

GCF PORTFOLIO AND SUPPORT TO AFRICA



Office of Portfolio Management

Global Programming Conference
Songdo, Republic of Korea | 19-23 August 2019

KEY ISSUES FROM THE GPC PRE -SURVEY



Level of understanding of GCF and Processes

- Accreditation and reaccreditation issues
- Understanding of the FP review process, etc.
- Compliance with GCF policies
- GCF Investment Criteria
- Laborious AMA process
- Disbursements and implementation
- Board decisions and board decision making
- Financial structuring of proposals
- Climate Finance in General
- Structure of GCF in general

Importance of Knowledge Products

- Approved project proposals
- Monitoring and reporting templates
- Structured dialogues
- Mission visits from GCF staff and consultants
- Board decisions
- GCF websites
- GCF conferences
- Briefing and working papers (IAEs)

READINESS PORTFOLIO: AFRICA



Global Readiness Portfolio

269 Proposals Approved

249 Proposals in Implementation

PPF

GCF funding
6.3M
(41% of total)



Africa Portfolio

91 Proposals Approved

87 Proposals in Implementation

GCF funding
51.4M
(32% of total)

FUNDED ACTIVITY PORTFOLIO: AFRICA

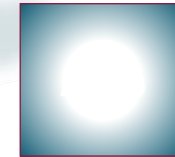


\$2.2B for 46 projects*
(36 countries)

Benin
Burkina Faso
Burundi
Cameroon
Chad
Comoros (the)
Cote d'Ivoire
Democratic Republic of the
Congo (the)
Djibouti
Egypt
Equatorial Guinea
Eswatini
Ethiopia
Gambia
Ghana
Guinea
Guinea-Bissau

Kenya
Lesotho
Madagascar
Malawi
Mali
Mauritius
Morocco
Namibia
Niger (the)
Nigeria
Rwanda
Senegal
South Africa
Tanzania
Togo
Tunisia
Uganda
Zambia
Zimbabwe

