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## Session 2

*Preparing adaptation project pipelines  
with strong climate rationale*

Moderated by Clifford Polycarp

- Understand the earth climate system and drivers of climate variability and change;
- Understand how climate information and early warning services are generated and applied to a range of decision timelines;
- Identify the range of climate information and early services for each of the GCF focus areas and sectors;
- Understand steps for establishing climate rationale in project design – determine what constitute a low-emission climate-resilient development project versus a traditional development project;
- Awareness and access to available GCF technical assistance and support to enhance the country's science capacity



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# Enhancing the Climate Rationale in the Design of GCF Funding Proposals

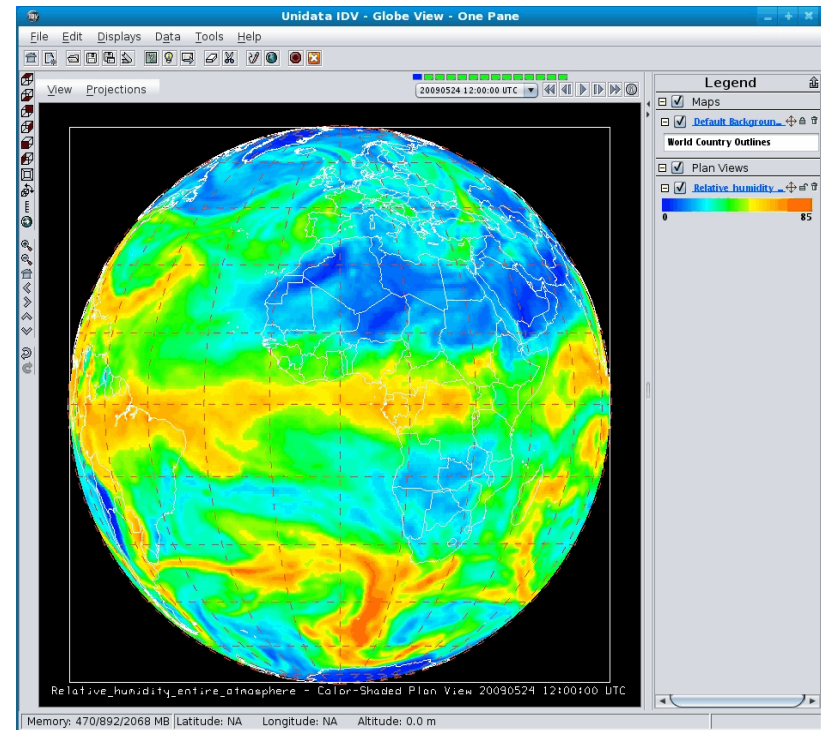
**Joseph Intsiful (PhD)**

Senior Climate Information and EWS Specialist  
Division of Mitigation and Adaptation

## 1. Background and Context:

- State of the global climate
- Climate extremes, disaster risk and sustainable development
- Framework for establishing a strong climate rationale

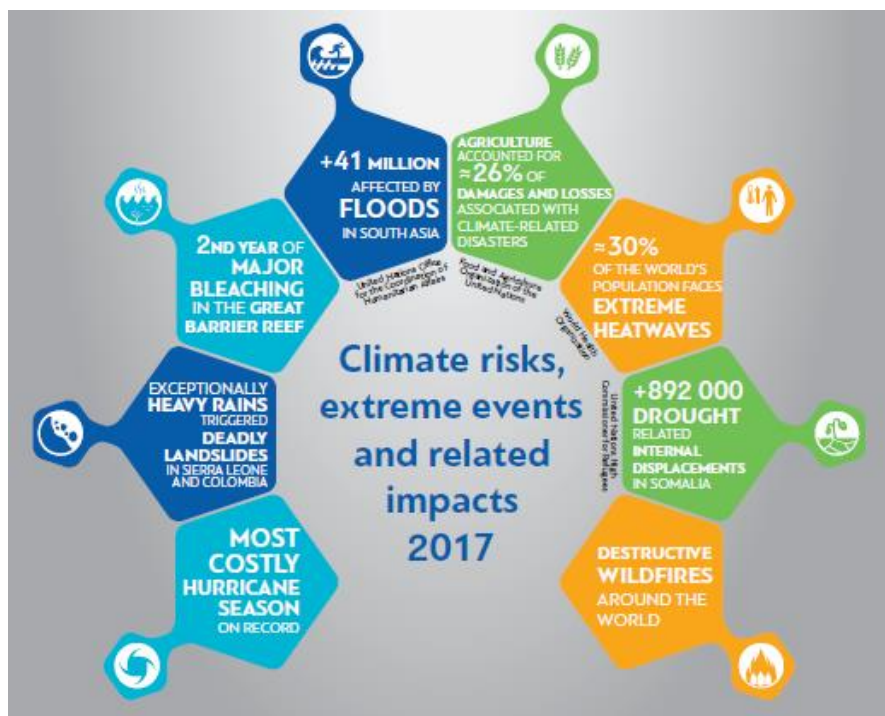
## 2. Secretariat Efforts for Enhancing Climate Rationale in Project Design





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# Climate Extremes and Related Disasters are on the Rise

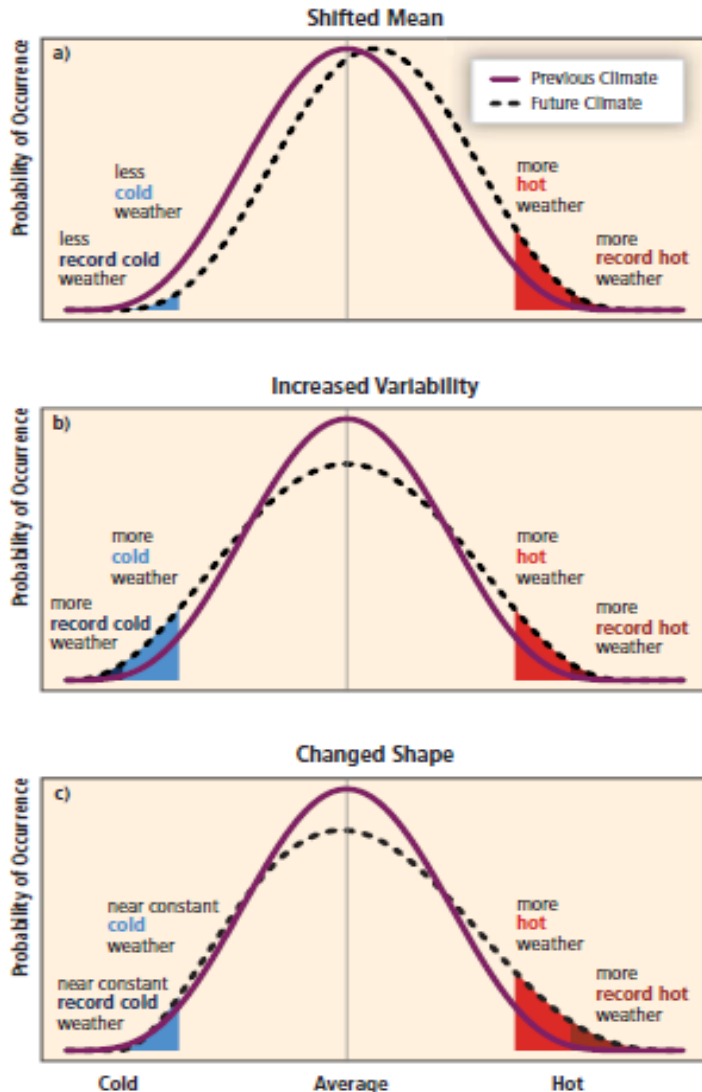


WMO Statement on the State of the Global Climate for 2017 (WMO-No. 1212)



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# Climate Change is causing changes in Distribution of Extreme Climates

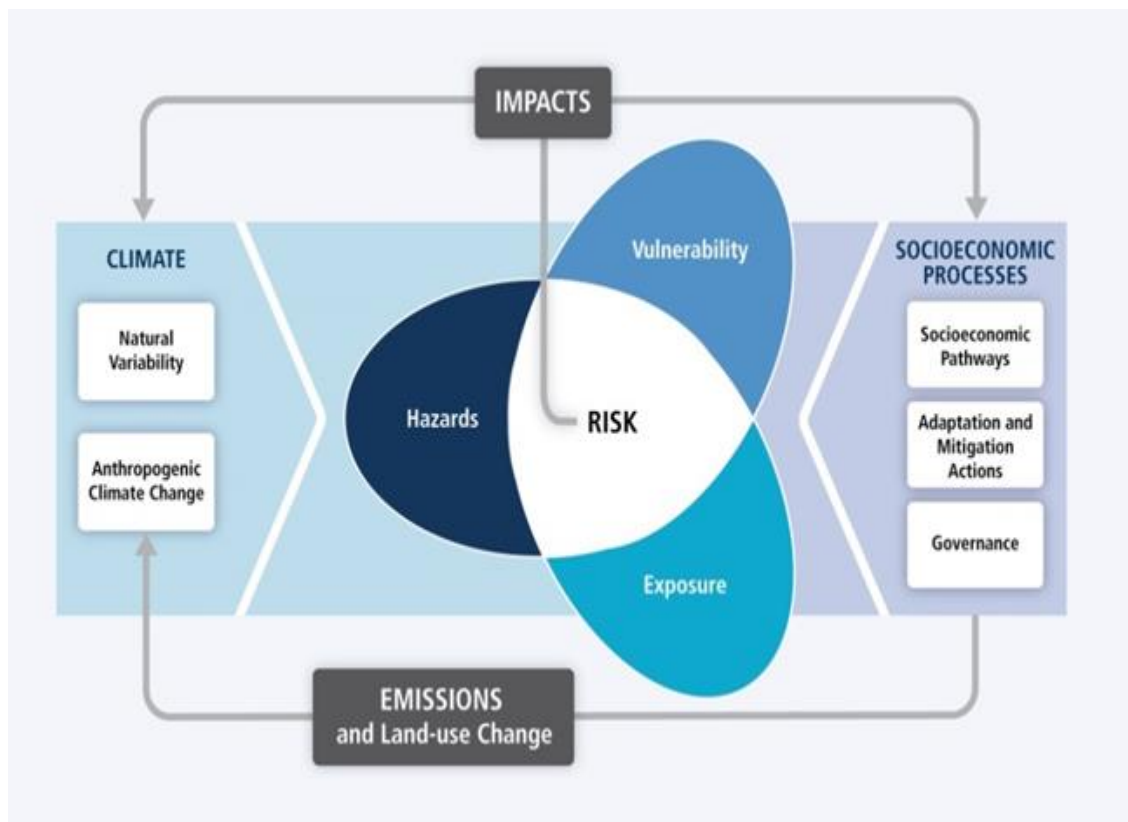


A changing climate leads:

- Threshold changes in mean, variability and extremes
- Changes in frequency, intensity, spatial extent, duration of extreme climates
- Timing of extreme events can result in unprecedented extreme climate events.

# Key Concepts: Disaster Risk, Climate Change and Low-Emission Climate-Resilient Development

Climate disasters occur when **extreme climatic events** interact with **vulnerable social, economic and environmental conditions** leading to **severe alterations** in normal functioning of a community or a society.

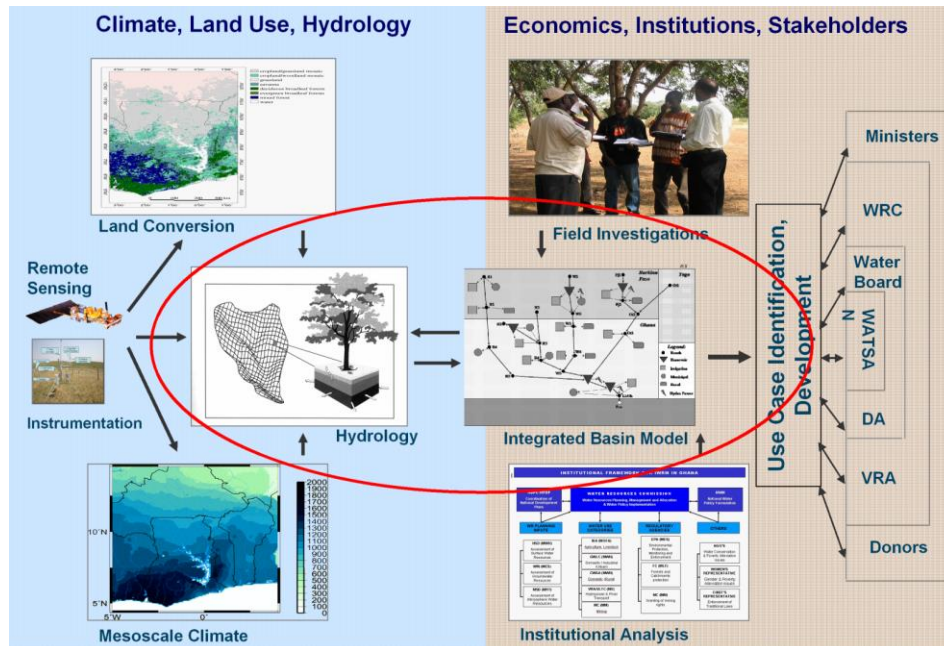


- **Disaster risk** – intersection of exposure, vulnerability and hazard/extreme events
- Climate events affect vulnerability to future extreme events by modifying resilience, coping capacity, and adaptive capacity



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# Establishing Robust Climate Rationale



*Decision Support System for transboundary Volta Basin  
(Source: GLOWA-Volta Basin Project)*

- Credible science, robust assessment of impacts and disaster risks (IPCC)
- A set of optimal interventions that comprehensively addresses underlying climate risks
- Integrating interventions into decision-making for long-term low-emission climate resilient development



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# Project Development Process

## Establishing the climate case

### Step 1: Climate driver

Understanding the earth climate system and its drivers

### Step 2: Hazard

Understanding how climate services are generated and applied for adaptation planning

### Step 3: Impacts, exposure, vulnerability and risks

Understanding/identifying climate impacts, exposure, vulnerability and risks. Understanding how risks are derived from hazard, exposure and vulnerability

### Step 4: Problem identification and analysis

Defining core problem based on climate rationale as a starting point for project design

### Step 5: Transformation of problem to project objectives

Reversing negative statements from the problem analysis into projects objectives and desired effects

### Step 6: Creation of theory of change

Creating theory of change tree to lay out a detailed strategy to achieve expected results

### Step 7: Development of Logical Framework from theory of change

Translating the theory of change tree into projects' goals, outcomes, outputs and activities

### Step 8: Concept note development

Understanding how a proposed design fit into GCF Project idea/concept

## Developing interventions

### 1) Climate Science Basis

Scientific underpinning for evidence-based climate rationale and theory of change of all GCF funded projects and activities

