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Independent Integrity Unit (IIU)

*GCF Structured Dialogue with the Caribbean
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Head, IIU



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Overview of Independent Integrity Unit (IIU)

MANDATE

IIU established by the Board to:

- investigate allegations of fraud & corruption in Fund-related activities, and
- ensure all staff, external stakeholders, implementing entities & intermediaries adhere to the highest integrity standards.

IIU is independent of the Secretariat but works closely with it.



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Overview of Independent Integrity Unit (IIU)

Work streams: PREVENTION and INVESTIGATION

Reduce opportunities for integrity violations in the Fund's activities by recommending improvements to:

① policies ② procedures ③ controls

Investigates complaints and allegations against staff of the Fund or persons associated with the Fund, such as:

**① fraud ② corruption ③ collusion ④ coercion ⑤ obstruction ⑥ abuse
⑦ conflict of interest ⑧ retaliation against whistleblowers**



Overview of Independent Integrity Unit (IIU)

RELATIONSHIP with External Entities

4. The IIU will establish close relationships with the integrity units of the intermediaries.

15(k). ...promoting awareness of the Fund's integrity standards, including to all implementing entities and intermediaries, and executing entities.

17. IIU must closely cooperate with the relevant departments or units of implementing entities and intermediaries and... seek to strengthen (their) capacities.



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Overview of Independent Integrity Unit (IIU)

DUTY to Report

Under Accreditation Master Agreements and Readiness Grant Agreements all Counterparties shall take timely and appropriate measures to:

- promptly inform the Fund of allegations of Prohibited Practices in connection with a Fund-related activity;
- cooperate fully with the Fund in any Fund-related investigation.



Overview of Independent Integrity Unit (IIU)

IIU Support

Entities:

- National Designated Authority, Focal Point, Direct Access or Accredited Entity involved in a project or readiness program

Standards:

- Shall adhere to the highest levels of integrity, transparency and accountability in the management of the Fund's resources.

Support:

- IIU will provide any information, support or technical assistance to meet the Fund's integrity and fiduciary control standards.



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Overview of Independent Integrity Unit (IIU)

Whistleblower Protection

- GCF is committed to the protection of whistleblowers against retaliation.
- Anyone with information of the occurrence of integrity violation is encouraged to report to the IIU.
- IIU guarantees confidentiality and secure use of the information provided.



Overview of Independent Integrity Unit (IIU)

Where To Report or Make Enquiries

- Telephone: +82.32.458.6155
- Email: integrity@gcfund.org
- Internet: greenclimate.fund/independent-integrity-unit
- Mail: Independent Integrity Unit
Green Climate Fund
Songdo Business District
175 Art Center-daero
Yeonsu-gu, Incheon 22004
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