



GREEN
CLIMATE
FUND

GCF REGIONAL DIALOGUE

with MIDDLE EAST & NORTH AFRICA

Rabat, Kingdom of Morocco
24–28 June 2024





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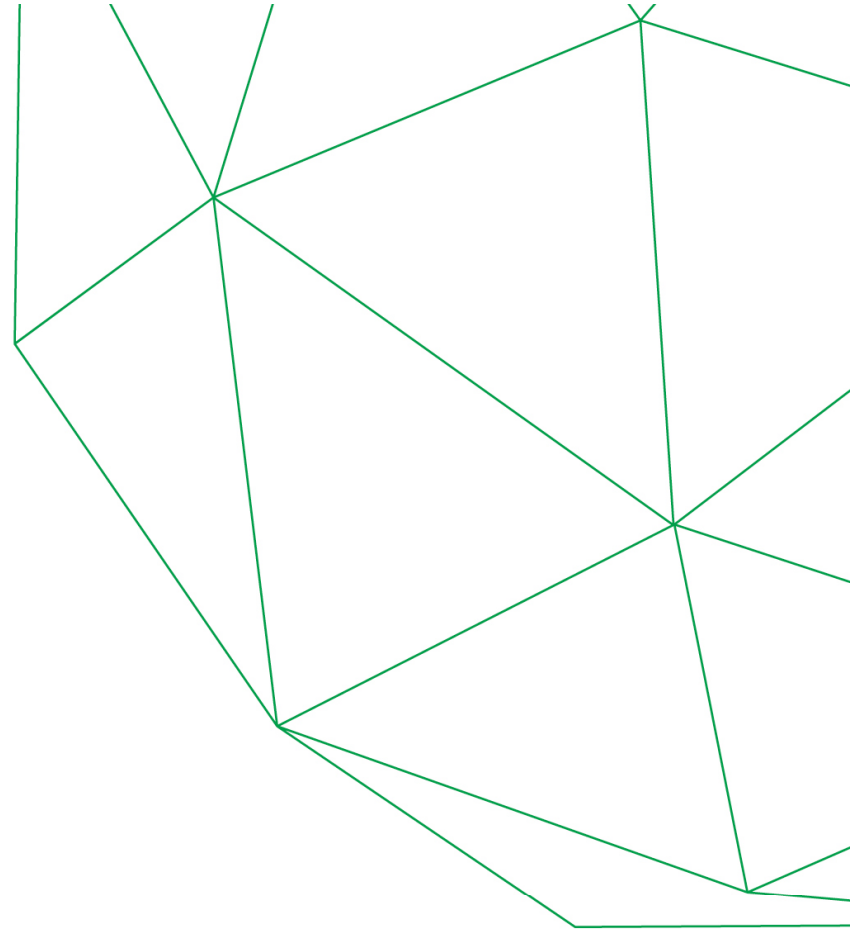
GCF

Evaluation Policy and Evaluation Standards

Independent Evaluation Unit (IEU)

Rabat, Kingdom of Morocco

24–28 June 2024



About IEU

- Operates independently of the GCF Secretariat
- Ensures that GCF is accountable and effective
- Conducts independent evaluations of the GCF's activities and provides objective assessments
- Synthesizes learning from high-quality and rigorous evaluations to support the GCF's effectiveness and efficiency
- Informs decision making by the Board and disseminates lessons learned



Trusted Evidence.
Informed Policies.
High Impact.

