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Initiating engagement with the GCF

Presenters:

Stéphanie Capdeville, Florence Richard & Kabishi Tshilumba

Second Structured Dialogue with Africa

3-6 April 2018

Bamako, Mali



Key outcomes

- Brief introduction to GCF and centrality of the role and responsibilities of the GCF NDA
- NDA resource kit to access GCF funds: The readiness and preparatory support programme, including support for adaptation planning
- NDA resource kit to access GCF funds: The accreditation process



Discussion outline

1. NDA responsibilities

Stéphanie Capdeville, Regional Advisor

2. Readiness

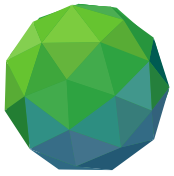
Florence Richard, Regional Advisor

3. Accreditation

Kabishi Tshilumba, Entity Relationship Specialist

NDA responsibilities

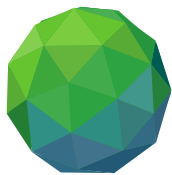
Stéphanie Capdeville, Africa Advisor



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The GCF vision

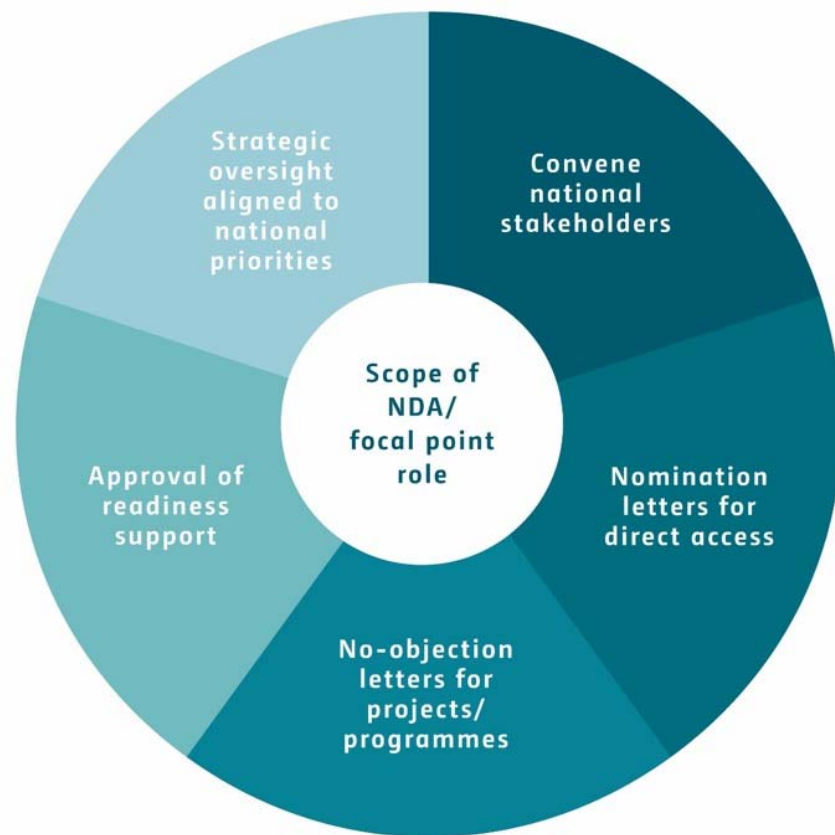
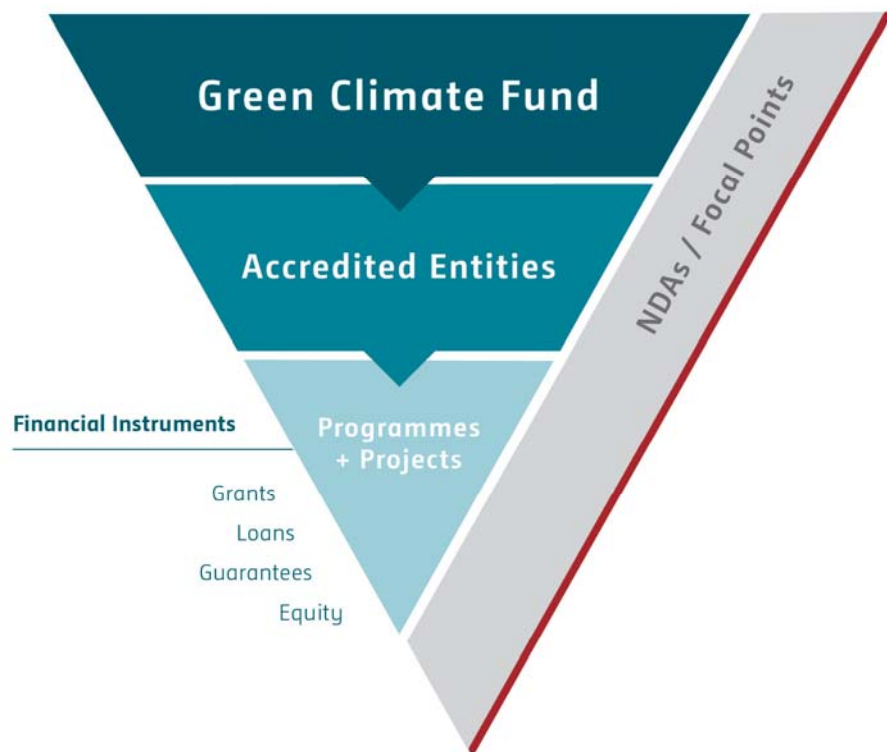


