



GREEN
CLIMATE
FUND

GCF REGIONAL DIALOGUE

with THE CARIBBEAN

Saint Kitts and Nevis
17–20 March 2025





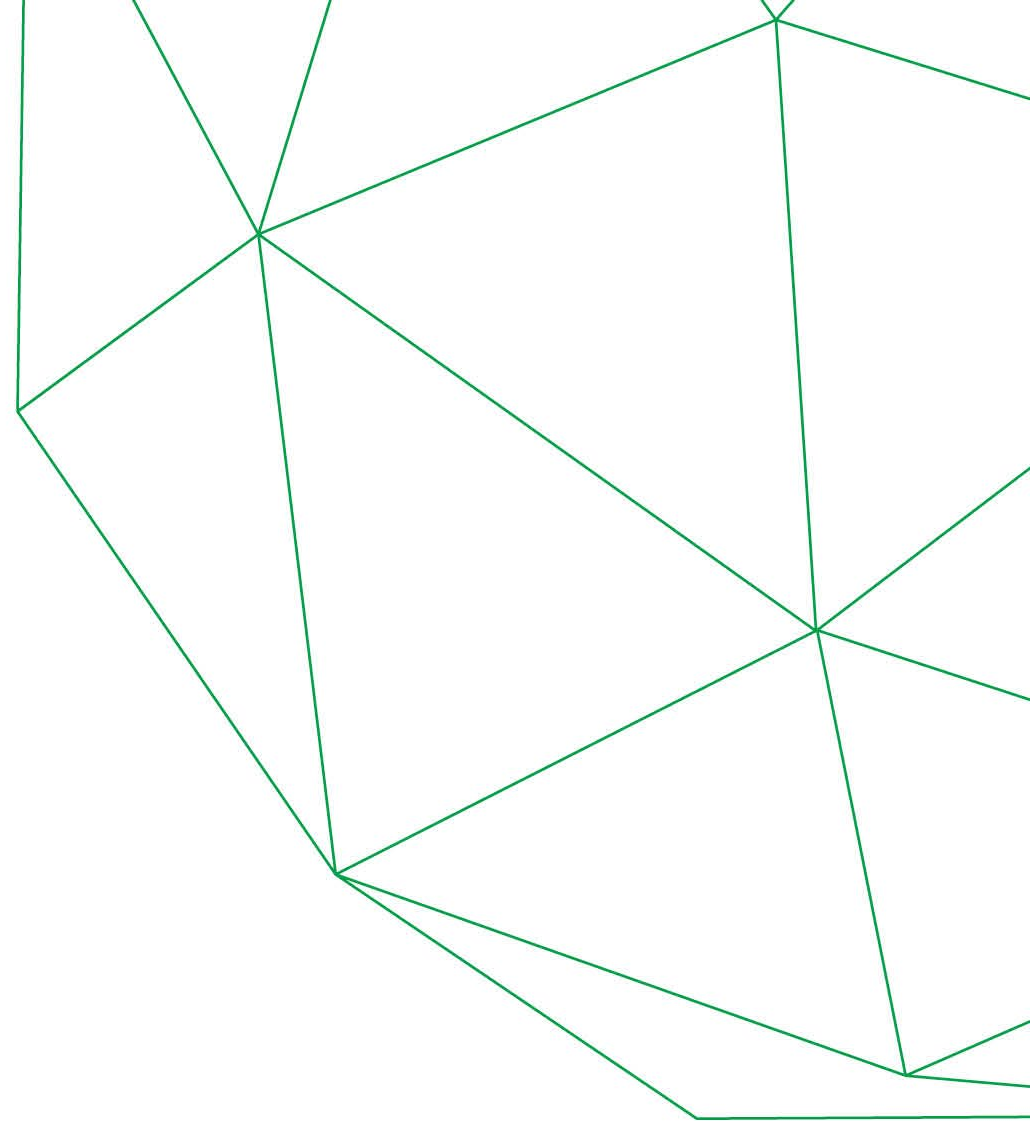
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