#### Adaptation

**2a)** Climate impacts the project/programme aims to address

**2b)** Vulnerabilities and risks of these climate impacts to human wellbeing

#### Mitigation

**2c)** Emission trajectory for the relevant country and sector

**2d)** Potential pathways to shift projected emissions trajectory

**3)** Prioritized interventions for addressing barriers based on analysis of available options

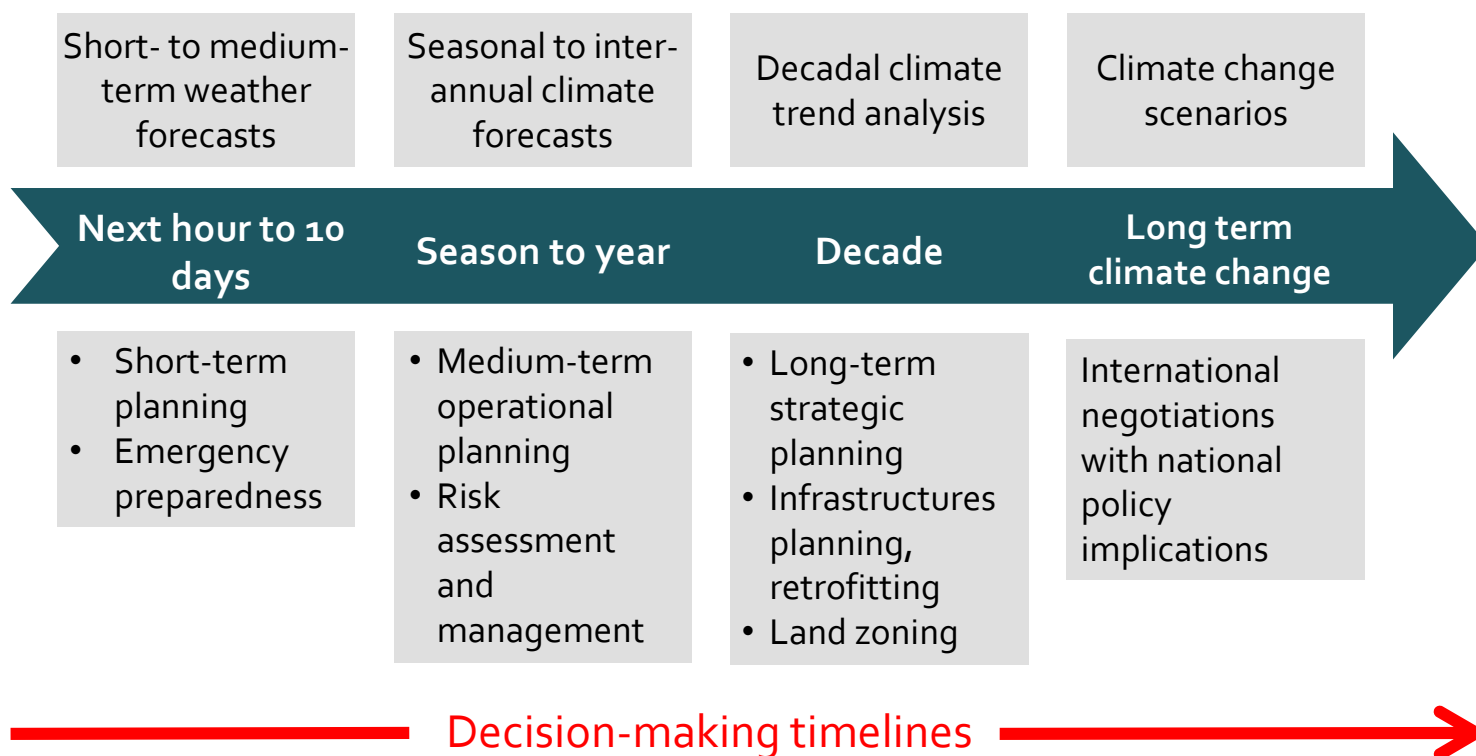
**4)** Integration into broader domestic and international policy and decision-making processes

Steps to enhance the climate rationale of GCF supported activities (*document GCF/B.21/Inf.08*)



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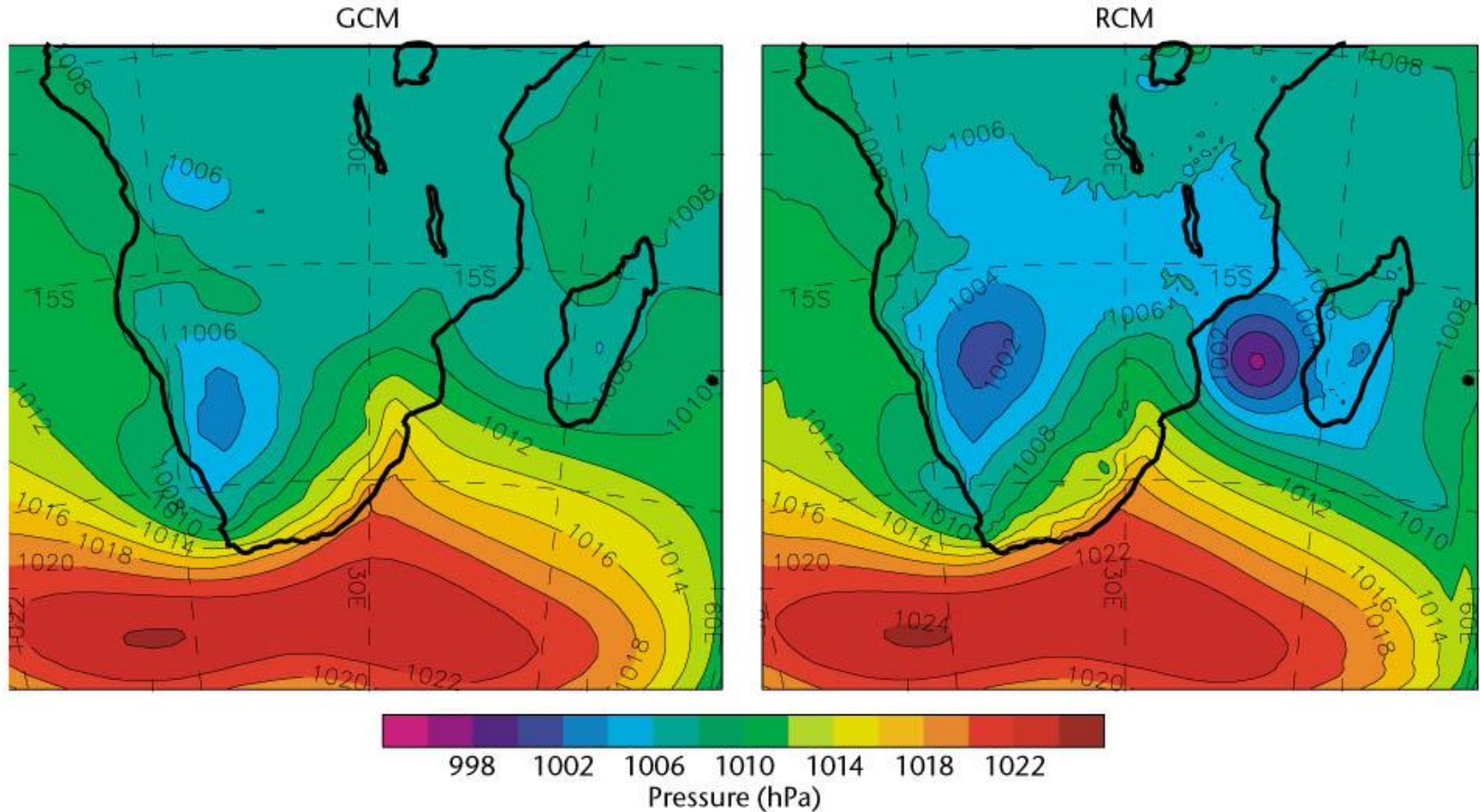
# Climate Services for Climate-Resilient Development Planning





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# Relevance of High-Resolution Climate Models



# Mandates for Enhancing Climate Science and Rationale into Decision-Making Processes

## Paris Agreement

Sub-paragraph 7(c) mentions: "*..strengthening scientific knowledge on climate, including research, systematic observation of the climate system and early warning systems, in a manner that informs climate services and supports decision-making...*"

## At B.07

Board [Decision B.07/04](#) (b) (iii) mentions the need for: "*... increased generation and use of climate information in decision-making..*"

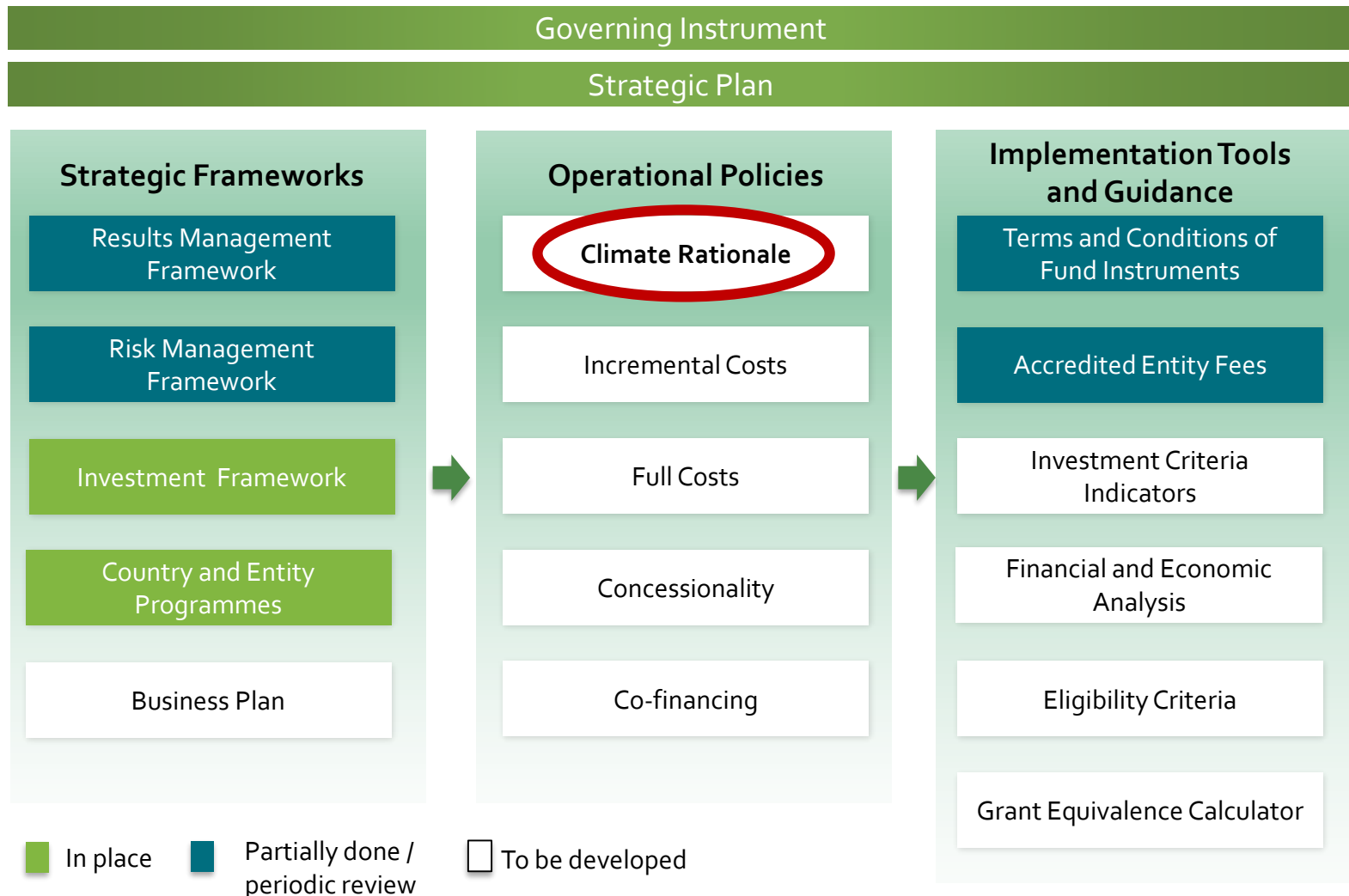
## At B.19

The Board called on the Secretariat to develop an integrated approach to enhance the climate rationale of GCF-supported activities ([Decision B.19/06](#)).



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# GCF's Integrated Approach to Resolving Interrelated Issues





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# Secretariat's Work on Enhancing Climate Rationale



## Objective

Develop the concept, scientific methodology, guidelines, data and other technical resources, and an implementation approach for enhancing the climate rationale of all GCF-financed projects and activities



## Value Proposition

- Provide the means for analysis as well as inputs that can strengthen the articulation of the climate rationale in country programs and GCF funded activities and investments
- Promote climate information development and improve project climate rationale
- Provide technical assistance to entities and NDAs in designing of Concept Notes and Funding Proposals



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# Expected Outcomes

(1 of 2)

## Better GCF projects

- Climate risk-proofing of GCF investments
- Climate effectiveness – Value for investment
- Improve the quality of GCF funded activities based on objective, scientific, evidence-based, data-driven conclusions and analysis
- Robustness of climate information at project scale

## Alignment to mandate

- Focus on climate change vs. development (transformational projects)
- Incremental and full costs of proposals
- Concessionality
- Co-financing

## Addressing of country priorities

- Better and evidence-based country climate priority setting as reflected in NDCs – linked to the Paris Agreement Global Stocktake 2020
- Input to IPCC reports

## Strengthening of country capacity

- Better country capacity on climate analysis and delivery of climate services
- Strengthen National Meteorological and Hydrological Services (NMHS)
- Business driven, hands-on, capacity building for climate services provision



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# Expected Outcomes

(2 of 2)



## Enhanced availability of data and science on climate rationale

- Climate rationale based on internationally acknowledged best data and science
- Providing appropriate interpretation of large volumes of data
- Determination of appropriate response options based on objective interpretation of data (prioritization criteria)



## Impact beyond the GCF

- Global public benefit

## Simplicity for countries and entities

- Climate rationale concept, methodology, and GCF guidelines easily understood and applied
- Translating vast amounts of data and science into simpler, understandable ways for non-climate science policy makers to make decisions.



