



# Introduction to the GCF Evaluation Policy and its implications

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## Presentation outline

1. Background
2. Objective and scope of the Evaluation Policy
3. Implications and requirements of the Evaluation Policy
  - Accreditation and reaccreditation
  - Funding proposals
  - Project/programme implementation
4. Summary of stakeholders' roles and responsibilities
5. Further guidance from the GCF

# Background

Lessons learning



Informing  
Board decisions

Accountability

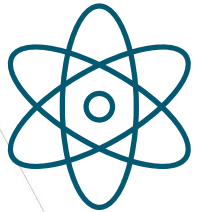
- The importance of evaluations within the Fund is enshrined in the Governing Instrument for the GCF and the Monitoring and Accountability Framework
- The Monitoring and Accountability Framework requires Accredited Entities to submit interim and final evaluations
- The Board adopted the GCF Evaluation Policy through decision B.BM 2021/07. It came into effect on 4<sup>th</sup> May 2022.

# Objective of the Evaluation Policy



To set up a **framework** to help the Fund and its stakeholders produce and use **high-quality evaluations**

- The aim is to credibly and objectively assess the results, performance, efficiency and effectiveness of the GCF in delivering in its mandate



To develop **accountability** and create a culture of **learning**

- By producing and using impartial, credible, independent and trusted evidence and evidence-informed strategies and implementation



Identify the main types of evaluations managed by the GCF and establish roles and responsibilities of stakeholders in relation to evaluations

# Scope of the Evaluation Policy

## EVALUATION FUNCTION



As exercised by the IEU, the Secretariat and the AEs (defined under GCF GI)



**GCF-wide:** guides the Board, Secretariat, IEU, other independent units, AEs and NDA/focal points



**Monitoring functions** of the Fund and its staff

## CAPACITY DEVELOPMENT



Secretariat

IEU

# Implications and requirements of the Evaluation Policy: Accreditation and Reaccreditation

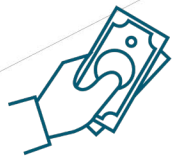


Key changes to the Accreditation Master Agreement

- Definition (Cl. 1.01)
- Inclusion of the Evaluation Policy as one of the relevant policies for compliance (Cl. 5.01, 5.02, 16.04)
- Capacity and systems to implement the policy (Cl. 5.03(b), 18.02)
- Cooperation with the Fund to carry out its evaluation functions (Cl. 5.03(b))
- Adequate maintenance of documents and high-quality data and information (Cl. 15.01(b))
- Availability of information relating to funded activities (Cl. 15.01(e))
- Submission of a management response and action plans (Cl. 15.02(b))

# Implications and requirements of the Evaluation Policy: Funding Proposals

## EVALUATION BUDGET (para. 41)



AEs to ensure that IE and FE are budgeted for adequately and available in a timely manner



**2 – 5%** of the total project budget

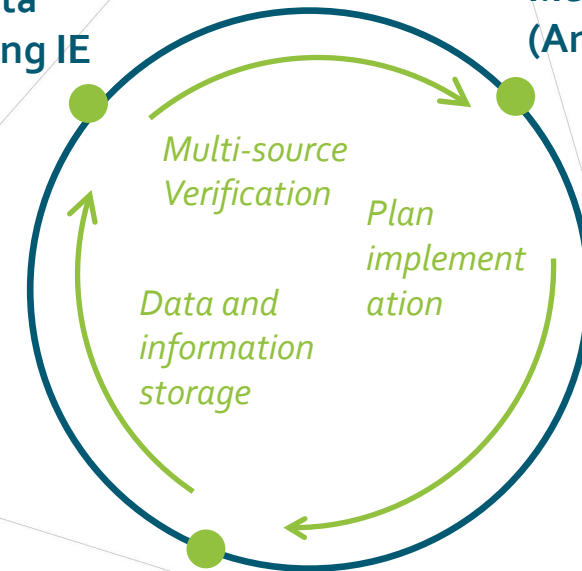


Covered by AE Fees (Annex 12)  
Reflected in the M&E Plan  
(Annex 11)

## DATA AND INFORMATION (para. 61)

**EVALUATION**  
(Additional data collection during IE and FE)

**M&E PLAN**  
(Annex 11)



**PERIODIC DATA  
COLLECTION** (Monitoring  
during implementation)

# Implications and requirements of the Evaluation Policy: Project/Program Implementation



## Interim Evaluation Final Evaluation

- Consistent with the Evaluation Policy
- Follow GCF evaluation principles, criteria, standards, etc.
- To be undertaken by Independent Evaluation Offices of AEs
- If no independent evaluation office – ensure independence of evaluation functions
- Review and quality assurance by the Secretariat

## Management Response

- AEs to submit Management response and action plan on IEs and FEs to the Secretariat