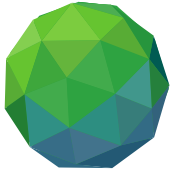


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Architecture & role of NDAs

GCF Architecture





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Engaging with GCF

Establish and maintain a National Designated Authority (NDA) or focal point

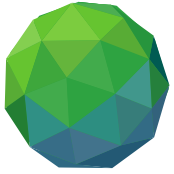
Strategic engagement through country programmes

Identify and seek accreditation of entities to access resources from the Fund

Develop projects and programmes to bring forward funding proposals through accredited entities

Readiness

Florence Richard, Africa Advisor



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Readiness: Four areas of support

1. NDA strengthening

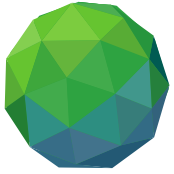
2. Strategic frameworks

3. Support for direct access entities

4. Adaptation planning processes

Up to USD 1M per
country per year

Up to USD 3M per
country
(not per year)



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Access modalities

Direct



NDAAs or focal points



To support core GCF
functions

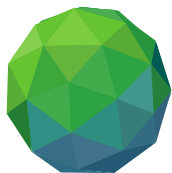
Delivery partner



International, regional,
national, sub-national



All areas



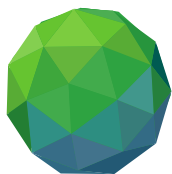
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Readiness support

\$80.8m approved for 108 countries



As of 14 March 2018



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Adaptation Planning Support

	Submitted	Approved + Endorsed	Pending resubmission by NDA	Pending comments from Secretariat
Proposals submitted	47	17 (11+6)	25	5
From LDCs	15	6 (5+1)	8	1
From SIDS	5	2 (1+1)	2	1
From Africa	23	7 (4+3)	14	2



As of 14 March 2018



Project preparation facility

What is on offer?

Support for project preparation leading to catalytic Funding Proposals

Especially for Direct Access Entities and micro-, small-sized projects

Up to USD 1.5M in grants, repayable grants or equity

How to apply?

Accredited Entities (especially Direct Access) submit requests

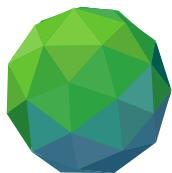
Request submitted with Project Concept and NDA no-objection

What is assessed?