## Common standards

- Headline indicators that can be used by all countries and projects
- Context-specific indicators related to 8 GCF results areas



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# Q&A



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# Climate indices for adaptation

Strengthening your climate adaptation rationale

Nicholas Herold (borrowing from the work of many others)  
WMO



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1. *Scientific framework of the climate rationale*
2. *Climate indices*
3. *ClimPACT2*
- ~~4. *The need for good data*~~



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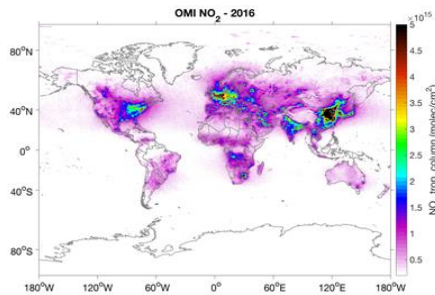


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# Scientific framework of the climate rationale



**Global  
climate  
indicators**

State of the  
climate system

**Sector  
specific  
indexes**

Socio-  
economically  
relevant sectors

**High impact  
events**

Widespread,  
multi-sectoral  
impacts



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# Climate information can aid decision-makers at all levels

Short to medium  
term weather  
forecasts

Seasonal to inter-  
annual climate  
forecasts

Decadal climate  
trend analysis

Climate change  
scenarios

Next hour to  
10 days

Season to year

Decade

Multi-decade  
to centennial



Decision-making timelines

MANAGE  
DISASTER RISK

SECTORAL  
DEVELOPMENT

ADAPTATION  
PLANNING

PLAN FOR  
FUTURE RISK



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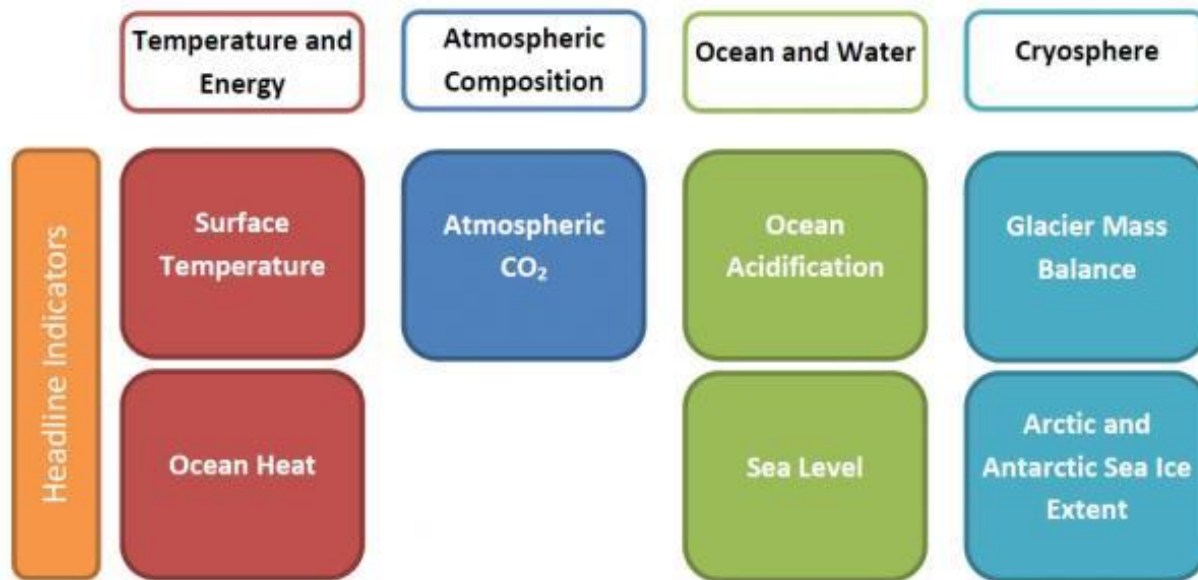


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# Global climate indicators



- Seven parameters that describe the changing climate.
- Established through the WMO.
- Meant for public consumption without being overly simplistic.



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# Climate indices

1. What is a climate index and how are they helpful?
2. Sector-specific climate indices



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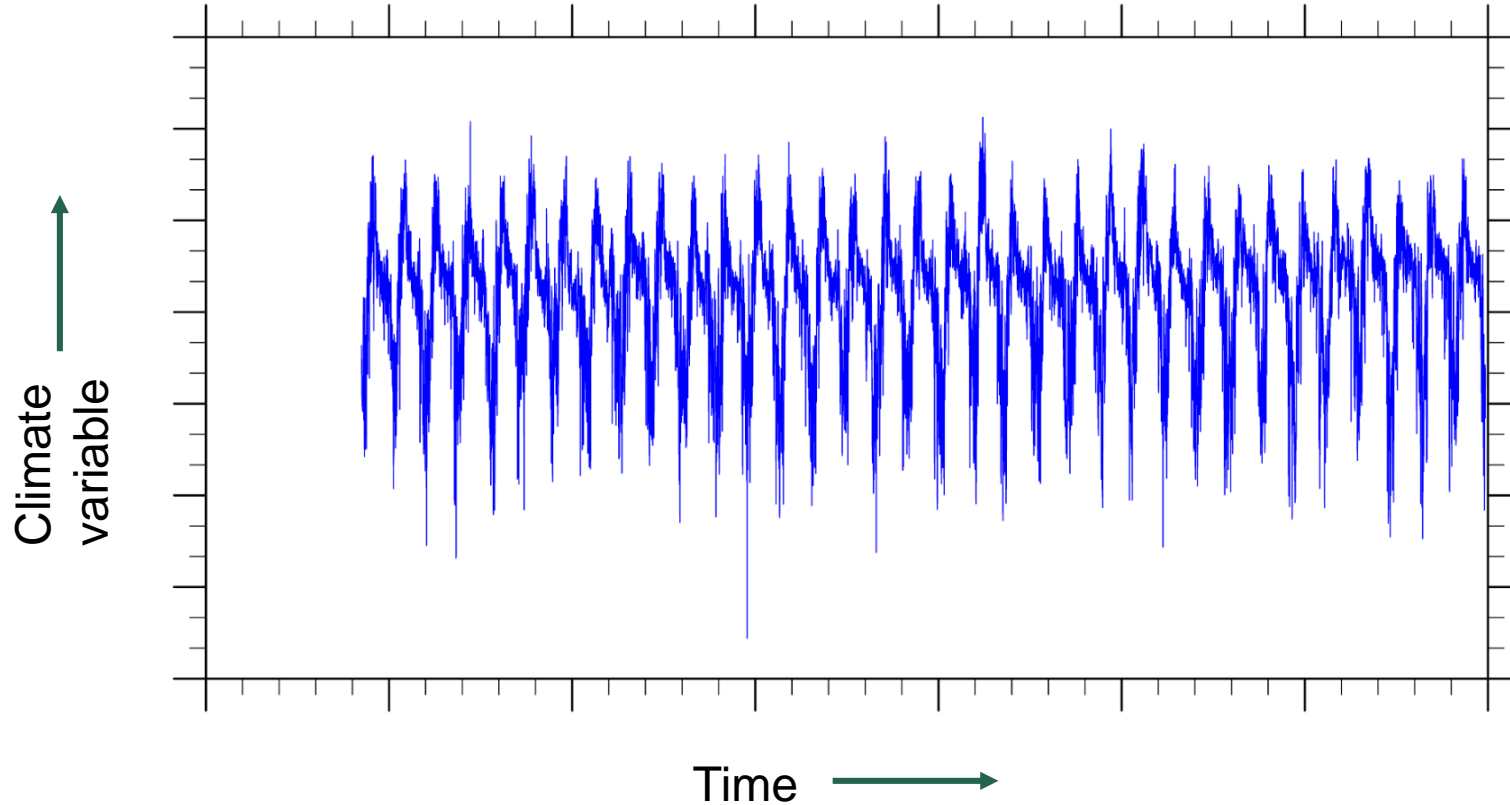


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# What is a climate index?





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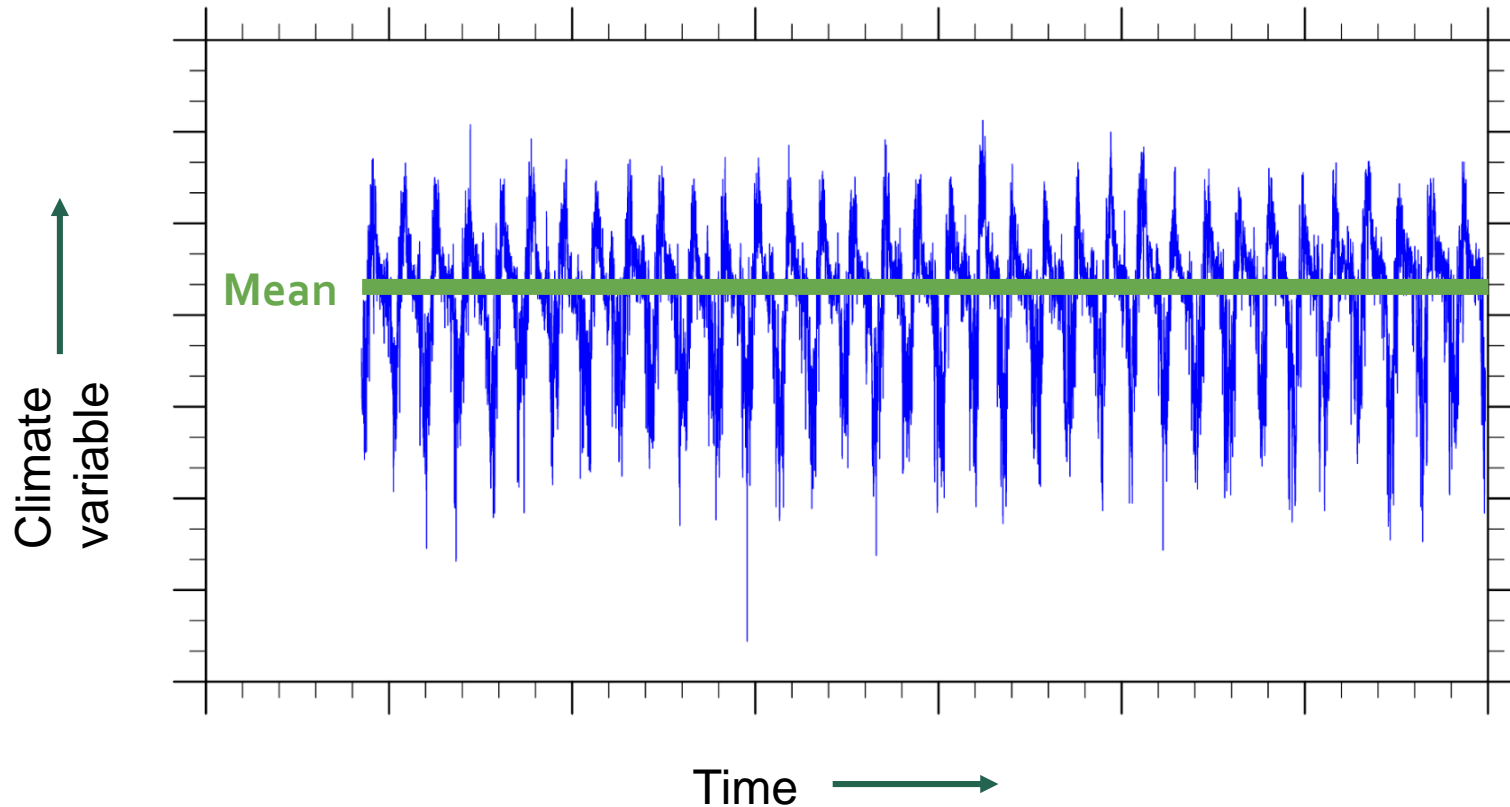


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# What is a climate index?





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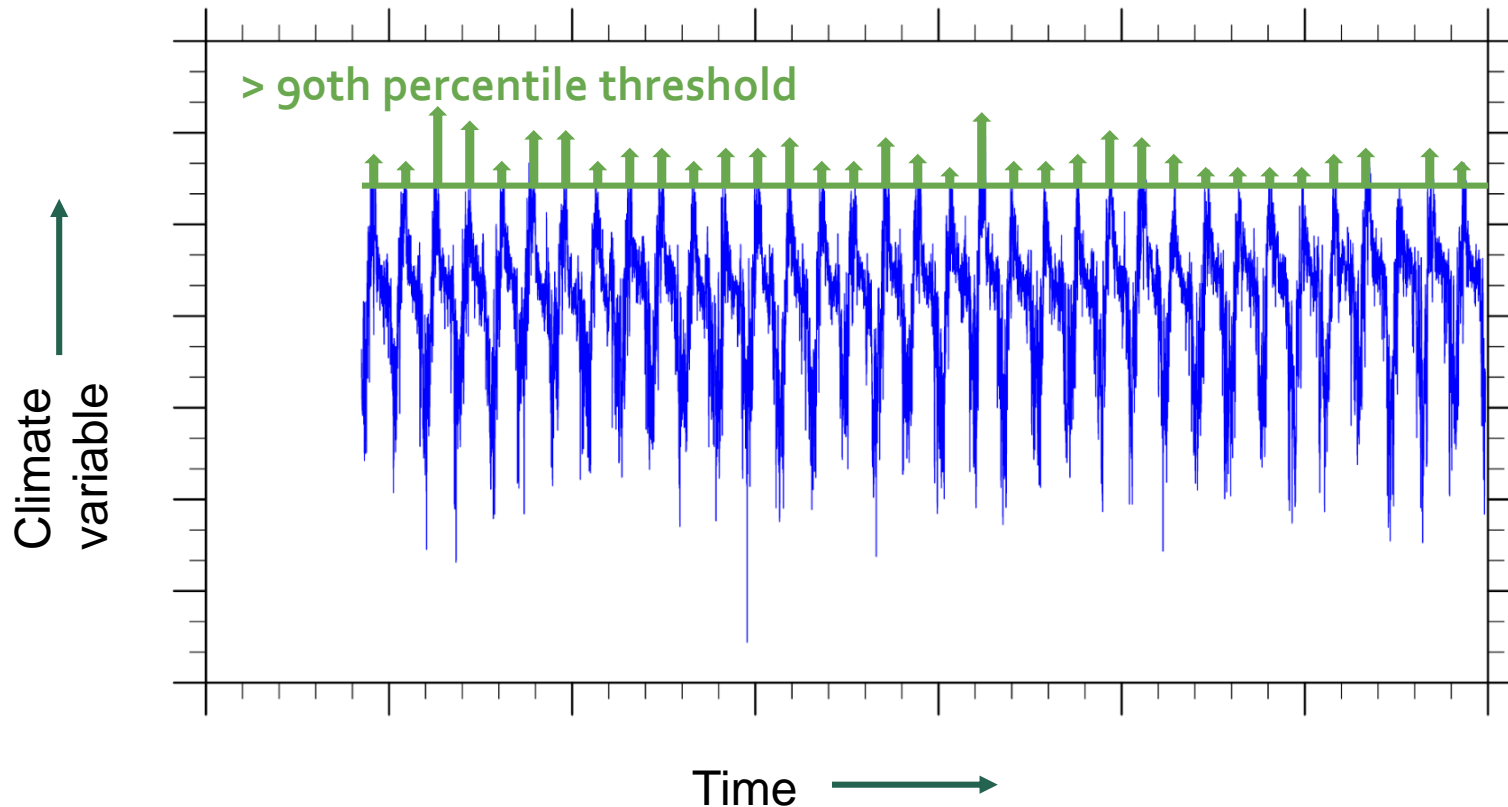


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# What is a climate index?