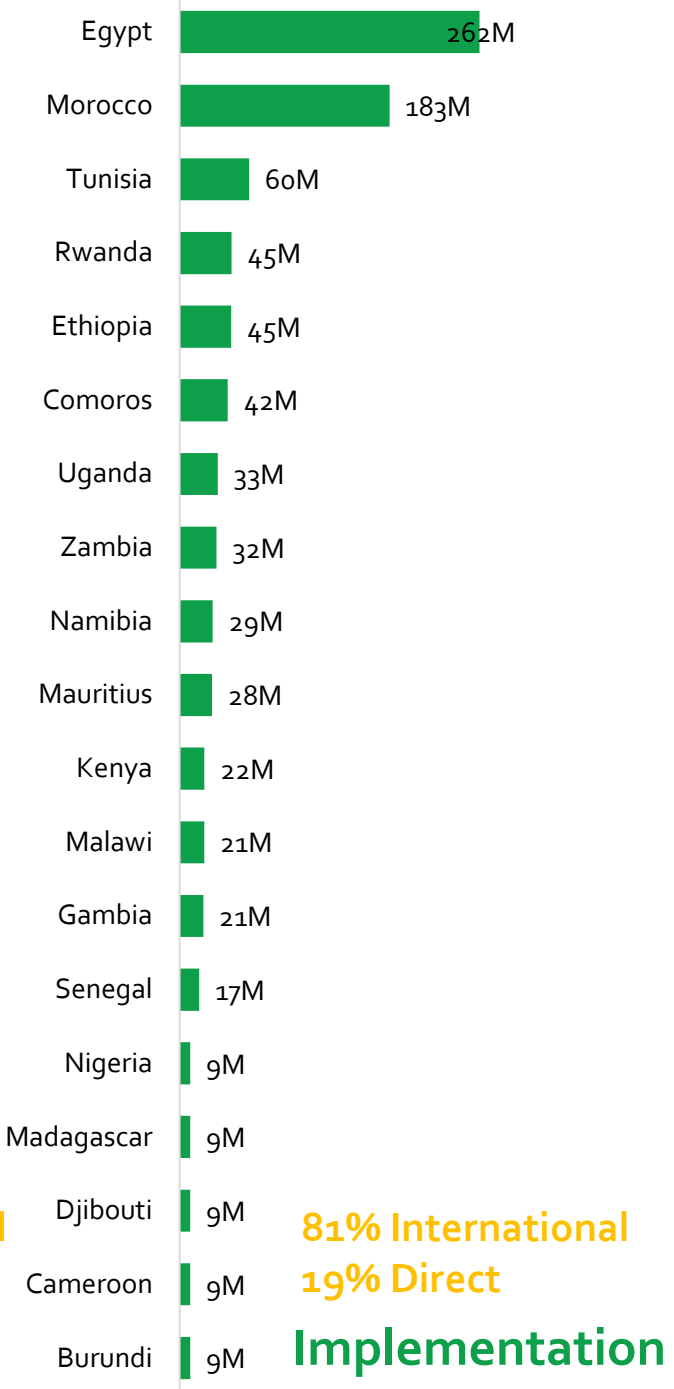
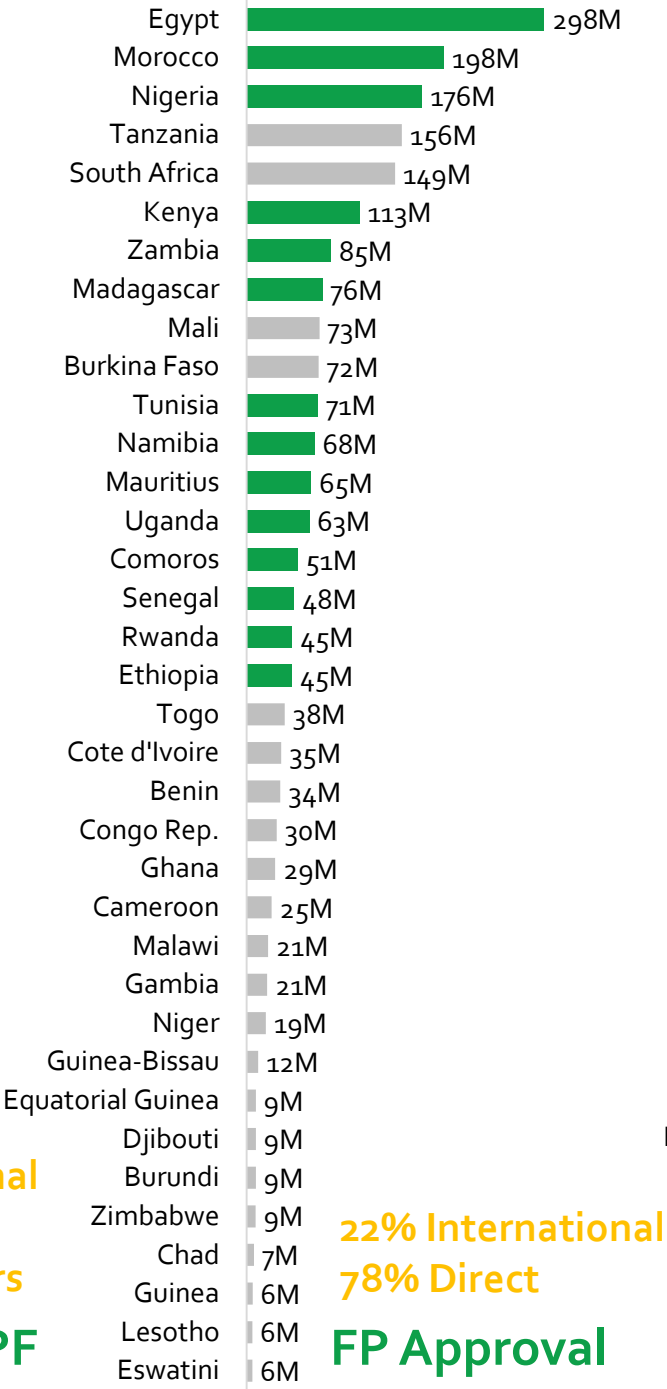
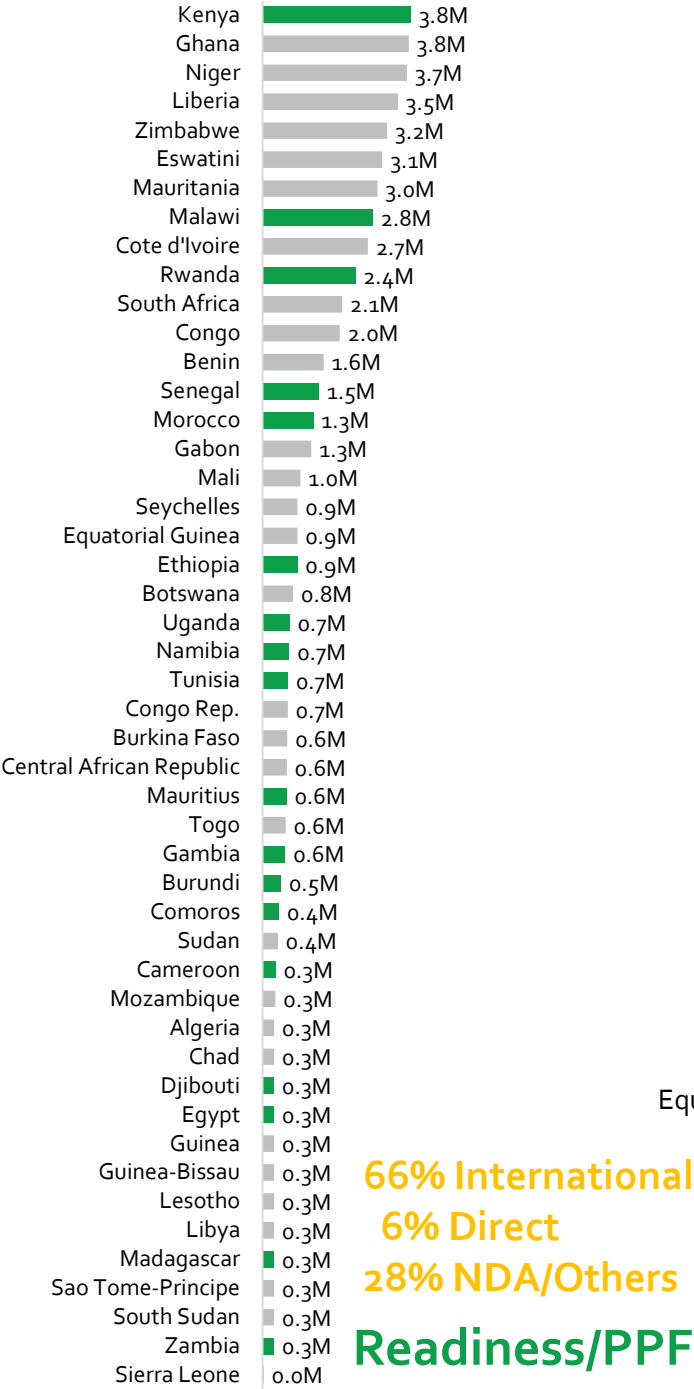
Implementation
0.9B
(20 projects)



