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# Introduction to Simplified Approval Process

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**Pacific Structured Dialogue**

Pohnpei, Federated State of Micronesia | August 2018



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# SAP Pilot Scheme Objective

*...to apply best practices to reduce the time and effort needed in the preparation, review, approval and disbursement procedures for proposals of certain activities, in particular small-scale activities.*





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# History

Board approval  
(B.18/o6)

Launched at  
COP-23

1 project  
approved (B.19)





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# Key features

- ✓ Pilot
- ✓ Review
- ✓ Further improvements
- ✓ 50% of portfolio should be from DAEs



duk

Credit: Daniel Hayduk, FAO



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# SAP pipeline & portfolio

19  
projects

\$184M  
GCF Funding

\$115M  
Co-financing

69%  
DAEs/NDAs

1  
Approved



1 concept note from Cook Islands



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# What has been “simplified”?



## SIMPLER DOCUMENTS

Key documents have been simplified, and presented in a single, up-front list



## FEWER PAGES

A shorter form with significantly fewer pages. The total length of funding proposals should not exceed 20 pages, or 10,000 words



## EASIER FORM-FILLING

Fewer questions and clearer guidance allows more concise responses for each sub-section, avoiding duplication of information



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# Eligibility criteria

1

Ready to be scaled-up & potential for transformational impact

2

GCF funding of up to USD 10 million

3

Minimal to no environmental & social risks



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# Concept note preparation

GCF DOCUMENTATION PROJECTS

## Simplified Approval Process Concept Note

Project/Programme Title: \_\_\_\_\_

Country(ies): \_\_\_\_\_

National Designated Authority(ies) (NDA): \_\_\_\_\_

Executing Entities: \_\_\_\_\_

Accredited Entity(ies) (AE): \_\_\_\_\_

Date of first submission/  
version number: YYYY-MM-DD | V.0

Date of current submission/  
version number: YYYY-MM-DD | V.0

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Please submit the completed form to [sap@gcfund.org](mailto:sap@gcfund.org),  
using the following name convention in the subject line and file name:  
"CN-[Accredited Entity or Country]-YYYYMMDD"

✓ Concept note submission is **compulsory**

✓ Can be submitted by **AE and/or NDA**

✓ must follow the **SAP CN** template

✓ should be accompanied by **ESS screening** and (if applicable) Environmental and social action plan (ESAP)



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# E&S screening

## Concept note

Part A.  
**Exclusion criteria**

Part B.  
**Risk mitigation**

**Proposal not eligible**  
if any of the risks factors  
marked “**yes**” or additional due  
diligence are identified

**Environmental and  
social action plan  
(ESAP)**  
for any identified low E&S risks



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# Funding proposal preparation

GCF DOCUMENTATION

PROJECTS

## Simplified Approval Process Funding Proposal

Project/Programme title: *Please indicate the project title; ideally this should reference the country where the project/programme will be implemented.*

Country(ies): *List all the countries where the project/programme will be implemented.*

National Designated Authority(ies): *Indicate the National Designated Authority(ies) of the country(ies) where the project/programme will be implemented. This should mirror the NOAs on the submitted no collection letters.*

Accredited Entity: *Indicate the accredited entity submitting this proposal.*

Date of first submission: *DD/MM/YYYY*

Date of current submission/ version number: *DD/MM/YYYY.V.000*

If available, indicate GCF code: *This code is assigned to each project upon first submission of a Concept Note or Funding Proposal and remains the same throughout the proposal review process. If you have submitted this project/programme previously please indicate the GCF code here.*



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✓ must be submitted by AE

✓ must follow the SAP FP template

Under preparation for AEs:

✓ User's manual for FP preparation

✓ Sectoral guidelines



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# SAP-able activities:

Examples for Pacific SIDS

## Mitigation

- Pico- to micro-scale renewable energy installation
- Energy efficiency
- Reducing deforestation, forests management, In-situ rehabilitation

## Adaptation

- Climate information and early warning systems
- Village-level rural water supply, rainwater harvesting and drainage (e.g. drip irrigation)
- Small-scale climate-resilient agriculture, hydroponics, orchards, agroforestry
- Ecosystem-based adaptation



# Way forward

under development



## PROPOSAL PREPARATION

Online submission portal

User's manual & sectoral guidelines

## SUPPORT FOR DAEs & COUNTRIES

Online and offline training, workshop, webinars

## COMMUNICATION

Videos, outreach, e-learning

## POLICY

PSAA, In-between Board decision, review of the SAP pilot scheme



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# FUNDING PROJECTS

SIMPLIFIED APPROVAL PROCESS (SAP)

Visit us at

<http://www.greenclimate.fund/sap>