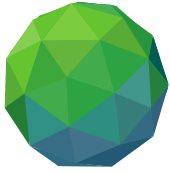


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Introduction to Simplified Approval Process

Marc Dumas-Johansen

Forests and land use specialist

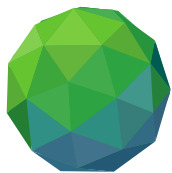


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SAP Pilot Scheme Objective

*...to apply best practices to
reduce the time and effort
needed in the **preparation,**
review, approval and
disbursement procedures for
proposals of certain activities,
in particular small-scale
activities.*





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History

Board approval
(B.18/o6)

Launched at
COP-23

1 project
approved (B.19)

Key features

- ✓ Pilot
- ✓ Review
- ✓ Further improvements
- ✓ 50% of portfolio should be from DAEs



duk

Credit: Daniel Hayduk, FAO



SAP pipeline & portfolio

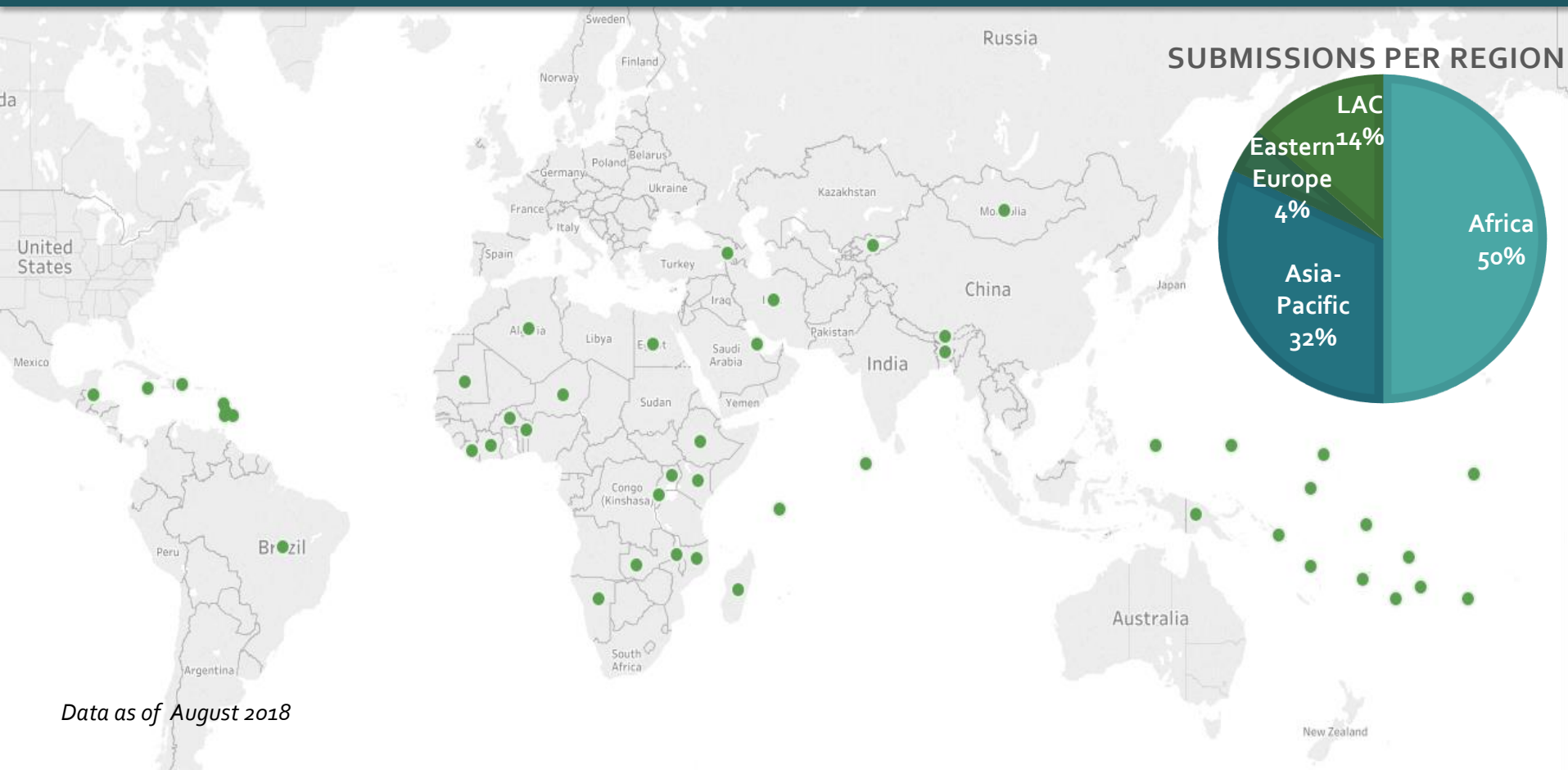
24
projects

\$217M
GCF Funding

\$142M
Co-financing

58%
DAEs/NDAs

1
Approved



What has been “simplified”?



SIMPLER DOCUMENTS

Key documents have been simplified, and presented in a single, up-front list



FEWER PAGES

A shorter form with significantly fewer pages. The total length of funding proposals should not exceed 20 pages, or 10,000 words



EASIER FORM-FILLING

Fewer questions and clearer guidance allows more concise responses for each sub-section, avoiding duplication of information

Eligibility criteria

1

Ready to be scaled-up & potential for transformational impact

2

GCF funding of up to USD 10 million

3

Minimal to no environmental & social risks

Concept note preparation

GCF DOCUMENTATION PROJECTS

Simplified Approval Process Concept Note

Project/Programme Title: _____

Country(ies): _____


National Designated Authority(ies) (NDA): _____

Executing Entities: _____

Accredited Entity(ies) (AE): _____

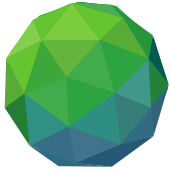
Date of first submission/
version number: YYYY-MM-DD/IV.01

Date of current submission/
version number: YYYY-MM-DD/IV.01

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Please submit the completed form to sap@gcfund.org,
using the following name convention in the subject line and file name:
"CN-[Accredited Entity or Country]-YYYYMMDD"

- ✓ Concept note submission is **compulsory**
- ✓ Can be submitted by **AE and/or NDA**
- ✓ must follow the SAP CN template
- ✓ should be accompanied by **ESS screening** and (if applicable) Environmental and social action plan (ESAP)



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E&S screening

Concept note