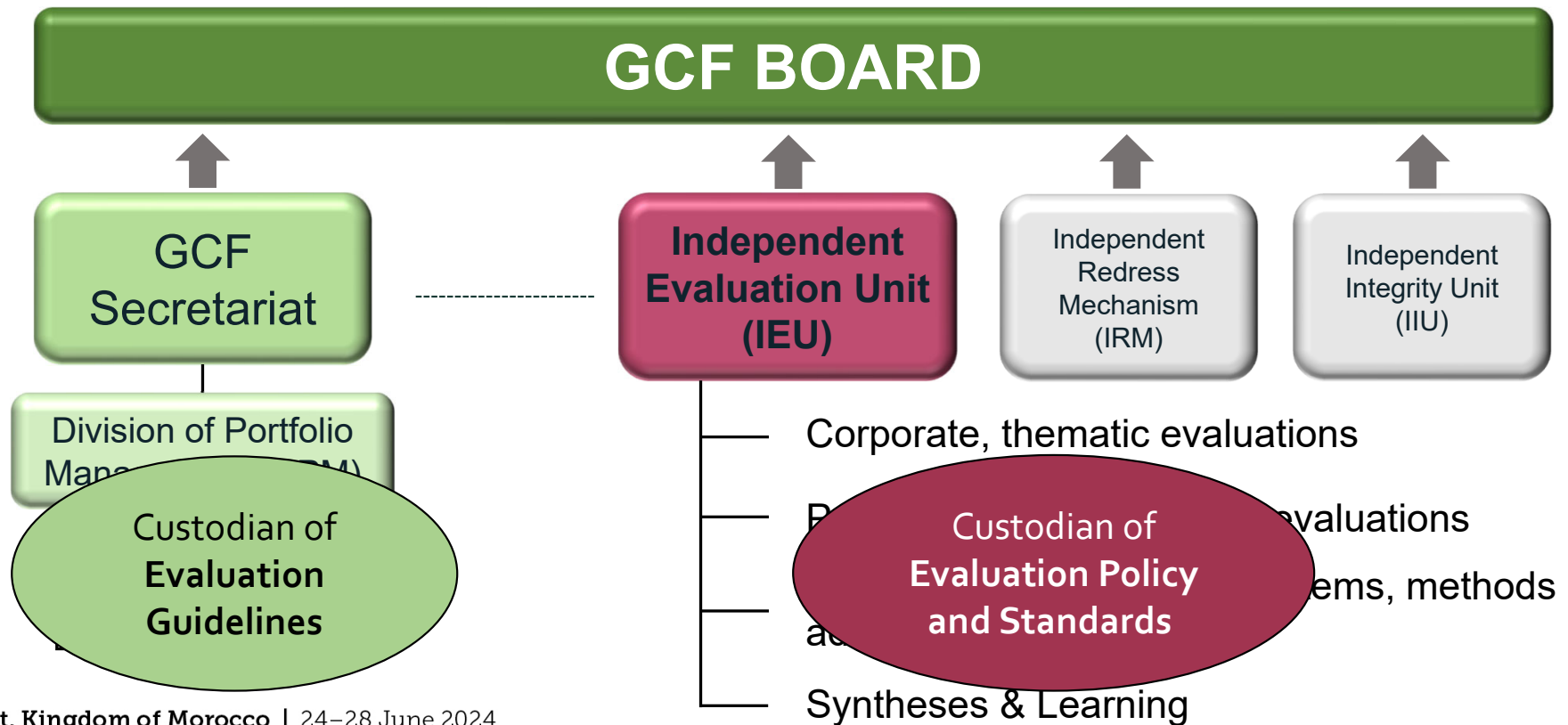


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Independent
Evaluation
Unit



GCF Organizational Structure



Key documents on evaluation at GCF

Evaluation Policy

- **IEU** is the custodian of the Evaluation Policy
- Is a **GCF-wide policy**
- Covers the evaluation functions of GCF exercised by the IEU, Secretariat, and AEs
- Covers how the Fund may respond to the evaluation **capacity development needs of AEs**
- Plays a role as a basis for the Evaluation Guidelines

Evaluation Standards

- **IEU** is the custodian of the Evaluation Standards
- Operates within the scope of Evaluation Policy
- Is developed to support the **implementation and operationalization of the Evaluation Policy**
- Plays a role as a basis for the Evaluation Guidelines

Evaluation Guidelines

- Is written by the **Secretariat (DPM)** in cooperation with IEU
- Is designed for **AE-led evaluations**
- Is a process-oriented document providing the **practical application of the EP**
- Brings together the EP+ES and Evaluation Guidelines



Part I: GCF Evaluation Policy

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GCF DOCUMENTATION

POLICIES

Evaluation Policy for the GCF



This document captures the policy as adopted by the Board in decision B.BM-2021/07. The policy was sent to the Board for consideration as a proposed decision without a Board meeting in document GCF/BM-2021/09 titled "Evaluation Policy for the GCF".



Objectives of the Evaluation Policy

GCF Evaluation Policy:

- Sets out GCF's approach to evaluations
- Sets up a **framework** to help the Fund and its stakeholders produce and use high quality evaluations to **credibly and objectively assess** the results, performance, efficiency and effectiveness
- GCF Evaluation Policy came into force in May 2022
- IEU is the custodian of the Evaluation Policy

Scope of the Evaluation Policy

GCF Evaluation Policy:

- Is a GCF-wide policy covering the **evaluation function** of the Fund
- Helps the Fund to credibly and objectively measure its performance, results, and efficiency in delivering on its mandate
- Also covers aspects on evaluation capacity development needs of AEs
- Does not cover the monitoring functions of the Fund

Types of evaluations

Three types recognized by the Policy:

1. IEU-led evaluations

- Independent evaluations
- Attestation to the quality of Sec-led self-evaluations

2. Secretariat-led evaluations

- Monitoring and evaluations
- Self-evaluations

3. AE-led evaluations

- Interim/Final project-level evaluations

Also, ex-post evaluations...

