

GREEN
CLIMATE
FUND

Independent Integrity Unit (IIU)

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Overview of Independent Integrity Unit (IIU)

MANDATE

IIU established by the Board to:

- investigate allegations of fraud & corruption in Fund-related activities, and
- ensure all staff, external stakeholders, implementing entities & intermediaries adhere to the highest integrity standards.

The IIU is independent of the Secretariat but works closely with it.



Overview of Independent Integrity Unit (IIU)

Work streams: PREVENTION

The IIU works closely with the Secretariat to reduce opportunities for integrity violations in the Fund's activities by recommending improvements to:

- policies
- procedures, and
- controls.



Overview of Independent Integrity Unit (IIU)

Work Stream: INVESTIGATION

The IIU investigates complaints and allegations against the Fund or persons associated with it.

The type of complaints investigated include:

- fraud
- corruption, and
- other prohibited practices (coercive and collusive practices, abuse, conflict of interest & retaliation against whistleblowers).



Overview of Independent Integrity Unit (IIU)

DUTY to Report

Under Master Agreements all Counterparties shall take timely and appropriate measures to:

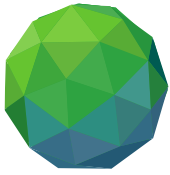
- promptly inform the Fund of allegations of Prohibited Practices in connection with a Fund-related activity;
- cooperate fully with the Fund in any Fund-related investigation.



Overview of Independent Integrity Unit (IIU)

Where Report or Make Enquiries

- Telephone: +82.32.458.6155
- Email: Ibrahim Pam, Head IIU ipam@gcfund.org
- Internet: greenclimate.fund/independent-integrity-unit
- Mail: Independent Integrity Unit
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Integrity Framework Elements

Board / Ethics and Audit Committee Oversight
Senior Management Team Functions

Risk, Compliance, Internal Audit, Integrity Unit, Evaluation, Ombudsperson

Prevention

- Senior Management Commitment
- Integrity Risk Assessment
- Training & Communication
- Process Specific Integrity Risk Controls
- Code of Conduct, Integrity Policies & Standards
- Employee & Third Party Due Diligence
- Proactive Data Analysis

Detection

- Whistle-blower & Complaint Reporting Channels
- Audit, Monitoring and Evaluation
- Reactive Data Analysis

Response

- IIU Investigation Standards & Response
- Controls Review & Remedial Action Plans
- Disciplinary / Sanctions / Debarment Protocols
- Recovery Actions
- Disclosure Protocols



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