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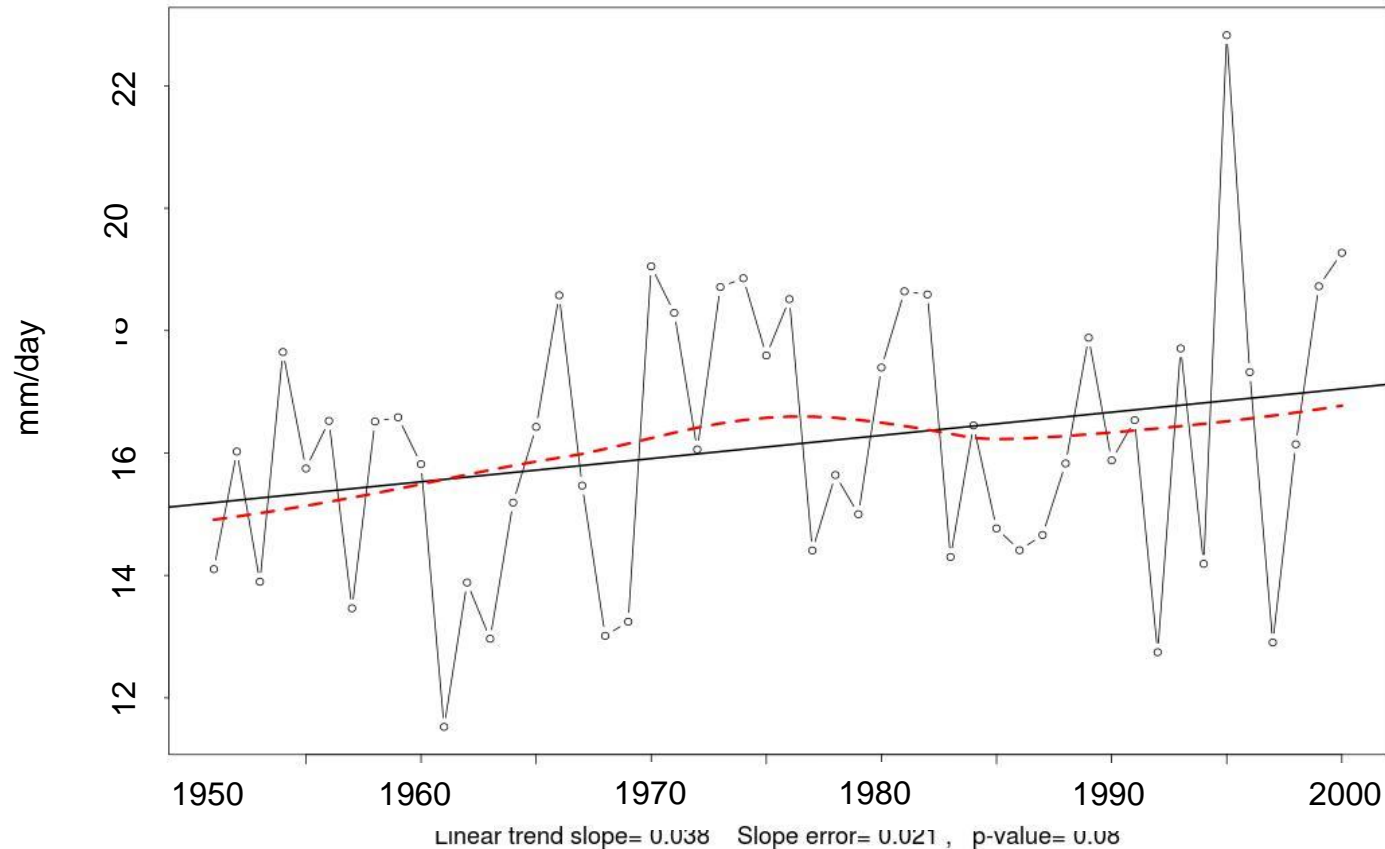


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# Example: average rainfall on rainy days

**Station: Legaspi [13.13° N, 123.7° E]**

Index: sdii. Annual total precipitation divided by the number of wet days (when total precipitation  $\geq 1.0$  mm)





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# Means vs Extremes



Drought in South Africa



Heatwave  
melting  
pavement in  
India

Typhoon in  
the  
Philippines



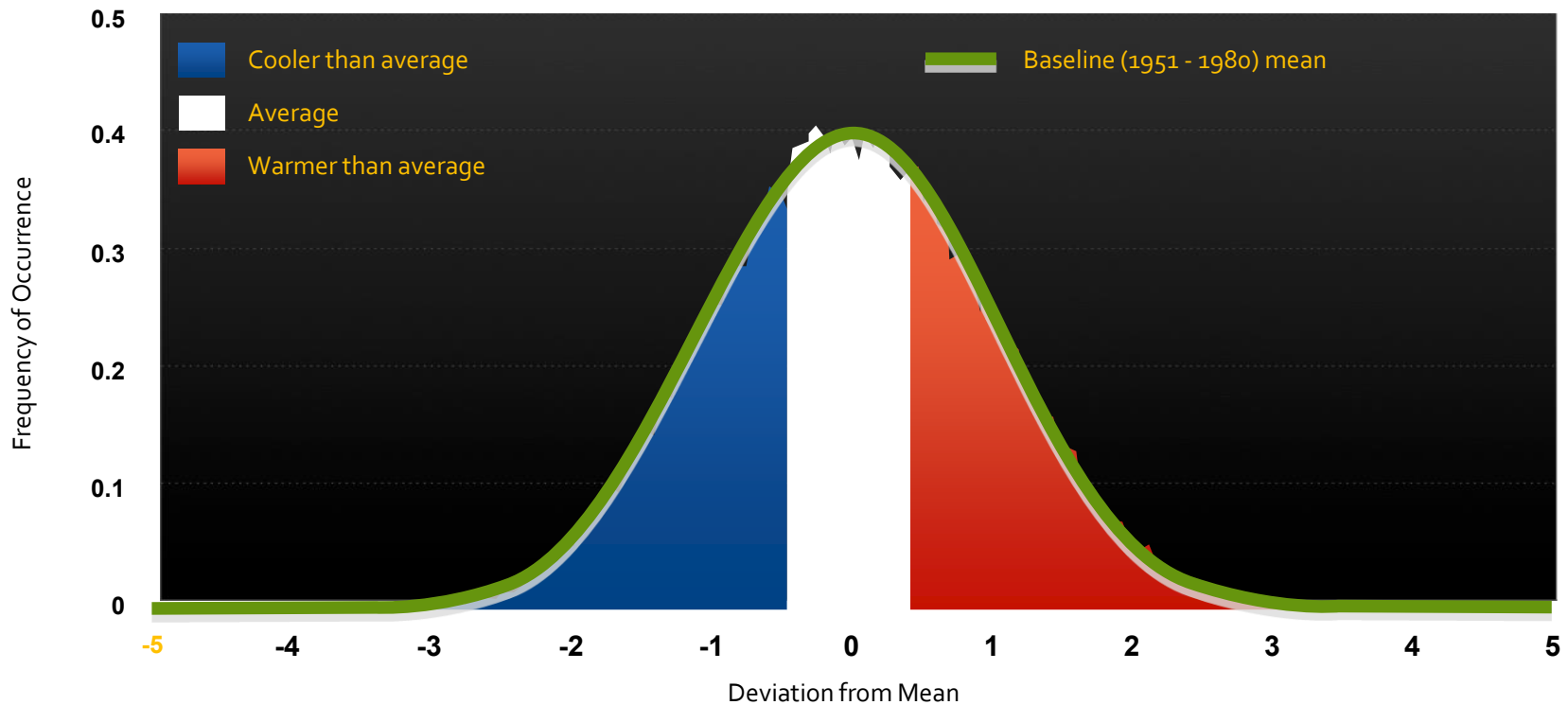
“rain bomb” in  
the USA





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# Summer Temperatures 1951–1980



Source: NASA/GISS; Hansen, et al., "Perceptions of Climate Change," Proc. Natl. Acad. Sci. USA 10.1073, August 2012



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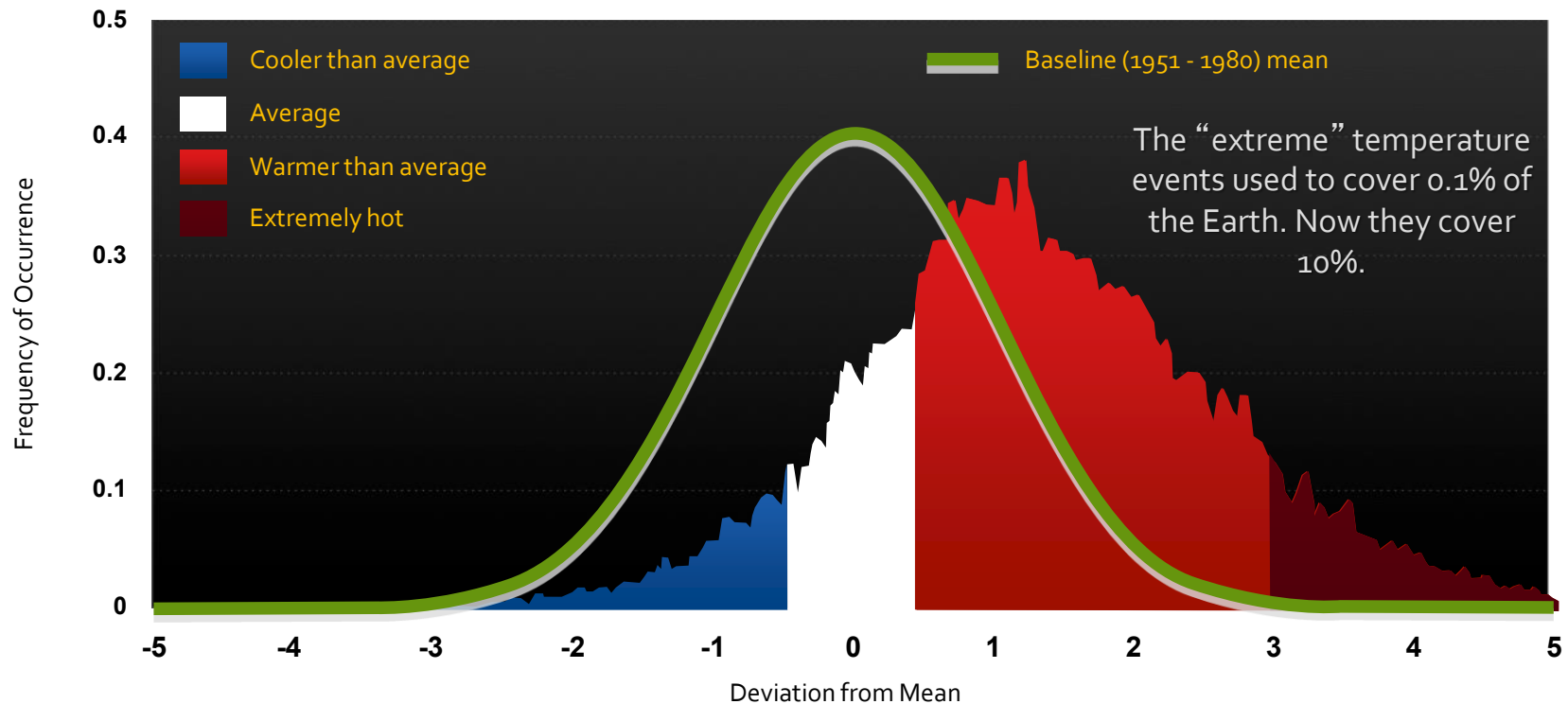


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# Summer Temperatures 2001–2011



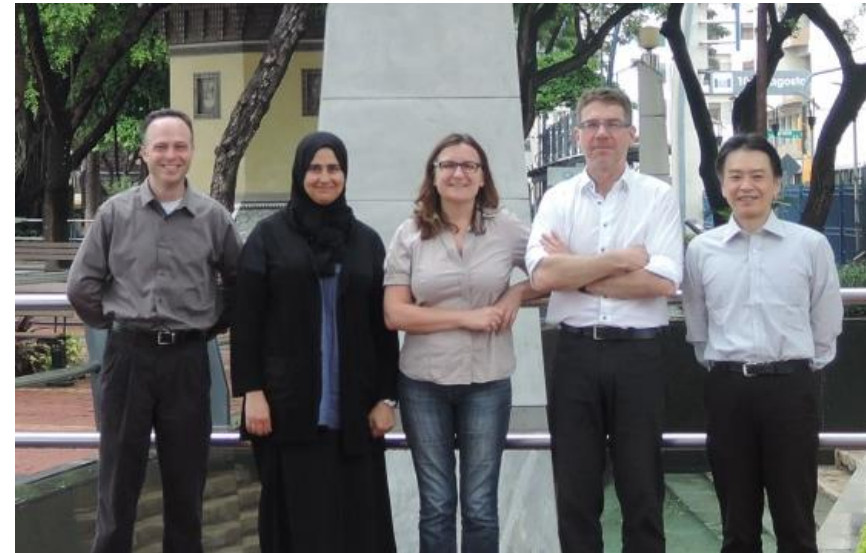
Source: NASA/GISS; Hansen, et al., "Perceptions of Climate Change," Proc. Natl. Acad. Sci. USA 10.1073, August 2012



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# Sector-specific indices by the ET-SCI

- The Expert Team on Sector-specific Climate Indices (ET-SCI).
- Established by WMO to develop sector-relevant indices that can be;
  - Applied across a wide number of sectors
  - Applied across a wide number of regions
  - Flexible according to needs of sectors
  - Used to understand historical changes as well as make useful future predictions
- Not an easy task!
- Currently over 60 indices.
- Only based on temperature and rainfall.

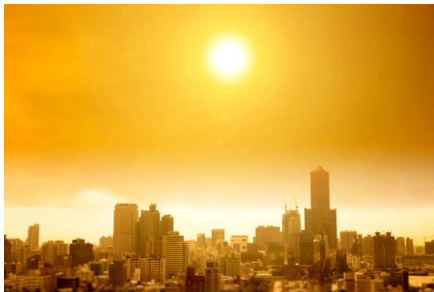


*The expert team on sector-specific climate indices.*

<http://www.wmo.int/pages/prog/wcp/ccl/opace/opace4/ET-SCI-4-1.php>

## Examples of sector-specific indices

- **Drought indices:** Standardised Precipitation Index (SPI), maximum consecutive dry days.
- **Heatwave indices:** Multiple definitions including the Excess Heat Factor (EHF).
- **Extreme rainfall indices:** Maximum 1 day rainfall, maximum 5 day rainfall.
- **Agricultural indices:** Growing Season Length (GSL), multiple temperature thresholds.