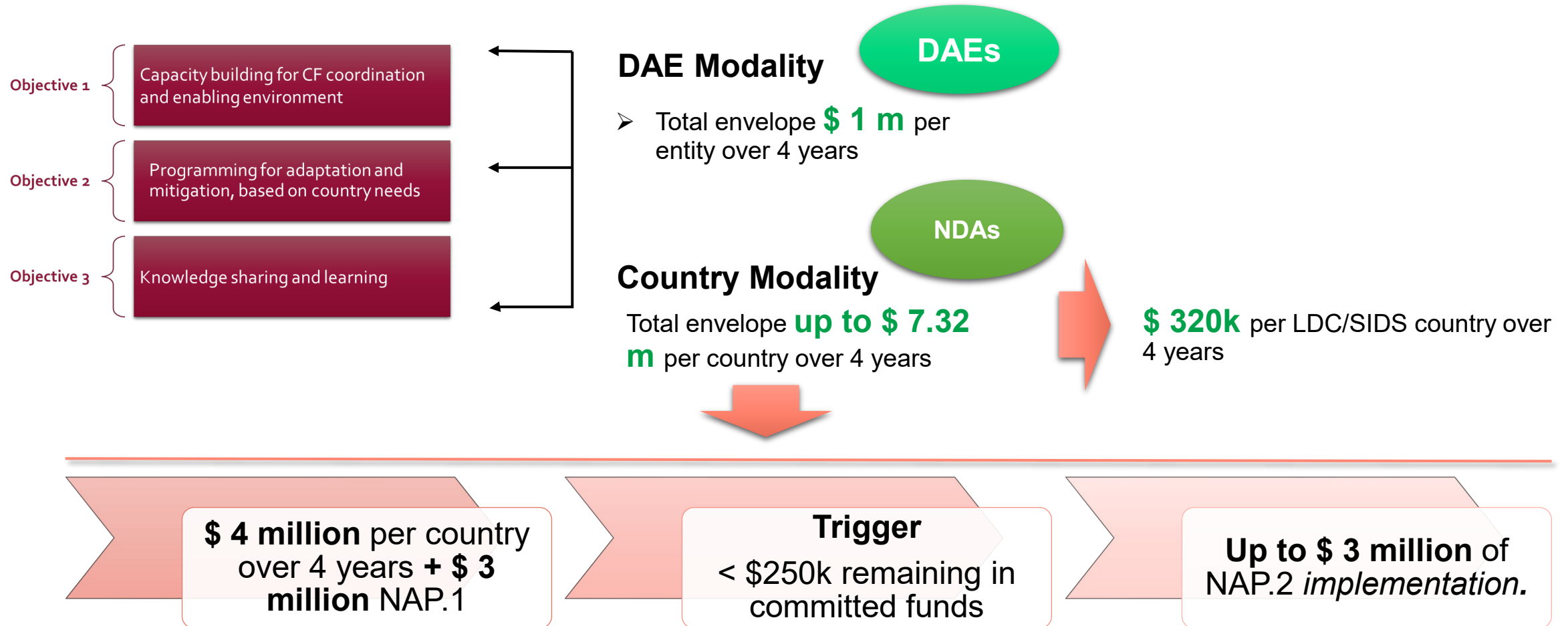
The Revised Readiness Strategy as a Strategic tool for Building Climate Investment Pipelines: Readiness Modalities

