



**GREEN  
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# Readiness and Preparatory Support

Direct Access Proposal Template

**Country Support Window**

## **Proposal title**

Dominican Republic's Country Platform – Strengthening institutional capacity and developing an investment pipeline to advance the NDC and strategic climate frameworks

## **Country(ies)**

Dominican Republic (the)

\* The full proposal, including the financial proposal and annexes, is available on the GCF Partner Platform.

## How to complete this template?

This proposal template is designed for Direct Access by countries, through their National Designated Authorities (NDAs) / focal points or another agency as designated for direct access under the Country Support Window. To assist with completing this proposal template, the GCF provides the following guiding documents available on the GCF website:

[!\[\]\(339a16584d5da0f0a3ca4e9ec17bf6a1\_img.jpg\) Guide for Countries to Access Readiness Support](#)

[!\[\]\(a870788d6ed9b8fd294b7654a8c8526b\_img.jpg\) Guide for Countries on Strategic Planning of Readiness Support](#)

If the NDA or government agency<sup>1</sup> choosing the Direct Access option and implementing readiness support is not a GCF Accredited Entity for project funding proposals, please note the Financial Management Capacity Assessment (FMCA) must be successfully completed prior to this readiness proposal.

Before submitting, please delete all instructional text in grey font.

While this template allows Countries to prepare the direct access proposal offline, please note that all information must be entered and officially submitted through the online submission platform in the **GCF Partner Portal**.

## How to get support to complete this template?

If you are not sure how to complete the proposal, or require support, please send an email to the relevant GCF regional team. Please refer to the GCF website to identify the relevant GCF regional team.

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<sup>1</sup> The country may have a designated government agency that is different from the NDA that is formally authorised to receive funding from and enter into legal contracts with international agencies such as the GCF.

## Proposal Details

### Title of the Direct Access Proposal

Dominican Republic's Country Platform – Strengthening institutional capacity and developing an investment pipeline to advance the NDC and strategic climate frameworks

### Total Approved Amount (in USD)

\$3,999,141

### Implementation Period (in months)

48 months

## Country Information

☒ Single country

☐ Multi-country

### Country

Dominican Republic (the)

### NDA / focal point name

Ministry of Environment and Natural Resources

### Name of contact person

Ms. Ana Emilia Pimentel

### Contact person's position

Viceminister of Climate Change and Sustainability

### Contact person's email

ivan.cruz@ambiente.gob.do

### Additional email addresses that need to be copied in correspondences

oscar.polanco@ambiente.gob.do, ferruccio.santetti@gggi.org, alfredo.riquelme@gggi.org, daniel.ms@gggi.org, marina.brenden@gggi.org

## Participating Country Information



If the proposal involves multiple countries (regional/multi-country), please list each one below, adding as many rows as necessary. The entry listed above serves as the lead for the multi-country proposal. Please upload the letters of intent on the GCF Partner Platform.

| Participating Country | NDA/Focal Point Name | Name of Contact Person | Contact Person's Position | Contact Person's Email | Budget |
|-----------------------|----------------------|------------------------|---------------------------|------------------------|--------|
| N/A                   | N/A                  | N/A                    | N/A                       | N/A                    | N/A    |

## Type of Access

- ☒ Framework Agreement Partner

## 1. Summary of the proposal

The Dominican Republic faces growing economic and social pressures from recurring hurricanes, floods, and droughts that damage infrastructure, disrupt livelihoods, and slow development progress. These climate-related hazards continue to intensify, threatening lives and long-term economic stability. While the country has made important progress through its Nationally Determined Contribution (NDC) and the National Climate Finance Strategy, institutional and technical gaps continue to limit its ability to effectively plan, access, and manage climate finance. This proposal seeks GCF Readiness support to strengthen institutional and policy frameworks and establish the Country Platform for Climate Finance as the core coordination mechanism to accelerate investments, align national priorities, and prepare concept notes and funding proposals for the GCF and other partners. The initiative will be led by the National Designated Authority (NDA) through the Ministry of Environment and Natural Resources and implemented by the Global Green Growth Institute (GGGI). It will enhance institutional capacity for GCF accreditation, develop a prioritized climate investment pipeline, and strengthen coordination, monitoring, and data systems for climate finance. The Country Platform will play a pivotal role in connecting these efforts bringing together government institutions, private sector actors, and civil society to promote inclusive, resilient, and investment-ready project development that directly supports NDC implementation. The proposal directly aligns with the GCF's Readiness objectives, particularly those that strengthen country ownership, enhance direct access, and promote the formulation of robust, investment-ready pipelines. By focusing on institutional capacity building, financial ecosystem readiness, and inclusive stakeholder participation, it supports the GCF's goal of helping developing countries shift toward climate-resilient and low-carbon sustainable development pathways. GGGI brings extensive experience supporting governments in Latin America and the Caribbean on climate finance readiness, including successful programs in Honduras, Mexico, and the Dominican Republic. Its work on the Dominican Republic's Green Taxonomy, Sustainable Bond Framework, and climate finance demonstrates its ability to deliver tangible results in institutional strengthening and investment mobilization. GGGI's country team will lead the project, which will include local and regional technical support in policy, finance, and monitoring. By the end of implementation, two national financial entities will be ready for GCF accreditation and prepared to deliver funding through a climate investment pipeline coordinated via the Country Platform. These outcomes will create the foundation for sustained climate finance access and implementation, empowering the Dominican Republic to scale up climate action and advance its sustainable development goals.



## 2. Country Context

### Guidance

This section provides an overview of the country context, including planned climate investments and priority areas for funding by the GCF and other sources of climate finance. It also highlights the country's key climate priorities, challenges, policies and institutional structures as well as gender considerations and stakeholder engagement.

### 2.1 Country(ies) Climate Challenges

Due to its geographic location and status as a Small Island Developing State (SIDS), the Dominican Republic is highly vulnerable to natural disasters such as droughts, floods, storms, hurricanes, landslides, and forest fires.[1] From 1961 to 2023, tropical storms and floods have been the most frequent natural disasters, with their occurrence, increasing from one event every two years in the 1960s, to 2.7 in the current decade.[2]

In addition to causing human casualties and ecosystem damage, these climate-related events negatively impact the public budget. From 1961 to 2017, the estimated losses to the Treasury reached **USD 8.61 billion**, with the industrial and commercial sectors suffering 60% and infrastructure 20% of the losses. Both sectors are critical for fiscal sustainability, and projections suggest gross domestic product (GDP) to decrease up to **16.7%** by 2050 due to climate change.[3] It is clear, therefore, that future growth in the Dominican Republic should emphasize significant investment and action grounded in adaptation and risk reduction principles. Without targeted climate finance and institutional support, these fiscal and development constraints risk locking the country into a cycle of vulnerability, undermining long-term sustainability and green growth.

The Dominican Republic's government operates in a constrained economic environment while pursuing ambitious climate goals. The World Bank highlights institutional gaps and weak coordination exacerbated by the lack of climate change regulations and limited climate data availability, which hinder implementation.[4]

[1] Grupo Banco Mundial. Informe sobre clima y desarrollo del país: República Dominicana. América Latina y el Caribe, Grupo Banco Mundial, November 2023. Accessible

at: <https://openknowledge.worldbank.org/server/api/core/bitstreams/410477d5-a75f-468c-b49e-e1a651d36ef3/content>

[2] Ministerio de Hacienda (República Dominicana). *Informe de Riesgos Fiscales Septiembre 2024*. Dirección General de Análisis y Política Fiscal, Ministerio de Hacienda, septiembre 2024. PDF. Disponible en: <https://www.hacienda.gob.do/wp-content/uploads/2024/10/Informe-de-Riesgos-Fiscales-2024-270924.pdf>

[3] Ibid.

[4] Grupo Banco Mundial. Informe sobre clima y desarrollo del país: República Dominicana. América Latina y el Caribe, Grupo Banco Mundial, November 2023. Accessible

at: <https://openknowledge.worldbank.org/server/api/core/bitstreams/410477d5-a75f-468c-b49e-e1a651d36ef3/content>

### 2.2 Policy Framework

The country integrates climate change priorities into its national planning through the National Development Strategy 2030. The NDC-DR-2020 guides all mitigation actions, aiming to double GDP while reducing greenhouse gases by 27% by 2030 (relative to the BAU scenario). The Dominican Republic is currently preparing its updated NDC-2025, expected in early 2026.

The National Action Plan for Adaptation to Climate Change (PNACC RD), developed by the Ministry of Environment and Natural Resources (MMARN), guides adaptation efforts through 2030, aiming to reduce vulnerability and build resilience. It focuses on six strategic areas (water security, resilient infrastructure, healthy and resilient communities, and ecosystems) and seven cross-cutting lines to increase institutional capacities in monitoring, regulation, and communication, among others.

The country is currently drafting a long-term low-emission development strategy (LEDS).

In addition to these core policies, the following table outlines key national policies and strategies:

- **Laws**
  - The Constitution of the Dominican Republic
  - Law No. 64-00 on Environment and Natural Resources
  - Law No. 57-07 on Incentives for Renewable Energy Sources and for its Special Regimes
  - Law National Development Strategy 2030
- **Policies**
  - Dominican Republic's Nationally Determined Contributions (NDC-RD 2020)
  - NDC Action Plan
  - National Policy on Climate Change (PNCC)
  - Climate Change Compatible Development Plan (DECCC)
- **Planning Instruments**
  - National Action Plan for Adaptation to Climate Change 2015–2030 (PNACC – RD)
  - National Energy Plan 2022-2036
  - National Climate Finance Strategy 2030
  - Initiative 30 x 30 of the MMARN
  - Third National Communication (TNC) 2018
  - First Biennial Update Report (BUR) 2020
  - Climate Change Strategic Plan 2011-2030 (PECC)
  - Multiyear National Plan on International Cooperation
- **Guidelines and Voluntary Standards**
  - Sovereign Green, Social and Sustainability Framework
  - Dominican Republic's Green Taxonomy
  - Guidelines on the issuance of green, social and sustainable bond

## **2.3 Institutional Framework, Capacity and Coordination**

The following institutions make up the Dominican Republic's climate finance architecture:

- **Ministry of Environment and Natural Resources (MMARN):** Responsible for national environmental policy, overseeing sustainable resource use, biodiversity conservation, and environmental quality.
- **Ministry of Finance and Economy (MHE):** Manages public finances and debt, including sovereign green bonds; leads national level planning and development and coordinates at a local and sub-national level; formulates and coordinates the execution of the public budget, manages the national treasury, among other responsibilities.
- **Ministry of Presidency (MIPRE):** Responsible for the evaluation of non-refundable international cooperation in the Dominican Republic, including climate grants.
- **Ministry of Industry, Commerce and MSMEs (MICM):** Formulates, evaluates and oversees policies and strategies for the portfolio industry, commerce, free zones, and micro, small, and medium enterprises.
- **Ministry of Energy and Mines (MEM):** Regulates the National Energy System and promotes public policy in the energy and mines sector, including renewable energy, electricity, nuclear, natural gas, and metallic and non-metallic mining.
- **Ministry of Foreign Affairs (MIREX):** Responsible of the international diplomacy and represents the country in multilateral and bilateral negotiations. It ensures alignment of external assistance with national development and climate priorities.
- **Superintendency of Securities Market (SIMV):** Regulates and supervises the securities market and its compliance with transparency norms, including the issuance of thematic bonds.
- **Superintendence of Banks (SB):** Regulates and supervises the banking sector to ensure financial stability and compliance with regulations.
- **Central Bank of the Dominican Republic (BCRD):** Regulates monetary and exchange policy to ensure macroeconomic and financial stability. It oversees the national payment systems, manages international reserves, and monitors financial risks.
- **Coordination Mechanisms:**
  - **National Council on Climate Change and Carbon Markets:** Formulated and coordinates national climate adaptation and mitigation policies. Operating under the President's office, it includes representatives from over ten ministries to ensure cross-sectoral integration.
  - **Private sector associations:** Includes the National Banking Association (ABA), National Council on Private Enterprises (CONEP), Association of Industries of the Dominican Republic (AIRD), ECORED.
  - **Interinstitutional Worktable on Gender and Climate Change:** Aims to implement the country's Gender and Climate Change Action Plan.
  - **Clean and Sustainable Production Network:** Multi-stakeholder platform led by the MMARN that provides technical assistance, capacity building, and supports the integration of environmental and sustainability standards in production processes.
  - **Interinstitutional Green Taxonomy Committee:** Technical coordination body composed of representatives from the MMARN, MH, SIMV, SB, and MEM. It is responsible for the development, implementation, and periodic revision of the Dominican Republic's Green Taxonomy.
  - **Thematic Bond Committee:** Inter-institutional committee led by the Ministry of Finance that oversees the development, implementation and issuance of sovereign green, social, and sustainable bonds in the Dominican Republic.

