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Introduction to Simplified Approval Process

Grace Lee

Project Officer, Division of Mitigation & Adaptation

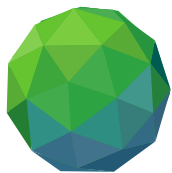
LDC Structured Dialogue

Addis Ababa, Ethiopia | November 2018

SAP pilot scheme objective

...to apply best practices to reduce the time and effort needed in the preparation, review, approval and disbursement procedures for proposals of certain activities, in particular small-scale activities.





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History

**Board
approval
(B.18/o6)**

**Launched
at COP-23**

**4 projects
approved
(as at B.21)**

Key features

- ✓ Pilot
- ✓ Review
- ✓ Further improvements
- ✓ 50% of portfolio should be from DAEs



duk

Credit: Daniel Hayduk, FAO



SAP pipeline & portfolio

30

projects

\$272M

GCF Funding

\$146M

Co-financing

61%

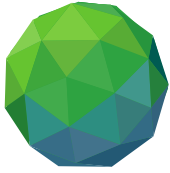
DAEs/NDAs

4

Approved

Others
53%

LDCs
47%



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Overview of portfolio



Namibia (EIF)



Kyrgyzstan (WFP)



Bahrain (UNEP)



Mongolia (XacBank)

What has been “simplified”?



SIMPLER DOCUMENTS

Key documents have been simplified, and presented in a single, up-front list



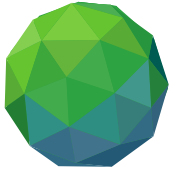
FEWER PAGES

A shorter form with significantly fewer pages. The total length of funding proposals should not exceed 20 pages, or 10,000 words



EASIER FORM-FILLING

Fewer questions and clearer guidance allows more concise responses for each sub-section, avoiding duplication of information



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Eligibility criteria

1

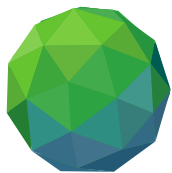
Ready to be scaled-up & potential for transformational impact

2

GCF funding of up to USD 10 million

3

Minimal to no environmental & social risks



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Concept note preparation

GCF DOCUMENTATION PROJECTS

Simplified Approval Process Concept Note

Project/Programme Title: _____

Country(ies): _____

National Designated Authority(ies) (NDA): _____

Executing Entities: _____

Accredited Entity(ies) (AE): _____

Date of first submission/
version number: YYYY-MM-DD/IV.01

Date of current submission/
version number: YYYY-MM-DD/IV.01

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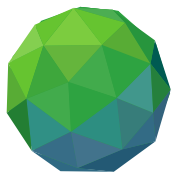
Please submit the completed form to sap@gcfund.org,
using the following name convention in the subject line and file name:
"CN-[Accredited Entity or Country]-YYYYMMDD"

✓ Concept note submission
is **compulsory**

✓ Can be submitted by **AE**
and/or NDA

✓ must follow the SAP CN
template

✓ should be accompanied by
ESS screening and (if
applicable) Environmental
and social action plan (ESAP)



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Funding proposal preparation

GCF DOCUMENTATION

PROJECTS

Simplified Approval Process Funding Proposal

Project/Programme title: *Please indicate the project title: ideally this should reference the country where the project/programme will be implemented.*

Country(ies): *List all the countries where the project/programme will be implemented.*

National Designated Authority(ies): *Indicate the National Designated Authority(ies) of the country(ies) where the project/programme will be implemented. This should match the NDCs on the submitted no objection letter(s).*

Accredited Entity: *Indicate the accredited entity submitting this proposal.*

Date of first submission: *YYYYMMDD*

Date of current submission/ version number: *YYYYMMDD.VV.000*

If available, indicate GCF code: *This code is assigned to each project upon first submission of a Concept Note or Funding Proposal and remains the same throughout the proposal review process. If you have submitted this project/programme previously please indicate the GCF code here.*



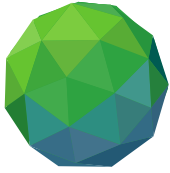
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✓ must be submitted by
AE

✓ must follow the SAP
FP template

Under preparation for AEs:

- ✓ User's manual for FP preparation
- ✓ Sectoral guidelines



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ESS screening

Concept note

**Part A.
Exclusion criteria**

**Part B.
Risk mitigation**

Proposal not eligible

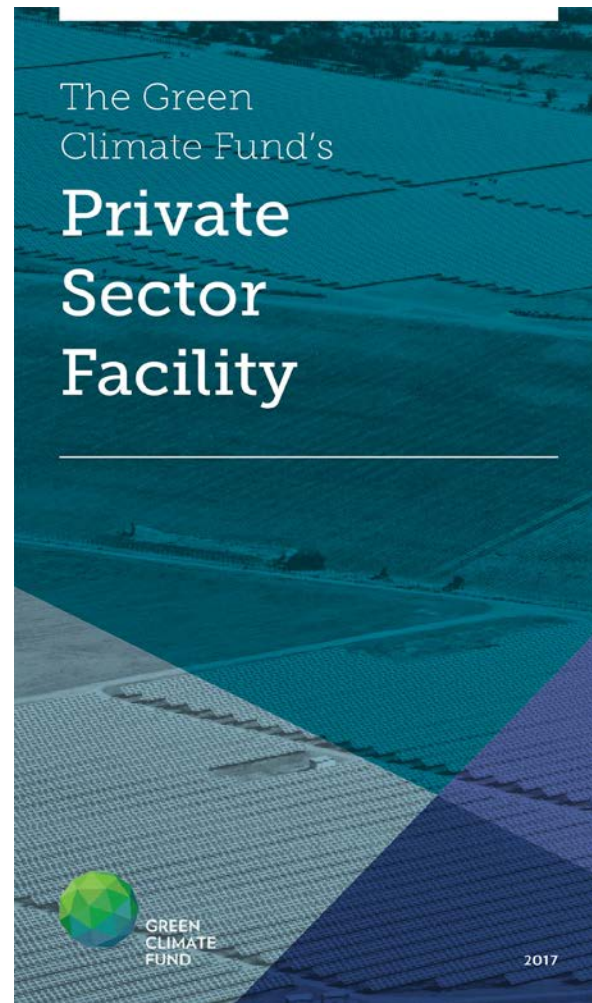
if any of the risks factors marked “**yes**” or additional due diligence are identified

**Environmental and
social action plan
(ESAP)**

for any identified low E&S risks

SAP and the Private Sector

- ✓ SAP can equally support private sector investment
- ✓ Unique advantage: flexibility & speed
- ✓ The reduced effort in the preparation of documents, speed in the approval and quick deployment of capital





SAP-able activities:

Examples

Mitigation

- Renewable energy
- Energy efficiency
- Forestry and land use

Adaptation

- Climate information and early warning systems
- Water supply and management
- Small-scale climate-resilient agriculture
- Ecosystem-based adaptation



Way forward for 2019



POLICY Project-specific assessment approach,
In-between Board decision making,
Review of the SAP pilot scheme



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Q MENU ≡

FUNDING PROJECTS

SIMPLIFIED APPROVAL PROCESS (SAP)

Visit us at

<http://www.greenclimate.fund/sap>



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