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DLAC

Saint Kitts and Nevis
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READINESS 2024-2027 FINANCING MODALITIES



READINESS 2024-2027 - Medium term outlook

Strategic, medium-term programmes based on **4-year planning**

ONE multi-year PROGRAMME

- **mid-term** review to course correct in evolving circumstances & priorities ('still fit-for-purpose?')

or

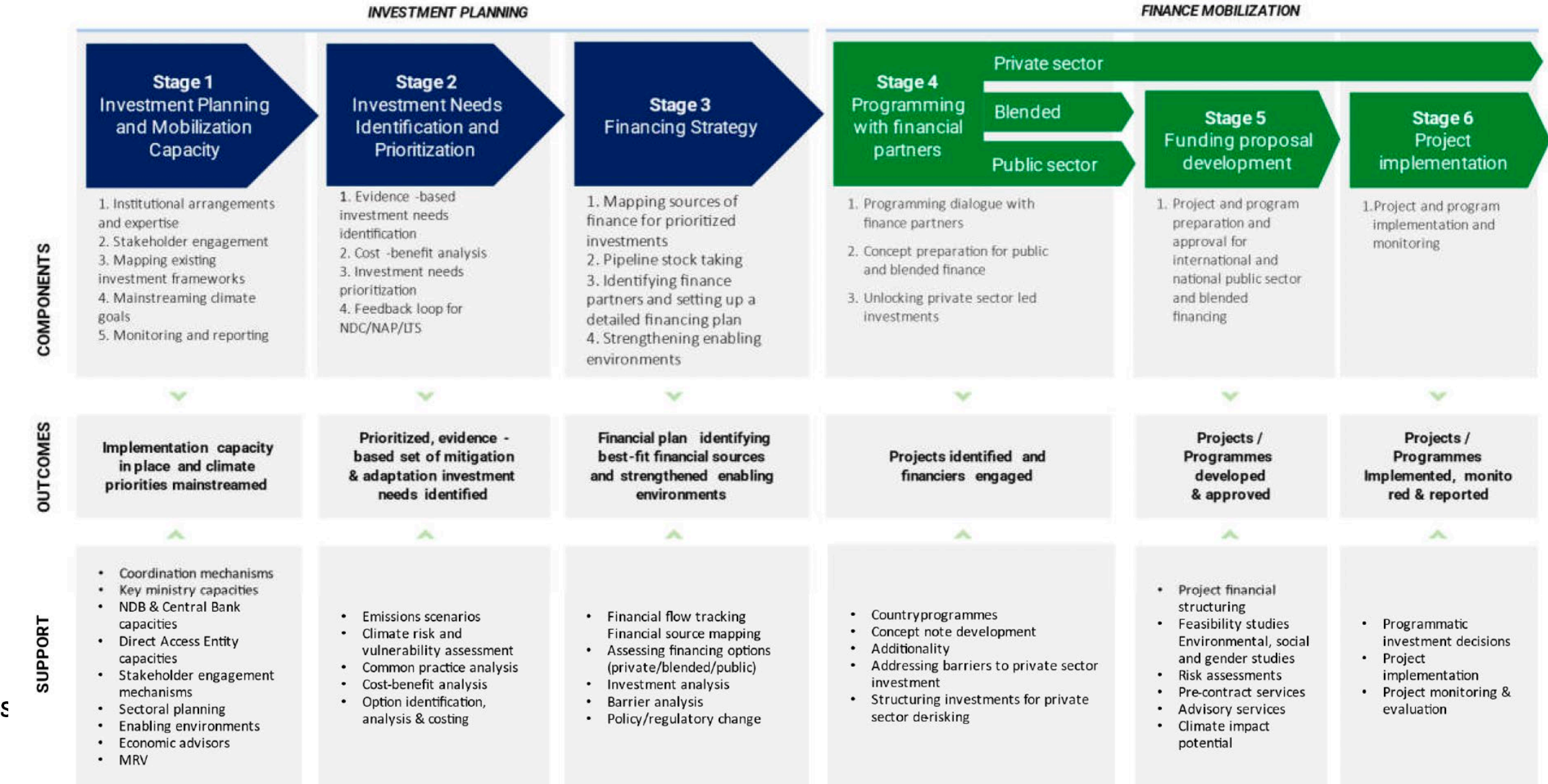
TWO multi-year PROGRAMMES

- **With any combination of USD values allocated between the two programmes.** Used when requirements are **not suited** for the integrated approach

Programme size

- Country modality:
 - Min size USD 1 M

Country window - Towards climate investments



The new DAE window - Objective 1 and 3



Post-Accreditation Support

[DAE Window]

Finalise the accreditation process, facilitating completion of accreditation and alignment with GCF standards and policies.

Objective 1

Capacity building for CF coordination and enabling environment

- Negotiations/legal opinion for the accreditation master agreement (AMA).
- Addressing remaining conditions for board-approved accreditations.

Strengthening Monitoring and Reporting [DAE Window]

Enhance DAEs' abilities in implementing the GCF integrated results management framework (IRMF)

Objective 3

Knowledge sharing and learning

- Monitoring and evaluation systems / IRMF.
- Accurate documentation.
- Reporting on project progress and outcomes in compliance with GCF standards.

