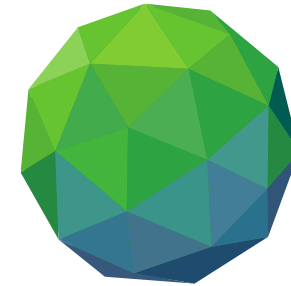


# GCF SUPPORT TO SIDS



**GREEN  
CLIMATE  
FUND**

Jessica Jacob

Country Dialogue Specialist for Latin America and the Caribbean, Division of Country Programming

Lilian Macharia

Senior Programme Management Specialist, Office of Portfolio Management

Global Programming Conference

Songdo, Republic of Korea | 19-23 August 2019

# GCF'S MISSION



As an operating entity of the **UNFCCC financial mechanism**



We help **developing countries** take ambitious action on climate change



To promote a **paradigm shift** to low-emission and climate-resilient development

# PROGRAMMING OVERVIEW



NDCs  
NAPs  
TNAs



COUNTRY  
Programmes

READINESS  
(including  
adaptation  
planning)

CONCEPT  
NOTES

PROJECT  
PREPARATION  
FACILITY

FUNDING  
PROPOSALS

GREEN  
CLIMATE  
FUND  
PROJECTS

Country Programmes are foundation, resulting in project proposals

# NEEDS OBSERVED FROM COUNTRY PROGRAMMING



Integrating climate science and data into decision making

National modalities & policies that incorporate climate considerations in planning, budgeting & decision making

Translating plans into impactful investments

Building enabling environment and knowledge-sharing mechanisms

Prioritizing finance flows for meeting national climate goals

# SIDS PORTFOLIO IN RELATION TO GLOBAL

GCF funding (USD)

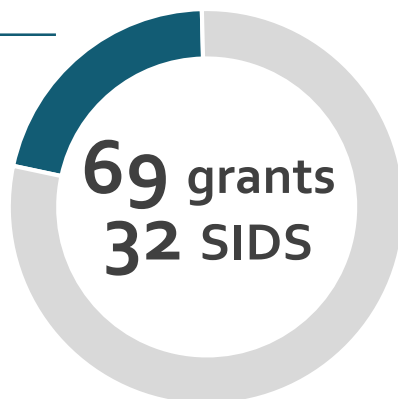


## Readiness

GCF funding

**33.9M**

(21% of  
Global  
readiness)

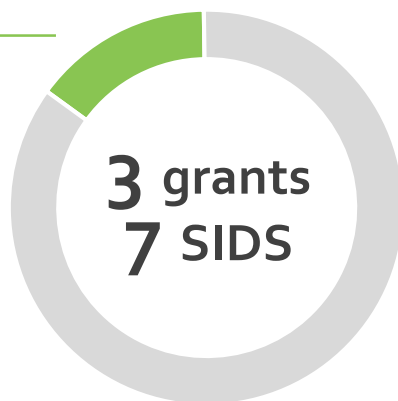


## PPF

GCF funding

**2.26M**

(15% of  
Global PPF)

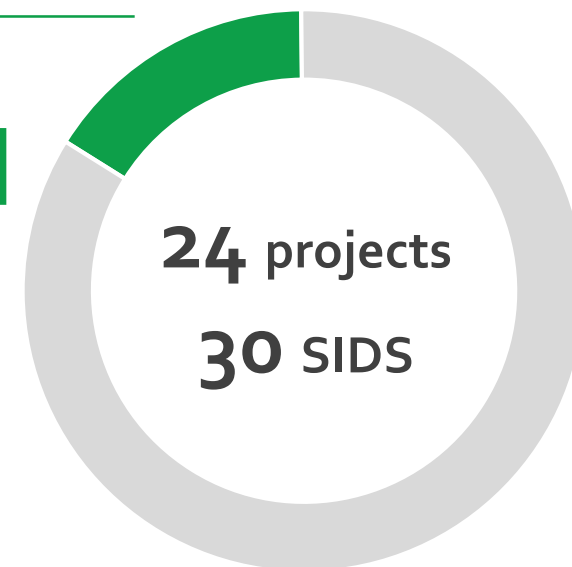


## Funded Activities

GCF funding

**834.52M**

(16% of Global  
funded activities)