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# ClimPACT2

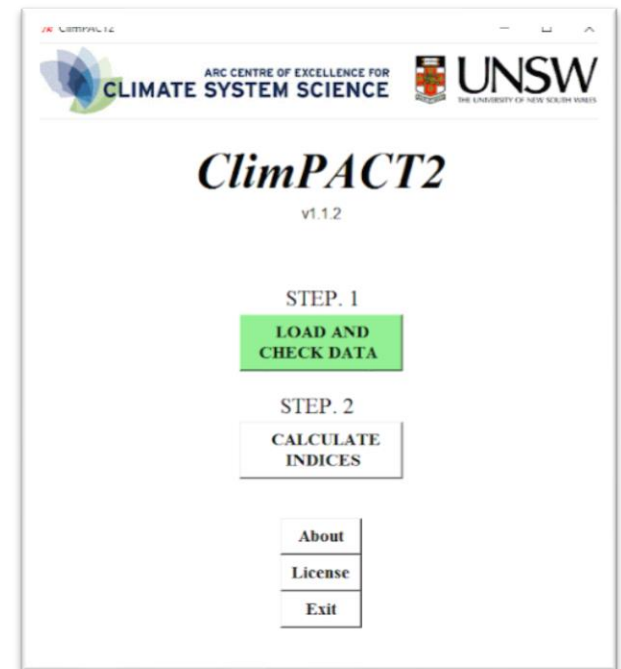
1. What does the software do?
2. How to get it and training with the World Meteorological Organisation

# ClimPACT2

- Software package developed at UNSW using the R programming language.
- R and ClimPACT2 available for free.
- Calculates over 60 climate indices and produces over 140 files.
- ClimPACT2 is a collaborative effort.



<https://www.r-project.org/>



<https://github.com/ARCCSS-extremes/climpact2>

# Current capabilities of ClimPACT2

Read in daily temperature  
and precipitation

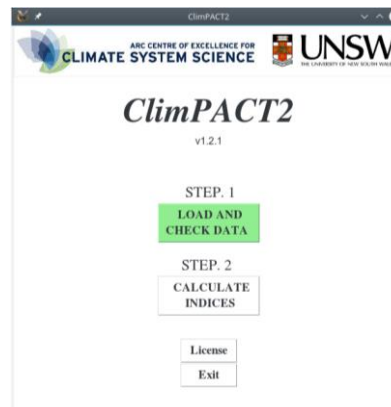
Text data for a  
single location

1971	6	14	0	15.8	7.2
1971	6	15	30.5	13.9	9.4
1971	6	16	28.2	14.3	10.5
1971	6	17	2	16.6	10.5
1971	6	18	0	18.1	10.4
1971	6	19	0.3	19.3	10.2
1971	6	20	0	19.4	9.6
1971	6	21	0	18.2	6.2
1971	6	22	0	17.2	8.9
1971	6	23	0	15.9	6.2
1971	6	24	0	15.2	6.6
1971	6	25	0	15.5	5.5
1971	6	26	0	16.8	7.1
1971	6	27	0	18.8	9.9

Spatial data  
for a region

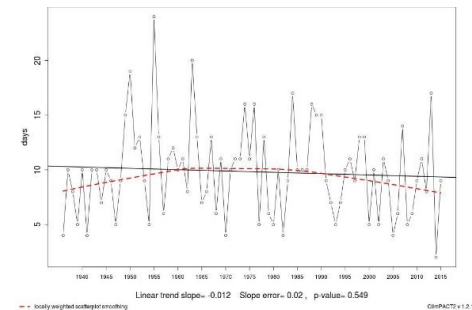


CLIMATE\_DATA.nc

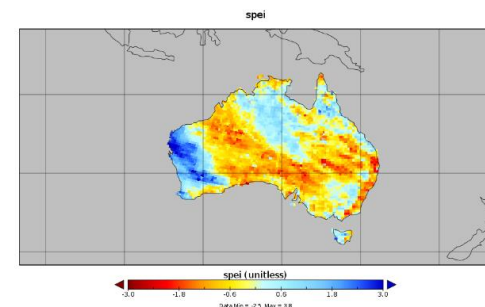


Output monthly and  
annual indices

Time-series output

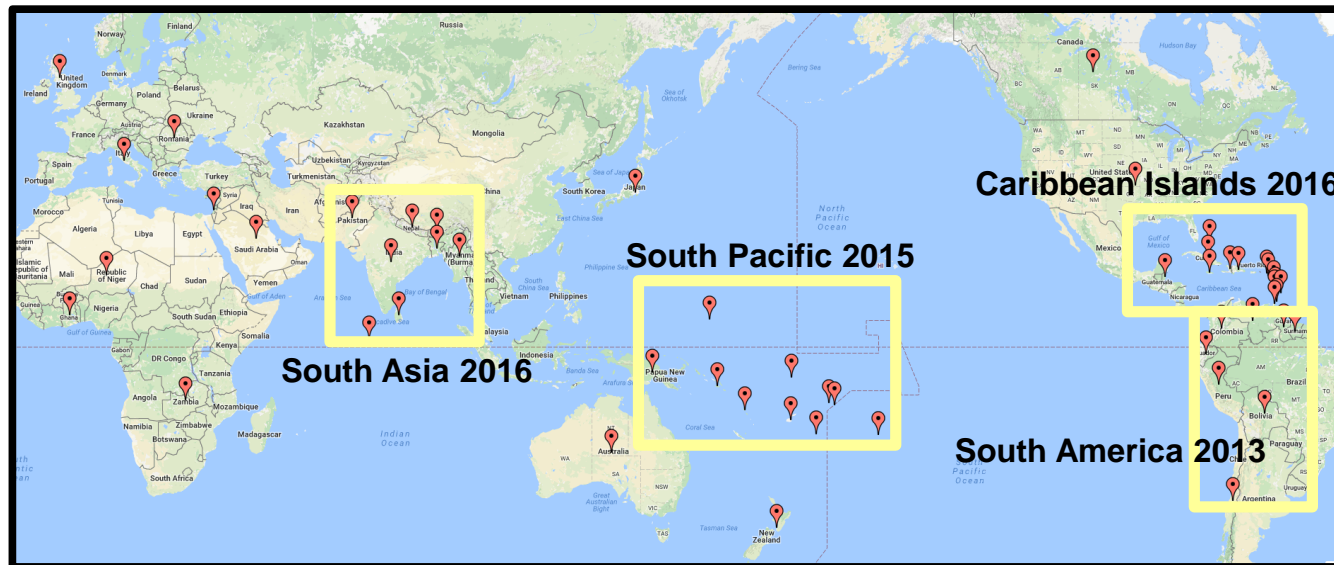


Gridded output



# ClimPACT2 user base

- 1) Sector specialists and national meteorological/hydrological services.
- 2) Scientists.
- 3) Private enterprise.



*Current ClimPACT2 users by country*

<https://github.com/ARCCSS-extremes/climpact2>

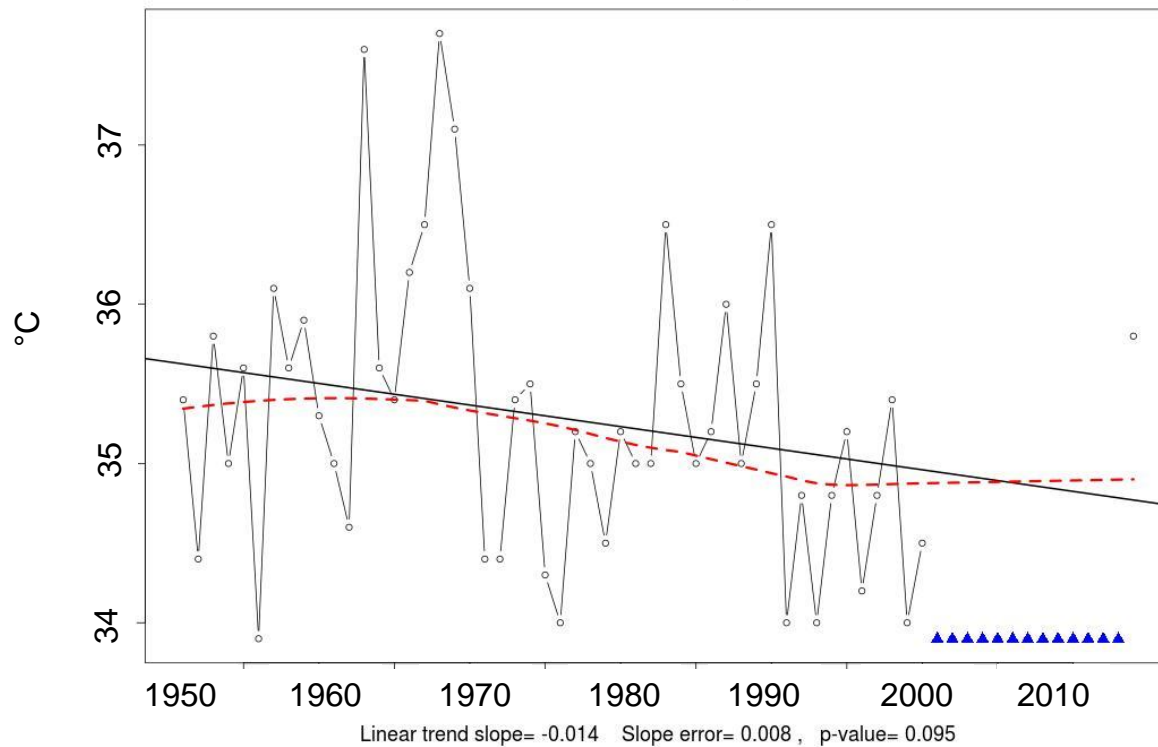


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# Example: hottest day each year

Station: Legaspi [13.13°N, 123.7°E]

Index: bx. Annual warmest daily TX





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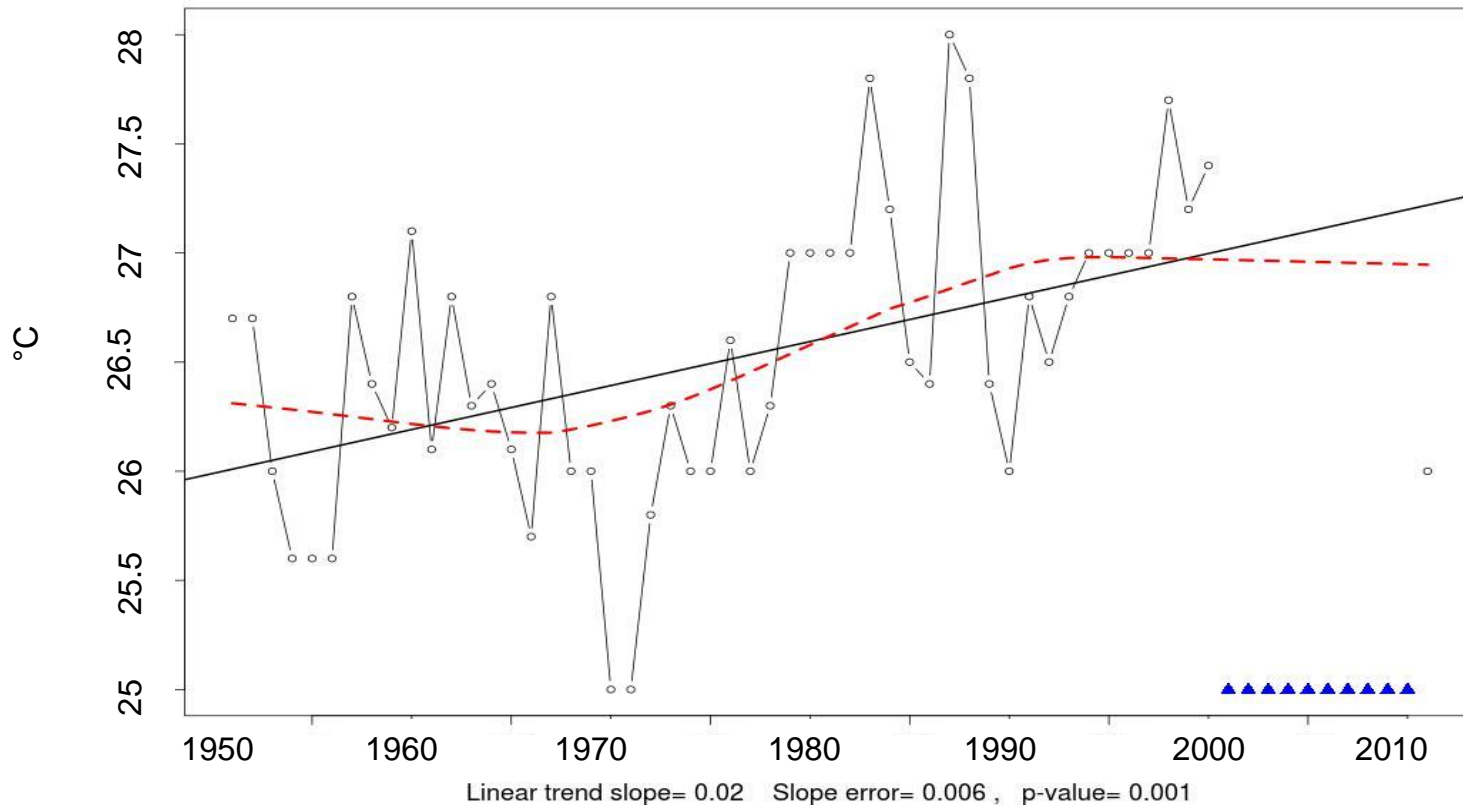


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# Example: hottest night each year

Station: Legaspi [13.13° N, 123.7° E]

