

GREEN
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FUND

Independent
Evaluation
Unit

Green Climate Fund's Evaluation Policy

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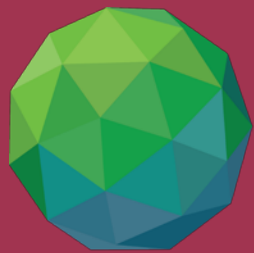
Dr. Jo Puri, Head of the IEU

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TRUSTED EVIDENCE. INFORMED POLICIES. HIGH IMPACT.





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1. Learning from the evaluation of the RPSP, NDAs/AEs

Day 4, Morning :

2. Technical Session 12a: How will GCF's Evaluation Policy affect me? - With NDA from DRC, DAE Senegal

3. Clinic: Focus group on key issues for implementation within the context of the Performance Review of the GCF





PURPOSE OF THE SESSION



1. What we will cover (briefly)
 1. What is it? Who is doing it? What will it inform?
 2. What have we done on it till now? What next? When is it due?
2. Feedback from and engagement with NDAs/AEs : **your intended roles and responsibilities**
 1. What do stakeholders, especially in Africa and LDCs, most need and how does the GCF evaluation policy meet the needs of NDA/AEs
 2. What do you hope that this policy makes clear for GCF evaluation?
 3. How can the policy be best designed to help GCF achieve its objectives and deliver?
 4. What are key concerns, suggestions for the proposed roles and responsibilities?





HOW WE GOT HERE...

- March: started, consultations, timetable, review others' policies
- April: Approach Paper, consult key stakeholders, documents etc.
- May: webinars, engaged with NDAs in Songdo
- Informal consultations at B20 and B21
- Now likely going to B22





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What can we do to make GCF smarter, faster, better?

The evaluation function





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IEU's Mandate by the Board

- Inform the GCF Board
 - Identify lessons from implementation
 - Guide the Fund and stakeholders
 - Strategic advice
- Independent evaluations of the Fund's performance
- Provide evaluation reports to Conference of Parties





CONTEXT OF THE GCF EVALUATION POLICY

- ❖ At B19, the GCF Board requested the IEU to prepare the GCF Evaluation Policy (that **should have been presented at B21 – now B22**).
- ❖ The IEU will be the custodian of the Policy
 - ❖ Measurement
 - ❖ Utility and Use
 - ❖ Accountability and Learning
 - ❖ **Credibility**
 - ❖ **Independence**





WHAT IS IT? WHAT WILL IT INFORM?

The evaluation policy of the GCF:

measures 'what works, for whom, why and how much'

...establishes principles, criteria, roles and responsibilities for all involved in implementation the policy and allows to build evaluation capacity in GCF partnership and evaluation community working on climate change.

... lays out the process through which evaluations and related activities are undertaken to support learning, measurement, and accountability.





NDA ROLES

- NDAs roles in the GCF Evaluation policy?





NDA/AE – OTHER ROLES BY TYPES OF EVALUATIONS

- **Self-evaluations:**
 - conducted, commissioned, managed by Secretariat, GCF Integrity and Redress mechanism IUs or by the **management / operational units of National Designated Agencies** (NDAs), AEs, etc.
- **Independent evaluations:**
 - conducted, commissioned, and managed by IEU and **independent evaluation offices of the NDAs**, AEs/DAEs etc.





ROLES AND RESPONSIBILITIES

Board	Secretariat	AE/DAE
<p>Fosters an evaluation culture in GCF</p> <p>Approves the Evaluation Policy and safeguards its implementation;</p> <p>Receives evaluations by IEU, considers evaluative evidence for future decisions</p> <p>Receives management responses to evaluations, prepared by Secretariat*</p> <p>Decides using the evaluative evidence, considering the management response.</p> <p>Requests GCF*, to prepare action plan to respond to decisions taken.</p>	<p>Foster a culture of integrating evaluation findings and lessons into management, decision making, learning from evaluation</p> <p>Reviews and considers evidence, lessons from evaluations (...), incorporates them into development of new policies</p> <p>Provide Management Responses (MR) to all evaluations / evidence by IEU, monitors, reports implementation to the Board</p> <p>MR indicate whether management accepts, partially / rejects recommendations, and explains why</p>	<p><u>Prepare independent mid-term and final evaluations of all GCF supported activities according to GCF guidelines</u></p> <p><u>Evaluations are independent by using independent evaluators or conducted by AEs independent evaluation unit</u></p> <p><u>Guidelines and standards to support evaluations will be provided by IEU.</u></p> <p><u>Facilitate access to information/data and field arrangements the IEU and for independent field evaluators.</u></p> <p><u>Informing evaluations and providing country level support to evaluations</u></p>

** In coordination with relevant NDAs, AEs and DAEs*





ROLES AND RESPONSIBILITIES : AE / NDA*

- Prepare independent mid-term and final evaluations of all GCF supported activities according to GCF guidelines
- Evaluations are independent by using independent evaluators or conducted by AEs independent evaluation unit
- Guidelines and standards to support evaluations will be provided by IEU.
- Facilitate protocol development, access to information/data and field arrangements for the IEU and for independent field evaluators.*
- Informing evaluations and providing country level support to evaluations as requested by the IEU/evaluators*





NDA - RELATIONSHIP WITH IEU

- Support the IEU, when necessary, in implementing IEU work plan
- Request, if necessary, technical capacity assistance on how to conduct evaluations of GCF interventions.
- Ensure that lessons from evaluative evidence products are used to improve the quality of subsequent operations and evaluations.





COMMUNICATION, DISCLOSURE AND DISSEMINATION



AEs/DAEs operational units

- Receive evaluation reports for the relevant GCF funded projects in their countries from the AEs and DAEs
- Share Mid term Evaluations and Terminal Evaluation reports and data with the relevant NDAs





NEXT STEPS: WHEN IS IT DUE?

- November: Internal consultation in GCF (OGC, OGA, Audit, Secretariat)
- December: Public consultation (IEU website) and formally **with AEs/NDAs**
- 25 January: comments received from the Board, finalization of document
- 1 February: Policy to OGC (formal), audit trail on comments received
- 8 February: Final GCF Evaluation Policy on B22 website => **B22??**

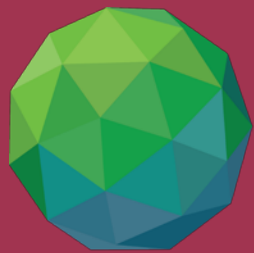




REALITY CHECK : NDA's & AE's VIEWS

- What do stakeholders, especially in Africa and LDCs, most need and how does the GCF evaluation policy meet the needs of NDA/AEs
- What do you hope that this policy makes clear for GCF evaluation?
- How can the policy be best designed to help GCF achieve its objectives and deliver?
- What are the implications, key concerns, key questions/suggestions for the proposed roles and responsibilities or AEs/NDAs?





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Thank you!

Contact IEU:

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