The new DAE window - Objective 2



Enhancing Programming Capacity [DAE Window]

Support DAEs in developing proposals and programmes that significantly contribute to national climate strategies.

Improving Implementation Capacity [DAE Window]

Equip DAEs with project management skills & systems for successful project execution in line with GCF requirements

Objective 2

Programming for adaptation and mitigation, based on country needs

- Assist by building capacity of DAEs in the development of investment-grade concept notes and funding proposals.
- Facilitate alignment of proposals with country programming priorities and enhanced direct access goals. Stakeholder engagement.
- Enhance skills in programme design with significant climate impact.
- Implement environmental and social safeguards.
- Ensure skills to promote positive outcomes including gender and social inclusion of diverse groups, such as Indigenous Peoples.

- Project management, oversight of technical deliverables.
- Financial management.
- Procurement, as required.
- Stakeholder engagement.

COUNTRY ACCESS MODALITIES



Direct Grant Agreement between GCF and Country Designated Agency

Direct Access Proposal from Country

FMCA of Country Designated Agency

**Step 3 - Option 1:
Direct Access by Country**

Work Order for the Selected DP

Mini-tender for Best Proposal from DPs

**Step 3 - Option 2:
Delivery Partner**

**Step 2:
Strategic Planning of Readiness**

**Step 1:
Request for Support**

**Programme 1:
Work Order for the Selected DP**

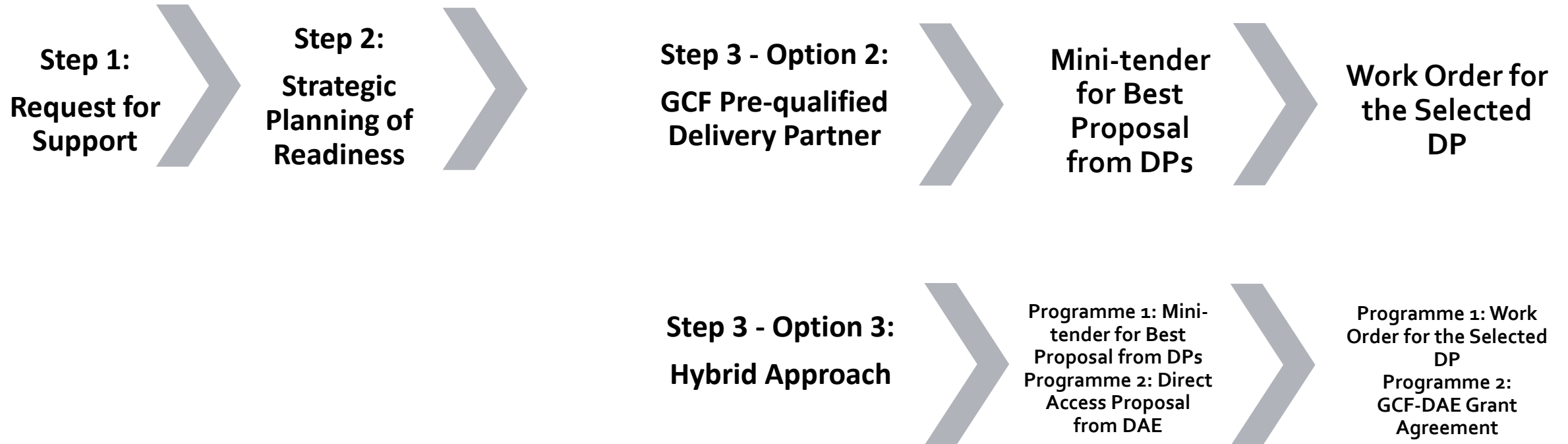
**Programme 1:
Mini-tender for Best Proposal from DPs**

**Step 3 - Option 3:
Hybrid Approach**

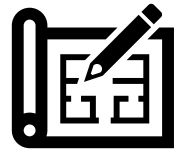
**Programme 2:
Direct Grant Agreement between GCF and Country Designated Agency**

**Programme 2:
FMCA of Country Designated Agency + Direct Access Proposal from Country**

DAE ACCESS MODALITIES



STEP 1. REQUEST FOR SUPPORT



Toolbox

- A standardised Request for Support template, for countries and DAEs
<https://www.greenclimate.fund/document/request-support-template>

Request for Support Templates [Step 1]

To: Green Climate Fund Secretariat
Date:

SUBJECT: REQUEST FOR SUPPORT, MAIN WINDOW

As the Choose an item. for [Country's/Region's Name], we hereby request readiness support under the main window, with the following details.