Index: tn<sub>x</sub>: Annual warmest daily TN





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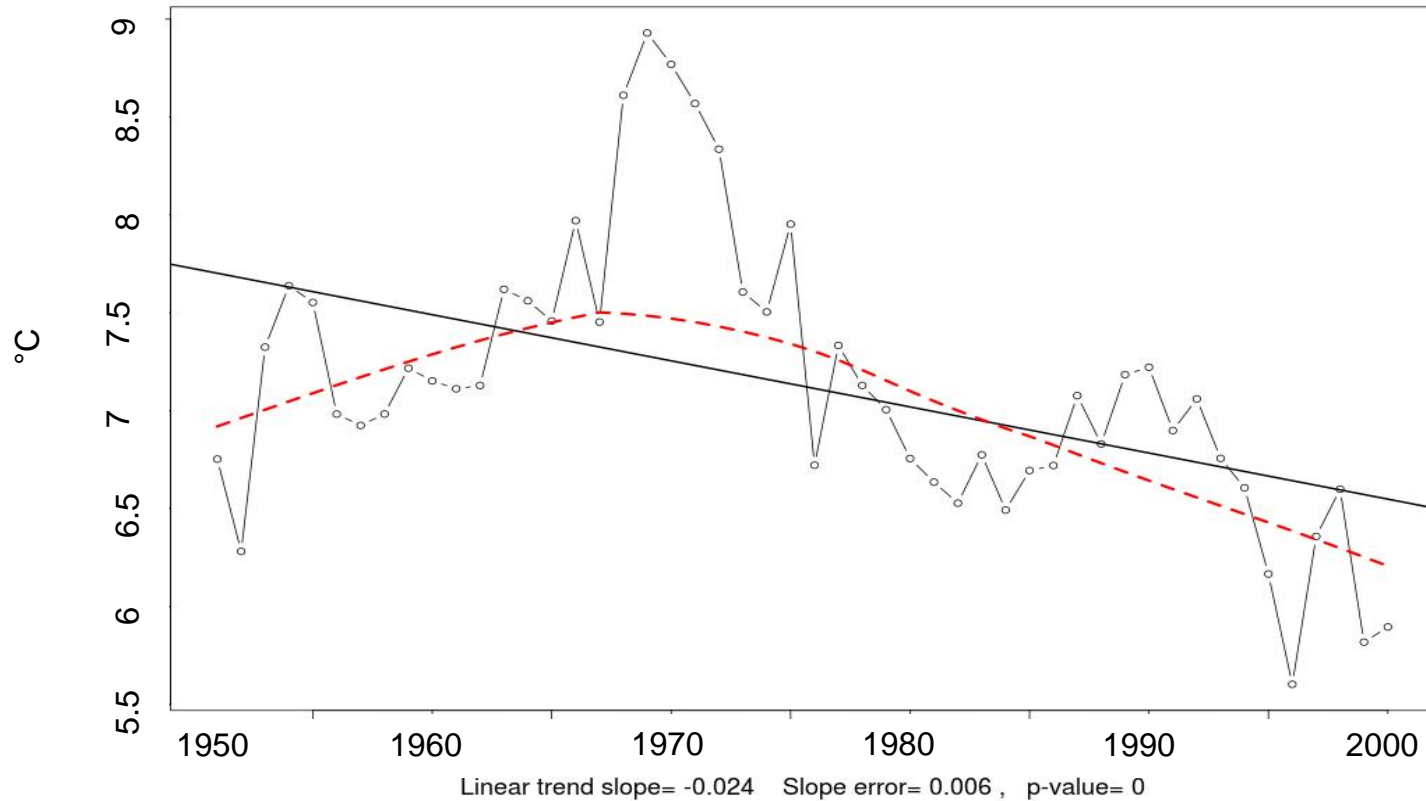


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# Example: average day-night temperature difference

Station: Legaspi [13.13° N, 123.7° E]

Index: dtr. Mean annual difference between daily TX and daily TN





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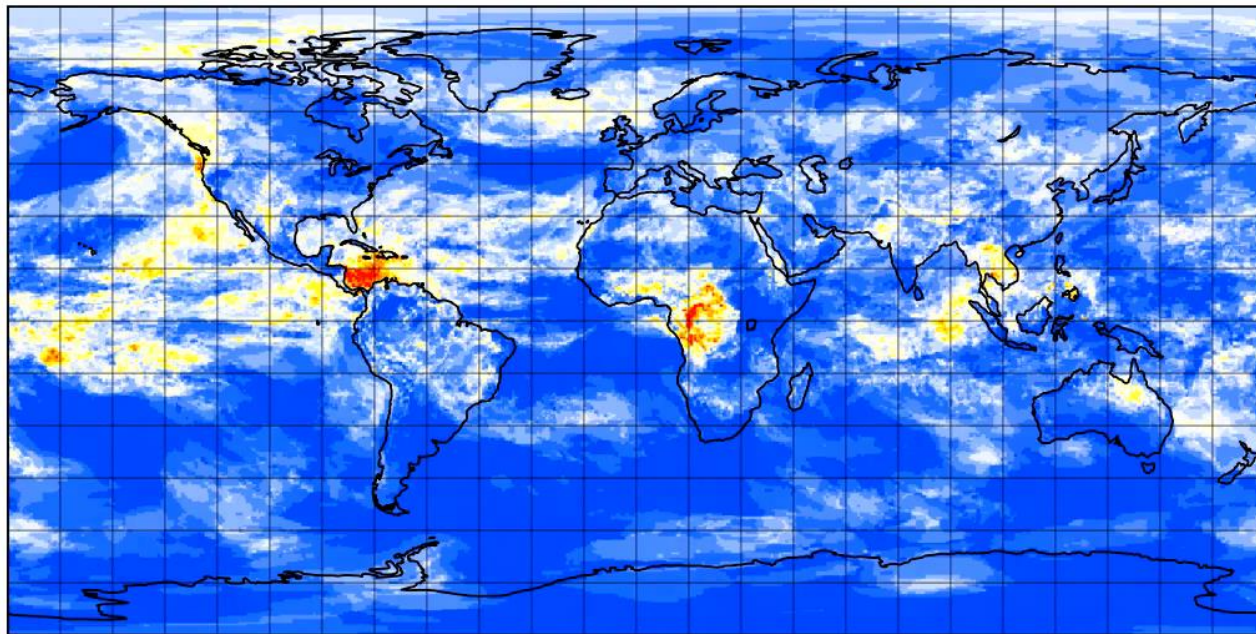


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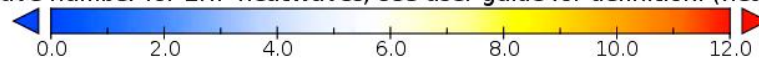
# ClimPACT2 spatial data: number of heatwaves

Heatwave number for EHF heatwaves, see user guide for definition.

Initial time: 1958-07-02



Heatwave number for EHF heatwaves, see user guide for definition. (heatwaves)



Data Min = 0.0, Max = 18.0, Mean = 2.2



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# ClimPACT2 indices

TABLE A1: Core ET-SCI indices (As agreed July 2011. Updated index names and definitions May 2016). Bold indicates also ETCCDI index.

Short name	Long name	Definition	Plain language description	Units	Time scale	Sector(s)
<b>FD</b>	Frost Days	Number of days when $TN < 0^{\circ}\text{C}$	Days when minimum temperature is below $0^{\circ}\text{C}$	days	Mon/Ann	H, AFS
TNlt2	TN below $2^{\circ}\text{C}$	Number of days when $TN < 2^{\circ}\text{C}$	Days when minimum temperature is below $2^{\circ}\text{C}$	days	Mon/Ann	AFS
TNltm2	TN below $-2^{\circ}\text{C}$	Number of days when $TN < -2^{\circ}\text{C}$	Days when minimum temperature is below $-2^{\circ}\text{C}$	days	Mon/Ann	AFS
TNltm20	TN below $-20^{\circ}\text{C}$	Number of days when $TN < -20^{\circ}\text{C}$	Days when minimum temperature is below $-20^{\circ}\text{C}$	days	Mon/Ann	H, AFS
<b>ID</b>	Ice Days	Number of days when $TX < 0^{\circ}\text{C}$	Days when maximum temperature is below $0^{\circ}\text{C}$	days	Mon/Ann	H, AFS
<b>SU</b>	Summer days	Number of days when $TX > 25^{\circ}\text{C}$	Days when maximum temperature exceeds $25^{\circ}\text{C}$	days	Mon/Ann	H
<b>TR</b>	Tropical nights	Number of days when $TN > 20^{\circ}\text{C}$	Days when minimum temperature exceeds $20^{\circ}\text{C}$	days	Mon/Ann	H, AFS
<b>GSL</b>	Growing Season Length	Annual number of days between the first occurrence of 6 consecutive days with $TM > 5^{\circ}\text{C}$ and the first occurrence of 6 consecutive days with $TM < 5^{\circ}\text{C}$	Length of time in which plants can grow	days	Ann	AFS
<b>TXx</b>	Max TX	Warmest daily TX	Hottest day	$^{\circ}\text{C}$	Mon/Ann	AFS
<b>TNn</b>	Min TN	Coldest daily TN	Coldest night	$^{\circ}\text{C}$	Mon/Ann	AFS
<b>WSDI</b>	Warm spell duration indicator	Annual number of days contributing to events where 6 or more consecutive days experience $TX > 90\text{th percentile}$	Number of days contributing to a warm period (where the period has to be at least 6 days long)	days	Ann	H, AFS, WRH
WSDId	User-defined WSDI	Annual number of days contributing to events where $d$ or more consecutive days experience $TX > 90\text{th percentile}$	Number of days contributing to a warm period (where the minimum length is user-specified)	days	Ann	H, AFS, WRH
<b>CSDI</b>	Cold spell duration indicator	Annual number of days contributing to events where 6 or more consecutive days experience $TN < 10\text{th percentile}$	Number of days contributing to a cold period (where the period has to be at least 6 days long)	days	Ann	H, AFS

**ClimPACT2 user guide  
lists all 60+ indices**



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# How might climate indices be used in adaptation?



Contents lists available at [ScienceDirect](https://www.sciencedirect.com)

Weather and Climate Extremes

journal homepage: [www.elsevier.com/locate/wace](http://www.elsevier.com/locate/wace)

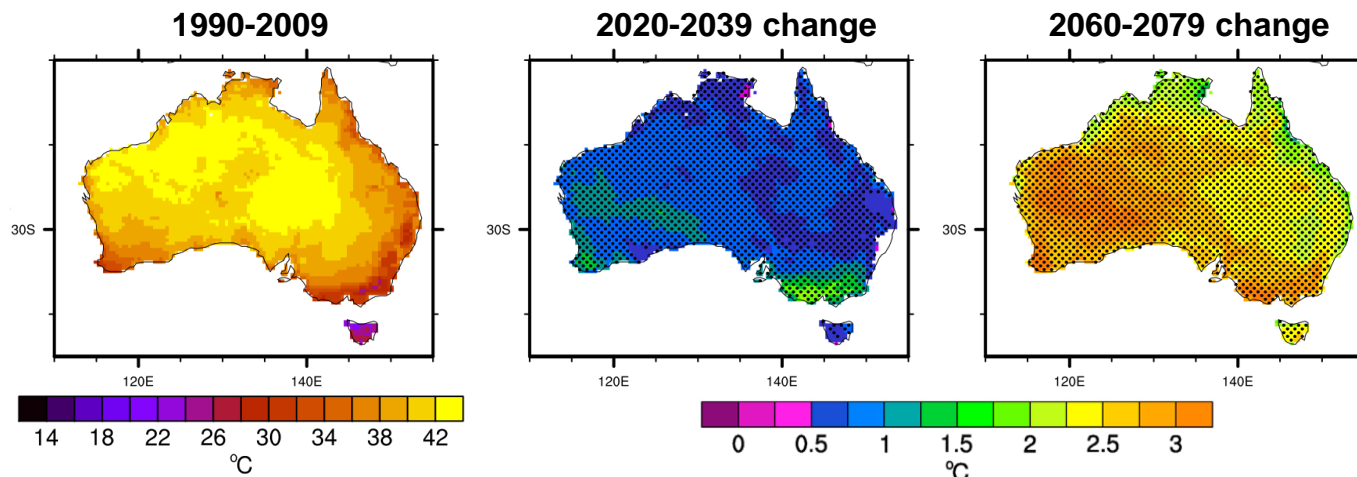


Australian climate extremes in the 21st century according to a regional climate model ensemble: Implications for health and agriculture



N. Herold<sup>a,\*</sup>, M. Ekström<sup>b</sup>, J. Kala<sup>c,a</sup>, J. Goldie<sup>d,a</sup>, J.P. Evans<sup>e</sup>

## Hottest spring day





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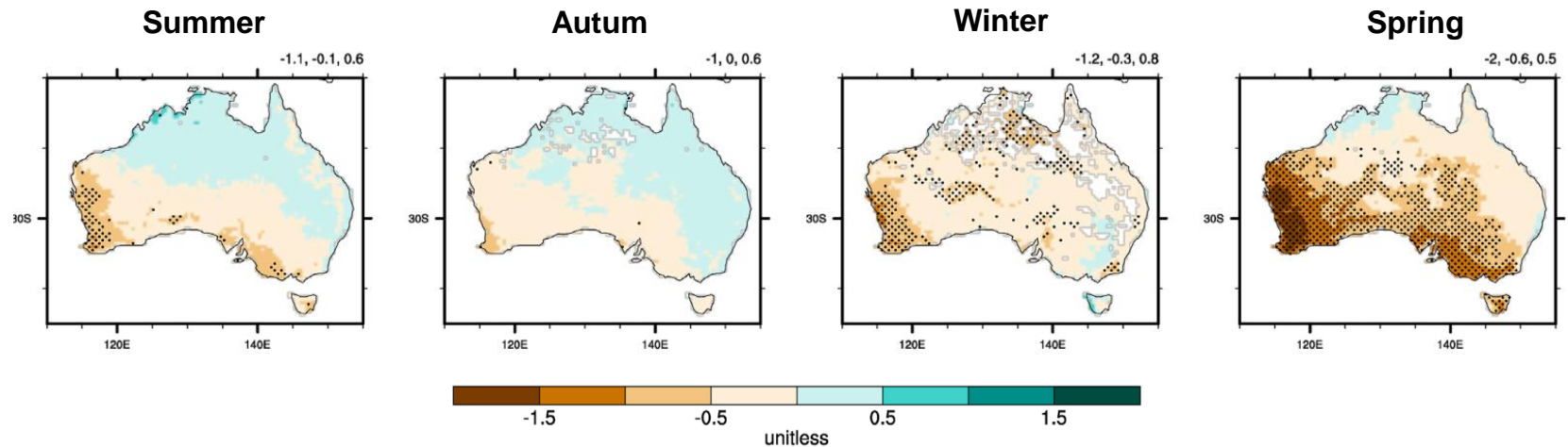
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# How might climate indices be used in adaptation?

## Far future drought relative to the present





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# Thank you

Dr. Nicholas Herold

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Some resources:

- [Expert Team on Sector-specific Climate Indices](#): WMO group advocating sector-relevant climate indices.
- [ClimPACT2](#): Software to calculate indices.
- [WMO Climate services toolkit](#): Database of online tools, resources and training for climate change.
- [Climdex](#): an online portal to calculate SOME indices at a global scale using observational datasets.



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# Q&A



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# Extra slides



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You can't manage what you can't measure.

# The need for good data

1. Importance of numerous, reliable and long observations.
2. Datasets for observations, model and reanalyses.

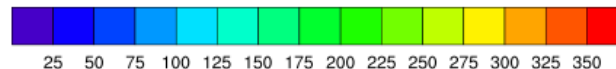
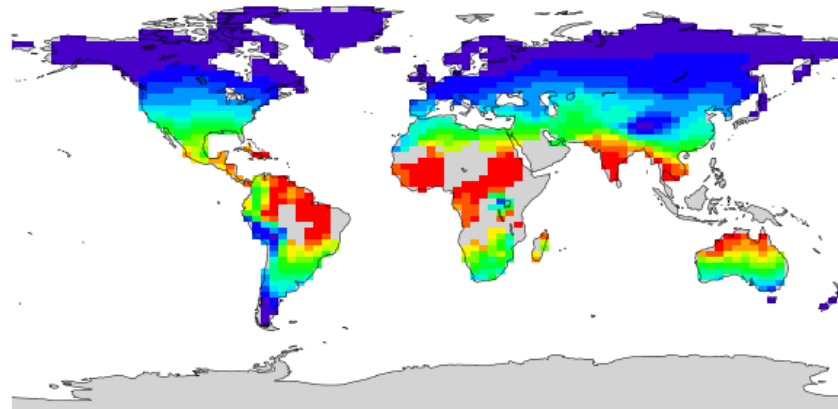


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# What makes a good climate index?

