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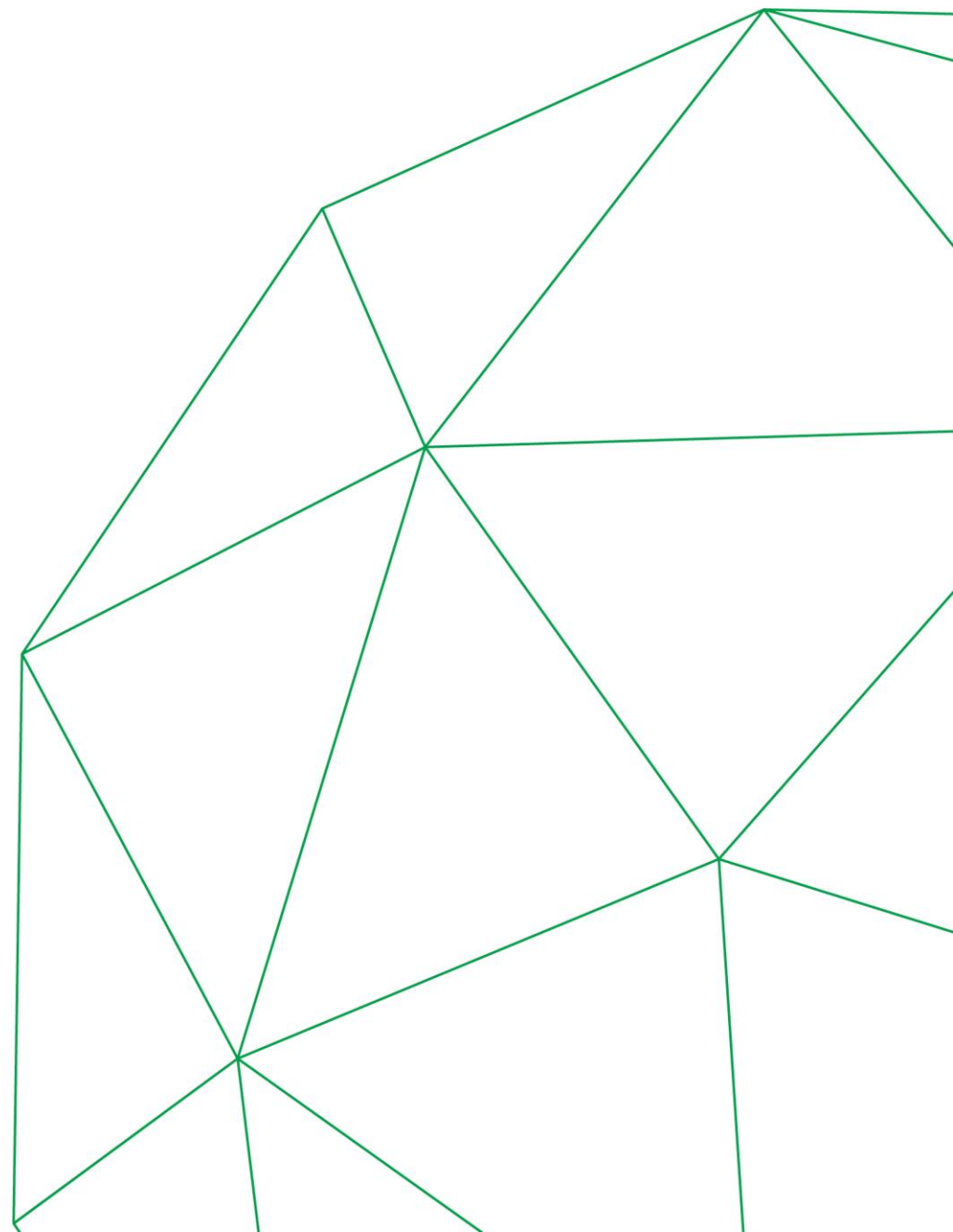
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# Update on the GCF Simplified Approval Process (SAP)