# REGIONAL BREAKDOWN FOR SIDS

GCF funding (USD)



## LAC

Readiness: 23.2M

PPF: 1.72M

Funded Activities: 277M

## AFRICA

Readiness: 2.6M

PPF: - 0

Funded Activities: 127M

## ASIA-PACIFIC

Readiness: 8.1M

PPF: 0.54M

Funded Activities: 430M

\* For multi-country projects, the amounts of GCF funding allocated to each country are estimated, based on the best information available to Secretariat.

# MORE GRANULAR NEEDS OBSERVED FROM SIDS THROUGH READINESS



## **Challenge:**

Climate Specific Technology and Innovation

## **GCF Support to Address:**

Readiness: TNAs Supported

## **Challenge:**

Capacity Gaps in Network of AE/DAEs

## **GCF Support to Address :**

Readiness: Pre and Post Accreditation Support  
Focus on Fiduciary Management, ESS, Gender

## **Challenge:**

Structuring Project Proposals

## **GCF Support to Address :**

PPF: Feasibility & Technical Studies, Market and  
Financial Analysis, Environmental Social  
Safeguards

## **Challenge:**

Stimulating Private Sector Engagement

## **GCF Support to Address :**

Readiness: Green Banking, Supporting SMEs

# NATIONAL GOOD PRACTICE THROUGH READINESS



## Engaging the private sector in Vanuatu

- **What:** Capacitating the private sector to engage in climate resilient development in the country
- **Who:** Vanuatu's Business Resilience Committee (VBRC)
- **How:** Dedicated capacity support in the VBRC and mapping SME's potential to engage in climate resilient development
- **Status:** **Approved and under implementation**

## Building long-term capacity by engaging youth in Seychelles

- **What:** Intern programme within the NDA to build capacity of the youth
- **Who:** Seychelles NDA hosts intern programme
- **How:** Several interns assigned to NDA related functions
- **Status:** **Approved and under implementation**



# REGIONAL COOPERATION THROUGH READINESS



## Mobilizing private sector engagement in the Caribbean

- **What:** Engaging with the Caribbean's private sector
- **Who:** Jamaica's NDA leads
- **How:** Regional Readiness Proposal to assess barriers to crowding-in private sector investment in climate action
- **Status:** **Approved and under implementation**

## Strengthening the capacity and pipeline of DAEs

- **What:** Enhance the capacity of DAEs to identify, develop and implement projects for the GCF
- **Who:** CCCCC leads and mobilizes financial support from NDAs
- **How:** Develop Regional Readiness Proposal targeting capacity building of CCCCC
- **Status:** **Approved and under implementation**

# PIPELINE REGIONAL COOPERATION INITIATIVES



## Improving Eastern Caribbean Engagement with the GCF

- **What:** To improve Eastern Caribbean engagement with the Green Climate Fund
- **Who:** OECS Commission leads and mobilizes financial support from NDAs
- **How:** Develop Regional Readiness Proposal to enhance Eastern Caribbean engagement with the GCF
- **Status:** Submitted

## Enhancing Caribbean Civil Society's Access and Readiness for Climate Finance

- **What:** To enable CSO leadership and access climate finance and deliver climate change projects at national and regional levels
- **Who:** Jamaica's NDA leads with CANARI as Delivery Partner and mobilizes financial support from other CARICOM NDA
- **How:** Develop a Regional Readiness Proposal to enhance CSO engagements in climate action in the Caribbean
- **Status:** Submitted

## Advancing Multi-Hazard Early Warning Systems in the Caribbean

- **What:** To improve regional and national level mechanisms and capacity for achieving climate resilience through advancing Multi-Hazard EWS.
- **Who:** Antigua and Barbuda's NDA leads with CDEMA as Delivery Partner and mobilizes financial support from other CARICOM NDA
- **How:** Develop a Regional Readiness Proposal to improve and regional and national risk management
- **Status:** Under development to be submitted before 31 of August.