- Two ideal traits: Is *computable* and *comparable* across regions. Here's an example of a not-so-great index.

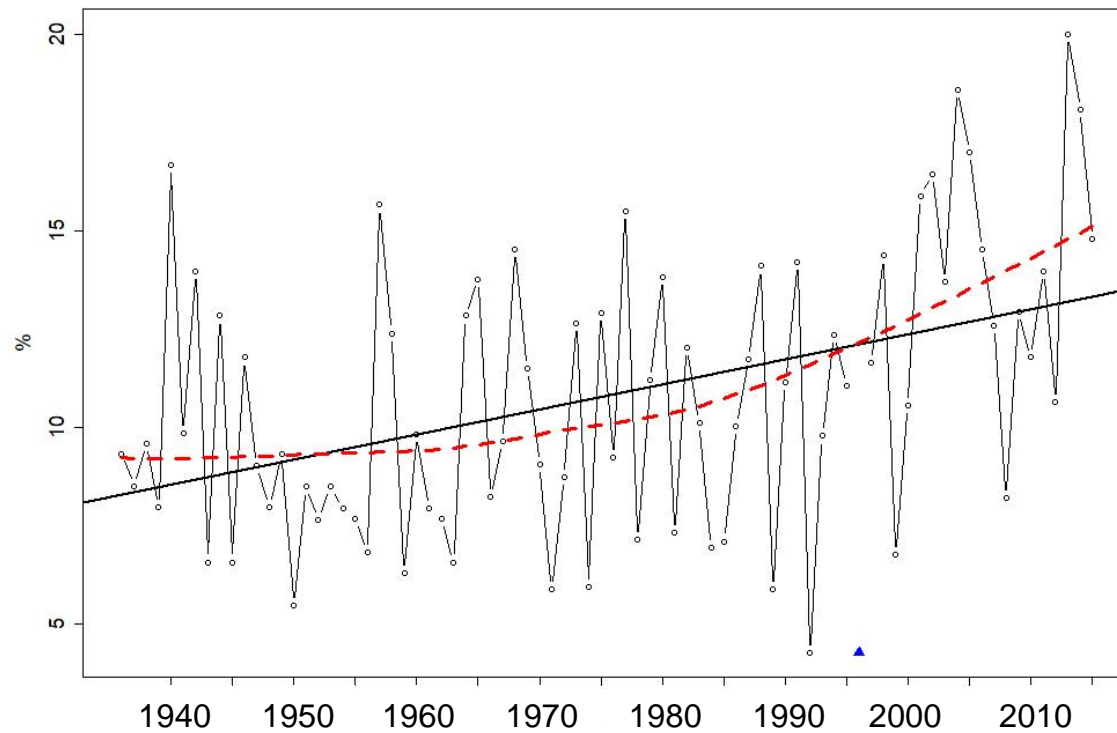
Number of days hotter than 25°C





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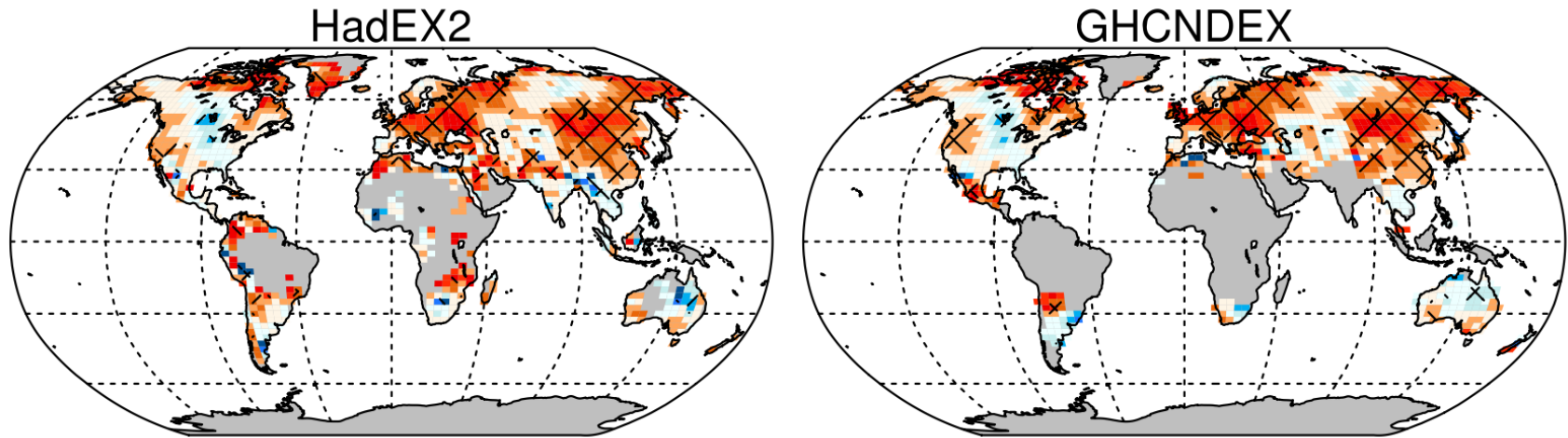
# Long, reliable records are needed





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# Good geographic coverage is needed



- Two widely used global datasets of climate extremes indices. Look at the gaps!!



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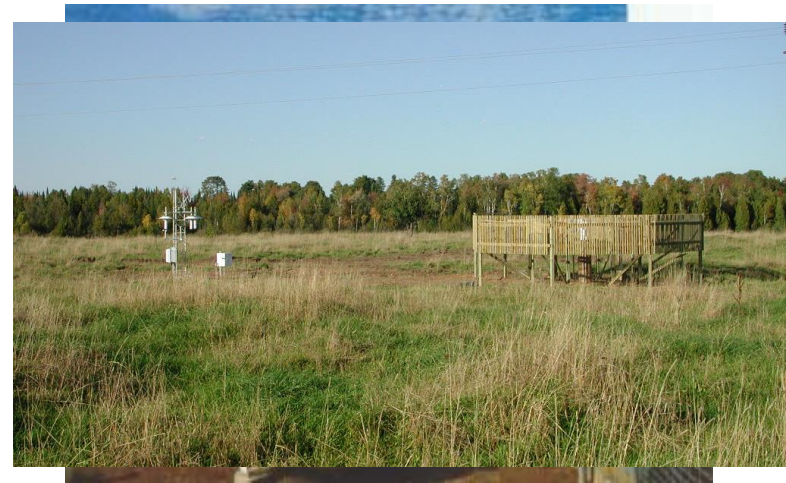
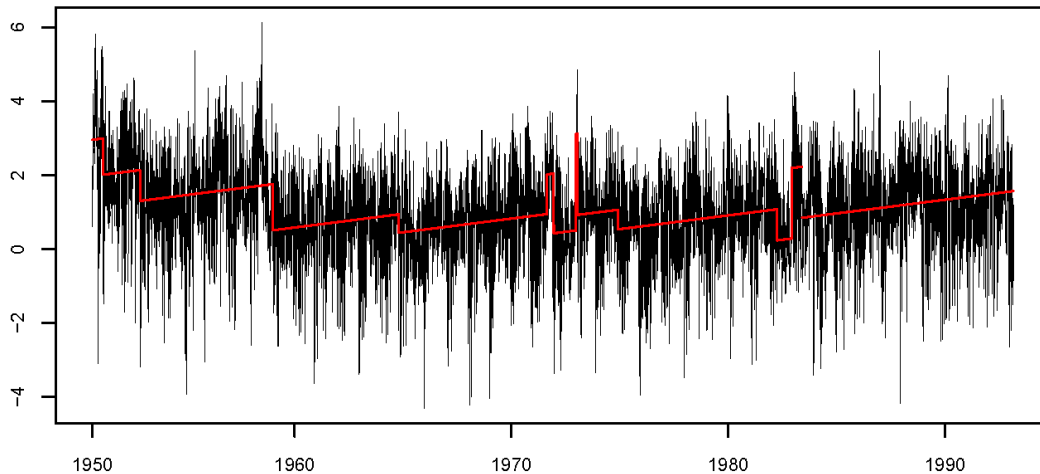
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# Records must also be reliable

Base anomaly series and regression fit



## Open data is paramount but...

- Observational networks are expensive to maintain.
- Data rescue may be required to digitize records.
- Some governments prevent data sharing.
- WMO resolution in June 2015 “urges” countries to exchange GFCS relevant data on a free and unrestricted basis.



<http://iedro.org/>



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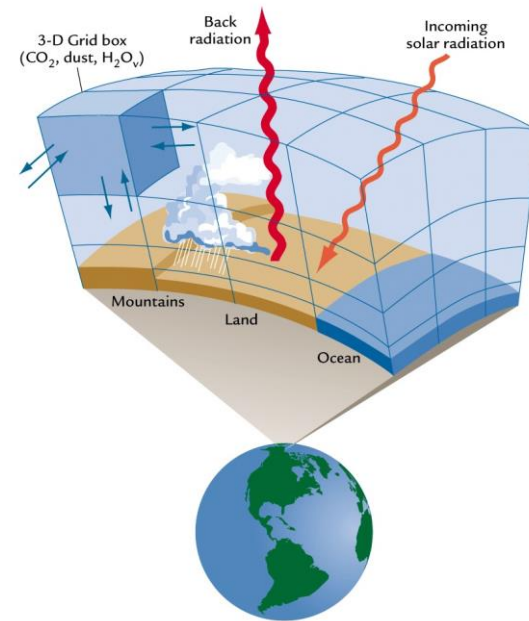
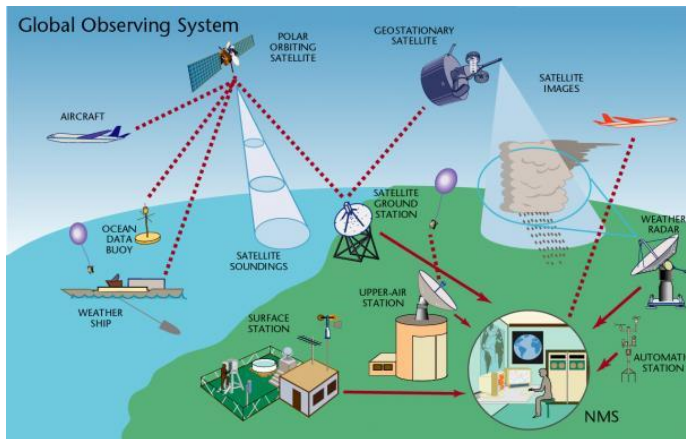


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# Observations, model and reanalysis datasets





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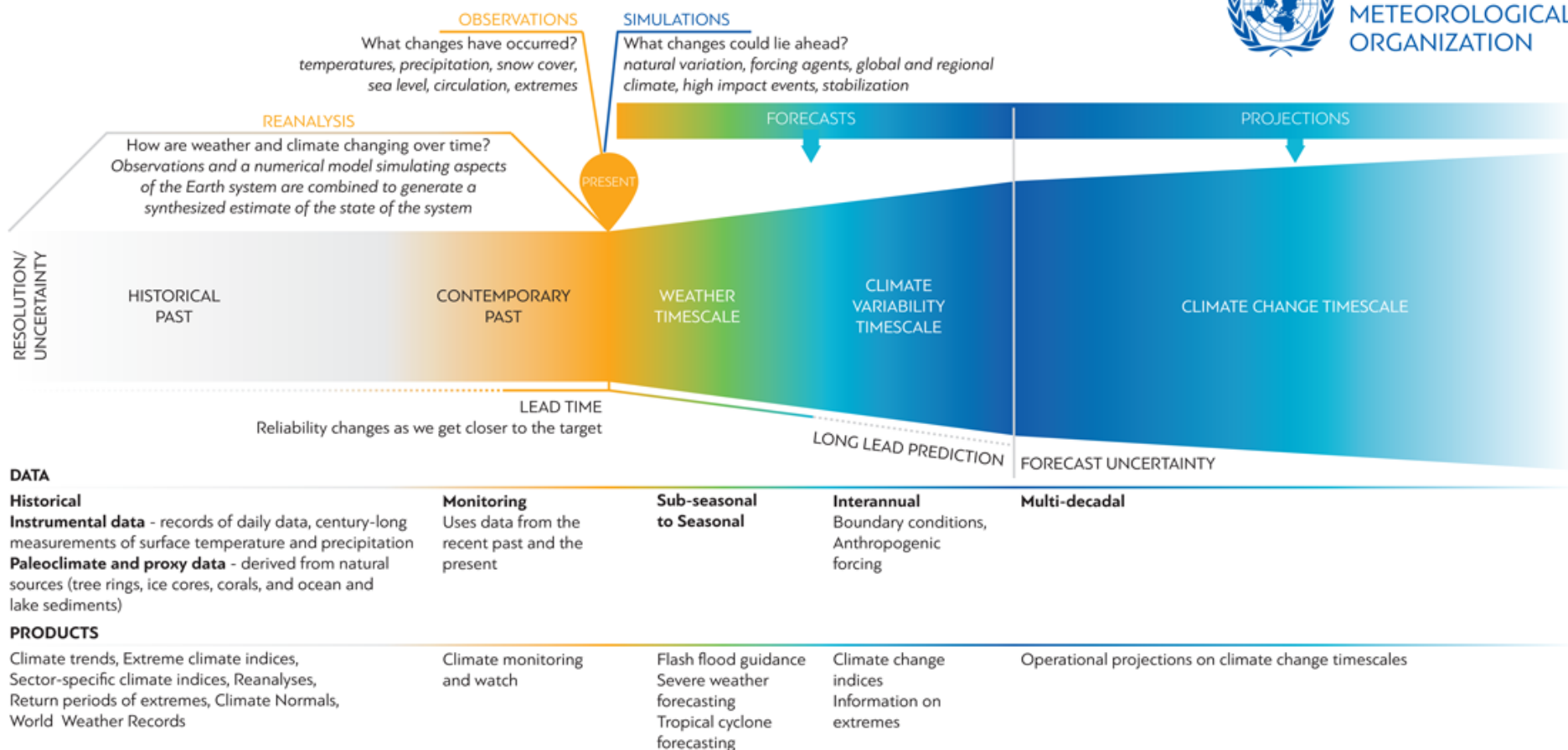