Rocio Vizuite Fernandez

13–15 September 2022  
Incheon, Republic of Korea

#InspireMoreClimateAction



# UPDATED ELIGIBILITY CRITERIA



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1. GCF funding of up to USD 25 million

2. Minimal to no environmental & social risks

# UPDATE OF THE SAP KEY DECISION POINTS



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GCF financing contribution increased to USD 25 million

Secretariat to develop additional KPIs for SAP

Development of the SAP strategy

SAP policy will be reviewed as part of the policy cycle for GCF-2

# Concept note preparation



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GCF DOCUMENTATION PROJECTS

## Simplified Approval Process Concept Note

Project/Programme Title: \_\_\_\_\_

Country(ies): \_\_\_\_\_

National Designated Authority(ies) (NDA): \_\_\_\_\_

Executing Entities: \_\_\_\_\_

Accredited Entity(ies) (AE): \_\_\_\_\_

Date of first submission/  
version number: YYYY-MM-DD(TV.0)

Date of current submission/  
version number: YYYY-MM-DD(TV.0)



Please submit the completed form to [sap@gcfund.org](mailto:sap@gcfund.org),  
using the following name convention in the subject line and file name:  
"CN-[Accredited Entity or Country]-YYYYMMDD"

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### Simplified Approval Process CONCEPT NOTE Template V.1.1

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<b>A. Project / Programme Information (max. 1 page)</b>			
A.1. Project or programme	<input type="checkbox"/> Project <input type="checkbox"/> Programme	A.2. Public or private sector	<input type="checkbox"/> Public sector <input type="checkbox"/> Private sector
A.3. Indicate the result areas for the project/programme	<p><b>Mitigation:</b> Reduced emissions from:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Energy access and power generation</li> <li><input type="checkbox"/> Low emission transport</li> <li><input type="checkbox"/> Buildings, cities and industries and appliances</li> <li><input type="checkbox"/> Forestry and land use</li> </ul> <p><b>Adaptation:</b> Increased resilience of:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Most vulnerable people and communities</li> <li><input type="checkbox"/> Health and well-being, and food and water security</li> <li><input type="checkbox"/> Infrastructure and built environment</li> <li><input type="checkbox"/> Ecosystem and ecosystem services</li> </ul>		
A.4. Estimated mitigation impact (tCO <sub>2</sub> e over lifespan)		A.5. Estimated adaptation impact (number of direct beneficiaries and % of population)	
A.6. Indicative total project cost (GCF + co-finance)	Amount: USD _____	A.7. Indicative GCF funding requested (max 10M)	Amount: USD _____
A.8. Mark the type of financial instrument requested for the GCF funding	<input type="checkbox"/> Grant <input type="checkbox"/> Loan <input type="checkbox"/> Guarantee Other: specify _____		
A.9. Estimated duration of project/ programme:	a) disbursement period: b) repayment period, if applicable:	A.10. Estimated project/ Programme lifespan	This refers to the total period over which the investment is effective.
A.11. Is funding from the Project Preparation Facility needed?	Yes <input type="checkbox"/> No <input type="checkbox"/>	A.12. Confirm overall ESS category is minimum to no risk <sup>1</sup>	<input type="checkbox"/> C or I-3
A.13. Provide rationale for the ESS categorization (100 words)			
A.14. Has the CN been shared with the NDA?	Yes <input type="checkbox"/> No <input type="checkbox"/>	A.15. Confidentiality <sup>2</sup>	<input type="checkbox"/> Confidential <input type="checkbox"/> Not confidential
A.16. Project/Programme rationale, objectives and approach of programme/project (max 100 words)	Brief summary of the problem statement and climate rationale, objective and selected implementation approach, including the executing entity(ies) and other implementing partners, including who will be implementing the measures to manage the environmental and social risks.		
<b>B. Project / Programme details (max. 3 pages)</b>			
<b>B.1. Context and Baseline (max. 1 page)</b>			
Describe as relevant the climate vulnerabilities and impacts, GHG emissions profile, and mitigation and adaptation needs that the prospective intervention is envisaged to address.			
Please indicate how the project fits in with the country's national priorities, action plans and programs and its full ownership of the concept.			
Describe the main root causes and barriers (social, gender, fiscal, regulatory, technological, financial, ecological, institutional, etc.) that need to be addressed. Where relevant, please describe the key characteristics and dynamics of the sector or market.			