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IEU's Roles and Responsibilities

Independent
Evaluation
Unit



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Custodian of the GCF Evaluation Policy

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To undertake evaluations

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Learning-Oriented Real-Time Impact Assessment (LORTA)

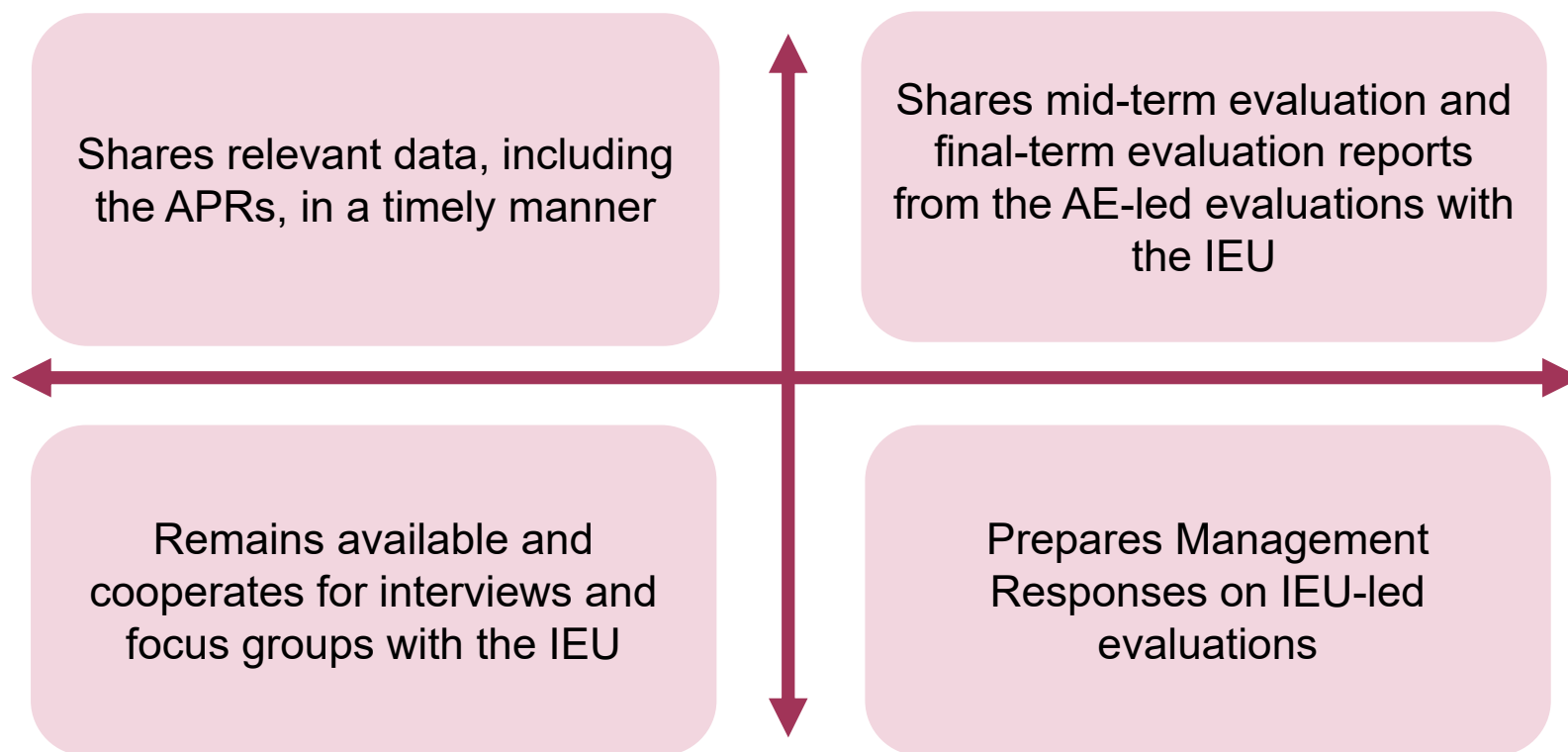
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To strengthen evaluation capacities

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To disseminate lessons learnt to the Board and the Secretariat