# GLOBAL OPPORTUNITY CLIMATE SUSTAINABLE CITIES PROGRAMME FOR SIDS



Objective: Enabling SIDS to better plan and prioritize urban projects based in scientific data, inclusive consultations and cost/benefits analysis.

The initiative aims to scale up the pilot “[Climate Resilient Cities](#)” Readiness Programme in Grenada, with NYU as Delivery Partner, into an ambitious and paradigm shifting methodology to support SIDS and their cities to develop climate sustainable plans and actions to identify and cost priority projects and programmes.



Image 1: Haiti northern city of Cap-Haïtien after heavy rains flooded streets, homes and fields overnight on 9 November, 2014. © UN Photo/Eskinder Debebe



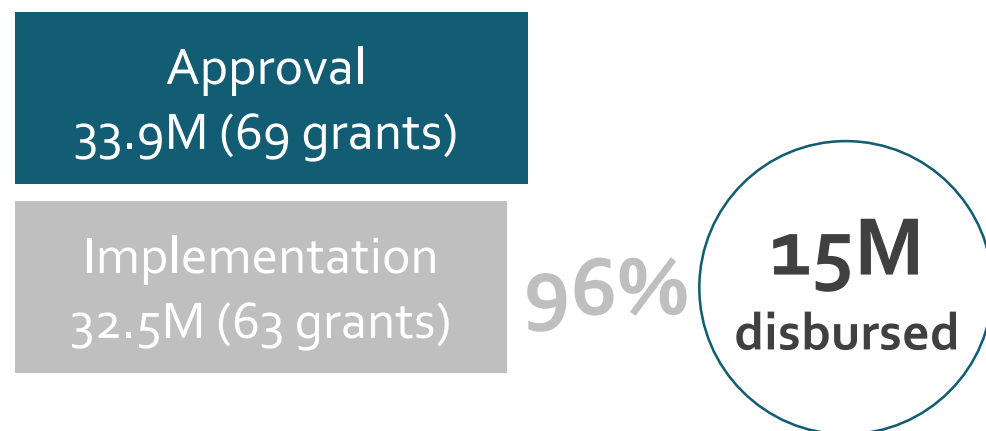
The methodology will include the scientific data, consultations and structuring processes to be able to translate the plans into an attractive financial structured package for different sources of national, regional and international finance, including the Green Climate Fund as proven during the implementation in Grenada.

# PROJECT IMPLEMENTATION FOR SIDS

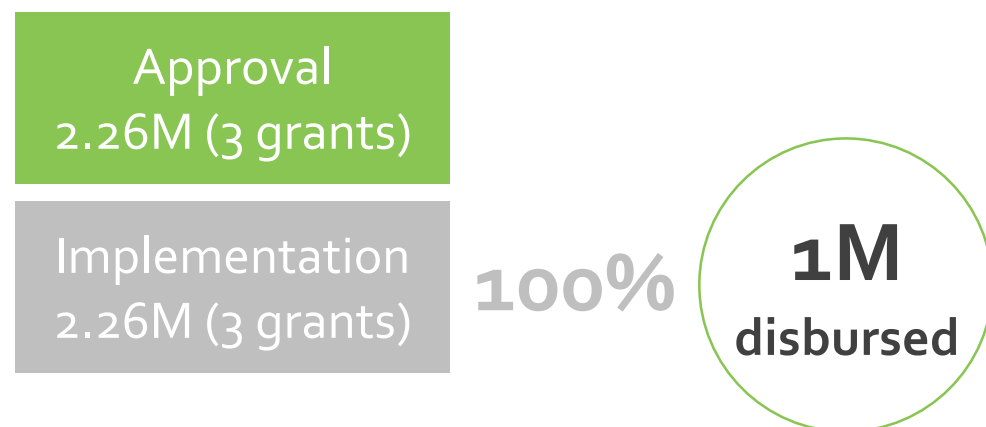
GCF funding (USD)