## 2.4 Gender Equality Analysis

The Dominican Republic has made significant efforts to advance gender equality through policy and institutional improvements, including the NDC-DR-2020, the PNCC and the National Adaptation Plan which recognize the differentiated impacts of climate change on vulnerable groups and emphasizing women's role as agents of change in building a resilient, low-carbon society. The Gender and Climate Change Action Plan (PAGCC-RD) builds on these frameworks while the National Plan for Gender Equality and Equity 2020–2030 (PLANEG III) reinforces gender mainstreaming across national priorities, including climate change.

Despite these efforts and the progress that they have initiated, the Dominican Republic continues to face challenges to ensuring gender equality. As of 2023, the country ranked 106/193 in the Gender Equality Index<sup>[1]</sup> with only 26% of seats in the parliament held by women, and only 53% of women participating in the labour force. Despite the country experiencing robust economic growth between 2004 and 2019 (growing at an average rate of 5.7 per cent per year), these gains have not been equal between men and women. The poverty rate is consistently higher for women, likely rooted in multiple gender-based disadvantages such as unequal access to health and education. Women are more vulnerable to the countries' existing vulnerabilities, limiting their capacity and exacerbating the challenges of recovering from climate events.

The proposed Readiness will contribute to advancing gender equality in the Dominican Republic by ensuring that gender considerations are systematically integrated across all components of climate finance coordination, planning, and implementation through the following concrete actions:

- The Country platform will promote inclusive participation of women in climate investment coordination and decision-making processes. Governance arrangements will ensure that gender perspectives inform the selection and design of climate projects and financing instruments.
- Policy instruments developed as part of the Readiness will consider the differential climate impacts and needs of men and women, integrating gender considerations into policies, regulations, and financial instruments.
- The GCF Country Programme will ensure that gender is integrated into country priorities.
- Pre-feasibility and feasibility studies will include comprehensive social and gender analyses which will contribute to the development of the funding proposal.
- The funding proposal will integrate gender considerations from project design by analyzing how the climate challenge addressed in the proposal affects men and women differently and shaping the objectives of the project through that lens.
- Through knowledge sharing, the Dominican Republic will be able to share its lessons learned in integrating gender considerations in policies, projects and financing as well as learn from others.
- All capacity building and training throughout the project will integrate gender analysis and inclusive stakeholder engagement approaches to ensure sure that material includes gender considerations, that the training and methodologies themselves integrate specific and different needs of men and women, and that through the participants institutional capacities are strengthened to support climate financing that contributes to more equitable and inclusive outcomes.
- All trainings and capacity building activities will target a 50%/50% gender balance to ensure that men and women have equal access to the knowledge, skills and decision-making spaces that shape climate investments.

[1] United Nations Development Programme. *Human Development Report 2025: Statistical Annex—Gender Inequality Index Table*. HDR.undp.org, 2025, [hdr.undp.org/sites/default/files/2025\\_HDR/HDR25\\_Statistical\\_Annex\\_GII\\_Table.pdf](https://hdr.undp.org/sites/default/files/2025_HDR/HDR25_Statistical_Annex_GII_Table.pdf).

## 2.5 Stakeholder Engagement

MMARN leads the **national coordination mechanisms** on climate finance matters involving the public and private sectors and civil society. As part of current Readiness and other climate finance initiatives in the country, MMARN co-leads the Interinstitutional Green Taxonomy Committee, Thematic Bonds Committee, and the Clean and the Sustainable Production Network through which consultations for the proposed Readiness have taken place.

The following institutions were engaged as part of the proposal development, GCF regional team country missions, and readiness implementation processes:

- **Public sector:** The Ministry of Economy, Planning and Development (MEPyD), the Ministry of Finance, the Central Bank (BCRD), the Superintendency of Banks (SB), and the Superintendency of the Securities Market (SIMV) have been engaged through coordination mechanisms that guide policy decisions and readiness implementation. These institutions actively participate in technical committees and will continue to provide guidance and oversight during implementation.
- **Private sector:** The engagement process has included financial institutions and associations working to strengthen the national green finance ecosystem. The government has coordinated with the National Business Council (CONEP), the Association of Multiple Banks (ABA), and AIRD, as well as financial institutions such as BANDEX, Banco Agrícola, Banco de Reservas, Asociacion Cibao de Ahorros y Prestamos (ACAP), BANFONDESA, Cooperativa La Altagracia, and Cooperativa San José. These institutions participated in consultations to identify investment priorities, pilot cases, and capacity-building needs for climate finance instruments.
- **International institutions:** International cooperation remains a central pillar of the country's climate finance ecosystem. Multilateral development banks continue to provide most of the international climate financing received, complemented by bilateral support from partners. Consultations were conducted with the Inter-American Development Bank (IDB), the World Bank, the Central American Bank for Economic Integration (CABEI), the Development Bank of Latin America and the Caribbean (CAF), The Nature Conservancy (TNC), and the International Union for Conservation of Nature (IUCN). These partners shared ongoing programs and alignment opportunities with the proposed activities.
- **Local organizations:** The proposal benefited from consultations with non-governmental and community-based organizations working on climate and sustainability, including ECORED, Fondo MARENA, Centro para el Desarrollo y la Educación Ambiental (CEDAF), Sur Futuro, Centro para el

Desarrollo y la Educacion Ambiental Atabey (CI Atabey), Nature Power, and Grupo Jaragua. These organizations contributed perspectives on ecosystem restoration, local adaptation initiatives, and community engagement.

- Academia: The proposed activities are expected to integrate evidenced based solutions and up to date data, which will be strengthened with support from relevant universities and university networks in the country. In the academic sector, the NDA, in collaboration with INTEC, organized a national workshop on natural capital as a reflective and collective space to rethink the role of natural capital in development policy and to strengthen understanding of public policy implications. The workshop involved participants from public institutions, civil society, and the private and financial sectors. With GGGI's involvement, the event included roundtable discussions on climate change transition, ecosystem valorization, and several others. Financial and market regulations such as biodiversity credits, green tax incentives, and broader financial and market regulations, which were identified as critical solutions to promote financing into natural capital.

In addition, during the GCF LAC Regional Mission to the country on September 11<sup>th</sup>, the NDA led the coordination of roundtables in three national prioritized sectors: water management, ecosystem services, and agroecological development. In each of these roundtables, sectoral stakeholders identified gaps, challenges, and opportunities for programmatic planning and project development.

## 2.6 Complementarity with Other Initiatives (GCF and non-GCF)

Please list past and current GCF readiness grants, and relevant capacity-building initiatives funded by other agencies and funds

### GCF Readiness Activities

| Title & Reference Number                                                                                                           | Start and End Dates        | Amount Approved | Status    | Objectives | Key Outcomes & Results                                                                                                                                                                                                                                                                                                                                                                                                                                   | Complementarity with the readiness proposal                                                                                                                                                                                                                                                                            |
|------------------------------------------------------------------------------------------------------------------------------------|----------------------------|-----------------|-----------|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mobilizing International Climate Finance and Private Investments for Low-Carbon Development in the Dominican Republic - DOM-RS-004 | 12 Aug 2022 - 11 Aug 2026  | 695,742         | Disbursed | -          | Strengthened the NDA's institutional capacity and coordination on climate finance. Advanced accreditation processes for national Direct Access Entities (DAEs). Initiated development of the National Climate Finance Strategy and National Financing Vehicle.                                                                                                                                                                                           | The new Readiness builds on the institutional capacity, strategic frameworks, and financing mechanisms developed under the previous grant, ensuring continuity while expanding support to project pipeline development, private sector engagement, and the operationalization of national climate finance instruments. |
| Advancing a regional approach to E-Mobility in Latin America" - LAC-RS-005                                                         | 5 Dec 2019 - 2 July 2023   | N/A             | Disbursed | -          | Expanded regional scope to 14 countries and increased resources (USD 2.8M) to strengthen cooperation on e-mobility. Produced diagnostics and technical assistance on barriers, financing alternatives, and policy frameworks for electric mobility. Established regional collaboration platforms (e.g. with OLADE) and generated guidelines feeding into national strategies such as the Dominican Republic's Climate Finance Strategy and PPP pipeline. | The regional e-mobility Readiness informs the new proposal by feeding financing assessments and PPP opportunities into the Dominican Republic's National Climate Finance Strategy and project pipeline.                                                                                                                |
| Building Capacity for direct access to Climate Finance - DOM-RS-003                                                                | 27 Apr 2020 - 24 Sept 2023 | 514,242         | Disbursed | -          | NDA capacity strengthened to lead/coordinate climate finance and stakeholder engagement for the Dominican Republic. CEDAF advanced toward DAE accreditation via gap assessments and upgrades to fiduciary, ESS, and gender systems                                                                                                                                                                                                                       | This Readiness informs the new proposal with lessons learned on the CEDAF accreditation journey and provides the NDA's Country Programme which will be updated, thereby enhancing national ownership and expanding the                                                                                                 |



|                                                                                                                               |                            |           |           |   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                        |
|-------------------------------------------------------------------------------------------------------------------------------|----------------------------|-----------|-----------|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                               |                            |           |           |   | (pre-accreditation preparation). Private-sector engagement expanded through awareness/training activities and set-up of mechanisms to monitor climate-finance flows.                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | pool of potential Direct Access Entities to mobilize climate finance.                                                                                                                                                                                                                                                                                                                                                  |
| Adaptation Planning - DOM-RS-002                                                                                              | 11 July 2018 - 8 June 2025 | 2,998,325 | Disbursed | - | Strengthened institutional capacity for medium and long-term adaptation planning, particularly within government agencies. Advanced integration of climate adaptation into national and sectoral planning instruments. Laid the foundation for the National Adaptation Plan (NAP) by developing methodologies, coordination mechanisms, and early assessments to reduce climate vulnerability.                                                                                                                                                                                                                                               | The NAP Readiness complements the new proposal by feeding early adaptation findings into the National Climate Finance Strategy and identifying PPP-ready adaptation projects for pipeline development.                                                                                                                                                                                                                 |
| Strengthening National Capacities through the Climate Change Readiness Support Program in the Dominican Republic - DOM-RS-001 | 2 Feb 2017 - 30 Apr 2019   | 257,626   | Completed | - | Enhanced NDA institutional role within the Ministry of Environment to coordinate climate finance. Established Country Programmemeing processes to align GCF resources with national priorities. Initiated stakeholder engagement mechanisms with private sector, civil society, and academia.                                                                                                                                                                                                                                                                                                                                                | This early Readiness complements the new proposal by consolidating the NDA's leadership and multi-stakeholder coordination as a foundation for more effective climate finance mobilization.                                                                                                                                                                                                                            |
| Strategic Regional Readiness to Enable Resilience of Mesoamerica's 5 Great Forests and Communities - LAC-RS-008               | 22 Dec 2020 - 21 June 2023 | N/A       | Completed | - | Country stakeholders (executing entities, civil society, private sector) strengthen capacity, systems, and networks for GCF planning and implementation. Recipient countries develop/enhance strategic frameworks to close policy gaps, build expertise, and enable low-emission investment. More quality project concept notes are prepared and submitted. Best practices in capacity building, direct access, and pipeline development are documented and shared to strengthen NDAs, DAEs, and delivery partners. Partnerships foster methods, frameworks, and information systems for improved climate finance programming at all levels. | The regional Readiness on the 5 Great Forests strengthened stakeholder capacity, networks, and strategic frameworks across Mesoamerica. The Dominican Republic's Direct Access Proposal builds on these foundations by tailoring lessons learned to the national context, advancing institutional capacity, and operationalizing climate finance instruments to mobilize resources for its NDC and investment pipeline |