Part A.
Exclusion criteria

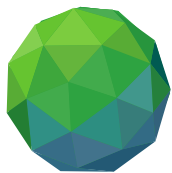
Part B.
Risk mitigation

Proposal not eligible

if any of the risks factors marked “**yes**” or additional due diligence are identified

**Environmental and
social action plan
(ESAP)**

for any identified low E&S risks



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Funding proposal preparation

GCF DOCUMENTATION

PROJECTS

Simplified Approval Process Funding Proposal

Project/Programme title: *Please indicate the project title: ideally this should reference the country where the project/programme will be implemented.*

Country(ies): *List all the countries where the project/programme will be implemented.*

National Designated Authority(ies): *Indicate the National Designated Authority(ies) of the country(ies) where the project/programme will be implemented. This should match the NDA(s) on the submitted no objection letter(s).*

Accredited Entity: *Indicate the accredited entity submitting this proposal.*

Date of first submission: *YYYYMMDD*

Date of current submission/ version number: *YYYYMMDD.VV.000*

If available, indicate GCF code: *This code is assigned to each project upon first submission of a Concept Note or Funding Proposal and remains the same throughout the proposal review process. If you have submitted this project/programme previously please indicate the GCF code here.*



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✓ must be submitted by AE

✓ must follow the SAP FP template

Under preparation for AEs:

- ✓ User's manual for FP preparation
- ✓ Sectoral guidelines



SAP-able activities:

Examples for Eastern Europe and Central Asia

Mitigation

- Pico- to micro-scale renewable energy installation
- Energy efficiency
- Reducing forest degradation, enhancing SFM, In-situ rehabilitation

Adaptation

- Climate information and early warning systems
- Village-level rural water supply, rainwater harvesting and drainage (e.g. drip irrigation)
- Small-scale climate-resilient agriculture, hydroponics, orchards, agroforestry
- Ecosystem-based adaptation



Way forward

under development



PROPOSAL PREPARATION

Online submission portal

User's manual & sectoral guidelines

SUPPORT FOR DAEs & COUNTRIES

Online and offline training, workshop, webinars

COMMUNICATION

Videos, outreach, e-learning

POLICY

PSAA, In-between Board decision, review of the SAP pilot scheme



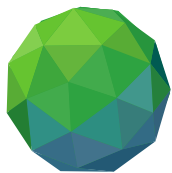
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FUNDING PROJECTS