AFRICA
46 approved
(2.2B, 36 countries)

262M
disbursed

TOP RECIPIENT
AFRICAN
STATES
GCF FUNDING AMOUNT
FOR PROJECTS
UNDER
IMPLEMENTATION

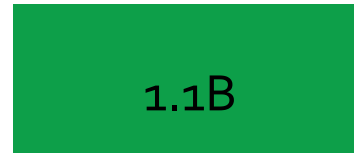


THEMATIC BREAKDOWN FOR AFRICA

GCF funding (USD)



1.4B
Mitigation



Energy
generation
and access

7M



Transport

161M



Buildings, cities,
industries and
appliances

83M



Forests and
land use

0.8B
Adaptation



Livelihoods of
people and
communities

285M



Health, food
and water
security

208M



Infrastructure
and the built
environment

104M



Ecosystems
and ecosystem
services

CHALLENGES - Africa Readiness Projects

• Quality at Design Stage

Issue/Challenge	Effect
<ul style="list-style-type: none"> Weak Gantt Chart, log frame, implementation plan not linked to the outcomes and deliverables 	<ul style="list-style-type: none"> Slow review and approval due to constant back and forth between GCF and Delivery Partner
<ul style="list-style-type: none"> Unrealistic Budget 	<ul style="list-style-type: none"> Affects implementation and need for Budget Reallocation clearance

• Implementation Stage

Issue/Challenge	Effect
<ul style="list-style-type: none"> Delays in submission of Disbursement and extension requests– <ul style="list-style-type: none"> Non- Adherence to Procurement requirements Budget overruns 	<ul style="list-style-type: none"> Slow review and delay in clearance of progress reports Need to revise reports all over Delay in disbursements Need for budget revisions Need for review of annual work plan Delay in disbursements Delays in clearance of closing date Extension requests
<ul style="list-style-type: none"> Quality of Progress Reports <ul style="list-style-type: none"> Scanty Information provided Deviation from planned activities 	
<ul style="list-style-type: none"> Non or partial submission of deliverables (esp. country programmes, workshop & meeting reports, etc.) 	<ul style="list-style-type: none"> Disbursement requests put on hold Spill-over effect Delays in approval of pipeline of projects and FPs under country programming
<ul style="list-style-type: none"> Communication issues between delivery partners and GCF 	<ul style="list-style-type: none"> Delay in Project Implementation leading to early warning signal for the project.
<ul style="list-style-type: none"> Administrative Arrangements and internal environmental factors 	<ul style="list-style-type: none"> Delay in Project Implementation and non-access to information by DPs

