or exports relating to health and safety.

(b) under bilateral agreements concluded between the GCF and certain countries, the GCF may be exempt from all taxation and from all customs duties, and from any obligation for the payment, withholding or collection of any tax or duty.



**FIN Forms**  
**Form FIN-1: Financial Proposal Submission Form**

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[Location, Date]

To: [Name and address of Client]

To whom it may concern:

We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Request for Proposal dated [Insert Date] and our Technical Proposal. Our attached Financial Proposal is for the sum of [Insert amount(s) in words and figures<sup>1</sup>].

Our Financial Proposal shall be binding upon us subject to the modifications resulting from Contract negotiations, up to expiration of the validity period of the Proposal.

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Authorized Signature [In full and initials]: \_\_\_\_\_

Name and Title of Signatory: \_\_\_\_\_

Name of Firm: \_\_\_\_\_

Address: \_\_\_\_\_

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<sup>1</sup> Amounts must coincide with the ones indicated under Total Cost of Financial proposal in Form FIN-2.



**Form FIN-2: Summary of Costs**

Item	Costs
	Indicate Currency with
Total Costs of Financial Proposal <sup>1</sup>	

**A. Total Cost of Financial Proposal**

<i>Item</i>	<i>Costs</i>
	<i>Indicate with Currency</i>
Total Costs of Financial Proposal <sup>1</sup>	

**B. Break down of Fees and expenses per Cost Component**

Description	Unit of measure (e.g. days, month, etc)	Total Period of Engagement	Unit cost / rate	Total Cost for the Period
<b>I. Remuneration Costs</b>				
Staff 1				
Staff 2				
.....				
<i>Sub-total</i>				
<b>II. Travel Costs</b>				
<i>Sub-total</i>				
<b>III. Other Related Costs (Please specify)</b>				
<i>Sub-total</i>				
<b>TOTAL</b>				



**C. Breakdown of Fees and Expenses per Deliverables**

SN	Deliverables <i>[list them as referred to in the TOR]</i>	Percentage of Total Price	Price (Lump Sum, All Inclusive)
1	Deliverable 1		
2	Deliverable 2		
3	....		
	Total	100%	USD .....

Indicate the total costs, net of local taxes, to be paid by the Client in each currency.

**Form FIN-3: Breakdown of Remuneration<sup>1</sup> (Lump-Sum)**

(Information to be provided in this Form shall only be used to establish payments to the Consultant for possible additional services requested by the Client)

Name <sup>2</sup>	Position <sup>3</sup>	Staff-daily Rate

- 1 Form FIN-3 shall be filled in for the same Professional and Support Staff listed in Form TECH-7.
- 2 Professional Staff should be indicated individually; Support Staff should be indicated per category (e.g.: draftsmen, clerical staff).
- 3 Positions of the Professional Staff shall coincide with the ones indicated in Form TECH-5.

**Annex 3**

**Evaluation Criteria**

**A. Evaluation and Comparison of Proposals**

1. The proposals will be evaluated in a three-stage procedure, starting with administrative compliance to ensure the proposals includes all necessary required documents and is duly signed by the authorized representative. Evaluation of the technical proposal will follow and will be completed prior to any financial proposal being opened and evaluated. The financial proposal will be considered only if the submissions fulfil the minimum technical requirements.

**B. Acceptance of Submissions**

2. All proposers are expected to adhere to the requirements for submitting a proposal. Any proposals that fail to comply will be disqualified from further consideration as part of this evaluation. In particular:
  - Full compliance with the formal requirements for submitting a proposal
  - Submission of all requested documentation
  - Acceptance of the GCF Model contract – Where the proposer notes issues, these must be raised as part of the technical proposal for consideration during evaluation

**C. Evaluation of Technical Proposal**

3. A reviewing committee shall be established to evaluate each technical proposal. The technical proposal is evaluated individually on the basis of its responsiveness to the technical requirements and will be assessed and scored according to the evaluation criteria below and as per scores in the table.