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|-------------------------------------------------------------------------------------------------|---------------------------|-----|-----------|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| “Increasing the ambition of the NDCs and climate financing in the Central América” - LAC-RS-014 | 31 Dec 2020 - 1 Sept 2023 | N/A | Disbursed | - | NDAs and their supporting networks are fully operational, enabling them to meet roles, responsibilities, and policy requirements. Key stakeholders have strengthened capacity, systems, and networks to effectively plan, program, and implement GCF-funded activities. Recipient countries have advanced strategic frameworks that close policy gaps, enhance sectoral expertise, and create enabling environments for low-emission and climate-resilient investment. Strategies are in place and actively used to transform markets and mobilize private sector investment for low-emission growth and resilience.                                                                                                                                                                                                                                                                                                                         | The Central America Readiness strengthened NDC ambition and private investment strategies regionally; the Dominican Republic proposal builds on this by applying those lessons nationally to update its NDC and mobilize climate finance.                                                                                                           |
| Enhancing climate finance and investments in Latin America - LAC-RS-015                         | 10 June 2021 - 9 Apr 2023 | N/A | Completed | - | Direct access applicants and accredited entities (DAEs) have built the institutional capacity to meet and maintain GCF accreditation standards, and accredited DAEs are able to generate robust project pipelines and effectively implement GCF-funded activities. Relevant stakeholders have developed stronger systems, networks, and technical capacities that support the planning, programming, and implementation of GCF-funded initiatives. Countries are applying strategies designed to transform markets and attract private sector investment for both low-emission development and climate resilience. There is a measurable increase in the number of high-quality project concept notes prepared and submitted to the GCF. Partnerships have been established to design, share, and scale methods, frameworks, and information systems that enhance climate finance programming at subnational, national, and regional levels. | The LAC Banking Sector Readiness strengthened financial institutions’ capacity to meet GCF standards and develop pipelines of climate projects. The Dominican Republic proposal complements this by advancing national DAEs, piloting taxonomy-based instruments, and creating a project pipeline that mobilizes both public and private investment |
| Increasing resilience through Nature based                                                      | 27 Jan 2021 -             | N/A | Disbursed | - | GCF recipient countries have developed or enhanced strategic frameworks to address policy                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | The LAC Banking Sector Readiness strengthened financial institutions regionally; the Dominican                                                                                                                                                                                                                                                      |

|                                                                                             |                           |     |           |   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                               |
|---------------------------------------------------------------------------------------------|---------------------------|-----|-----------|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Solutions in Latin American cities<br>Nature4Cities Latam - LAC-RS-016                      | 26 May 2025               |     |           |   | gaps, improve sectoral expertise, and enhance enabling environments for GCF programming in low-emission investment Strategies for transforming and attracting private sector investment for low emissions and resilience developed and being used<br>An increase in the number of quality project concept notes developed and submitted Partnerships established to foster development and dissemination of methods, frameworks, and information systems for enhanced climate finance programming at subnational, national, and regional levels | Republic proposal builds on this by advancing DAE accreditation, piloting the Green Taxonomy, and developing a national climate investment pipeline.                                                                                                                                                                                                          |
| Capacity Building in Carbon Markets and Article 6 in Latin America - LAC-RS-019             | 22 Feb 2023 - 22 Aug 2025 | N/A | Disbursed | - | GCF recipient countries have developed enhanced or strategic frameworks to address policy gaps, improve sectoral expertise, and enhance enabling environments for GCF programming in low emission investment. Strategies for transforming and attracting private sector investment for low emissions and resilience developed and being used.                                                                                                                                                                                                   | The regional Readiness on Carbon Markets and Article 6 built technical capacity and regulatory understanding across Latin America. The Dominican Republic proposal complements this by developing a national Article 6 strategy and regulatory framework to operationalize carbon and biodiversity markets in line with its NDC and Climate Finance Strategy. |
| ENHANCING CLIMATE FINANCE WITHIN THE BANKING SECTOR IN LAC REGION" - 1907-15816             | N/A                       | N/A | Inactive  | - | -                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | -                                                                                                                                                                                                                                                                                                                                                             |
| <b>Other capacity-building initiatives funded or commissioned by parties other than GCF</b> |                           |     |           |   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                               |

| Title                                                                                                                                                                  | Start and End Dates      | Status              | Funding Agency                                                 | Main objectives                                                                                                                                                                                                                                                                                                              | Complementarity with the readiness proposal                                                                                                                                                                                                                                                                                |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---------------------|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Umbrella Programme for Preparation of National Communications (NCs) and Biennial Transparency Reports (BTRs) to the UN Framework Convention on Climate Change (UNFCCC) | 1 Jan 2023 - 6 Nov 2025  | underImplementation | Global Environmental Facility (GEF) - United Nations Programme | Support forty-three (43) developing countries to prepare and submit Biennial Transparency Reports (BTRs) and National Communications (NCs) that comply with the United Nations Framework Convention on Climate Change (UNFCCC)/Paris Agreement (PA) reporting requirements; and respond to their national development goals. | The GEF-UNEP Umbrella Programme strengthens national systems for climate reporting under the UNFCCC. The Dominican Republic proposal builds on this by translating improved data and transparency capacities into actionable climate finance strategies and investment pipelines.                                          |
| Strengthening the capacity of the Dominican Republic to generate climate information and knowledge in the framework of the Paris Agreement                             | 1 June 2023 - 6 Nov 2025 | underImplementation | GEF - UNEP                                                     | Strengthen the capacities of the Dominican Republic to meet enhanced transparency requirements as defined in Article 13 of the Paris Agreement                                                                                                                                                                               | The GEF-UNEP project enhances the country's capacity to produce and manage climate information under the Paris Agreement. The Dominican Republic proposal complements it by using this strengthened data foundation to design finance instruments and pipelines that channel resources toward national climate priorities. |
| Caribbean BlueEFIn (Caribbean Blue Economy Financing Project)                                                                                                          | 1 Jan 2022 - 31 Dec 2024 | underImplementation | GEF - UNEP                                                     | Create and strengthen nature-based Blue Economy opportunities and approaches in the Caribbean through innovative financing mechanisms                                                                                                                                                                                        | The GEF-UNEP Caribbean BlueEFIn project promotes innovative financing for blue economy solutions in the Caribbean. The Dominican Republic proposal complements it by applying similar finance mechanisms nationally to strengthen investment pipelines for low-emission and resilient development.                         |
| EcoHarmony RD: Advancing OECMs for Biodiversity Integration in Dominican Landscapes                                                                                    | 1 Dec 2024 - 6 Nov 2025  | underImplementation | GEF - United Nations Development Programme (UNDP)              | Facilitating the development of OECMs for biodiversity integration in inhabited landscapes of the Dominican Republic, contributing to the 30x30 Target.                                                                                                                                                                      | The GEF-UNDP EcoHarmony RD project advances biodiversity conservation through OECMs in inhabited landscapes. The Dominican Republic proposal complements it by integrating these ecosystem-based approaches                                                                                                                |

|                                                                                              |                           |                     |                                      |                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                 |
|----------------------------------------------------------------------------------------------|---------------------------|---------------------|--------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                              |                           |                     |                                      |                                                                                                                                                                                                                                                                        | into national climate finance strategies and investment planning.                                                                                                                                                                                                                                                                                               |
| Land Degradation Neutrality for Increased Resilience to Climate Change in Dominican Republic | 1 Jan 2024 - 6 Nov 2025   | underImplementation | GEF - UNDP                           | Achieve land degradation neutrality (LDN), enhance climate resilience and reduce vulnerability to climate change through effective adaptation strategies and sustainable development in alignment with the National Adaptation Plan (NAP) and the national LDN Targets | The GEF-FAO project on Land Degradation Neutrality strengthens sustainable land management and resilience to climate change. The Dominican Republic proposal complements it by linking these adaptation efforts to climate finance mechanisms and investment pipelines that support NDC implementation.                                                         |
| Circular Solutions to Plastic Pollution in the Dominican Republic                            | 1 Dec 2024 - 6 Nov 2025   | underImplementation | GEF - UNDP                           | Decrease the production and waste of plastic packaging through a focus on a circular economy in the sector of Food & Beverage (F&B), building on and fueling existing un-financed national policy frameworks and roadmaps                                              | The GEF-UNIDO project on Circular Solutions to Plastic Pollution promotes waste reduction, recycling, and circular economy models. The Dominican Republic proposal complements it by integrating circular economy investments into the national climate finance pipeline, fostering private-sector engagement in low-emission, resource-efficient value chains. |
| Updating the Nationally Determined Contributions (NDC-2025) of the Dominican Republic        | 20 May 2024 - 6 Nov 2025  | underImplementation | InterAmerican Development Bank (IDB) | Support the government of the Dominican Republic (DR) in the formulation, design, and presentation of the update to the Nationally Determined Contribution NDC-2025 to the United Nations Framework Convention on Climate Change (UNFCCC).                             | The UNDP-supported NDC-2025 update defines the country's new mitigation and adaptation targets. The Dominican Republic proposal complements it by operationalizing these commitments through institutional strengthening, project pipeline development, and climate finance mobilization.                                                                       |
| Mainstreaming climate resiliency and green solutions into Dominican recovery investments     | 12 Aug 2021 - 24 Jan 2024 | completed           | CLIMATE INVESTMENT FUNDS (CIF)       | The \$1 billion Pilot Program for Climate Resilience (PPCR) champions pioneering resilience strategies and approaches, in the countries and regions most vulnerable to the destructive impacts of climate change.                                                      | The UNDP initiative embeds climate resilience and green solutions in post-recovery planning. The Dominican Republic proposal builds on these outcomes by strengthening institutions and financial systems to expand resilient investment across sectors.                                                                                                        |

|                                                                  |                          |                     |                                                                                  |                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                  |
|------------------------------------------------------------------|--------------------------|---------------------|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| From Ridge to Reef Yuna Watershed in the Dominican Republic      | 7 Sept 2023 - 6 Nov 2025 | underImplementation | Nature, People and Climate Program (NPC) from the Climate Investment Funds (CIF) | Promote an integrated, resilient, low-carbon management of the Yuna watershed through nature-based solutions | The IDB-funded From Ridge to Reef – Yuna Watershed project promotes integrated water resource management, ecosystem restoration, and sustainable livelihoods. The Dominican Republic proposal complements it by enhancing institutional and financial capacities to replicate such landscape-based adaptation models through national climate finance pipelines. |
| Accelerating the Coal Transition (ACT) in the Dominican Republic | 14 Feb 2025 - 6 Nov 2025 | underImplementation | Accelerating the Coal Transition (ACT) -Climate Investment Fund (CIF)            | Decarbonize the electricity matrix, by accelerating the retirement of 3 coal-based power plants.             | The IEA-led ACT initiative supports the gradual phase-out of coal and transition to clean energy. The Dominican Republic proposal aligns by reinforcing national systems and investment frameworks that enable low-carbon energy projects and just transition financing.                                                                                         |
|                                                                  |                          |                     |                                                                                  |                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                  |

## 2.7 Project Pipeline and Priorities

The **Dominican Republic's National Climate Finance Strategy (ENFC in Spanish)** defines the national priorities and core principles for mobilizing green and climate-related investments by 2030. It prioritizes climate adaptation and nature-based solutions as key pillars of the national project portfolios, ensuring alignment with the country's Nationally Determined Contribution[1] and Sustainable Development Goals. The ENFC also promotes transparency, accountability, stakeholder participation, and strong interinstitutional coordination as essential components of effective climate finance governance.

To address the **USD 8.9 billion mitigation gap** and the **USD 8.7 billion adaptation gap** identified in the NDC-DR-2020, the strategy prioritizes high-impact sectors such as climate-smart agriculture, sustainable construction, low-carbon manufacturing, soil conservation, water and sanitation, clean energy, ecotourism, and waste management. The strategy also recommends a set of feasible technologies and interventions for each sector[MS1] [AGBR2] [MS3] . While **current funding levels fall significantly short** of these needs, the ENFC positions available climate finance as a lever to unlock larger-scale investment, attract co-financing, and strengthen national capacities, laying the groundwork for more resilient and low-carbon development. As part of this Readiness, a comprehensive and consultative process will be undertaken to prioritize up to three sectors and inform the Country Platform.

The Dominican Republic recognizes the importance of expanding and diversifying climate finance sources, while creating clear opportunities for donors and partners to align their support with high-impact, nationally driven investments. The Green Climate Fund (GCF) has approved nine projects representing **USD 104.9 million**, mainly implemented by multilateral and international organizations, with no participation from local institutions. GCF has also allocated **USD 7.6 million** in Readiness support to strengthen institutional capacities and preparatory activities.[2]

Currently, there are six projects in the GCF pipeline totaling five submitted through private sector AEs and one through an international organization.[3] The country also benefits from two projects approved by the Adaptation Fund (AF) and six national projects by the Global Environmental Facility (GEF),[4] underpinning national and regional climate resilience and low-carbon development efforts.

[1] First NDC (Updated Submission). United Nations Framework Convention on Climate Change (UNFCCC), Published 2 June 2022. <https://unfccc.int/sites/default/files/NDC/2022-06/Dominican%20Republic%20First%20NDC%20%28Updated%20Submission%29.pdf>

[2] Green Climate Fund. Countries. GCF Open Data Library, Green Climate Fund, 2025, [data.greenclimate.fund/public/data/countries](https://data.greenclimate.fund/public/data/countries).