Financing Window

The 2024-2027 readiness strategy establishes the Country Support modality and the DAE Support modality. Please indicate which modality is being access for this request. Please choose one option:

- ☐ Country Support, Main Window ☐ DAE Support, Main Window

Access Modalities

For both Countries and DAEs, please specify whether the main window will be utilised either through one or two integrated programmes, to address the identified readiness needs in a strategic and medium-term manner.

- ☐ Main window – **ONE PROGRAMME** to the total envelope allocated for the country or DAE support, covering all three objectives outlined in the 2024-2027 readiness strategy.

Please choose a planned or preferred access modality. Please chose one option:

- ☐ Direct Access by the NDA/focal point, in their coordination capacity, or by the DAE
- ☐ Using a pre-qualified delivery partner, based on selection of the best technical and financial offer
- ☐ Hybrid: a mix of direct access and using a competitively selected pre-qualified delivery partner

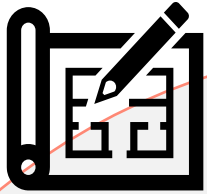
- ☐ Main window – **TWO PROGRAMMES** with any combination of USD funding between them, up to the total envelope allocated for the country or DAE support.

PROGRAMME 1	Please choose area(s) where support is required <input type="checkbox"/> Objective 1: Institutional strengthening and strategic frameworks <input type="checkbox"/> Objective 2: Pipeline development & implementation <input type="checkbox"/> Objective 3: Knowledge-sharing and learning	Please choose one option: <input type="checkbox"/> Direct Access by the NDA/focal point, in their coordination capacity, or by the DAE <input type="checkbox"/> Using a pre-qualified delivery partner, based on selection of the best technical and financial offer <input type="checkbox"/> Hybrid: a mix of the two options
PROGRAMME 2	Please choose area(s) where support is required <input type="checkbox"/> Objective 1: Institutional strengthening and strategic frameworks <input type="checkbox"/> Objective 2: Pipeline development & implementation <input type="checkbox"/> Objective 3: Knowledge-sharing and learning	Please choose one option: <input type="checkbox"/> Direct Access by the NDA/focal point, in their coordination capacity, or by the DAE <input type="checkbox"/> Using a delivery partner, based on selection of the best technical and financial offer <input type="checkbox"/> Hybrid: a mix of the two options

Contact Information: Name, position and email address of the contact person.

Signature_____Authorised Signatory's Name, Position_____

STEP 2. STRATEGIC, MEDIUM TERM PLANNING



Toolbox

Country Support Window

- <https://www.greenclimate.fund/document/guide-countries-access-readiness-support>
- <https://www.greenclimate.fund/document/guide-countries-strategic-planning>
- <https://www.greenclimate.fund/document/country-readiness-tor-template>

DAE Support Window

- <https://www.greenclimate.fund/document/guide-direct-access-entities-access-readiness-support>
- <https://www.greenclimate.fund/document/guide-direct-access-entities-strategic-planning>
- <https://www.greenclimate.fund/document/direct-access-entities-readiness-tor-template>

Saint Kitts

Actions



- To strategically plan over the medium term, from current readiness status (baseline) → where to be by end of Year 4 (result areas).



- To **coordinate** action and engage relevant stakeholders

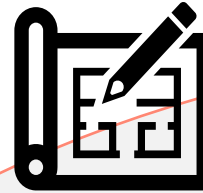
- Readiness needs and gaps identified through strategic, medium-term planning will ultimately form the ToRs → used either:
 - For pre-qualified DPs or
 - To inform the Direct Access Proposal
- **Assistance** from the Secretariat upon request.
- Countries and DAEs can also utilise the expertise and resources, such as the **GCF placement experts**.