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## CLIMATE SERVICES INFORMATION SYSTEM



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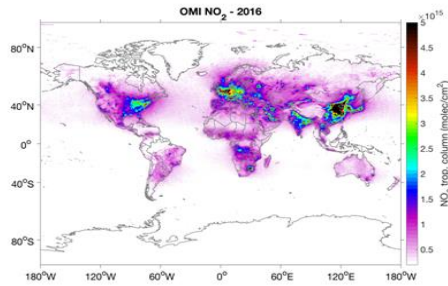


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# Scientific framework of the climate rationale



**Global  
climate  
indicators**

State of the  
climate system

**Sector  
specific  
indexes**

Socio-  
economically  
relevant sectors

**High impact  
events**

Widespread,  
multi-sectoral  
impacts



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# PRECIS regional climate model

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## Lost in translation



How the customer  
explained it



How the project leader  
understood it



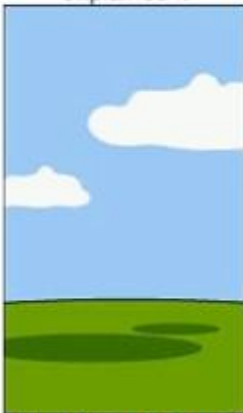
How the engineer  
designed it



How the programmer  
wrote it



How the sales  
executive described it



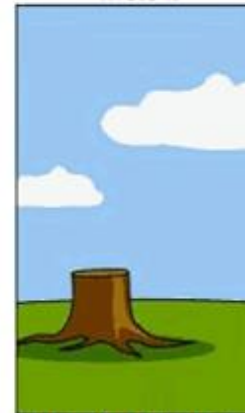
How the project was  
documented



What operations  
installed



How the customer  
was billed



How the helpdesk  
supported it



What the customer  
really needed



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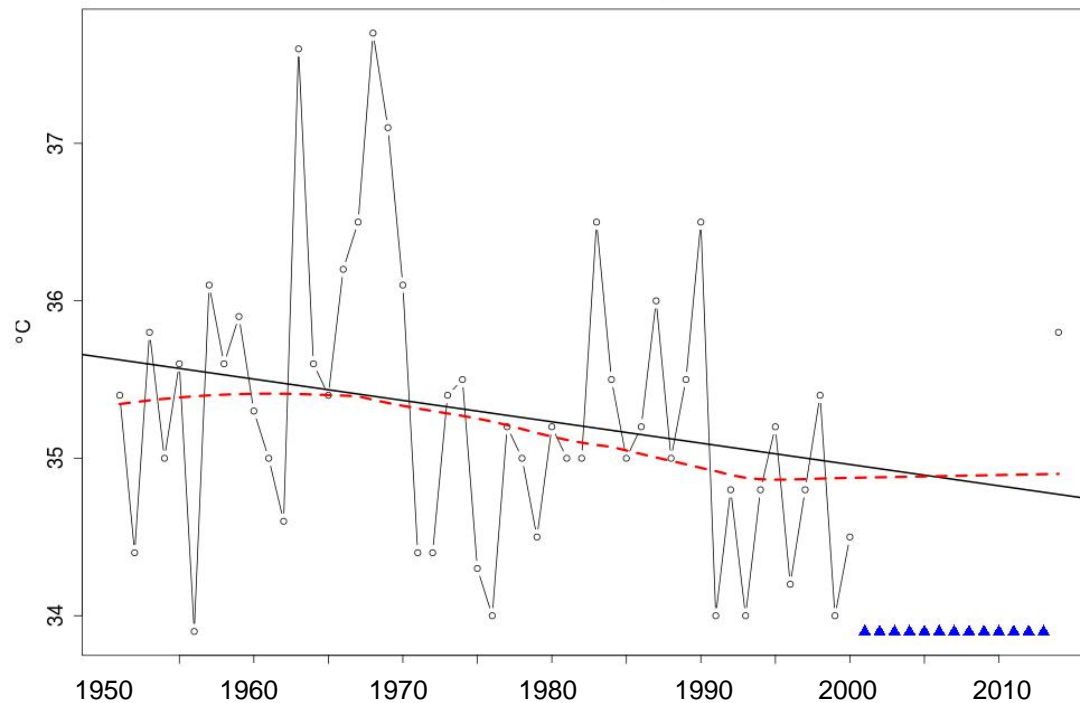
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## Types of indices: Minimum/maximum values

- Indicate the minimum or maximum value of a variable.

Station: Legaspi [13.13° N, 123.7° E]

Index: bx. Annual warmest daily TX





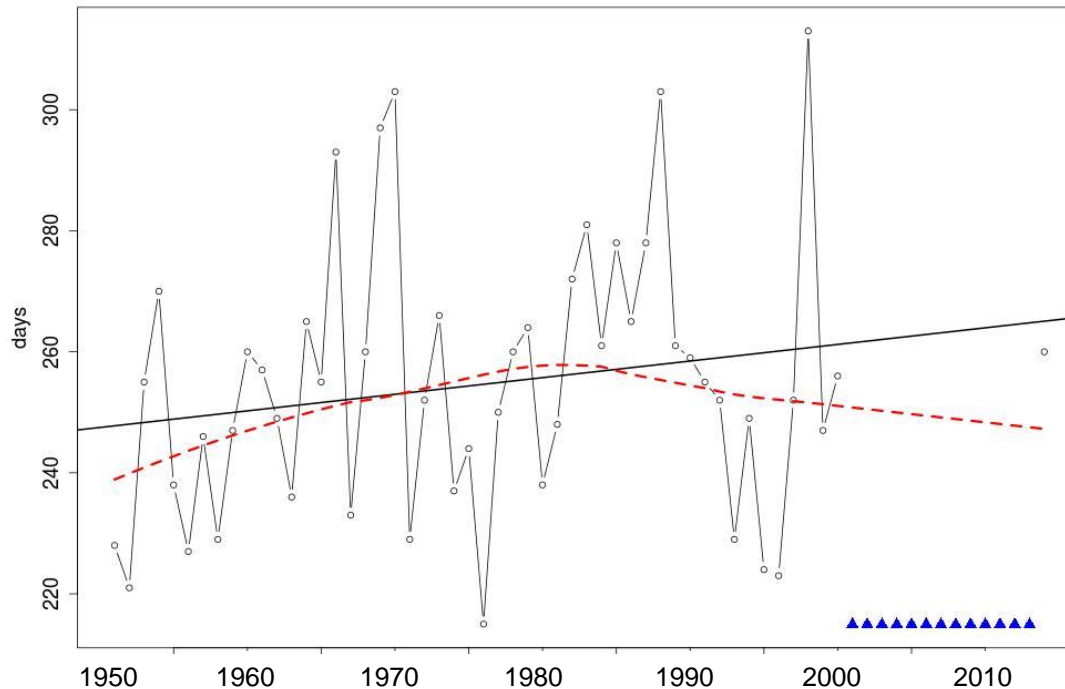
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# Types of indices: Threshold exceedance

- The number or proportion of days above or below a threshold.

Station: Legaspi [13.13°N, 123.7°E]

Index: txge30. Annual number of days when TX  $\geq 30^{\circ}\text{C}$



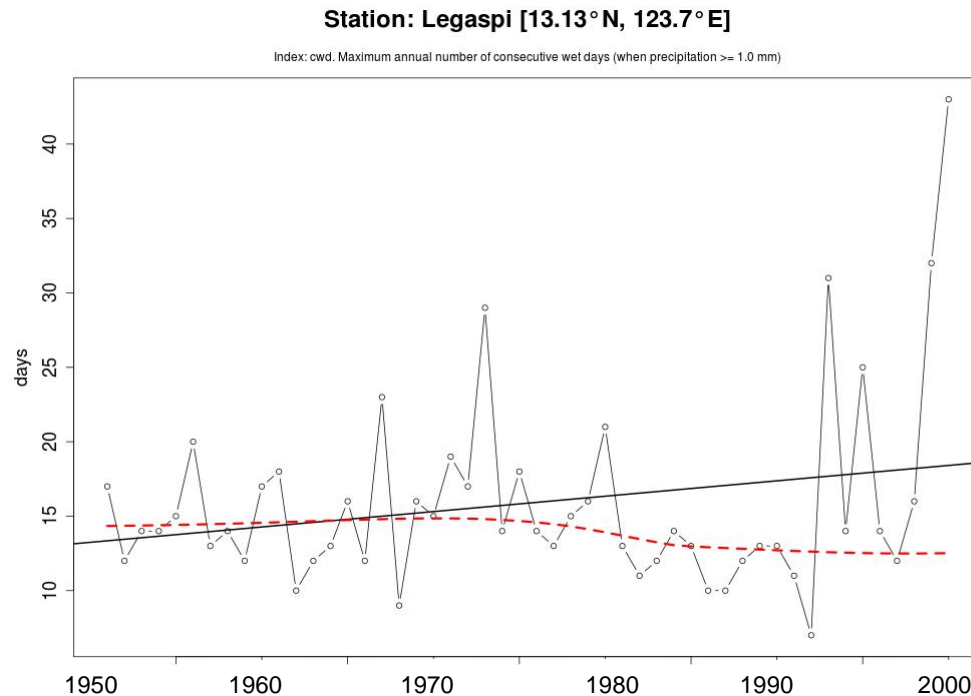


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## Types of indices: Duration indices

- Measure the length of time of or between certain events, or the aggregate number of days meeting a certain criteria

**Maximum number of  
consecutive rainy days  
each year**

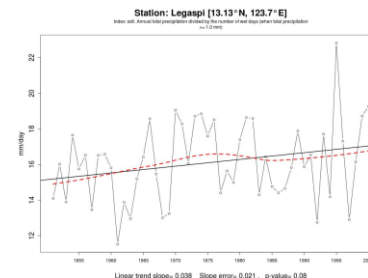
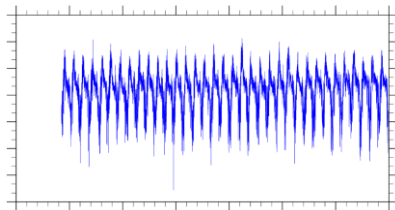




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## Types of indices: Specialty indices

- More complex than the others and may represent a combination of types and/or multiple variables over different time scales.
- Examples: the **standardized precipitation index (SPI)** for drought, the **excess heat factor (EHF)** for heatwaves or the **Forest Fire Danger Index (FFDI)**.
- *Indices can get as complicated as you like, but they all extract useful information from your climate data -> they turn data into information.*





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## Session 2

Preparing adaptation project pipelines  
with strong climate rationale

*Panel Discussion*

# Panel Discussion



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## Session 2

Preparing adaptation project pipelines  
with strong climate rationale

*Panel Discussion*



**Edna Juanillo**  
*Weather Services Chief,  
PAGASA*



**Randall Dobayou**  
*Deputy Executive Director,  
EPA, Liberia*



**Anne Hammil**  
*Director, IISD*



**Manasa Katonivualiku**  
*Project Development Specialist  
- Climate Resilience and  
Adaptation, SPREP*

1. What in your opinion are the key barriers to i) uptake and 2) investments in climate information and early services in adaptation planning?
2. What can be done to drive uptake of climate information and early warning services for dynamic policy and decision-making in non-annex I countries (developing countries)? How can countries catalyze investments for climate information and early warning services?
3. Can you share some of the best practices, opportunities and challenges PAGASA has demonstrated/experienced in supporting disaster management in the Philippines?
4. What are the major challenges you have experienced in establishing a strong climate rationale for the NAP and other climate project design?
5. What are your expectations from this workshop?



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# Q&A

*Send questions and vote at:*  
**slido.com**

*Event code:*  
**#GCFAdaptation**



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# **Introduction to Technical Clinics**



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# Session 2

## Establishing the climate case

### Establishing the climate case

#### Step 1. Climate driver

Understanding the earth climate system and its drivers.

#### Step 2. Hazard

Understanding how climate services are generated and applied for adaptation planning.

#### Step 3. Impacts, exposure, vulnerability and risks

Understanding/identifying climate impacts, exposure, vulnerability and risks. Understanding how risks are derived from hazard, exposure and vulnerability.



Leads to ***problem statement*** (further refined in **Step 4**)



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# Project Development Process

## Establishing the climate case

### Step 1: Climate driver

Understanding the earth climate system and its drivers

### Step 2: Hazard

Understanding how climate services are generated and applied for adaptation planning

### Step 3: Impacts, exposure, vulnerability and risks

Understanding/identifying climate impacts, exposure, vulnerability and risks. Understanding how risks are derived from hazard, exposure and vulnerability

### Step 4: Problem identification and analysis

Defining core problem based on climate rationale as a starting point for project design

### Step 5: Transformation of problem to project objectives

Reversing negative statements from the problem analysis into projects objectives and desired effects

### Step 6: Creation of theory of change

Creating theory of change tree to lay out a detailed strategy to achieve expected results

### Step 7: Development of Logical Framework from theory of change

Translating the theory of change tree into projects' goals, outcomes, outputs and activities

### Step 8: Concept note development

Understanding how a proposed design fit into GCF Project idea/concept

## Developing interventions

### 1) Climate Science Basis

Scientific underpinning for evidence-based climate rationale and theory of change of all GCF funded projects and activities

#### Adaptation

**2a)** Climate impacts the project/programme aims to address

**2b)** Vulnerabilities and risks of these climate impacts to human wellbeing

#### Mitigation

**2c)** Emission trajectory for the relevant country and sector

**2d)** Potential pathways to shift projected emissions trajectory

**3)** Prioritized interventions for addressing barriers based on analysis of available options

**4)** Integration into broader domestic and international policy and decision-making processes

Steps to enhance the climate rationale of GCF supported activities (*document GCF/B.21/Inf.08*)



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# Session 2

## Expected Outcomes

### **During the technical clinics:**

1. Complete your own process map from Step 1 to 3
2. Identify problem statement

# Session 2

## Technical clinics – rooms and location

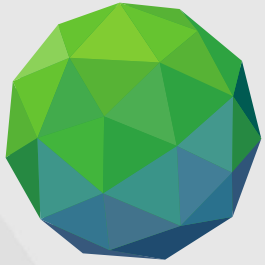
Sector	Group facilitator	Room
Agriculture	Mr Michael Roy	San Cristobal, 2 <sup>nd</sup> floor
CIS/EWS	Mr Joseph Intsiful	San Lucas, 2nd Floor
Ecosystems	Mr Jacinto Buenfil	Niña II, Ground Floor
Health & Well-being	Ms Johannah Yoyo Wegerdt	San Martin III, 2nd Floor
Infrastructure	Ms Katarzyna Rzucidlo	Santiago, 2nd Floor
Water	Ms Chibesa Pensulo	Niña I, Ground Floor



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# Technical clinics

*15:00-16:15*



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# Working Coffee Break

*16:15-16:45*



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# Report back and summary

*16:45-17:45*