[3] See Annex 2 and 3 for full list of Approved and Pipeline Projects

[4] *Dominican Republic – Country Profile*. GEF – Projects & Operations, Global Environment Facility, accessed 26 Aug. 2025, [www.thegef.org/projects-operations/country-profiles/dominican-republic](https://www.thegef.org/projects-operations/country-profiles/dominican-republic).

## 2.8 Specific Readiness needs

The Dominican Republic's request for Readiness support is grounded in a clear set of country needs identified through assessments and stakeholder consultations. Persistent challenges in climate governance, finance mobilization, program utilization, data systems, and private sector engagement constrain the country's ability to deliver on its NDC and access climate finance at scale. Addressing these gaps requires stronger institutional frameworks, enhanced technical capacity, and equipping national actors with the tools to align investments with climate priorities. This section outlines the readiness needs that were identified at the outcome Logframe stage:

- **Capacity and coordination for NDC implementation:** Weak governance structures and limited intersectoral coordination continue to hinder the national climate response, while insufficient finance mobilization planning represents a bottleneck for scaled-up climate finance access. Stakeholder engagement is selective rather than systematic, which restricts inclusive participation in planning and financing climate priorities. This Readiness will establish an inclusive Country Platform, develop TORs to operationalize its structure, strengthen institutional arrangements, and build capacity to track investments in alignment with NDC priorities. External climate finance remains largely driven by multilateral development banks, with limited direct access to global climate funds due to fiduciary, safeguards, and implementation-capacity gaps. Between 2014 and 2023, the Dominican Republic

received approximately RD\$22 billion (≈US\$345 million) in climate-related funding across 78 initiatives, mostly financed by multilateral development banks and bilateral cooperation agencies, which together account for around 73% of total flows. Domestically, efforts are advancing to better align public and private investment with national climate priorities. However, parallel project pipelines across ministries, state-owned enterprises, and development partners often lead to overlaps and competing priorities, while repeated diagnostics and technical assistance missions address similar institutional gaps. Additionally, fragmented data systems and limited visibility of private-sector climate finance continue to hinder the country's capacity to aggregate, track, and report climate finance flows in a transparent and efficient manner.

- **Strategic frameworks for integrated climate investments:** The country's regulatory and financial frameworks do not adequately integrate climate and green risk considerations, limiting the mobilization of public and private finance. While past Readiness support enabled important advances, such as the issuance of a US\$750 million sovereign green bond and a US\$4 million sustainable bond by a microfinance bank, barriers remain to scaling innovative instruments, including ones that are taxonomy-based, sustainability-linked, or resilience-oriented, as well as blue bonds. Readiness support will facilitate the development of two core climate finance policies in key sectors and will contribute to enhance climate finance in the private sector, incentivizing the issuance of thematic bonds in prioritized sectors and labels, and supporting the integration of ESG standards in the banking sector.
- **Entities programming capacities and standards:** There is a need for national entities to strengthen their institutional capacities and advance toward GCF Direct Access Entity accreditation, to enhance national ownership and direct access to climate finance. Readiness support will enable the accreditation of two national financial institutions as Direct Access Entities (DAEs) to the GCF thereby strengthening national ownership of climate finance. Given the national framework on risk management, legal compliance, transparency, and accountability, a significant proportion of the local financial institutions are prepared to meet the basic and specialized fiduciary standards of the GCF Revised Accreditation Framework. The country's diversified financial sector with 47 supervised banks will also facilitate the nomination of institutions aligned with programmatic priorities and the sectors prioritized in the ENFC and NDC. Financial institutions will be targeted under the on-lending and/or blending category, complementing the currently nominated entities focused on grant award and project management.
- **Country Programme to guide GCF investment:** Although a final draft of the Country Programme was prepared with earlier Readiness support, it has not been institutionalized as a tool to guide GCF programming or attract other sources of climate finance. Readiness support will establish and formalize a Country Program through a set of national programming dialogues with key stakeholders, to align strategies, refine policies, and support participatory investment planning in coherence with the updated Country Program.
- **GCF proposals for adaptation and mitigation:** While the Dominican Republic benefits from regional and multi-country GCF initiatives, no GCF country-specific proposals have been approved. Paving the way for the development of at least one priority project funding proposal, Readiness support will enable pre-feasibility and feasibility analyses, supporting climate risk and vulnerability assessments
- **NDA processes and systems to oversee climate programme:** The absence of standardized and integrated systems for tracking climate investments hinders the NDA's ability to monitor resource flows, assess progress toward the NDC, and prioritize investments. In response to the identified need, Readiness support will the development a tool to monitor, report and verify climate investments. This tool will inform the Country Platform Secretariat on the climate investment landscape to support decision making and oversight functions.

- Knowledge products to support climate policy and investment decisions: Micro, small, and medium enterprises (MSMEs) lack accessible tools, technical guidance, and capacity-building opportunities to identify climate investment prospects, manage associated risks, and integrate green practices into operations. Readiness support will enable the development and dissemination of targeted knowledge products on Green Taxonomy and sustainability standards.

## 3. Statement of Objectives

### Guidance

This section aims to clearly define the objectives and scope of the readiness support being sought. It outlines: (1) the anticipated impact of readiness support on climate programming and direct access, highlighting how the support will enhance the country's capacity to design and implement climate projects; (2) the alignment of the readiness support with national climate goals, including the implementation of NDCs, NAPs, and LTS, and (3) specific areas of readiness support required, addressing capacity gaps and aligning with the objectives and outcomes of the 2024-2027 readiness strategy.

To form a statement of the objectives for the readiness support, consider the optional [Guide for Countries on Strategic Planning of Readiness Support](#).

### 3.1 Objectives of the Readiness Support

The Dominican Republic's request for GCF Readiness support targets critical institutional, financial, and coordination gaps that limit the country's ability to access, mobilize, and deploy climate finance effectively. Building on past Readiness achievements, the proposal focuses on strengthening the enabling environment, tools, and institutional capacities to advance national climate priorities, as articulated in the country's NDC. It is structured around three objectives, each linked to specific outcomes and interventions.

#### **Objective 1: Capacity-building for climate finance coordination and setting up the enabling environment for integrated climate investment**

Readiness support will be leveraged to deliver **three** outcomes to create improved climate finance coordination and investment conditions in the Dominican Republic:

- Strengthened capacity and coordination for NDC implementation (Outcome 1.1) will enable the Dominican Republic to operationalize climate commitments, policies, and strategies. Governance processes and institutional arrangements will be optimized by establishing an inclusive country platform to strengthen coordination and matchmaking with partners and ensure coherence of the project portfolio alignment with the NDC. The development of Terms of Reference and Standard Operating Procedures will guide the process of operationalizing the country platform, defining both the scope and structure of the investment mechanism.
- Strategic frameworks enabling inclusive and integrated climate investment (Outcome 1.2) will be advanced by designing and validating two key national policy instruments (carbon and biodiversity markets regulations), developing an NDC Investment Plan[MS1] [MB2] [MS3] (which will include a project pipeline), promoting the issuance of thematic bonds, supporting sustainability standards integration in the banking sector, and developing green incentive recommendations to the private sector.
- Strengthening entities' programming capacities and standards compliance (Outcome 1.3), will support the accreditation of two national financial institutions as DAEs and thereby increase country ownership of climate finance. Readiness support will achieve this by providing technical and institutional capacity-building, including gap assessments and capacity needs assessments, tailored action plans, and support for documentation and compliance.

### **Objective 2: Paradigm-shifting GCF pipeline development and implementation for adaptation and mitigation, based on country needs and guided by USP-2 programming targets**

This objective is structured around **three** outcomes aimed at institutionalizing the Country Programme, producing high-quality proposals, and strengthening NDA oversight:

- The Country Programme (Outcome 2.1) will be updated through a set of national programming dialogues with key stakeholders that ensure alignment with climate strategies and provide a framework for accreditation, programming, and prioritization of projects under the country platform.[VV4] [MB5] [MS6]
- High-quality proposal (Outcome 2.2) will be developed for one priority project and will undergo pre-feasibility and technical studies to inform the development of one funding proposal for submission to the GCF, GEF, or other climate funds. The preparation of climate-scenario models, vulnerability assessments, and a NDC Investment Plan and project pipeline will strengthen the technical quality and strategic alignment with the updated NDC.
- NDA oversight (Outcome 2.3) will be reinforced by equipping the NDA with enhanced monitoring, reporting, and financial management systems, together with an integrated information system that ensures transparent oversight of climate finance flows. Training, including the development of tools, manuals and capacity-building workshops [VV7] [MB8] [MS9] will be provided to the NDA on the management system to ensure effective implementation and sustainability.

### **Objective 3: Knowledge-sharing and learning to enhance national and regional cooperation on climate programming and financing**

Lastly, Readiness support will promote learning and exchange, laying important foundations for the scaling of national progress through **one** outcome:

- Knowledge products will be generated to support climate policy and investment decisions (Outcome 3.1), including the development and dissemination of targeted tools and resources to address identified information and policy gaps, with knowledge toolkits at the core to help private, public and subnational actors integrate sustainable production standards and implement the country's green taxonomy.

### **Capacity-building for climate finance coordination and setting up the enabling environment for integrated climate investment**

- ☒ 1.1. Developing countries, through NDAs or focal points, have enhanced capacity to fulfil their roles, responsibilities and policy requirements, including coordination mechanisms to engage relevant stakeholders to develop, advance, and implement NDCs, NAPs and LTSs.
- ☒ 1.2. Developing countries design and implement strategic frameworks (including NDC/NAP/LTS), policies and instruments, including climate investment plans, to create enabling environments for integrated climate investments.
- ☒ 1.3. Direct access applicants and accredited entities (DAEs) have met and maintained the accreditation standards of the GCF and strengthened their programming capacities, as evidenced by the development of GCF-funded activities.

### **Objective 2. Paradigm-shifting GCF pipeline development and implementation for adaptation and mitigation, based on country needs and guided by USP-2**

- ☒ 2.1. Developing countries have developed or updated their country programmes to guide GCF investment.
- ☒ 2.2. Developing countries have developed high-quality concept notes linked to approved GCF proposals for adaptation and mitigation that are aligned with the USP-2 results, including through DAEs, that build on readiness support and country programmes.
- ☒ 2.3. NDAs and DAEs have enhanced processes and systems to effectively oversee the implementation, financial management, monitoring and reporting of climate programmes and projects.

### **Objective 3. Knowledge-sharing and learning to enhance national and regional cooperation on climate programming and financing**

- ☒ 3.1. Developing countries, through NDAs or focal points, have made use of knowledge products to address policy gaps and integrated climate investment programming and implementation.
- ☒ 3.2. Enhanced collaboration among developing countries on climate change issues, evidenced by transboundary and regional cooperations/South-South cooperation.

#### **3.2 Anticipated Impact on Climate Programming and Direct Access**

Current limitations in the scope and effectiveness of coordination mechanisms hinder the implementation of the NDC-DR-2020, and the preparation of the NDC 2025 and LTS. Readiness support will establish and operationalize participatory and governance mechanisms by establishing a national coordination platform, the Country Platform for Climate Finance (“Country Platform”) — a national coordination mechanism designed to empower the Government of the Dominican Republic to lead climate-investment planning and mobilize finance at scale (**Output 1.1.2**).

At its core, the Country Platform will serve as a multi-stakeholder governance and investment coordination mechanism that brings together government institutions, development partners, financial entities, and private-sector representatives to guide the country’s climate-finance agenda. The Platform will ensure that national priorities are coherently reflected in investment planning, helping to avoid fragmentation and duplication of efforts and guaranteeing that all actors align around a shared goal of climate-resilient, low-carbon development.

The development of the NDC[MS1] [MB2] [MS3] Investment Plan (**Output 1.2.1**) and Country Programme (**Output 2.1.1**) will underpin the Platform’s work, identifying transformative investment opportunities and matching them with appropriate financial instruments, implementing partners, and funding sources. The Platform will follow a structured process to attract and evaluate investments submitted by implementing partners. Project proposals will be screened according to their alignment with national priorities, financial and technical feasibility, social and economic co-benefits, gender responsiveness, and potential for scalability.

The evaluation process will be led by a Steering Committee, supported by a Coordination Secretariat and thematic technical working groups within prioritized sectors. The Country Platform will be informed by previous prioritization of three sectors linked to the ENFC and the NDC carried out through a comprehensive and consultative process. [AGBR4] [MS5] The Readiness will develop a Terms of Reference (ToR) and Standard Operating Procedures (SOP) for the County Platform institutional structure, including the establishment of the foundational documents, definition of fit-for-purpose governance structure and roles/responsibilities.