Secretariat Role and Responsibilities in IEU-Led evaluations

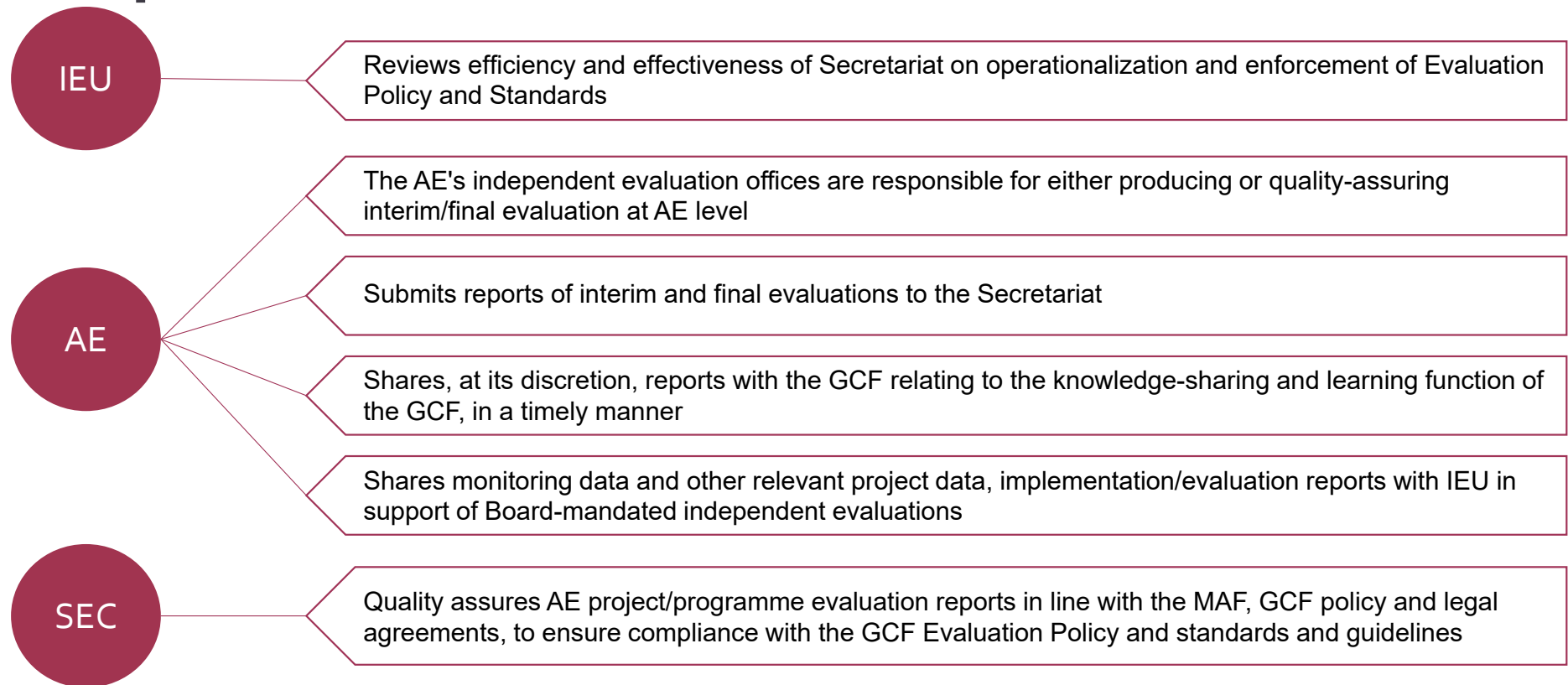


Overall Responsibilities Chart	Role of IEU	Role of AEs	Role of Secretariat
IEU-led Evaluations	<ul style="list-style-type: none"> • Undertakes evaluations • Prepares management action reports • Synthesizes and presents these to the Board 	<ul style="list-style-type: none"> • Shares information and data • Facilitates engagement with project stakeholders 	<ul style="list-style-type: none"> • Shares information and data • Facilitates engagement with project stakeholders • Shares monitoring data such as APR, MTE/ FTE • Is available for interviews
Secretariat-led Evaluations	<ul style="list-style-type: none"> • Undertakes quality assurance 	<ul style="list-style-type: none"> • Shares information and data • Facilitates engagement with project stakeholders 	<ul style="list-style-type: none"> • Engages with the IEU in quality assurance of self-evaluation
AE-led Evaluations	<ul style="list-style-type: none"> • Enforces evaluation policy and standards 	<ul style="list-style-type: none"> • Undertakes MTE/ FTE 	<ul style="list-style-type: none"> • Reviews and undertakes quality assurance

