



# GCF CY2022 APR submission on the PPMS

Green Climate Fund

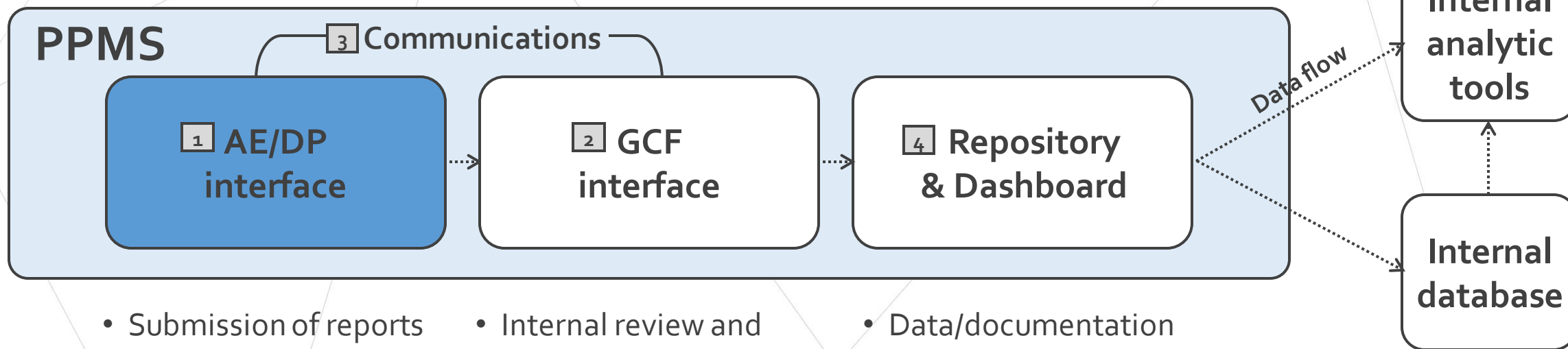
15 February 2023

# Agenda

AGENDA ITEM	TIME
Opening remarks	5 minutes
Video 1: How to submit an APR on PPMS	5 minutes
Video 2: How to manage users on PPMS	5 minutes
Challenges experienced in previous reporting cycles	15 minutes
Q&As	30 minutes

# Overview of PPMS

- Auto-notifications



- Submission of reports and disbursement requests
- User management

- Internal review and clearance of the submitted reports and disbursement requests

- Data/documentation storage
- Version control
- Tracking of progress and performance
- Communication log

## Upgraded Features or Changes on PPMS APR module

- Pre-filling some of the data from the approved Funding Proposals or APRs submitted in the last year for ease of reference
- Enabling the insertion of tables and images in text fields with increased limits of the word counts
- Disabling the insertion of texts and commas in numerical fields
- Adding more guiding descriptions where necessary
- Seeking both annual and cumulative progress values against indicators, along with an attachment(s) on calculation methodologies in APR Section 2
- Requiring an Excel attachment for Section 3 Financial Information only this year
- PPMS tutorial videos available on the Support tab

## Section 2 of APR: Funded Activity Progress

- In some cases: Long time (months) between request for provision of updates/corrections and re-submission of new APR version.
- Disclosable version of APR is uploaded only alongside the first submission. Updates required in case corrections are made.
- Section 2.2 Performance against the GCF investment criteria:
  - The narrative was oftentimes a duplication of the funding proposal. Focus on alignment and progress made in the reporting period is recommended.
  - Summary was inconsistent with inputs provided for each of the investment criteria.
- Section 2.3 Project Outputs Implementation Status:
  - Inputs in fields "Status" and "Original timeline" were inconsistent with the approved implementation plan (Schedule 5 of the FAA).
  - Not all activities planned under the funded activity were reported. It is required to include a complete list of activities, even if no progress was made in a given reporting period.
  - In some instances, activities reported in previous years are repeated over and over. The status report should be an update on implementation in the reporting year from January to December.

## Section 2 of APR: Funded Activity Progress

### Section 2.4 progress update on the logframe indicators.

- Instructions were made clearer in APR2022 template to avoid incorrectly reported values (e.g. in APR2021, AEs were expected to report a cumulatively achieved value in 'current value' data field for each indicator). Some AEs misunderstood and reported only an annually achieved value instead. This has been clarified in the APR2022 template, and AEs are now required to report both 'annual value' (reporting year) and 'cumulative value'.
- Lack of or limited explanation on the data reported can delay review process.
- Missing data entries or incorrect entries should be avoided.

## Section 2 of APR: Funded Activity Progress

- Section 2.5 Report on changes during implementation:
  - The focus of the narrative was on changes that have already occurred. It is required to include both actual and expected changes.
- Updates on previous reporting:
  - In some instances, reporting on pending issues from last reporting period/previous APR were omitted. AEs are requested to provide updates on activities/issues where it was specifically indicated that this would be done in the next (2022) APR.
- Lack of traceable data and sufficient information to verify the reported results against the logframe targets
  - **Example:** GHG emission reductions – only 4 APRs provided spreadsheets allowing to confirm the reported emission reductions preventing the Secretariat from checking the reported results.
  - **Solution:** Spreadsheets with GHG emission reduction calculations for the reporting period are made mandatory for all mitigation and cross-cutting projects submitting APRs.

# Section 3 of APR: Finance Information

## Submissions

- Use of the most recent financial reporting template (Excel file).
- Timely submission of the financial report (Section 3).
- Complete submission of financial report, (i.e., all relevant sections should be filled).

## AEs' responses

- Timely responses to comments on financial reports.
- Adequate, appropriate and complete response to comments.

## Financial report (excel file)

- Continuity of financial reporting, i.e., roll-forward of accurate closing balances and cumulative balances.
- Reporting against the approved budget.
- Quality of financial reporting, i.e., mathematical accuracy, and internal consistency.



## Section 4 of APR: Gender

Challenges relate to a lack of information, and missing documents. The reporting requirements need to be strictly adhered to.

- General: insufficient reporting on implementation of the GAP and on how gender is being mainstreamed
- Project-level gender assessments and gender action plans (GAPs) are living documents. Updated GAPs need to be submitted.
- If there are deviations from the GAP, the reason needs to be provided in the APR, and implications and remedial actions stated.
- Information on stakeholder engagement and consultation on gender aspects.
- Information and updates on compliance with FAA.
- Updates on compliance on any Board/Secretariat conditions if relevant.

## Section 4 of APR: ESS, IP

Lack of information, and missing answers on ESS, can make it impossible to clear the APR

The reporting requirements need to be strictly adhered to.

Some common areas that are lacking in APR reporting:

- General: progress on implementing the ESMP.
- Change in risks and categorization (section 4.1.1).
- Compliance with the FAA and applicable laws (section 4.1.2).
- Information on the GRM (section 4.1.4).
- Reporting on ESS breaches.

Matters relating to indigenous peoples:

- Progress in relation to IPs and related safeguards, as well as good practices in promoting indigenous/traditional/local knowledge.

**Please contact us at [ppms@gcfund.org](mailto:ppms@gcfund.org)  
to provide any feedback for the PPMS  
improvement going forward**



# Q&As