



GREEN  
CLIMATE  
FUND

Independent  
Evaluation  
Unit



TRUSTED EVIDENCE.  
INFORMED POLICIES.  
HIGH IMPACT.

# Independent Evaluation Unit



# EVALUATION FUNCTION OF THE GCF



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- Para. 23 of the GI: *'Secretariat is responsible for the day-to-day operations of the Fund, providing administrative, legal and financial expertise.'*
- **Secretariat's function** is to *i.a.*:
  - Liaise with members, entities, institutions and agencies;
  - Carry out **monitoring & evaluation** functions;
  - Establish and run effective **knowledge management** practices.
- Para. 59-62 of GI offers details on evaluations, providing for an independent evaluation function:
  - **conduct periodic independent evaluations** to assess performance and results of the GCF;
  - **inform the decision making and strategy**, incl. synthesizing lessons and guiding the GCF and stakeholders;
  - **provide evaluation reports to UNFCCC COP** for purposes of periodic reviews of the financial mechanism of the Convention.

- **The policy recognizes three types of evaluation:**
  - 1. GCF independent evaluations:**
    - Independent evaluations conducted, commissioned and/or managed by the IEU.
  - 2. Secretariat-led evaluations:**
    - Self-evaluations commissioned and/or managed by the Secretariat to fulfil its role on monitoring and evaluation.
    - IEU may attest to quality of self-evaluations.
  - 3. AE-led evaluations:**
    - Project interim and final evaluations that must be submitted to the GCF by AEs.



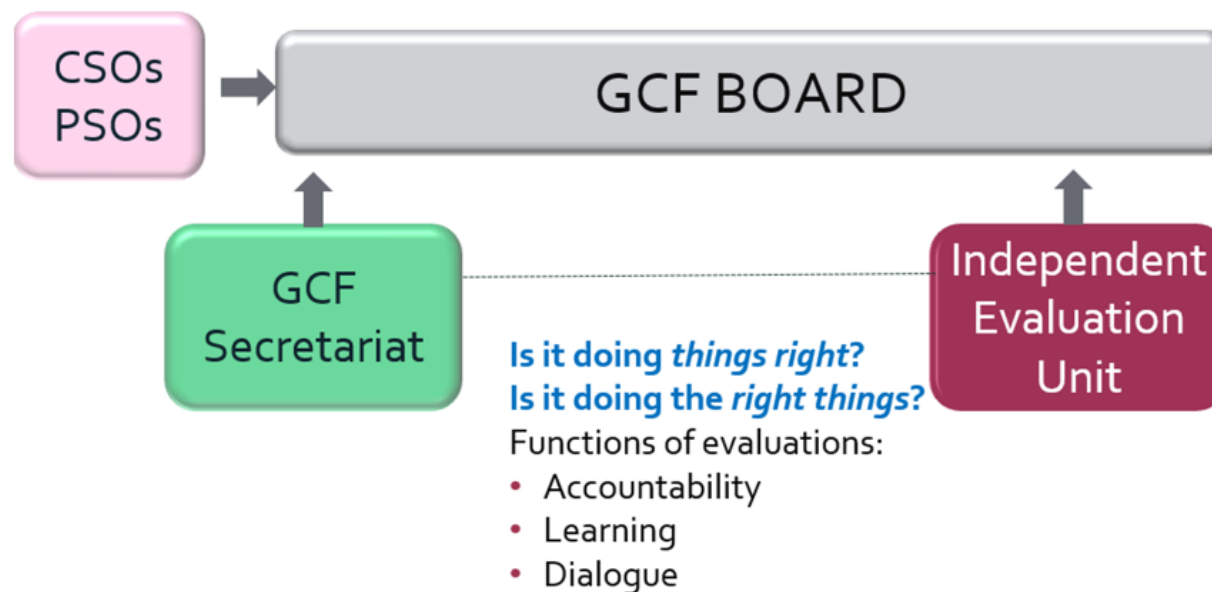
# EVALUATION FUNCTION OF THE GCF



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- **Various types** of independent evaluations:
  - Thematic evaluations
  - Performance evaluations
  - Portfolio evaluations
  - Country portfolio evaluations
  - Programmatic and Project-specific evaluations
  - Impact evaluations
- Learning, evidence reviews and synthesis
- Capacity building for evaluations, including LORTA