Underlying project assessed against GCF investment criteria

Project preparation activities assessed against needs & policies

Approval by Executive Director



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Project Preparation Facility

48 requests covering 44 countries (with NOLs)



19 requests from
Direct Access
Entities

Requests involve 13
LDCs and
15 SIDs

6 approved
(5.18 USD)
+
5 endorsed (2.5M
USD)



16 pending resubmission
from AE with Secretariat
feedback;
20 pending further
Secretariat review

As of 14 March 2018

The accreditation process

Kabishi Tshilumba, Entity Relationship Specialist



What does the accreditation process entail?

The accreditation application includes the following sections:

1. Background and contact information of the applicant entity
2. Information on the ways in which the institution and its intended projects/programmes will contribute to furthering the country's climate strategies and action plans, in line with GCF's objectives
3. Information on the scope of intended projects/programmes and estimated contribution requested for an individual project or activity within a programme
4. Basic fiduciary criteria
5. Applicable specialized fiduciary criteria
6. Environmental and social safeguards (ESS)
7. Gender

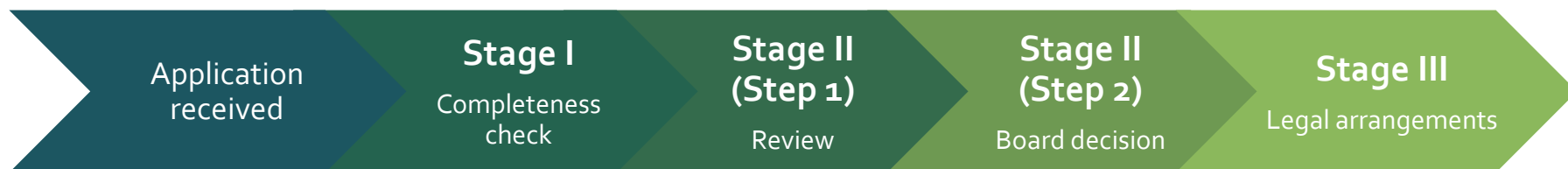
What you need to apply*

- ☑ **Nomination by your NDA(s) or focal point(s) (for subnational, national and regional entities applying under direct access)**
- ☑ **Intended projects/programmes (for information purposes)**
- ☑ **Evidence of how your organization can meet the GCF's fiduciary standards, ESS and gender policy**
- ☑ **Track record, which should include climate change-related projects/programmes and the fiduciary, environmental and social practices applied**

* This list is not exhaustive.



Robust and rigorous accreditation process



- Assuming all documentation is provided in a timely and complete manner, the applicant does not opt for readiness support while the application is being processed, and the applicant is found to meet all of the standards for which it originally applied for, the accreditation review and decision-making process (Stages I and II) is aimed to be completed within 6 months.
- For entities eligible for the fast-track accreditation process, the accreditation review and decision-making process is aimed to be completed within 3 months.
- Applications that are incomplete, or for which the standards that are applied for change, will generally require additional time during the process.
- Questions and requests for clarification may be sent to applicants at Stage I and Stage II (Step 1) of the accreditation process.
- The Secretariat and Accreditation Panel use checklists during the [Stage I check](#) and [Stage II review](#).
- Stage III Legal arrangements: the accredited entity and the GCF formalize their partnership via the Accreditation Master Agreement.



What readiness support is available for accreditation?

- Entities that apply under the [direct access modality](#) can at any time request for and may be eligible to receive **readiness and preparatory support** to meet the GCF's [accreditation requirements](#).
- Readiness support is available for:
 - Fast-track accreditation support for eligible entities
 - Awareness raising of accreditation processes and standards (e.g. fiduciary, environment and social safeguards, and gender)
 - Institutional gap analysis
 - Developing personalized capacity building plans to address identified gaps



Readiness support: institutional gap assessment and action plan



Objectives

Initial contact with entity and plan site visit

Gather information on the entity's fiduciary, ESS and gender management processes

Gather evidence to prepare gap assessment and action plan

Communicate where and what the gaps are, and provide specific actions the entity may choose to undertake to address the gaps

Receive feedback from the entity and understanding of next steps to proceed to apply for the accreditation process

Key activities

- Conduct 'kick off' telephone conference
- Engage with entity to confirm review scope and priorities
- Confirm key documentation requests and site visit plan with entity management

- Receive and review initial documentation from the entity (e.g. governance structures, policies and procedures)
- Review any relevant public information to understand the entity's track record
- Understand activities and product lines
- Understand key additional documents required for review
- Identify key management/staff to be interviewed during the site visit

- Conduct management/staff interviews
- Collect and review detailed documentation where available
- Discuss the fiduciary and ESS management approach with a sample of projects from the various portfolios (e.g. grants, loans, equity, guarantees, etc.)