To foster transparency and facilitate matchmaking, vetted investment opportunities will be published on a digital portal—the Funding Platform—where registered public and private financiers can access information on prioritized projects. Financial institutions, investors, and development partners will be able to identify projects that match their investment criteria and engage directly with implementing partners. The Secretariat will support this

process through regular project presentations and investment dialogues, enabling co-financing opportunities and blended-finance structures.

The Platform will also coordinate with other project-preparation facilities and technical-assistance programs to ensure complementarity and coherence. Once operational, it will function as a one-stop marketplace for climate investments, creating a unified space to connect project developers with financiers, streamline access to information, and ensure that funding decisions are based on a transparent and robust evaluation process.

This Readiness support will enhance the capacity of local institutions to access climate finance through the Country Platform, by advancing GCF accreditation processes. Institutional accreditation remains a barrier to engaging financial intermediaries in the Dominican Republic, and many maintain the perception that the accreditation process remains slow and bureaucratic. Three entities have been nominated for GCF accreditation since 2022 (CEDAF, Fondo MARENA and Sur Futuro), but to date none have achieved accreditation. This Readiness aims to support the accreditation of at least two national financial institutions to support the development [VV6] [MB7] [MS8] of a high-quality funding proposals and deliver transformative climate investments (**Output 1.3.1**).

As a country highly vulnerable to climate shocks, the Dominican Republic's entities aiming to access climate investment requires a robust understanding of climate risks and an enhanced ability to monitor them. To address this, Readiness will support the development of climate scenario models and vulnerability assessments directed to specific funding proposals to be supported for an inclusive integrated climate programming (**Output 2.2.1**). [VV9] [AGBR10] [MS11]

The country has a goal of conserving and restoring 600 km<sup>2</sup> of deforested and degraded protected natural areas and other high biodiversity regions, which is going to be partially achieved through the deployment of carbon and biodiversity markets. As highlighted in the country's NDC and the ENFC, the Dominican Republic is committed to adopting emerging and innovative financing mechanisms but requires enhanced capacity and a robust regulatory foundation. To address this, Readiness support will develop carbon and biodiversity market regulation, as well as a national Article 6 strategy (**Output 1.2.1**) and related capacity building activities consisting of workshops, seminars, and knowledge exchange dialogues.

In addition to the development of biodiversity and carbon markets, the Dominican Republic will amplify its work to enhance innovative financing and tracking of climate finance flows while increasing private sector participation in climate finance. This will be done through a thematic bonds program to support the two potential thematic bond issuers to be selected through a competitive and inclusive process lead by the NDA and ABA. The program will also deliver technical criteria to incentivize private sector investments towards green and resilient public-private initiatives. Moreover, Readiness will support the banking sector to integrate and align their credit portfolios and operations with internationally recognized ESG standards (**Output 1.2.1**). Complementary measures will include the development of green incentive policies for the private sector in two priority sectors and the creation of a private sector toolkit to strengthen engagement in sustainable production standards. To further support public green investments, a pilot implementation of the Local Green Taxonomy will be rolled out in prioritized adaptation sectors of the economy, with focus on supporting green technical and vocational capacities (**Output 3.1.1**).

### 3.3 Alignment with NDC/NAP/LTS Implementation

The proposed Readiness activities are aligned with the Dominican Republic's key climate planning documents including the NAP and NDC. Please note that the Dominican Republic's LTS is currently under development.

**NAP:** The Dominican Republic's National Adaptation Plan for Climate Change 2015-2030[1] (PNACC RD, for its acronym in English) is the primary adaptation planning document in the country. The PNACC highlights its main objectives to:

- Reduce vulnerability to the impacts of climate change by building adaptive capacity and resilience.
- Facilitate the coherent integration of climate change adaptation into new and existing policies, programs, and activities, particularly development planning processes and strategies, within all relevant sectors and at different levels, as appropriate.

The PNACC also identifies 7 crosscutting lines including:

- Political and administrative management of climate change to facilitate the integration of climate change adaptation and mitigation into sectoral and national policies.
- Climate risk reduction.
- Intersectoral and inter-institutional coordination.
- Research on vulnerability, adaptation, climate impacts, and scenarios.
- Strengthening monitoring and evaluation systems, including local capacity.
- Communication, Information, and Education to address Climate Change and variability with a gender perspective.
- Integration of the Gender Perspective.

In line with these goals, the proposed Readiness will implement the following activities:

- The establishment of a Country Platform (Output 1.1.2), aligned with line 1 and 3.
- The enhanced of the NDA's capacity on Funding Proposal development for climate programming (Output 2.2.1), aligned with line 2 and 4.

In its NDC 2.0, submitted to the UNFCCC in 2020, the Dominican Republic committed to reducing emissions by 27% by 2030 compared to a business-as-usual (BAU) scenario. Achieving this target is highly dependent on external finance from development partners and developed economies. Of this overall goal, 7% represents the country's unconditional commitment, while the remaining 20% reduction is conditional on external financing. The government estimates that meeting its NDC mitigation target will require approximately USD 8.9 billion in investments. The Dominican Republic is now preparing its NDC 3.0, which will update and expand these commitments.

The proposed Readiness support will directly respond to the priorities identified in the NDC, ensuring that the country can mobilize the finance, policies, and partnerships needed to achieve its climate targets.

- The NDC highlights the importance of effective coordination. This will be achieved through the establishment of an inclusive Country Platform to mobilize finance towards the country's climate commitments (Output 1.1.2).
- The NDC notes the country's commitment to promote the design and implementation of green financing instruments that boost the national market for climate-resilient and low-carbon economic sectors. The Readiness will support this priority through the thematic bonds program and by integrating sustainability standards into the banking sector via a digital self-assessment tool and targeted policy recommendations (Output 1.2.1).

- The NDC highlights the need to strengthen the capacity of the private sector and civil society to contribute to its implementation. This Readiness will respond by introducing private sector incentive criteria and developing green incentive policies in two priority sectors (Output 1.2.1).
- The NDC commits to promoting long-term public-private cooperation in understanding and managing the risks and opportunities associated with climate change, enabling decision-making by local financial sector stakeholders. In line with this priority, the proposed Readiness will deliver a NDC[MS1] [MB2] [MS3] Investment Plan, including a project pipeline (Output 1.2.1), and develop climate scenario models and vulnerability assessments to identify future risks across priority sectors, aligned with the Country Programme (Output 2.1.1).
- The NDC prioritizes strengthening its mechanisms to access the GCF, emphasizing the importance of ensuring that prioritized projects align with both the National Development Strategy and the objectives and commitments of the NDC. To address this, the Readiness will provide support for the accreditation and enhanced capacity of DAEs (Output 1.3.1), the update of the Country Programme (Output 2.1.1), and the delivery of prefeasibility studies for funding proposals (Output 2.2.2).
- In addition, the NDC identifies participation in Article 6 mechanisms—with safeguards against double counting—as a national priority, and highlights biodiversity as a key area for adaptation. In line with this, the Readiness will support the development of Article 6 and biodiversity markets (Output 1.2.1).

**LTS:** The Dominican Republic is currently in the process of finalizing the first draft of the LTS, under the lead of the Ministry of Finance and Economy and the support of the World Bank. The proposed national coordination platform (1.1.1) will serve as a mechanism to enhance the coordination around the completion and implementation of the LTS.



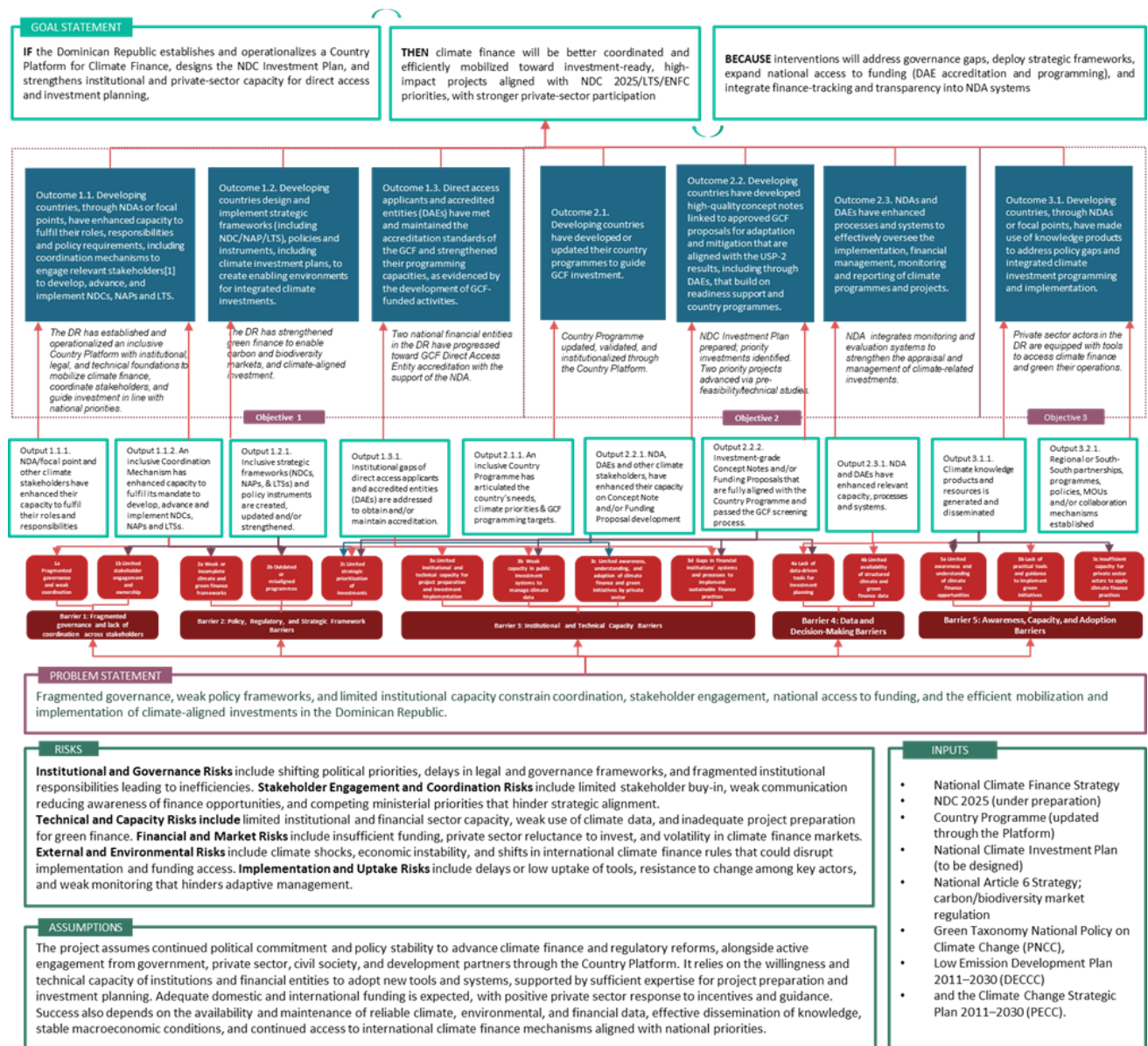
## 4. Approach, Methodology, and Implementation Activities

### Guidance

Please describe technical approach, methodology, and implementation plan to address the country needs and gaps, through the Direct Access modality.

### 4.1 Theory of Change

The first step in articulating the programme's objectives as well as the logic behind the delivery of its intended results is the formulation of a TOC. Please provide a TOC which highlights key challenges being addressed, as well as the step-by-step pathways to achieving the expected results. Key steps to designing a TOC are outlined in the [RRMF Handbook](#).



## 4.2 Beneficiaries

**Government of the Dominican Republic:** The proposed Readiness will improve the government's capacity to raise affordable long-term climate finance and meet its NDC targets without compromising the government's fiscal balance. Resources will support the design of the NDC Investment Plan, aligned with the National Climate Finance Strategy, including investment priorities, expected impacts, outcomes. Activities will also advance the implementation of strategic actions outlined in the NDC, the National Policy on Climate Change (PNCC), the Low Emission Development Plan 2011–2030 (DECCC), and the Climate Change Strategic Plan 2011–2030 (PECC).

**National Designated Authority:** The proposed Readiness grant is aligned with the Dominican Republic's draft GCF Country Programme and aims at increasing the institutional capacity of the NDA to deliver its mission and raise its climate change mitigation and adaptation ambitions. Support will include the design and implementation of a Country Platform, formulation of a National Article 6 Strategy, and enhanced monitoring and reporting systems.