# IEU OBJECTIVES AND KEY ACTIVITIES



## Evaluations

Portfolio evaluations, performance evaluations, thematic evaluations, country portfolio evaluations, programmatic and project-based evaluations, and quality assurance



## Learning, Advisory, Capacity-building

DataLab  
Evidence reviews, learning papers  
Evaluation capacity-building  
Learning-oriented Real-time Impact Assessment programme



## Uptake, Communication, Partnerships

Evaluation reports, summaries, briefs & translations  
Multimedia  
Evaluation and climate conferences, incl. COP



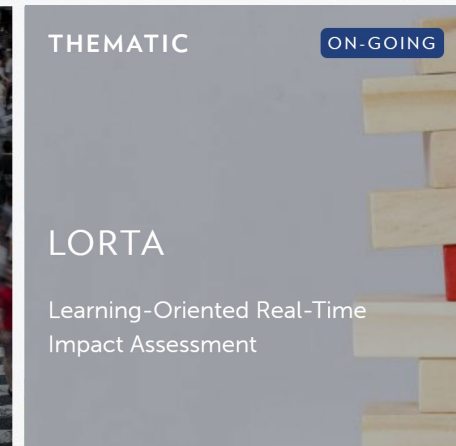
## Building & strengthening the IEU

Team building, value & culture retreats  
Internal training  
Strengthening internal data analysis & management  
Evaluation Policy & Evaluation Standards



## Evaluations:

- Launch of the **Third Performance Review (TPR)**
- Independent Evaluation of GCF's Approach to **Whistleblowers and Witnesses (B.39)**
- Independent Evaluation of the Relevance and Effectiveness of GCF's Investments in the **Latin American and Caribbean (LAC) States (B.40)**
- Independent Evaluation of the GCF's Approach to **Indigenous Peoples (B.41)**
- Independent Evaluation of the result area **Health, Food and Water Security (B.41)**.



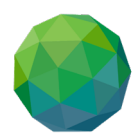


- **Global evidence reviews:**
  - Evidence Review of Just Transition (2023)
  - Evidence review on coastal and terrestrial water-sector interventions in developing countries (2023)
  - Evidence Review of market-based approach (2023)
  - Global Evidence Review on Forest Conservation.
- **Synthesis and quality assurance**
  - Synthesis note on access
  - Quality assurance and review of GCF project interim evaluations
  - Evaluability study of the GCF funding proposals.
- **Methods and learning paper.**
  - Global SDG Synthesis Coalition – Planet Pillar.



- Independent measurement systems for **GCF impact**.
  - In particular, impact evaluations for the Learning-oriented real-time impact assessment (LORTA) programme;
  - Impact evaluations of GCF projects.
- Evaluation capacity building efforts at structured and regional dialogues, to foster the implementation of the **evaluation policy**.
- Tailored evaluation capacity building efforts **for DAEs and country partners**, based on DAE evaluation capacity needs assessment undertaken in 2023.
- Evaluation capacity building efforts **for the GCF Secretariat**.





# OBJECTIVES OF THE EVALUATION POLICY

## GCF Evaluation Policy :

- Sets out GCF's approach to evaluations.
- Sets up a **framework** to help the Fund and its stakeholders produce and use high quality evaluations to **credibly and objectively assess** the results, performance, efficiency and effectiveness.
- GCF Evaluation Policy came into force in May 2022.
- IEU is the custodian of the Evaluation Policy







# Scope of the Evaluation Policy

## The Policy:

- Is a GCF-wide policy covering the **evaluation function** of the Fund
- Helps the Fund to credibly and objectively measure its performance, results, and efficiency in delivering on its mandate
- Also covers aspects on evaluation capacity development needs of AEs
- Does *not* cover the monitoring functions of the Fund

## Evaluation Policy for the GCF

This document captures the policy as adopted by the Board in decision B.BM-2021/07. The policy was sent to the Board for consideration as a proposed decision without a Board meeting in document GCF/BM-2021/09 titled "Evaluation Policy for the GCF".





