



GREEN
CLIMATE
FUND

Funding Proposal Annex

Annex 7. Environmental and Social Action Plan (ESAP) Indicative Outline

Indicative Outline for Environmental and Social Action Plan (ESAP)
Projects/Programmes Categorized as C under the Proposal Approval Process or
Simplified Approval Process

1. Introduction

This section describes the purpose and scope of the Environmental and Social Action Plan (ESAP).

2. Environmental and social safeguards legal framework

This section presents the applicable national/local legal and institutional framework, policies, laws and regulations including obligations under international environmental and social agreements, treaties and conventions to which the country/ies is/are a party of and directly applicable to the proposed activities under the project/programme.

3. Screening, categorization and analysis of results

This section describes:

- the screening methodology used to identify the potential environmental and social risks/impacts of the proposed project/programme. Include the exclusion list to be applied to the project/programme (to be included as an appendix of the ESAP if not in the main text).¹
- sexual exploitation, sexual abuse and sexual harassment (SEAH) as part of its risk screening. GCF's SEAH screening tool is available from the GCF website: [Sexual Exploitation, Abuse and Harassment \(SEAH\) risk assessment guideline | Green Climate Fund](#).²
- the results of the E&S screening (including SEAH) and the overall ESS categorization of the project/programme. Confirm that only category C/I-3 activities and/or subprojects having minimal to no adverse environmental and social risks/impacts will be funded through the project/programme.
- applicable screening and categorization procedure for activities, subprojects, subloans, or subgrants which will only be identified during implementation.³

4. ESAP

This section presents the ESAP table. Refer to ESAP table posted on GCF website: [Environmental and social action plan template: Annex 12 for Simplified Approval Process Funding Proposals | Green Climate Fund](#).

5. Implementation arrangements

This section identifies the safeguards implementation arrangements during project/programme implementation. The accredited entity should specify institutional or organizational arrangements, including entities and/or persons (i.e. executing entity/ies, National Direct Access entity, Project Management Unit, civil society organizations and other partners;

¹ In E&S screening for SAPs, it needs to be ensured that the broad Scope of Application of GCF's Indigenous Peoples Policy has been considered.

² The GCF Board, pursuant to decision B.BM-2021/18, adopted the revised Environmental and Social Policy (RESP) with specific provisions on Sexual Exploitation, Sexual Abuse, and Sexual Harassment (SEAH) to be complied for projects and programmes financed through GCF.

³ This may not be required for all projects especially if all activities and locations have already been identified upfront during funding proposal development stage.

Environmental and Social Safeguards Specialist, Health and Safety Officer, Biodiversity Expert, Stakeholder Engagement Expert, etc.) responsible for carrying out the mitigation and monitoring measures provided in the ESAP (including SEAH), including the flow of reporting from the field to the executing entity and accredited entity levels. The accredited entity should also specify if there are any capacity building needs which may be included in the ESAP.

6. Stakeholder engagement and information disclosure

This section describes the stakeholder engagement procedure carried out during project/programme design and preparation, including: (i) information disclosure and consultation with affected people and other stakeholders (with sex disaggregated data presented, and evidence of consultations – places, dates, attendance sheets and photos); (ii) summary of comments and concerns received from affected people and other stakeholders and how these issues have been addressed/integrated in project design and mitigation measures, with special attention provided to the needs and concerns of vulnerable groups, including women, the poor, and indigenous peoples (if applicable); and (iii) the planned stakeholder engagement and information disclosure measures (including the project/programme scope, duration, GRM) and the process for carrying out continuing consultation with affected people and facilitating their participation during project/programme implementation. Although not required for SAPs, any proactive engagement with the IPs (if present), should be mentioned as best practice (i.e. involving IPs in co-designing and co-developing activities, promoting local and indigenous knowledge).

7. Grievance redress mechanism

This section describes the grievance redress mechanism to be adopted for the project/programme. This section will include details on when the GRM will be set-up, GRM levels (i.e. programme/project level - community, executing entity/ies, and the AE's institutional-level mechanisms) describing the principles and processes for receiving, addressing, and tracking complaints of affected or potentially-affected people and communities, setting out the time frame and mechanisms for resolving complaints related to environmental and social performance. The GRM should describe how a gender-responsive and survivor-centered GRM, with specific procedures for SEAH, has been included in the GRM design. Resorting to judicial court of law or administrative remedies available through the country system(s) and GFC's Independent Redress Mechanism should also be mentioned.