**DAE Candidates:** The proposed Readiness grant will provide technical assistance and capacity building to two candidate DAEs nominated by the NDA to strengthen their fiduciary standards, environmental and social safeguards (ESS) and GESI policies and systems and to advance their accreditation by the GCF.

**Local financial institutions:** The proposed Readiness contributes to building the resilience of local financial institutions to manage climate, environmental, and social risks by integrating ESG standards into their lending operations, improving risk screening and categorization, and piloting sustainable banking self-assessment tools.

**Ministry of Finance and Economy:** Support will be directed toward developing and operationalizing a national multisectoral coordination mechanism to track and mobilize climate finance. This includes designing climate finance project appraisal and screening criteria and templates, and development of Operational Manual to mobilize investment, selecting investment pipelines, concept notes, and other critical processes.

**The Dominican Republic's Superintendency of Banks (SB), the Central Bank:** The proposed Readiness will strengthen the regulatory foundation for innovative climate finance by supporting the development of financial and market regulations for carbon and biodiversity markets, ensuring consistency with national financial regulations and international standards.

**Private sector:** The Readiness will strengthen the enabling environment for climate investment by introducing fiscal and regulatory green incentives and launching a Thematic Bonds Program to mobilize private capital toward climate-resilient and low-carbon projects. Through the Country Platform for Climate Finance, private-sector actors will gain structured access to policy dialogue, investment opportunities, and coordination mechanisms that align their initiatives with national climate priorities.

**Micro, Small and Medium Enterprises (MSMEs):** The Readiness will deliver a private sector toolkit in coherence with the NDA's sustainable and clean production strategy, including methodologies, transition assessment tools, pilot cases, and investment templates, to empower MSMEs to adopt low-carbon and green business models.

Dominican Republic's citizens who will benefit from climate-scenario models and vulnerability assessments and Green Taxonomy aligned-investments that will help design better-targeted investments projects that protect households, rural producers, and communities from climate impacts.

All trainings, workshops and capacity building events will target at least 50% of women's beneficiaries. GGGI has developed the Gender and Poverty Markers to provide a measure for its investments in support of gender equality and poverty eradication. The Gender Marker is based on the OECD-DAC Gender Equality Policy Marker used by OECD members. The GGGI Gender and Social Inclusion Strategy 2021-2025 lays out pathways for

inclusive green growth, ensuring a strong and systematic focus on meaningful participation, equal access to benefits and social safeguard management at all stages of green growth and climate action processes.

At the local level, socialization and participation mechanisms will target participation of vulnerable communities as both a precondition (e. g. participation of these stakeholders) and an indicator (e. g. co-benefits generate by concept notes).

### 4.3 Implementation Activities

Please list all proposed activities under their corresponding outputs. For each activity:

- Assign a unique identifier using the format aligned with the output (e.g., activities under Output 1.2.1 should be labelled as 1.2.1a, 1.2.1b, 1.2.1c, etc.).
- Ensure these activity labels match those referenced in the Financial Proposal to maintain consistency and traceability.
- Provide a clear and detailed description of each activity, specifying the exact actions that will be undertaken.

#### **Objective 1. Capacity-building for climate finance coordination and setting up the enabling environment for integrated climate investment**

☒ Outcome 1.1. Developing countries, through NDAs or focal points, have enhanced capacity to fulfil their roles, responsibilities and policy requirements, including coordination mechanisms to engage relevant stakeholders to develop, advance, and implement NDCs, NAPs and LTSS.

☒ Output 1.1.1. NDA/focal point and other climate stakeholders have enhanced their capacity to fulfil their roles and responsibilities to develop, advance and implement NDCs, NAPs and LTSS.

##### **Activities**

**1-1-1.a.** Activity 1.1.1.1 Undertake a review and provide recommendations for enhancing the current No Objection Procedure based on best practices and deliver 1 capacity building workshops to the NDA on the NOP.

**1-1-1.b.** Activity 1.1.1.2 Develop and validate a climate governance manual for the Biennial Transparency Report and/or the Mitigation, Reporting and Verification system, defining institutional roles and coordination processes, a coordination protocol detailing data collection and reporting procedures, and deliver at least 4 capacity building workshops to operationalize these tools across key institutions.

☒ Output 1.1.2. An inclusive Coordination Mechanism has enhanced capacity to fulfill its mandate to develop, advance and implement NDCs, NAPs and LTSS.

##### **Activities**

**1-1-2.a.** Activity 1.1.2.1 Develop the Dominican Republic Country Platform Concept, based on the comprehensive stakeholders' consultations and national priorities, and marketing/outreach materials (3) to increase awareness of the platform and its service offerings. These can be used for both domestic actors and investment and TA funding partners.

**1-1-2.b.** Activity 1.1.2.2 Conduct comprehensive stakeholder consultations and engagements via focus group discussions (3) and high-level round tables (1) to ensure high level support and cross-sectoral buy-in. FGDs will take place with government entities, private sector representatives, international financial institutions and academic institutions as relevant. As part of the consultations, NDA will convene the formalization of the Country Platform through a robust political agreement based on an evaluation of strong political will (e.g., Declaration and/or Presidential Decree) and the TORs and SOP defined in activity 1.1.2.3.

**1-1-2.c.** Activity 1.1.2.3 Develop a Terms of Reference (ToR) and Standard Operating Procedures (SOP) for the Country Platform institutional structure, including establishment of the foundational documents, definition of fit-for-purpose governance structure and roles/responsibilities (including Steering Committee, Secretariat, Technical Working Groups, networks), development of climate finance project appraisal and screening criteria and templates, and development of Operational Manual to mobilize investment, selecting investment pipelines, concept notes, and other critical processes.

**1-1-2.d.** Activity 1.1.2.4 Develop a website and create a dedicated digital 'Funding Platform' for the Country Platform. The website will facilitate information sharing and transparency on the implementation of the Country Platform, while the 'Funding Platform' should be designed as a digital portal/matchmaking tool to facilitate ease of project submission to the Secretariat.

**1-1-2.e.** Activity 1.1.2.5 Build capacity within an interim Investment Platform Secretariat to effectively coordinate across different platform functions. The Readiness project team consisting of a country platform coordinator, climate, sustainable finance and resource mobilization and MEL specialist. Programmatic specialists for two prioritized sectors will be hired and integrated within the platform.

**1-1-2.f.** Activity 1.1.2.6 Organize and conduct semiannual coordination meetings of the country platform

☑ Outcome 1.2. Developing countries design and implement strategic frameworks (including NDC/NAP/LTS), policies and instruments, including climate investment plans, to create enabling environments for integrated climate investments.

☑ Output 1.2.1. Inclusive strategic frameworks (NDCs, NAPs, and LTSs) and policy instruments are created, updated and/or strengthened.

### Activities

**1-2-1.a.** Activity 1.2.1.1 Design, validate, and disseminate two key national policy instruments — Carbon and Biodiversity Market Regulations, National Article 6 Strategy — to strengthen the policy and institutional framework for climate action.

**1-2-1.b.** Activity 1.2.1.2 Develop and validate the NDC Investment Plan for the Dominican Republic, including the methodology, investment priorities, a set of costed investment pipelines, potential funding sources, implementing partners, financial instruments, expected impacts, KPIs, and conduct outreach activities to raise awareness and disseminate the NDC Investment Plan among stakeholders . Please note that the country platform secretariat will oversee implementation of this investment plan.

**1-2-1.c.** Activity 1.2.1.3 Develop and implement an ESG digital self-assessment tool for banks, conduct a baseline assessment across the supervised banking sector and generate policy recommendations for financial regulators (BCRD and SB)

**1-2-1.d.** Activity 1.2.1.4 Provide comprehensive technical assistance to up to three selected public or private sector entities for the issuance of thematic bonds, covering the full issuance cycle and ensuring alignment with international standards through the thematic bond framework and Second Party Opinion (SPO).

**1-2-1.e.** Activity 1.2.1.5 Develop and validate Green Incentive Policy recommendations for the private sector in three priority sectors, including the banking sector, based on the priorities identified in the NDC Investment Plan.

☑ Outcome 1.3. Direct access applicants and accredited entities (DAEs) have met and maintained the accreditation standards of the GCF and strengthened their programming capacities, as evidenced by the development of GCF-funded activities.

☑ Output 1.3.1. Institutional gaps of direct access applicants and accredited entities (DAEs) are addressed to obtain and/or maintain accreditation.

#### **Activities**

**1-3-1.a.** Activity 1.3.1.1 Complete gap assessment and action plans against the Revised Accreditation Framework (RAF) screening requirements for two national financial institutions.

**1-3-1.b.** Activity 1.3.1.2 Develop a roadmap and strategy for seeking GCF accreditation. The roadmap will detail the comparative advantage/track record of potential applicant entities; sequencing and prioritization of the accreditation pipeline; and alignment with country climate priorities, to guide the NDA's nomination process

**1-3-1.c.** Activity 1.3.1.3 Develop the Fiduciary (standard and specialized), ESS and GESI operative manuals to achieve RAF requirements and close gaps identified in 1.3.1.2 across the Stage I submission process.

### **Objective 2. Paradigm-shifting GCF pipeline development and implementation for adaptation and mitigation, based on country needs and guided by USP-2 programming targets**

☑ Outcome 2.1. Developing countries have developed or updated their country programmes to guide GCF investment.

☑ Output 2.1.1. Country programme developed and/or updated to guide GCF investment.

#### **Activities**

**2-1-1.a.** Activity 2.1.1.1 Update the Country Programme through a review of existing national strategies, plans, and NDC initiatives, and two roundtable sessions to validate climate finance priorities and agree on a stakeholder engagement plan.

☑ Outcome 2.2. Developing countries have developed high-quality concept notes linked to approved GCF proposals for adaptation and mitigation that are aligned with the USP-2 results, including through DAEs, that build on readiness support and country programmes.

☑ Output 2.2.1. NDA, DAEs and other climate stakeholders, have enhanced their capacity on Concept Note and/or Funding Proposal development for an inclusive integrated climate programming.

#### **Activities**

**2-2-1.a.** Activity 2.2.1.1 Develop climate scenario models and vulnerability assessments to identify future risks across priority sectors, aligned with the Country Programme and NDC Investment Plan. The activity will define the modelling scope, collect and analyze climate data with stakeholder participation, and build technical capacity to apply results in project design and investment planning

**2-2-1.b.** Activity 2.2.1.2 Identify and prioritize the investment pipeline in the NDC Investment plan and select a project to receive technical assistance for the preparation of 1 funding proposal. Facilitate stakeholder engagements to submit trigger projects to the digital Investment Platform. Trigger projects will be guided by the country platform's steering committee.

**2-2-1.c.** Activity 2.2.1.3 Conduct the necessary studies and technical assessments to support the development of one funding proposal. Examples of relevant studies made include pre-feasibility studies to compare scenarios and determine impact, technical appraisals, cost benefit analysis, market research and estimated GHG reduction calculations, climate rationale.

☑ Output 2.2.2. Investment-grade Concept Notes and/or Funding Proposals that are fully aligned with the Country Programme and passed the GCF screening process.

#### **Activities**

**2-2-2.a.** Activity 2.2.2.1 Develop 1 high quality Funding Proposals for submission to the GCF, GEF or AF

☑ Outcome 2.3. NDAs and DAEs have enhanced processes and systems to effectively oversee the implementation, financial management, monitoring and reporting of climate programmes and projects.

☑ Output 2.3.1. NDA and DAEs have enhanced relevant capacity, processes and systems in place to oversee and implement climate programmes and projects.

#### **Activities**

**2-3-1.a.** Activity 2.2.3.1 Conduct a needs assessment of the NDA's processes to integrate green and climate impact by defining the scope, methodology, and IT requirements; upgrading or installing compatible software; and developing user manuals, maintenance plans, and training materials to ensure full operationalization and use by project developers.

### **Objective 3. Knowledge-sharing and learning to enhance national and regional cooperation on climate programming and financing**

☑ Outcome 3.1. Developing countries, through NDAs or focal points, have made use of knowledge products to address policy gaps and integrated climate investment programming and implementation.

☑ Output 3.1.1. Knowledge products developed and/or used to address policy gaps and integrated climate investment programming and implementation.

#### **Activities**

**3-1-1.a.** Activity 3.1.1.1 Pilot and refine the Dominican Republic's Green Taxonomy through adaptation sector-specific testing sessions, preparation of recommendations and a finalized handbook, and launching/ dissemination/ presentation events and seven dissemination materials to showcase Green Taxonomy pilot results.