Evaluation Criteria

Summary of Technical Proposal Evaluation Forms		Score Weight	Points Obtainable
1	Expertise of Firm / Entity	15%	150
2	Proposed Methodology, Approach, and Implementation Plan	50%	500
3	Management Structure and Key Personnel	35%	350
<b>TOTAL</b>		<b>100%</b>	<b>1000</b>

Technical Proposal Evaluation		
Expertise of the Firm/Entity		Points Obtainable
1.1	Reputation of Organization and Staff / Credibility / Reliability / Industry Standing <ul style="list-style-type: none"> <li>• Firms/entities should provide relevant documents (Office locations, memberships, certifications, awards, profile, client reviews, e.t.c) as applicable</li> </ul>	50
1.2	General Organizational Capability - which will likely affect the implementation of the project. <ul style="list-style-type: none"> <li>• Organization Structure – the firm should provide information on the organization structure and any significant organization or ownership changes in the last two years. If the firm has various</li> </ul>	40



	<p>offices, the information should relate to the office the firm proposes to handle the project.</p> <ul style="list-style-type: none"> <li>• Age/size of the firm/entity – the firm should provide information on how long the firm has been in operation</li> <li>• Strength of project management control – the firm should provide information on the project management approach to ensure that the project is efficiently and effectively delivered.</li> <li>• Delivery of similar projects. The firm should provide evidence of delivery of similar projects/services. Information on the type and size of the organization is required</li> </ul>	
1.3	5-10 years of experience in providing pension plan design or review services to international organizations.	40
1.4	Quality assurance procedure in place – the firm should outline the QA procedures that the firm employs and plans to use on this project. A description of anticipated problems and the vendor's approach to resolving them is required.	20
<b>SUBTOTAL</b>		<b>150</b>

<b>Technical Proposal Evaluation</b>		
<b>Proposed Methodology, Approach, and Implementation Plan</b>		<b>Points Obtainable</b>
2.1	To what degree does the proposer understand the task and the nature and context of the GCF? The firm should describe their understanding of the RFP, areas, processes, or procedures that the firm believes have been left out but are required to complete the project.	100
2.2	Have the essential aspects of the task been addressed in sufficient detail? The firm should describe how they will address each of the tasks outlined in the scope of services to deliver all the required outputs/outcomes.	150
2.3	Are the different components of the assignment adequately weighted relative to one another? Appropriate attention should be given to all the various tasks outlined in the scope of services	50
2.4	Is the approach adopted appropriate for the task and the nature and context of the GCF? The firm should adapt its proposal to GCF as opposed to giving a generic proposal with aspects not applicable to GCF	100
2.5	Is the presentation clear; are the sequence of activities and the planning logical and realistic, and do they promise efficient completion of the assignment? The proposal should be presented in a manner that is easy to follow. A combination of graphical and text representation is desired. The flow of tasks should also be clear and logical	100
<b>SUBTOTAL</b>		<b>500</b>

Technical proposals that score at least 75 points out of 100 will be considered as qualified for the review of financial proposal. Any proposal less than that will be disqualified from proceeding to the next step and its financial proposal shall be returned unopened following the award of the contract.



#### **D. Evaluation of Financial Proposal**

The financial proposal of all proposers which have attained the minimum score in the technical evaluation will be evaluated subsequently. The lowest evaluated Financial Proposal ( $F_m$ ) is given the maximum financial score ( $S_f$ ) of 100. The formula for determining the financial scores ( $S_f$ ) of all other Proposals is calculated as following:

$S_f = 100 \times F_m / F$ , in which “ $S_f$ ” is the financial score, “ $F_m$ ” is the lowest price, and “ $F$ ” is the price of the proposal under consideration.

#### **E. Consolidated evaluation**

The weights given to the Technical (T) and Financial (P) Proposals are:

$T = 0.70$ , and  $P = 0.30$

Proposals will be ranked according to their combined technical ( $S_t$ ) and financial ( $S_f$ ) scores using the weights ( $T =$  the weight given to the Technical Proposal;  $P =$  the weight given to the Financial Proposal;  $T + P = 1$ ) as following:  $S = S_t \times T\% + S_f \times P\%$ .

The firm achieving the highest combined technical and financial score will be invited for contract negotiations.

#### **F. Award**

The Award will be made to the responsive proposer who achieves the highest combined technical and financial score, following negotiation of an acceptable contract. GCF reserves the right to conduct negotiations with the Proposer regarding the contents of their offer. The award will be in effect only after acceptance by the selected proposer of the terms and conditions and the technical requirements.



**Annex 4**

**Company Profile Form**

Please respond to all questions.