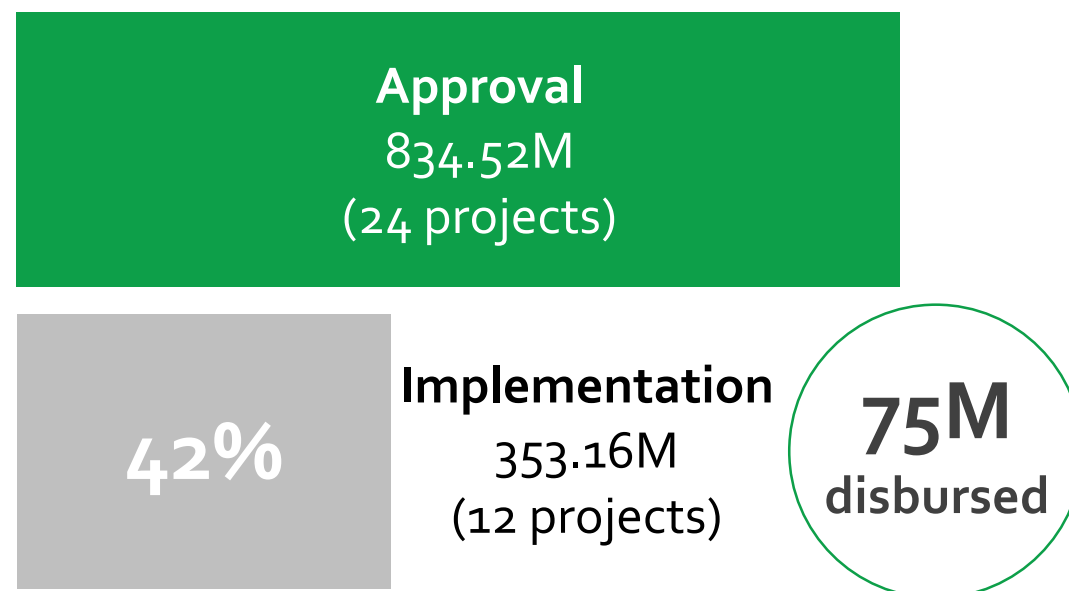
## Readiness



## PPF



## Funded Activities



# THEMATIC BREAKDOWN FOR SIDS

GCF funding (USD)



**418.07M**  
**Mitigation**

380.74



Energy  
generation  
and access

13.46M



Transport

22.49M



Buildings, cities,  
industries and  
appliances

1.38M



Forests and  
land use

**416.45M**  
**Adaptation**

80.86M



Livelihoods of  
people and  
communities

137M



Health, food  
and water  
security

169.75M



Infrastructure  
and the built  
environment

28.84M



Ecosystems  
and ecosystem  
services

# GCF'S PARTNERS



## A Diverse Network

88

accredited entities



Direct Access

Private Sector

International Access

# CHALLENGES: READINESS



Challenge	Impact
<ul style="list-style-type: none"> <li>⚠ Weak Gantt Chart, log frames</li> </ul>	<ul style="list-style-type: none"> <li>• Slow/lengthy review and approval process</li> <li>⚡ Credibility of impacts</li> </ul>
<ul style="list-style-type: none"> <li>• Budget estimates</li> </ul>	<ul style="list-style-type: none"> <li>⚡ Budget overruns at implementation</li> </ul>
<ul style="list-style-type: none"> <li>⚠ implementation timelines</li> </ul>	<ul style="list-style-type: none"> <li>⚡ Project lapses - Needing extensions/amendments</li> </ul>
<ul style="list-style-type: none"> <li>⚠ Non-Adherence to Procurements requirements including procurement methods e.g. for consultants</li> </ul>	<ul style="list-style-type: none"> <li>⚡ Delayed clearance of reports =&gt; delayed disbursements</li> <li>⚡ Disallowed expenses</li> </ul>
<ul style="list-style-type: none"> <li>⚠ Late submission of Disbursement requests</li> </ul>	<ul style="list-style-type: none"> <li>⚡ Delayed processing of disbursements; Project disruption</li> </ul>
<ul style="list-style-type: none"> <li>⚠ Delayed and weak reporting</li> </ul>	<ul style="list-style-type: none"> <li>⚡ Delayed clearance of reporting &amp; disbursements</li> </ul>
<ul style="list-style-type: none"> <li>⚠ Changes in government focal points &amp; policies</li> </ul>	<ul style="list-style-type: none"> <li>⚡ Delayed sign-off of project reports &amp; disbursements</li> </ul>
<ul style="list-style-type: none"> <li>⚠ Weak stakeholder engagement/awareness</li> </ul>	<ul style="list-style-type: none"> <li>⚡ Timeliness and effectiveness of support; weak pipelines</li> </ul>
<ul style="list-style-type: none"> <li>⚠ Poor communication</li> </ul>	<ul style="list-style-type: none"> <li>⚡ Project lapses</li> <li>⚡ Delayed disbursements</li> </ul>