**3-1-1.b.** Activity 3.1.1.2 Develop the National Private Sector Sustainable Toolkit by defining its scope and methodology, engaging private sector actors through focus groups and pilot cases, finalizing and launching the toolkit with user guidelines and investment templates, and delivering a capacity-building workshop for its effective use.

☑ Outcome 3.2. Enhanced collaboration among developing countries on climate change issues, evidenced by transboundary and regional cooperations/South-South cooperation.

☑ Output 3.2.1. Regional or South-South partnerships, programmes, policies, MOUs and/or collaboration mechanisms established among developing countries, including through their DAEs.

#### **Activities**

**3-2-1.a.** Activity 3.2.1.1 Organize and conduct two South-South knowledge exchange international missions to learn from Country Platform experiences, strengthen institutional collaboration, and enhance information sharing between the Dominican Republic and partner countries.

**3-2-1.b.** Activity 3.2.1.2 Organize and conduct a Country Platform Exchange Mission to present progress on the Dominican Republic's Climate Investment Framework in a relevant international event, to foster stakeholder collaboration, and connect priority investment opportunities with financial institutions.

## **4.4 Stakeholder Engagement Strategy**

The Readiness delivery team, under the leadership of the NDA (MMARN), will directly coordinate consultations and co-development processes required to update the GCF Country Programme, develop the NDC Investment Plan, support DAE accreditation, and advance strategic frameworks such as the Sustainable Banking Program, Thematic Bonds Program, and Article 6 and biodiversity markets. These actions will be executed as showed in the Implementation Plan and will be guided by existing interinstitutional coordination mechanisms and technical committees (Green Taxonomy, Thematic Bonds, Sustainable Production Network), ensuring continuity with previous readiness efforts.

The Readiness will establish and operationalize the Country Platform for Climate Finance—a structured, participatory coordination mechanism designed to consolidate and sustain stakeholder engagement across government, financial institutions, civil society, and development partners. The Platform development will be overseen by the Steering Committee and the Readiness team will provide technical and logistical support during its setup phase.

All engagement will adhere to GCF's standards on inclusiveness, transparency, gender equality, and accountability, ensuring effective participation of public institutions, private sector, civil society, academia, and local actors.

#### Objective 1 — Strengthening coordination and enabling environment

Stakeholders (indicative, not exhaustive):

- Public sector (MMARN, MHE, MIPRE, BCRD, SB, SIMV, MEM, CNCCMC).
- Private sector (ABA, CONEP, AIRD, local financial institutions).
- Local entities (ECORED, CEDAF, Sur Futuro, CI Atabey, Nature Power, Grupo Jaragua).
- Academic and Research institutions (INTEC, PUCMM, UASD);
- international partners (IDB, WB, CAF, CABI, TNC, IUCN).

Engagement approach / activities:

- Early consultations during inception phase, coordinated by the Readiness team with the NDA leadership.
- Communication and engagement strategy developed in the inception phase.
- Launching event of the Readiness in the Climate Finance Week.
- Inter-agency and multi-stakeholder workshops to design the Country Platform (ToR, governance, workplan).
- Public-private working groups on policy instruments with targeted expert sessions.
- NDC Investment Plan and project pipeline consultations
- Digital platform with modules designed to engage with potential executing entities.
- Country Platform semi-annual meetings.
- Thematic roundtables meetings and learning exchanges for DAE candidates and financial institutions.

Expected results:

- Country Platform structure endorsed and functional.
- Investment pipeline validated through participatory consultations and Country Platform.
- Strategic frameworks co-developed and owned by institutions.
- Two national entities advance toward GCF accreditation.

#### Objective 2 — Country Programme, investment pipeline, and oversight systems

Stakeholders:

- Public sector (MMARN, MHE, MIPRE, sectorial ministries).
- DAEs/candidate
- Local entities (ECORED, CEDAF, Sur Futuro, CI Atabey, Nature Power, Grupo Jaragua).
- International partners (IDB, WB, CAF, CABI, TNC, IUCN).

Engagement approach:

- Multi-sector and planning workshops to update the Country Programme with government, private sector, and partners.
- Pipeline thematic roundtables with DAEs and sector agencies to prepare concept notes and funding proposals.
- Pre-feasibility and feasibility studies consultations and peer review with experts.
- Data-integration sessions with NDA, and IT teams to improve monitoring and oversight systems.
- Regular briefings and peer reviews through the emerging Country Platform.

Expected results:

- Updated Country Programme aligned with NDC targets.
- 1 investment-ready FP.
- NDA oversight system operational, with trained users and integrated financial-tracking features.

**Objective 3 — Knowledge, toolkits, and learning****Stakeholders:**

- ABA, LFIs, MSMEs, civil society organization, academia, NDA, communication partners.

**Engagement approach:**

- Pilot workshops for Green Taxonomy (adaptation sectors) and user testing of the Private-Sector Sustainability Toolkit.

- National launch and training sessions with vocational technical organizations.
- Integration of all learning products and communication materials into the Country Platform website and knowledge portal.
- Annual learning events and cross-institutional webinars.

**Expected results:**

- Broader awareness and use of taxonomy and private-sector toolkit.
- Strengthened capacities of private and civil-society actors to apply sustainability tools.
- Sustainable knowledge-sharing channel established through the Country Platform.

**Cross-cutting principles**

- Inclusiveness and gender equality: at least 50 % women in trainings; targeted outreach to women-led CSOs and local communities.
- Transparency: agendas, minutes, and deliverables publicly available through the Platform.
- Accountability: quarterly progress notes reviewed by the Steering Committee.
- Sustainability: all systems and tools transferred to host institutions and integrated into national processes.

#### 4.5 Risk Assessment and Mitigation measures

Please describe potential risks and risk monitoring plan, as follows:

- Please list the key identified and potential risks as well as the mitigation measures proposed. The potential risks could include, for example, delays, disruptions, cost and market risks, inadequate coordination/participation, lack of political support, money-laundering/terrorist financing, and prohibited practices.
- Please indicate the probability of occurrence (low/medium/high) and impact level (low/medium/high) for each potential risk.
- If managed remotely, please describe the measures (mechanisms and controls) to be taken to identify, assess, monitor and mitigate any risk of money-laundering, terrorist financing or prohibited practices.
- Please describe the measures (mechanisms and controls) to be taken for activities within the proposal to identify, assess, monitor and mitigate any risk of money-laundering, terrorist financing, prohibited practices and other integrity matters.

| Risk Category | Specific risk(s) / Risk(s) description                                                                                                                                                              | Probability of occurrence | Impact level | Mitigation action(s)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Entity(ies) responsible to manage the risk(s) |
|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|
| Other         | 1. Lack of stakeholder/political support for the readiness activities may result in delays, or even prevent the implementation of the intervention                                                  | Low                       | High         | The probability of occurrence of this risk has been mitigated by developing the proposed Readiness grant in close consultations with key Government stakeholders including the Ministry of Environment and Natural Resources as the NDA. The impact of this risk, should it materialize, is mitigated by leveraging the mandate of the NDA, acting as both the main political sponsor of this Readiness grant, as well as the entities mandated by the government to coordinate and promote the implementation of the NDC, the PNACC-RD, the National Climate Finance Strategy 2030, and the National Policy on Climate Change. The NDA will, in case of lack of support from one or more stakeholder groups, leverage its mandate to promote collaborative behavior from all parties involved. | GGGI                                          |
| Operational   | 2. The NDA's limited capacity to oversee multiple projects and administrative inefficiencies results in implementation delays, overlaps, reduced value for money and efficiency of the intervention | Low                       | Medium       | Limited technical and institutional capacity may affect the NDA's ability to function effectively. This will be mitigated by hiring key staff and consultants will be based in Santo Domingo and will work from the NDA's office to ensure smooth communication and cooperation, data collection, and on-the-job training. The division of roles, responsibilities, and reporting structures for the grant implementation and monitoring between the NDA and the DP have been agreed upon as outlined in Section 4.1. The NDA has designated one official to be responsible for the oversight, streamlined                                                                                                                                                                                      | GGGI NDA                                      |

|           |                                                                                         |        |        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |          |
|-----------|-----------------------------------------------------------------------------------------|--------|--------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
|           |                                                                                         |        |        | administrative procedures, and coordination of the proposed Readiness grant to enhance the project's speed and efficacy.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |          |
| Other     | 3. Low level of gender and social inclusion integration in the grant implementation     | Low    | Medium | <p>The Readiness activities will involve civil society representatives, including indigenous/local/vulnerable communities, and target at least 40% of women's beneficiaries. GGGI has developed the Gender and Poverty Markers to provide a measure for its investments in support of gender equality and poverty eradication. The Gender and Poverty Marker is based on the OECD Gender Equality Policy Marker used by OECD members. The GGGI Gender and Social Inclusion Strategy 2021-2025 lays out pathways for inclusive green growth, ensuring a strong and systematic focus on meaningful participation, equal access to benefits, and social safeguard management at all stages of green growth and climate action processes.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                          | GGGI     |
| Financial | 4. Potential exposure to money laundering, terrorist financing and prohibited practices | Low    | Medium | <p>GGGI has established Rules on Integrity Due Diligence that require due diligence review of third parties prior to their engagement with GGGI (<a href="http://gggi.org/site/assets/uploads/2019/06/Rules-on-Integrity-Due-Diligence_16-June-2019.pdf">http://gggi.org/site/assets/uploads/2019/06/Rules-on-Integrity-Due-Diligence_16-June-2019.pdf</a>). GGGI basic due diligence reviews issues related to money laundering, terrorism, organized crime, sanctions imposed by international and national bodies, human rights violations, modern slavery, or any other serious wrongdoing. A high-risk indicator would result in non-engagement with the third party while a medium risk indicator would result in further enhanced due diligence. GGGI will engage with a third party with a low-risk indicator. When no red flags have been identified, GGGI will continue following and implementing its AML and CTF policy throughout the Readiness implementation process. GGGI will conduct a due diligence review of local financial institutions that may become involved in the Readiness grant activities through the Dow Jones Risk &amp; Compliance database.</p> | GGGI     |
| Political | 5. Changing government's priorities during the implementation of grant                  | Medium | Medium | <p>The current government's mandate ends in mid-2028, allowing 2 years for implementation (hence, risk of major disruptions due to government transitions is minor). The Readiness proposal is based on long-term planning and policy documents, including the NDC, the PNACC-RD, the National Climate Finance Strategy 2030, and the National Policy on Climate Change, which supersede the mandate of individual governments. Whenever possible, and throughout the implementation of the Readiness activities, GGGI will work to minimize delays by building ownership and consensus among the members of the RSC.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | GGGI NDA |

|             |                                                                                                                                                                             |        |        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |          |
|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|--------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| Operational | 6. Poor coordination with ongoing relevant readiness-related initiatives                                                                                                    | Medium | Low    | The DP, alongside the NDA and RSC members, will liaise periodically to monitor the implementation of the Readiness activities. As part of the liaison process, checks will be instituted to periodically assess synergies with ongoing or potential Readiness proposals.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | GGGI NDA |
| Other       | 7. Low level of sustainability of trainings, strategies and similar                                                                                                         | Medium | Medium | Training activities will be guided by capacity gap assessments to ensure the content and deliverability of the capacity building sessions are both relevant and efficient, thereby increasing the interest and retention rate of beneficiaries. All training sessions will include the delivery of pre- and post-training surveys to monitor and improve the deliverability, usability, and relevance of training material and sessions. The Readiness grant combines traditional capacity building workshops with bilateral follow-up meetings and on-the-job training sessions to ensure that the training is put into practice and adopted by beneficiary institutions.                                                                                                                                                                                       | GGGI     |
| Operational | 8. Country platform fails to gain or sustain sufficient political support and alignment due to a fragmented climate governance and/or due to the upcoming elections in 2027 | Medium | High   | The Readiness considers comprehensive engagements and consultations with all stakeholders to build political agreements and broad-based support for the platform's goals. Also, a communication strategy will be designed and implemented by GGGI with support of communication focused staff, and a marketing and communication firm. NDA will actively participate in all engagements, leading engagements at the highest political level of Government institutions. GGGI will propose and evaluate technocritical solutions to the Country Platform, such as results-based payments and pooled funding arrangements, and will maintain continued dialogue and genuine alignment between domestic and international goals. The Country Platform will be customized and adapted to local context and country needs, specificities, priorities and legislation. | GGGI NDA |

## 5. Logical Framework (output)

### Guidance

Please complete the logical framework in the separate document.

### Logframe: Readiness Logframe (NDAs)

**Objective:** Capacity-building for climate-finance coordination and setting up the enabling environment for integrated climate investment.