SIMPLIFIED APPROVAL PROCESS (SAP)

Visit us at

<http://www.greenclimate.fund/sap>

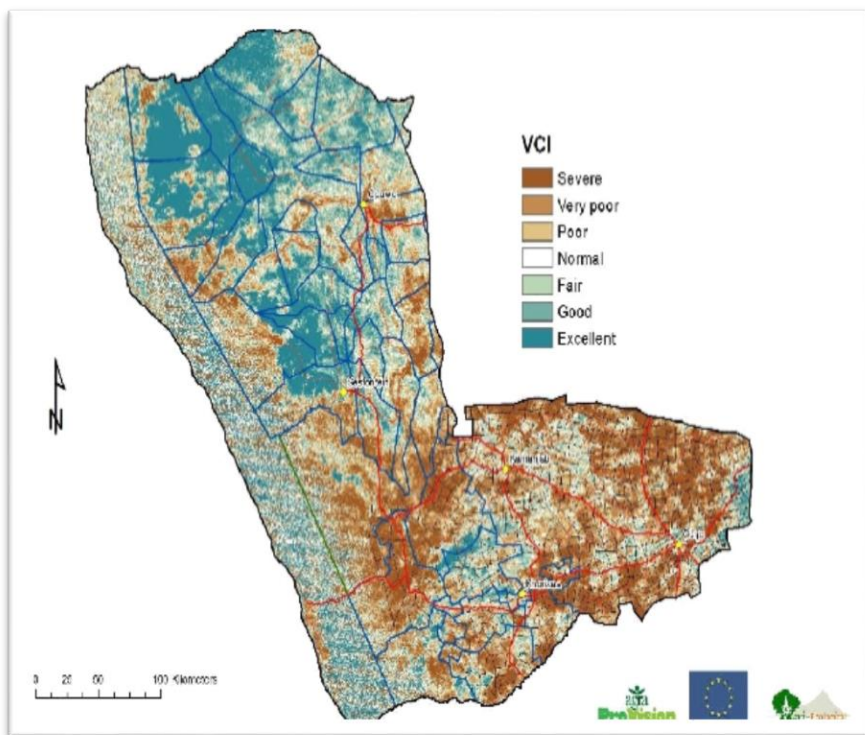


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SAP001 EIF Namibia

Adaptation (Impact areas A1 and A2) Agriculture/Water-DRR

- Component 1: Cost-effective investments in EWS
- Component 2: Ecosystem-based DRR for drought management adaptation actions
- Component 3: Knowledge and information support mechanisms



*Improving **rangeland and ecosystem management practices of smallholder farmers** under conditions of climate change in Sesfontein, Fransfontein, and Warmquelle areas of the Republic of Namibia*



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Enhancing Direct Access (EDA)



Objectives of EDA

Enhance country ownership

Promote fast tracking of direct access entities and support from the Readiness Programme

Empower NDAs and national/local institutions in the oversight and decision-making functions

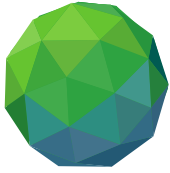


GCF Board Decision B.10/04

Enhancing direct access (EDA)