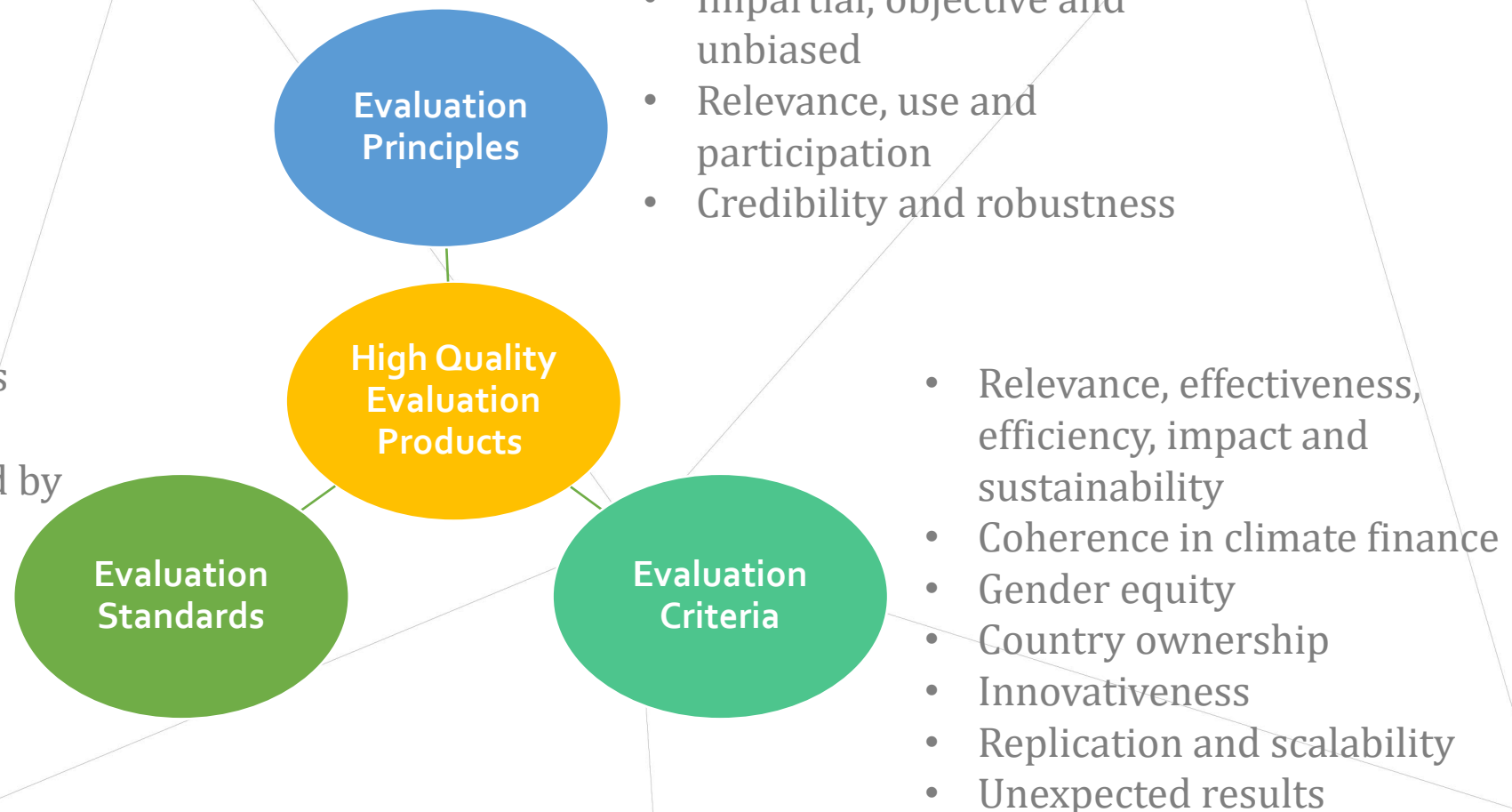
## Disclosure

- IEs and FEs – to be made publicly available similar to APRs
- The Secretariat and IEU work programs, approach papers and all evaluations will be made public
- Management response to IEU evaluations will be made public
- Responsibility: IEU products – Head of the IEU; Secretariat Products – Executive Director of GCF



# Implications and requirements of the Evaluation Policy: Project/Program Implementation

- 15 Standards
- High-quality evidence and recommendations
- Consistency across evaluations conducted by GCF stakeholders
- Guidance on the key elements



# GCF Evaluation Standards

Independent  
Evaluation  
Unit



## Evaluation Standards

- i. Independence
- ii. Impartiality and objectivity
- iii. Utility and value added
- iv. Ownership and participation
- v. Credibility and rigour
- vi. Transparency
- vii. Learning
- viii. Human rights, gender equality and environmental considerations
- ix. Confidentiality
- x. Cost effectiveness
- xi. Ethics
- xii. Integrity
- xiii. Accountability
- xiv. Competence
- xv. Respect and beneficence

## Objective and Salient Features

### Objective:

To support production of state-of-the-art evaluations with high-quality evidence and recommendations and ensure consistency across the different types of evaluations conducted by GCF stakeholders.

- Development led by IEU
- Came into effect in May 2022 along with the GCF Evaluation Policy
- There are 15 standards to be followed
- Contains Checklist and Good Practices
- To be updated based on lessons learnt

# Summary of roles and responsibilities

Evaluation Categories	Role of IEU	Role of AEs	Role of Secretariat
IEU Independent Evaluations	<b>Responsible</b>	Collaboration and cooperation	Information and data sharing
Secretariat-led Evaluations	Quality assurance upon Board request	Information and data sharing Facilitation of engagement with project stakeholders	<b>Responsible</b>
AE-led Evaluations	Enforcement of evaluation policy and standards	<b>Responsible</b>	Review and Quality assurance

# Evaluation Guidelines and Operational Procedures: Objective and Process

## Objective:

To develop Evaluation Guidelines and Operational Procedures to operationalize and mainstream the policy and associated standards into **Secretariat-led and Accredited Entities-led evaluations**.



- Development is led by the Secretariat
- Translate the policy into practice – from commissioning, design, implementation to reporting, review and management response
- Users' needs-driven, informed by best practice, other development organizations and climate funds
- Consultations with internal and external stakeholders at various stages of the process

# Capacity Building on GCF Evaluation Policy

- The Secretariat will undertake capacity development activities for the stakeholders:
  - Training programs for AEs
  - Particular focus on DAEs
  - Definition and applicability
  - Methodologies
- Plans to establish a community of practice (CoP) of evaluators in the climate change field.



**Thank you**

**Questions?**