<sup>1</sup> Refer to the SAP ESS Guidelines  
<sup>2</sup> Concept notes (or sections of) not marked as confidential may be published in accordance with the Information Disclosure Policy (Section B.1.2.2) and the Review of the Initial Proposal Approval Process (Section B.1.7.1.9).

✓ Concept note submission is **OPTIONAL**

✓ Can be submitted by **AE and/or NDA**

✓ Must follow the **SAP CN template (new simplified template to be developed)**

✓ Must be presented with **Environmental and Social screening checklist (Annex I)**

# Funding Proposal preparation



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GCF DOCUMENTATION

PROJECTS

## Simplified Approval Process Funding Proposal

Project/Programme title:

*Please indicate the project title; ideally this should reference the country where the project/programme will be implemented.*

Countries:

*List all the countries where the project/programme will be implemented.*

National Designated Authority(ies):

*Indicate the National Designated Authority(ies) of the country(ies) where the project/programme will be implemented. This should match the NDAs on the submitted no objection letter(s).*

Accredited Entity:

*Indicate the accredited entity submitting this proposal.*

Date of first submission:

*YYYY/MM/DD*

Date of current submission/  
version number

*YYYY/MM/DD (V.000)*

If available, indicate GCF code:

*This code is assigned to each project upon first submission of a Concept Note or Funding Proposal and remains the same throughout the proposal review process. If you have submitted this project/programme previously, please indicate the GCF code here.*

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### Contents

Section A

PROJECT / PROGRAMME SUMMARY

This section highlights some of the project's or programme's information for ease of access and concise explanation of the funding proposal.

Section B

PROJECT / PROGRAMME DETAILS

This section focuses on describing the context of the project/programme, providing details of the project/programme including components, outputs and activities, and implementation arrangements.

Section C

FINANCING INFORMATION

This section explains the financial instrument(s) and amount of funding requested from the GCF as well as co-financing leveraged for the project/programme. It also includes justification for requesting GCF funding and exit strategy.

Section D

LOGIC FRAMEWORK, AND MONITORING, REPORTING AND EVALUATION

This section includes the logic framework for the project/programme in accordance with the GCF Results Management Framework and Performance Measurement Framework, and gives an overview of the monitoring, reporting and evaluation arrangements for the proposed project/programme.

Section E

EXPECTED PERFORMANCE AGAINST INVESTMENT CRITERIA

This section provides an overview of the expected alignment of the projects/programme with the GCF investment criteria: impact potential, paradigm shift, sustainable development, needs of recipients, country ownership, and efficiency and effectiveness.