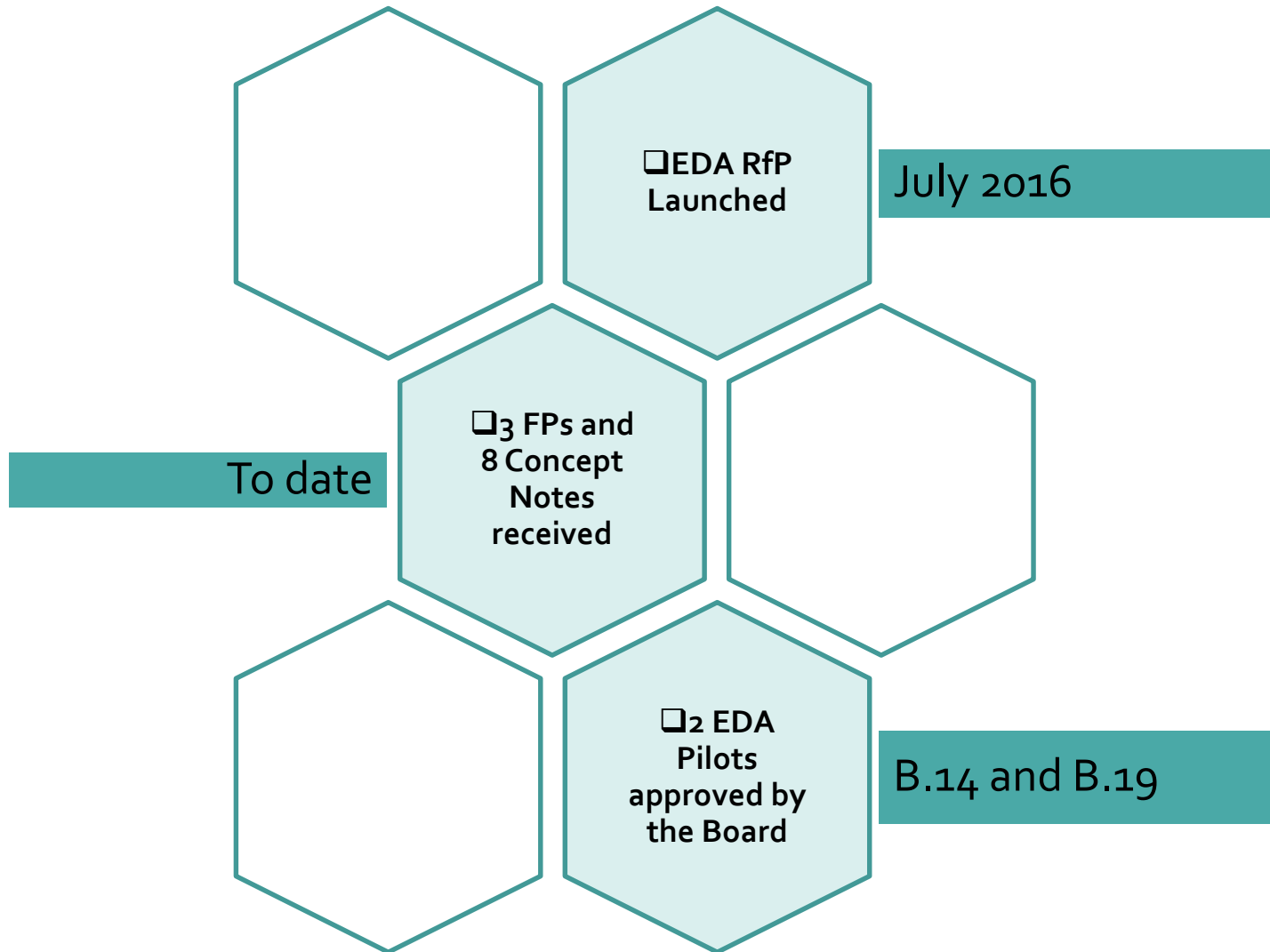


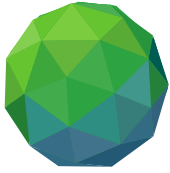
- ☐ \$200M allocation
- ☐ At least 10 pilots
- ☐ Min 4 SIDS, LDCs & Africa
- ☐ Accredited entities, prospective accredited entities and NDAs