# GCF EVALUATION POLICY AND STANDARDS

## Evaluation Policy

- **IEU is the custodian** of the Evaluation Policy
- Is a **GCF-wide policy**
- Covers the evaluation functions of GCF exercised by the IEU, Secretariat, and AEs
- Covers how the Fund may respond to the evaluation **capacity development needs of AEs**

## Evaluation Standards

- **IEU is the custodian** of the Evaluation Standards
- Operates within the scope of Evaluation Policy
- Is developed to support the **implementation and operationalization of the Evaluation Policy**





# GCF EVALUATION STANDARDS – KEY EXAMPLES

## STANDARD 1 - INDEPENDENCE

- Four components:

**Structural  
Independence**

**Functional  
Independence**

**Organizational  
Independence**

**Behavioral  
Independence**

- Independence also implies freedom from:

**Political  
influence**

**Organizational  
influence**

- Guidelines/good practices :
  - Evaluation activities should be separate from managers responsible for program design and implementation.
  - Self-evaluations must have independent evaluators who are free from conflicts of interest related to the program, project, or policy being evaluated.
  - Those commissioning evaluations should ensure evaluator's independence and conflict-free procurement and recruitment processes.







# GCF EVALUATION STANDARDS – KEY EXAMPLES

## STANDARD 2 - IMPARTIALITY & OBJECTIVITY

- Adherence to highest professional and ethical standards.

Operational  
Impartiality &  
Objectivity

Analytical  
Impartiality &  
Objectivity

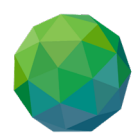
Reporting  
Impartiality &  
Objectivity

Dissemination  
Impartiality &  
Objectivity

- Evaluators must be operationally and analytically **unbiased with no vested interests**.

- Guidelines/good practices:
  - Evaluation teams should be independent to avoid undue influence and bias, ensuring objective and credible results.
  - Team members should not have been directly responsible for policy-setting, design, or management of the evaluation subject.
  - They must have complete freedom to conduct impartial evaluations without career-related risks.





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# TYPES OF EVALUATIONS

**Three types** recognized by the Policy:

**1. IEU-led evaluations**

Independent evaluations

**2. Secretariat-led evaluations**

Self-assessments

**3. AE-led evaluations**

Project-level evaluations

Also, ex-post evaluations...





# IEU's ROLES AND RESPONSIBILITIES

- Custodian of the GCF Evaluation Policy (Para. 50)
- Undertakes evaluations (Para. 52)
- Learning-Oriented Real-Time Impact Assessment (LORTA) (Para. 53 & 54)
- Strengthens evaluation capacities (Para. 55)
- Disseminates lessons learnt (Para. 56)



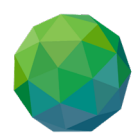




# SECRETARIAT ROLE AND RESPONSIBILITIES IN IEU-LED EVALUATIONS

- Shares relevant data, including the APRs, in a timely manner
- Shares mid-term evaluation and final-term evaluation reports from the AE-led evaluations with the IEU
- Remains available and cooperates for interviews and focus groups with the IEU
- Prepares Management Responses on IEU-led evaluations





# AE ROLE AND RESPONSIBILITIES IN IEU-LED EVALUATIONS

- Cooperation with evaluation interviews
- Cooperation with country case studies – field visits, country visits, liaison with other country stakeholders, etc.



Type of Evaluation	Role of IEU	Role of AEs	Role of Secretariat
IEU-led Evaluations	<ul style="list-style-type: none"> <li>Undertakes evaluations</li> <li>Prepares management action reports</li> <li>Synthesizes and presents these to the Board</li> </ul>	<ul style="list-style-type: none"> <li>Shares information and data</li> <li>Facilitates engagement with project stakeholders</li> </ul>	<ul style="list-style-type: none"> <li>Shares information and data</li> <li>Facilitates engagement with project stakeholders</li> <li>Shares monitoring data such as APR, MTE/ FTE</li> <li>Is available for interviews</li> </ul>
Secretariat-led Evaluations	<ul style="list-style-type: none"> <li>Undertakes quality assurance</li> </ul>	<ul style="list-style-type: none"> <li>Shares information and data</li> <li>Facilitates engagement with project stakeholders</li> </ul>	<ul style="list-style-type: none"> <li>Engages with the IEU in quality assurance of self-evaluation</li> </ul>
AE-led Evaluations	<ul style="list-style-type: none"> <li>Enforces evaluation policy and standards</li> </ul>	<ul style="list-style-type: none"> <li>Undertakes MTE/ FTE</li> </ul>	<ul style="list-style-type: none"> <li>Reviews and undertakes quality assurance</li> </ul>





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# Thank you!

Contact IEU:

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