- Prepare the draft the gap assessment and action plan for each entity
- Seek additional documentation or further clarification from the entity where required
- Revise and finalize gap assessment and action plan following feedback from the entity on the initial draft

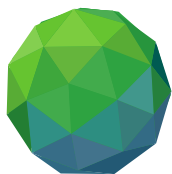
Outputs

- Site visit meetings plan

- Baseline documentation received and reviewed
- Confirmation of meetings with entity's management and site visit logistics

- Initial feedback and observations conveyed to the applicant

- Initial draft gap assessment and action plan to entity
- Final gap assessment and action plan to entity

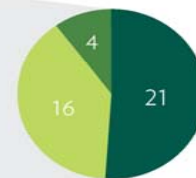
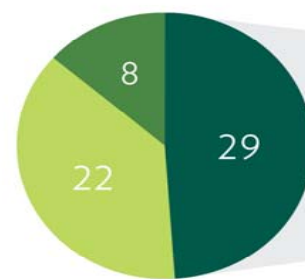
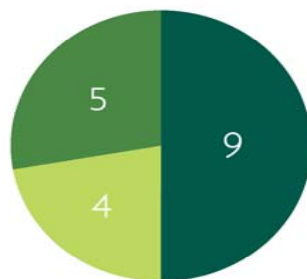
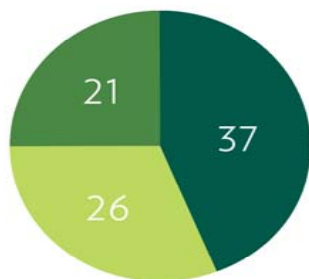
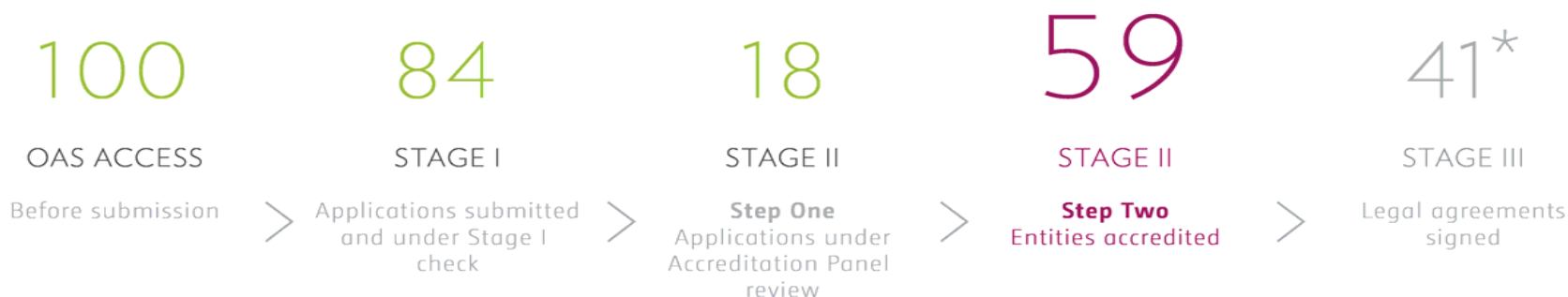


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Accreditation state of play

As of 28 February 2018

TOTAL PIPELINE OF ENTITIES SEEKING ACCREDITATION: 202



- Direct access entities
- Private sector
- International access entities

*Accreditation Master Agreements (AMAs) signed with Accredited Entities