Section F

ANNEXES

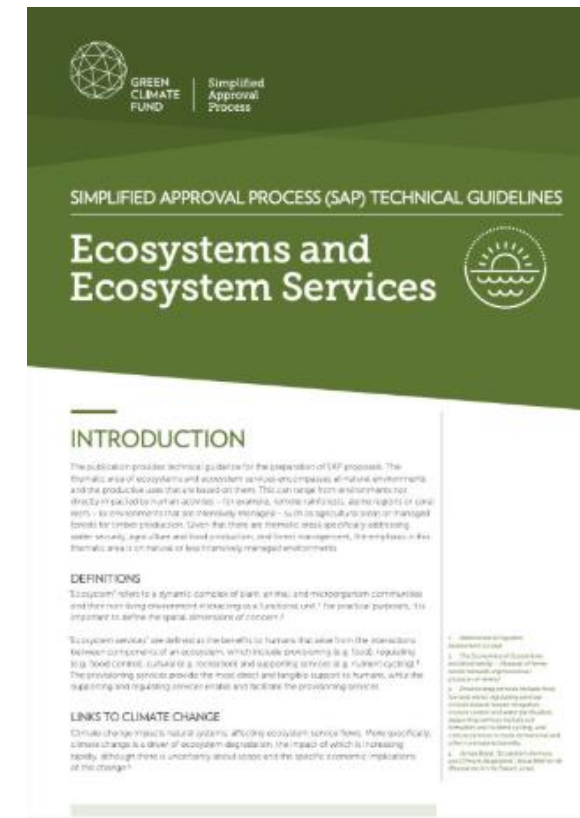
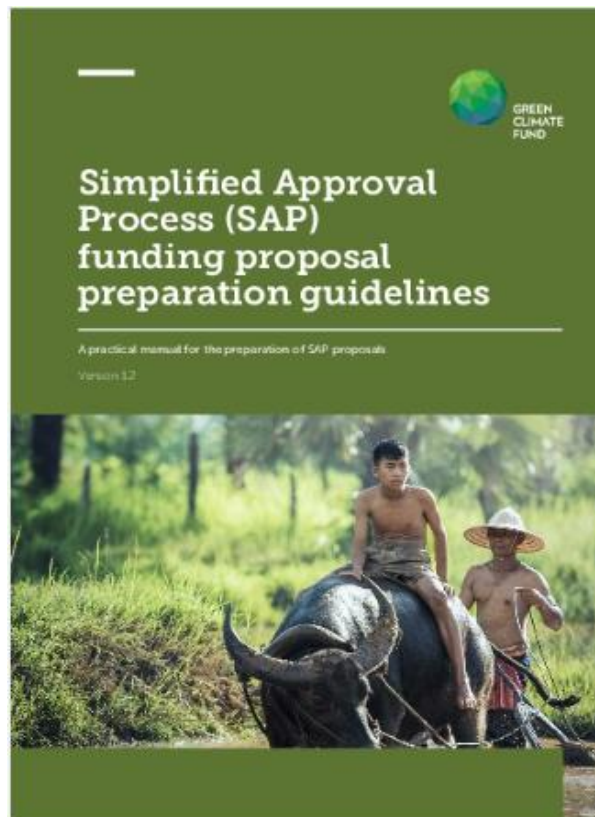
This section provides a list of mandatory documents that should be submitted with the funding proposal as well as optional documents and references as deemed necessary to supplement the information provided in the funding proposal.

✓ Must be submitted by AE

✓ Must follow the SAP FP template



# Resources (to be updated following B.32)





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# SIMPLIFICATION ACCELERATION AND FACILITATION ACTIONS

Simplify the documentation required for SAP (Feasibility, economic analysis, ESAP..)

Develop SAP programming guidance

Development of a simplified review process / appraisal Tool

RB M&R (simplified APRs) and post-approval procedures

Develop guided templates for fast tracking (scaling up and high-demand activities)

Develop guided templates for fast tracking and scaling up



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# SIMPLIFICATION ACCELERATION AND FACILITATION ACTIONS

## Develop guided templates for fast tracking (scaling up and high-demand activities)

- SAP FP guided templates by sector/type of projects that are in demand for SAP-sized interventions
- Scaling-up/replication of successful projects from other climate funds where only an update of the technical studies and consultations will be needed instead of full preparation of all studies from scratch
- Template to be shared (tentatively) after Q1-2023







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# SIMPLIFICATION ACCELERATION AND FACILITATION ACTIONS

## Results-based Monitoring and reporting (APR)

- The template will mainstream and reduce reporting on SAP projects, in line with the reduced risk and exposure of the SAP portfolio.
- Reporting guidelines on the SAP-APR are also under preparation to provide additional guidance to the Accredited Entities.
- Template to be shared (tentatively) after Q1-2023





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# Thank you

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