STEP 3. OPTION 1 DIRECT ACCESS

Actions for NDAs & DAEs, and GCF



- Strategic, medium-term planning
- Confirm NDA/government agency or DAE can
 - Enter into a direct grant agreement with GCF
 - Receive funds directly from GCF
- FMCA
- Direct Access Proposal Submission
- Individual grant agreement



Toolbox

- <https://www.greenclimate.fund/document/financial-management-capacity-assessment-template>
- <https://www.greenclimate.fund/document/direct-access-proposal-template>
- Standard grant agreement for Direct [National] Access*

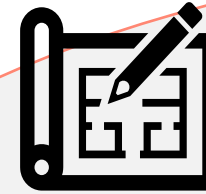
Agencies with **Framework** Readiness and Preparatory Support Grant Agreements with the GCF Secretariat might be invited directly by countries and DAEs to submit proposals to address their ToRs. GCF encourages more than one FWA holder to be invited to submit a proposal.

STEP 3. OPTION 2 PRE-QUALIFIED DPS

Actions for NDAs and DAEs, and GCF



- Strategic, medium-term planning/ToR, in consultation with relevant stakeholders
- Mini – tender for best proposal from pre-qualified DPs*
- Work Orders based on the winning proposal (no need for individual grant agreements)



Toolbox

- <https://www.greenclimate.fund/document/mini-tender-proposal-template>
- A work order for DPs*

* GCF pre-qualifies DPs. Selection criteria, requirements, and areas of expertise will be published widely, including on local/NDA platforms. Pre-qualified DPs will be grouped per area of expertise:

- Lot 1: **Policy and Regulation lot**, incl. Vulnerability and Resilience (V&R) sub-lot, Private Sector (PS) Engagement sub-lot, and Knowledge Management and Learning (KM&L)
- Lot 2: **Climate Investment Design and Implementation**, incl. V&R sub-lot, PS Engagement sub-lot, and KM&L

STEP 3. OPTION 3 HYBRID APPROACH

Actions



- Project planning and coordination with relevant stakeholders
- Readiness Access
 - For projects implemented through pre-qualified DPs, the NDA develops ToRs that are addressed via mini-tenders.
 - For directly access, the NDA develops Direct Access Proposal.
- Readiness Delivery
 - For direct access, Direct Access Proposal + Grant Agreement
 - For pre-qualified DPs → Mini-tenders + Work Orders

Example

- **Programme 1 - Specialised Support**
→ pre-qualified DPs → **Objective 2**
 - To leverage specialised external expertise
 - To benefit from streamlined contracting processes provided under LTA arrangement
- **Programme 2 - Direct Implementation** → Country or DAE → **Objectives 1 and 3**
 - To closely manage regulatory reform processes and other activities that benefit from a more hands-on approach or
 - To benefit from local expertise and capacities.

Gcf placement scheme

Purpose

Build the institutional capacity necessary for effective and consistent engagement with the GCF at the NDA/selected agency level

Tasks

- ❖ Country programming and project origination
- ❖ Readiness planning
- ❖ Supporting project appraisal
- ❖ Supporting readiness and project implementation
- ❖ Awareness raising and communications

Place of work

- NDA (or selected agency) offices

Costs

- Direct GCF – consultancy fees
- NDA/ Readiness country window:
 - In country travel expenses
 - Workshop, meetings, etc
 - Work station costs

Budget source

- LDC/SIDS window
- Country window

Contract type

- International remote consultancy (max 180 working days per 11-month, 29-day period)
- Local hire is default modality
 - Intl relocation where no local expert can be found
- Local UN rates default
 - Intl relocated experts may use GCF scales

Gcf placement scheme

REPORTING

- Direct manager – GCF Region
- Daily coordination – NDA
- Quarterly report (activities, deliverables, opportunities and risks). Cleared by NDA before submission
- Quarterly tripartite discussion (NDA, GCF, consultant)
- End of contract report (achievements, capacity building efforts and lessons learned)

PERFORMANCE

- Minor issues to be dealt with by NDA, informing GCF
- Quarterly tripartite discussion
- End of contract C5 form by GCF with inputs from NDA

COMMUNICATIONS

- Procurement readiness Any contract administration matter
- GCF Region primary focal point for contract management matters and other queries.

PAYMENT

- Monthly timesheet prepared by consultant
- NDA clears the timesheet
- Consultant uploads to ERP system for payment
- GCF region approves on ERP



Thank you