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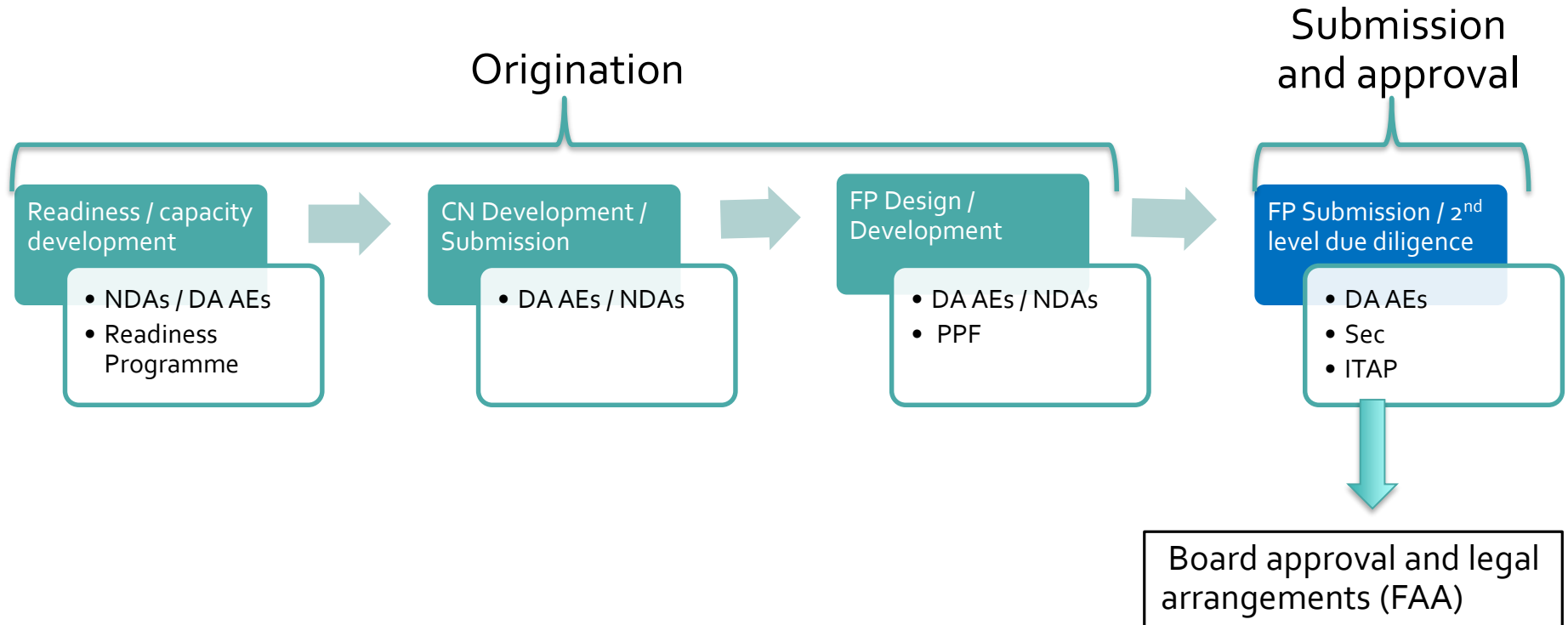
Status

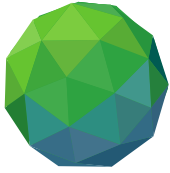




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EDA Process





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EDA ToRs Main Features

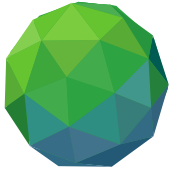
A decision-making body

A description of the implementation arrangements, approval process and selection criteria for activities

A composition of the oversight function

A multi-stakeholder engagement process

Grant-award and on-lending/blending capacities



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EDA ToRs

NDA

- Country's strategic frameworks
- Inviting relevant players to propose pilot proposals for consideration;
- Nominating the selected entities for accreditation;
- Participating in the appraisal and M&E of the Pilot FPs.

Oversight/Steering Committee

- Composition of a steering committee where the NDA and representative of the stakeholders are represented.
- SC functions: strategic guidance; review of reporting by the accredited entity; periodic field visits; regular communication with relevant stakeholders and the Fund.



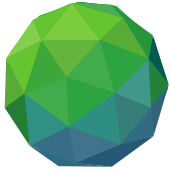
EDA ToRs

Accreditation

- Prospective DAEs can submit EDA CNs, for the submission of the EDA Pilot FP, the accreditation process shall be completed;
- Readiness can support the DA for the accreditation;
- Specialized functions for granting and lending are appropriate for the type of implementation; arrangements required by the EDA ToR.

Implementation

- EDA Pilots can cover any areas of the GCF RMF;
- Gender sensitive approach;
- Implementation arrangements will deliver through a series of local actors (e.g. through a facility);
- Stakeholders/beneficiaries consultation is at the center of the design process;
- Monitoring and reporting plan;
- Evaluation (mid-term and final).



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Indicative Contents of EDA Proposals

General

- Description of proposed activities and climate rationale
- A timeframe of implementation, including start date and duration
- Funding amount and financial instrument
- Applicable disclosure policy
- Risk Assessment and management
- Monitoring and evaluation

EDA features

- Approval process and selection criteria for sub-projects
- Implementation arrangement as per EDA ToRs
- Description of stakeholder consultation and engagement



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