Evaluation Policy Clauses Related to AE-led evaluations

Para	Text (Paraphrased)
22	<ul style="list-style-type: none"> • AE-led evaluations: Project interim and final evaluations that must be submitted to the GCF by AEs in accordance with the MAF as per a timeline agreed in the funded activity agreement (FAA)
	<ul style="list-style-type: none"> • All project-level evaluations should be submitted to the Secretariat. AEs may conduct impact evaluations for GCF funded activities, in collaboration with the GCF
41	<ul style="list-style-type: none"> • Accredited entities are also responsible for ensuring that financial support for interim and final evaluations is budgeted adequately and allocated, and available in a timely manner
	<ul style="list-style-type: none"> • Overall evaluation budgets included within project budgets should range from 2–5 per cent of the project budget
60(e)	<ul style="list-style-type: none"> • The AEs will cooperate and provide assistance in providing access to information to independent evaluators assessing GCF projects and programmes
	<ul style="list-style-type: none"> • They will provide support to evaluators during evaluations to capture the views and concerns of multiple partners and undertake primary data collection, particularly during visits to the projects or programmes (mutually agreed in advance)

Responsibilities in AE-led evaluations



GCF Evaluation Criteria

- 1 Relevance, effectiveness, efficiency, impact and sustainability of projects and programmes
- 2 Coherence in climate finance delivery with other multilateral entities
- 3 Gender equity
- 4 Country ownership of projects and programmes
- 5 Innovativeness in result areas
- 6 Replication and scalability
- 7 Unexpected results, both positive and negative

Part II:

GCF

Evaluation Standards

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GCF DOCUMENTATION

POLICIES



Green Climate Fund Evaluation Standards

In decision B.BM-2021/07, the Board adopted the Evaluation Policy for the GCF. Among other things in the same decision, the Board requested the Independent Evaluation Unit (IEU) to develop standards in collaboration with the Secretariat. The GCF Evaluation Standards were produced in May 2022.



Objectives of the GCF Evaluation Standards

The GCF Evaluation Standards:

- Evaluation Policy mandated the IEU to develop the Standards, in collaboration with the Secretariat
- **Operate within the scope of the Evaluation Policy**
- Support the **implementation and operationalization** of the Policy
- Provide **consistency** across the different types of evaluations conducted by GCF stakeholders

15 GCF Evaluation Standards

- 1 Independence
- 2 Impartiality and Objectivity
- 3 Utility and Value Added
- 4 Ownership and Participation
- 5 Credibility and Rigour
- 6 Transparency
- 7 Learning
- 8 Human Rights, Gender Equality and Environmental Considerations

- 9 Confidentiality
- 10 Cost-Effective
- 11 Ethics
- 12 Integrity
- 13 Accountability
- 14 Competence
- 15 Respect and Beneficence

Thank you

Contact IEU:



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ieu.greenclimate.fund

GCF Evaluation Standards

STANDARD 1 - INDEPENDENCE

- There should be four dimensions of independence in evaluations:

1. Structural Independence

2. Functional Independence

3. Organizational Independence

4. Behavioral Independence

- Independence also implies freedom from:

1. Political influence

2. Organizational influence

Good Practices for ensuring Independence

Example 1:

Evaluation activities are independent of managers responsible for programme design and implementation.

Example 2:

Self-evaluations have independence in the composition of evaluators. Evaluators are free from conflict of interest regarding the programme, project or policy that will be evaluated.

Example 3:

Evaluators have full discretion in submitting their report directly to those commissioning the evaluation.

GCF Evaluation Standards

STANDARD 7 - LEARNING

- The GCF is a learning institution and the GCF Evaluation Policy identifies learning as a key purpose of evaluations
- Learnings derived from real-time impact assessments and evaluations not only inform the AEs in their review processes but also aid them in better adaptive management
- Interim evaluations are used to assess progress towards outcomes and impacts and the likelihood of achieving them, whereas final evaluations provide evaluative evidence covering the entire intervention
- To apply the standard of Learning, the questions that evaluators must ask may include, among others, “Are we doing things right?” and “Are the right things being done?”

Good Practices for ensuring Learning

Example 1:

Evaluation questions consider the fundamental assumptions underlying project design.

Example 2:

Evaluations identify the mechanisms to share findings widely and facilitate the integration of the evaluation's conclusions, lessons and recommendations.

Example 3:

In addition to the evaluation report, those commissioning the evaluation prepare easy-to-read communication products on evaluation findings and recommendations and disseminate them widely.