CHALLENGES– Funded Activities



• Quality at Design Stage

Issue /Challenge	Effect
<ul style="list-style-type: none"> Missing or weak Theory of Change 	<ul style="list-style-type: none"> Slow review of Funding Proposal Delay in approval of Funding Proposal Lead to inclusion of several Conditions Precedent to 1st disbursement Problem of reporting during implementation
<ul style="list-style-type: none"> Misalignment of activities in the logic framework with budget 	
<ul style="list-style-type: none"> Inadequate logic framework at the design ✓ Missing baselines to compare with the targets ✓ Missing Measure of Verification (MoVs) ✓ Missing mid-term targets ✓ Indicators not SMART 	
<ul style="list-style-type: none"> Poorly populated implementation plan ✓ Missing activities, no deliverables ✓ Lack of, baseline data, inadequate M&E arrangements 	

• Implementation Issues

Issue /Challenge	Effect
Start-up delays: <ul style="list-style-type: none"> PMU set-up and capacity, recruitment 	<ul style="list-style-type: none"> Over-estimation of impacts, e.g. GhG A lot of back and forth of mails btw GCF and AEs Slow review and clearance of reports Delays in 2nd disbursement Impact on other FPs from the AE, Country Budgetary constraints Change in targets and not able to meet project objectives Material changes in project scope and design that might require change in FAA -ve impact on subsequent approvals
Political <ul style="list-style-type: none"> Elections, changes in govt, abrogation of ministries/agencies Stakeholders awareness and engagement Government ownership & facilitation in project implementation, procurement, recruitment 	
Financial: <ul style="list-style-type: none"> Changes in co-financing (non commitment) Inadequate costing of activities /outputs Low disbursements to beneficiaries * 	
Reporting <ul style="list-style-type: none"> Quality of APR reporting and adherence to formats Completeness and compliance with formats 	

RESOLVING THE CHALLENGES – A CHECKLIST



- ✓ **Quality at Entry** - Design stage: CNs, FPs, and readiness proposals
 - **Attention to quality at design level**- budgets, monitoring and reporting arrangements/outputs; log-frames, Gantt charts
 - Realistic timelines, budgets, and procurement arrangements
 - Provide for M&E requirements e.g. monitoring capacity(staffing) & tools (e.g. baseline surveys, where data is inadequate or lacking)
 - Feasibility studies & realistic budgets(amounts and Structure to facilitate implementation)
- ✓ **Implementation**
 - Adherence to the timelines for submission of reports – APRs, progress reports
 - Ensure compliance (esp. with timelines and formats) in legal agreements, procurement
 - Where there are issues, or need for extensions, partners should communicate with the relevant GCF focal points as soon as possible.



SOLUTIONS:



- ✓ Key Considerations:
 - Continued engagement and interactions with NDAs, government ministries and other stakeholders to maintain ownership and responsiveness
 - Critical to ensure baselines are available or project to budget for baselines
 - Strategic use of readiness Support – e.g. to develop training materials for new staff to cater for staff turn-over; PPF to support project preparation
 - Factoring contingency arrangements for unforeseen challenges/delays
 - Open communication/request of guidance/clarification when preparing proposals, & proactive problem-solving e.g. waivers, extensions, changes in signatories, etc.



GCF INITIATIVES ON IMPROVING THE ENGAGEMENT PROCESS



- **Templates are being developed in relation to improving on the quality of proposals submitted to the Fund**
 - Theory of Change
 - Updated FP templates with guidance on log frames and results framework
 - Methodologies on calculation of the GhG emission reduction
- **Guidance Notes on Monitoring and evaluation for projects under implementation**
 - Online Project performance monitoring system (PPMS) – online submission of APRs with notification on timelines
 - Guidance Note on Inception Report format, Project Completion Report (last APR)
 - ToRs on Interim and Final evaluations
- **Strengthening the capacity of NDAs/DAEs, Delivery Partners on submission of quality proposals and reporting**
 - GCF continuous interaction and engagement with AEs.
 - Preparation of Case studies and sharing of lessons learned from problematic projects
 - Summary reports on ad hoc site visits and checks on selected projects with early warning signals
- **Hands-on Training and manuals to guide DAEs, Delivery Partners, NDAs**
 - Selecting indicators and Means of Verification, log frames



GREEN
CLIMATE
FUND