**Company details - vendor's name**

<b>Name:</b>
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**General Information**

Primary contact for sales/client services	
Address	
	Postal Code: Country:
Telephone:	Fax:
E-mail:	Web site:
Parent company, if any	
Subsidiaries, Associates, and/or Overseas Rep(s), if any	
Year established	
Registration Number	
Type of organization	Public enterprise ( ) Private company ( ) Organization sponsored (assisted by Government) ( ) Other (please specify): ..... ( )
Type of Business	Manufacturer ( ) Retailer ( ) Authorized Agent ( ) Consulting Company ( ) Other (please specify): ..... ( )
Summary of main business activities	
No. of employees (by location)	
Staff turnover rate	
In-house working language (s)	
Bank Name: Bank Address: Account Holder: Account Number: IBAN: SWIFT:	

**Prior experience with international organizations**

List contracts with international organizations in the last three years BRIEFLY list recent contracts that used relevant tools, technologies, and techniques: Attach additional sheets if necessary.
1
2
3



**Environmental Policy**

Does your company have a written statement of its environmental policy?
YES ( ) Please attach copy <span style="margin-left: 200px;">NO ( )</span>

**Contract disputes**

List any disputes your company has been involved in over the last three years

**References**

List suitable reference projects and contacts. What options would there be for a site visit to a reference project and/or the vendor's site?
1
2
3

**Partners**

If this is a part bid, list relevant recent experience of working with partners. Are there already formal or informal preferred partnership agreements in place?
1
2
3

**Conflict of interest**

Are there any likely circumstances or contracts in place that may introduce a conflict of interest with the parties to this contract? If so, explain how this will be mitigated
1
2

**Certification**

I, the undersigned, confirm that the information provided in this annex is correct. In the event of changes, details will be provided.

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_



**Annex 5  
Acknowledgment Letter**

To whom it may concern,

We, the undersigned, acknowledge receipt of your Request for Proposal (RFP) No. RFP 2020/038 dated 30 December, 2020, and hereby confirm that we:

INTEND                       DO NOT INTEND

to submit a proposal to the secretariat of the Green Climate Fund (GCF) by the deadline date of 27 January 2021 at 15:00hrs Korean Standard Time, and that we:

INTEND                       DO NOT INTEND

to send one (1) authorized representative to observe the public opening procedure on 27 January 2021 at 16:00hrs Korean Standard Time (Note: attendance to the public opening procedure is optional.)

We acknowledge that this RFP is confidential and proprietary to the GCF, and contains privileged information.

Name of Authorized Representative: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Name and Address of Vendor: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_

Facsimile: \_\_\_\_\_

If you do not intend to submit a proposal to the GCF, please indicate the reason:

We do not have the capacity to submit a proposal at this time.

We cannot meet the requirements for this RFP.

We do not think we can make a competitive offer at this time.

Other (please specify): \_\_\_\_\_

Kindly return this acknowledgement letter immediately via e-mail to [procurement@gcfund.org](mailto:procurement@gcfund.org)

**NOTE: Due to current security arrangements, your authorized representative must present a completed copy of this letter in order to observe the public opening procedure.**



**Annex 6  
Timeline**

The Green Climate Fund will follow the timeline below for this RFP. Any changes to this timeline will be posted on the GCF website. Please note that the target dates and may be adjusted.

	<b>Event</b>	<b>Responsible Party</b>	<b>Date (and time, KST*)</b>
1	Issuance of RFP	GCF	30 December, 2020
2	Last day to send completed Acknowledgement Letter of RFP receipt	Tenderer	27 January, 2021
3	Last date for requests for clarification of the RFP	Tenderer	14 January, 2021
4	Last date to reply to questions received/ Last date for amendment	GCF	20 January, 2021
5	Date by which proposal must be received in Korea by GCF	Tenderer	27 January, 2021 at 15:00 KST
6	Date of opening of Technical Proposals	GCF	27 January, 2021 16:00 KST

\* KST: Korean Standard Time (Seoul Time)



## **Annex 7**

### **GCF Model Contract (Attached as a separate document)**

By submitting a proposal to this RFP, Bidders are considered to have carefully reviewed the GCF Model Contract and be in agreement with all its terms and conditions. Where the bidder has specific issues of concern, those must be raised and indicated in the Technical proposal clearly for consideration during evaluation. Any request of amendments to GCF Model Contract and terms and conditions must be accompanied by detailed and compelling justification for review and consideration by GCF. It should be noted that request of amendments to GCF Model Contract and terms and conditions may negatively affect evaluation of the proposal and, in some cases, where such amendments are incompatible with GCF's binding policies and rules, may prejudice the final award.

**NB:** For this particular contract, the Performance Standards (Clause 9), Insurance (Clause 10), Performance Security (Clause 11) and Deductions Clauses (Clause 12) of the SCC will not be applicable.

\*Note: The Board adopted at the recent meeting a new policy on SEAH (Sexual Exploitation, Sexual Abuse and Sexual Harassment) and therefore the GCF is required to include new provisions in the General Conditions of Contract. While the specific wording is yet to be formulated, the eventual contract will need to include such new provisions.