# CHALLENGES: FUNDED ACTIVITIES



Challenge	Impact
<b>▲ Weak Design</b> <ul style="list-style-type: none"> <li>➤ Theory of Change</li> <li>➤ log-frames &amp; implementation plans</li> <li>➤ feasibility study</li> </ul>	<ul style="list-style-type: none"> <li>☛ Slow review of Proposal &amp; clearance of progress reports</li> <li>☛ Onerous reporting &amp; conditions</li> <li>☛ Require extension approvals during implementation</li> <li>☛ Restructuring/changes in targets</li> </ul>
<b>▲ Weak Budgets</b>	<ul style="list-style-type: none"> <li>☛ Budget over-runs/waiver requirements</li> <li>☛ Delay in clearance of reports &amp; disbursements</li> </ul>
<b>▲ Weak Reporting + incomplete disbursement requests</b>	☛ Delayed disbursement , activity disruption; Risk flagging
<b>▲ Procurement &amp; Capacity challenges - staff, consultants, etc</b>	☛ Need for extensions, risk flagging, implementation delays
<b>▲ Stakeholder engagement and ownership</b>	☛ Complaints/potential liability; Implementation delays
<b>▲ Changes in government officials, ministries &amp; policies</b>	☛ Project implementation & Disbursements delays
<b>▲ Compliance: Legal obligations &amp; Fund policies</b>	☛ Disbursement Delays; Potential litigation



# SOLUTIONS: PROPOSALS



## Solutions

- ✓ Interactions between Regional Desks and the NDA is critical esp., for the local contexts during design stage

### Quality at design level-

- ✓ Appropriate budgets, monitoring and reporting arrangements/outputs, log-frames
- ✓ Realistic timelines, procurement arrangements
- ✓ Factoring contingency arrangements for unforeseen challenges/delays
- ✓ Strategic use of readiness support – e.g. online training materials; PPF to support project preparation; regional collaboration
- ✓ Open communication/request of guidance/clarification when preparing proposals & proactive problem-solving e.g. waivers, extensions, changes in signatories, etc
- ✓ Continued engagement with NDAs, government ministries & other stakeholders to maintain ownership & responsiveness
- ✓ Management of conflicts of interests

# SOLUTIONS: IMPLEMENTATION



## Solutions

- ✓ Compliance with Legal Agreements: -Calendar of submission of reports, Formats & level of detail
- ✓ Completeness & consistency between approved proposal/Legal Agreement & reports
- ✓ Feasibility studies & realistic budgets (amounts and Structure to facilitate implementation)
- ✓ Capacity (staffing) & tools (e.g. baseline surveys, etc) -Use innovative ways

## Communication

- ✓ with GCF- guidance & proactive problem-solving e.g. waivers, extensions, changes in signatories, etc
- ✓ with NDAs, government ministries and other stakeholders to maintain ownership and responsiveness

## At GCF:

- ✓ Development of guidelines and training e.g. Theory of Change, M&E, Results framework, etc



GREEN  
CLIMATE  
FUND