**Outcome:** Developing countries, through NDAs or focal points, have enhanced capacity to fulfil their roles, responsibilities and policy requirements, including coordination mechanisms to engage relevant stakeholders to develop, advance, and implement NDCs, NAPs and LTSs.

**Output:** NDA/focal point and other climate stakeholders have enhanced their capacity to fulfil their roles and responsibilities to develop, advance and implement NDCs, NAPs and LTSs.

**Indicator:** Proportion of NDA/focal point, Coordination Mechanism and other climate stakeholders reporting an enhanced capacity to fulfil their roles and responsibilities to develop, advance and implement NDCs, NAPs and LTSs (Individuals: X/total).

**Please provide the number of participants per strategic framework**

Unit: No. of Participants

| Strategic Framework | Baseline | Target |
|---------------------|----------|--------|
| NDC                 | 0        | 100    |

**Output:** An inclusive Coordination Mechanism has enhanced capacity to fulfill its mandate to develop, advance and implement NDCs, NAPs and LTSs.

**Indicator:** An inclusive Coordination Mechanism or Country Platform\* is supported.

**Please provide the number of Country Platform per status**

Unit: No. of Country Platforms

| Effectiveness Status | Baseline | Target |
|----------------------|----------|--------|
| Establish            | 0        | 1      |

**Indicator:** Number of coordination meetings or dialogues undertaken with climate stakeholders, including climate funds, financiers and partners.

**Please provide the number of coordination meetings or dialogues**

Unit: No. of Meetings/Dialogues

| Baseline | Target |
|----------|--------|
| 0        | 6      |

### Expected Deliverables

☒ Status report on capacity building of NDA and climate stakeholders in [Country] to develop, advance, and implement NDCs, NAPs and LTS.

- ☒ Status report on country coordination mechanism, country (or regional) platform for enhanced climate finance access to develop, advance, and implement NDCs, NAPs and LTS in the Country.

**Outcome:** Developing countries design and implement strategic frameworks (including NDC/NAP/LTS), policies and instruments, including climate investment plans, to create enabling environments for integrated climate investments.

**Output:** Inclusive strategic frameworks (NDCs, NAPs, and LTSs) and policy instruments are created, updated and/or strengthened.

**Indicator:** Number of inclusive policies, regulations and mechanisms developed or enhanced to incentivize private sector engagement and catalyze private funds.

**Please provide the number of policies, regulations and mechanisms developed or updated to incentivize private sector engagement**

Unit: No. of Items

| Lifecycle Status | Baseline | Target |
|------------------|----------|--------|
| Update/Revise    | 0        | 2      |
| New              | 0        | 1      |
| New              | 0        | 2      |

#### Expected Deliverables

- ☐ Status report on the national adaptation plan (NAP).
- ☒ Status report on the nationally determined contribution (NDC).
- ☐ Status report on the long term climate strategies (LTS).
- ☒ Status report on policies, regulations and mechanisms developed or enhanced to incentivize private sector engagement in the Country.

**Outcome:** Direct access applicants and accredited entities (DAEs) have met and maintained the accreditation standards of the GCF and strengthened their programming capacities, as evidenced by the development of GCF-funded activities.

**Output:** Institutional gaps of direct access applicants and accredited entities (DAEs) are addressed to obtain and/or maintain accreditation.

**Indicator:** Number of direct access applicants and accredited entities (DAEs) with capacity, systems, policies and tools that are compliant with GCF accreditation requirements.

**Please provide the number of entities benefitted from the country window or DAE window**

Unit: No. of Entities

| Accreditation Support     | Baseline | Target |
|---------------------------|----------|--------|
| Pre-Accreditation Support | 0        | 2      |

#### Expected Deliverables

- ☒ Status report on capacity building of direct access applicants and accredited entities to meet GCF accreditation requirements.

**Objective:** Paradigm-shifting GCF pipeline development and implementation for adaptation and mitigation, based on country needs and guided by USP-2 programming targets.

**Outcome:** Developing countries have developed or updated their country programmes to guide GCF investment.

**Output:** An inclusive Country Programme has articulated the country's needs, climate priorities and GCF USP-2 programming targets for the country.

**Indicator:** An inclusive Country Programme document developed or updated with country's needs and climate priorities, guided by GCF USP-2 programming targets.

**Please provide the number of country programme(s) new or updated**

Unit: No. of Country Programmes

| Lifecycle Status | Baseline | Target |
|------------------|----------|--------|
| Update/Revise    | 0        | 1      |

#### Expected Deliverables

- ☒ Country Programme Document.

**Outcome:** Developing countries have developed high-quality concept notes linked to approved GCF proposals for adaptation and mitigation that are aligned with the USP-2 results, including through DAEs, that build on readiness support and country programmes.

**Output:** NDA, DAEs and other climate stakeholders, have enhanced their capacity on Concept Note and/or Funding Proposal development for an inclusive integrated climate programming.

**Indicator:** Number of studies, assessments, and other actions undertaken to support the development of investment-grade Concept Notes and/or Funding Proposals.

**Please provide the number of studies, assessments, and other actions to develop CN/FP**

Unit: No. of Studies/assessments

| Conducted to         | Baseline | Target |
|----------------------|----------|--------|
| Conducted for Others | 0        | 3      |

**Output:** Investment-grade Concept Notes and/or Funding Proposals that are fully aligned with the Country Programme and passed the GCF screening process.

**Indicator:** Number of Concept Notes and/or Funding Proposals developed that are fully aligned with the Country Programme.

**Please provide the CN/FP developed**

Unit: No. of Projects

| Project Submission Type | Baseline | Target |
|-------------------------|----------|--------|
| Funding Proposal        | 0        | 2      |

#### Expected Deliverables

- ☐ Concept Note and studies, assessment, reports, etc. to support the development of investment-grade Concept Notes.
- ☒ Funding Proposal and studies, assessment, reports, etc. to support the development of investment-grade Funding Proposals.

**Outcome:** NDAs and DAEs have enhanced processes and systems to effectively oversee the implementation, financial management, monitoring and reporting of climate programmes and projects.

**Output:** NDA and DAEs have enhanced relevant capacity, processes and systems in place to oversee and implement climate programmes and projects.

**Indicator:** Number of NDA and DAEs reporting enhanced processes and systems, knowledge and skills that advance their programme/project implementation, financial management, monitoring and reporting, and compliance with GCF standards and policies.

**Please provide the number of NDAs/DAEs advancing their programme/project of GCF Funded Activities**

Unit: No. of Entities

| Institution Roles | Baseline | Target |
|-------------------|----------|--------|
| NDA               | 0        | 1      |

#### Expected Deliverables

- ☒ Status report on capacity building of NDA and DAEs in the Country/Region in project/ program design, implementation and oversight.

**Objective:** Knowledge-sharing and learning to enhance national and regional cooperation on climate programming and financing.

**Outcome:** Developing countries, through NDAs or focal points, have made use of knowledge products to address policy gaps and integrated climate investment programming and implementation.

**Output:** Climate knowledge products and resources is generated and disseminated to develop or advance policies, programming and/or implementation

**Indicator:** Number of inclusive knowledge products and resources.

**Please provide the number of knowledge products/resources**

Unit: No. of Knowledge Products/Resources

| Strategic Framework | Baseline | Target |
|---------------------|----------|--------|
| NDC                 | 0        | 2      |

#### Expected Deliverables

- ☒ Knowledge Products / Compendium of Knowledge Products produced (Policies, Programme, NDC, NAP, LTS, L&D, TNA and others related).
- ☐ Status report on the knowledge sharing events and knowledge sharing platform promoted by the Country.

**Outcome:** Enhanced collaboration among developing countries on climate change issues, evidenced by transboundary and regional cooperations/South-South cooperation.

**Output:** Regional or South-South partnerships, programmes, policies, MOUs and/or collaboration mechanisms established among developing countries, including through their DAEs.

**Indicator:** Number of regional or South-South partnerships, programmes, policies, MOUs and/or collaboration mechanisms established.

**Please provide the number of regional, multi-regional, South-South partnerships established or strengthened**

Unit: No. of Regional Partnerships

| Effectiveness Status | Baseline | Target |
|----------------------|----------|--------|
| Establish            | 0        | 4      |

#### Expected Deliverables

- ☒ Status report on the regional or South-South partnerships, programmes, policies, MOUs and/or collaboration mechanisms promoted by the Country or Region.

**Logframe: Readiness Logframe (DAEs)**

**Objective:** Capacity-building for climate-finance coordination and setting up the enabling environment for integrated climate investment.

**Outcome:** Direct access applicants and accredited entities (DAEs) have met and maintained the accreditation standards of the GCF and strengthened their programming capacities, as evidenced by the development of GCF-funded activities.

**Expected Deliverables**

- ☐ Status report on capacity building of direct access applicants and accredited entities to meet GCF accreditation requirements.

**Objective:** Paradigm-shifting GCF pipeline development and implementation for adaptation and mitigation, based on country needs and guided by USP-2 programming targets.

**Outcome:** Developing countries have developed high-quality concept notes linked to approved GCF proposals for adaptation and mitigation that are aligned with the USP-2 results, including through DAEs, that build on readiness support and country programmes.

**Expected Deliverables**

- ☐ Concept Note and studies, assessment, reports, etc. to support the development of investment-grade Concept Notes.
- ☐ Funding Proposal and studies, assessment, reports, etc. to support the development of investment-grade Funding Proposals.

**Outcome:** NDAs and DAEs have enhanced processes and systems to effectively oversee the implementation, financial management, monitoring and reporting of climate programmes and projects.

**Expected Deliverables**

- ☐ Status report on capacity building of NDA and DAEs in the Country/Region in project/ program design, implementation and oversight.

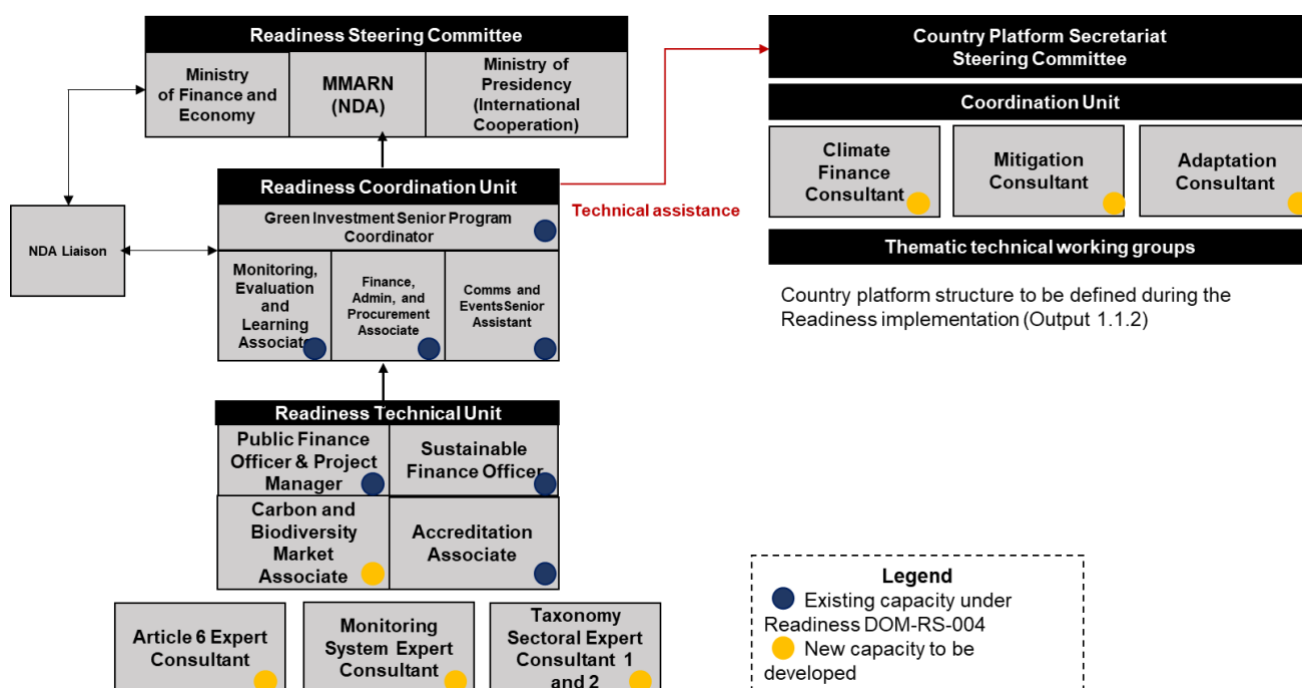
## 6. Implementation Arrangements

### 6.1.1 Partnering under the Direct Access

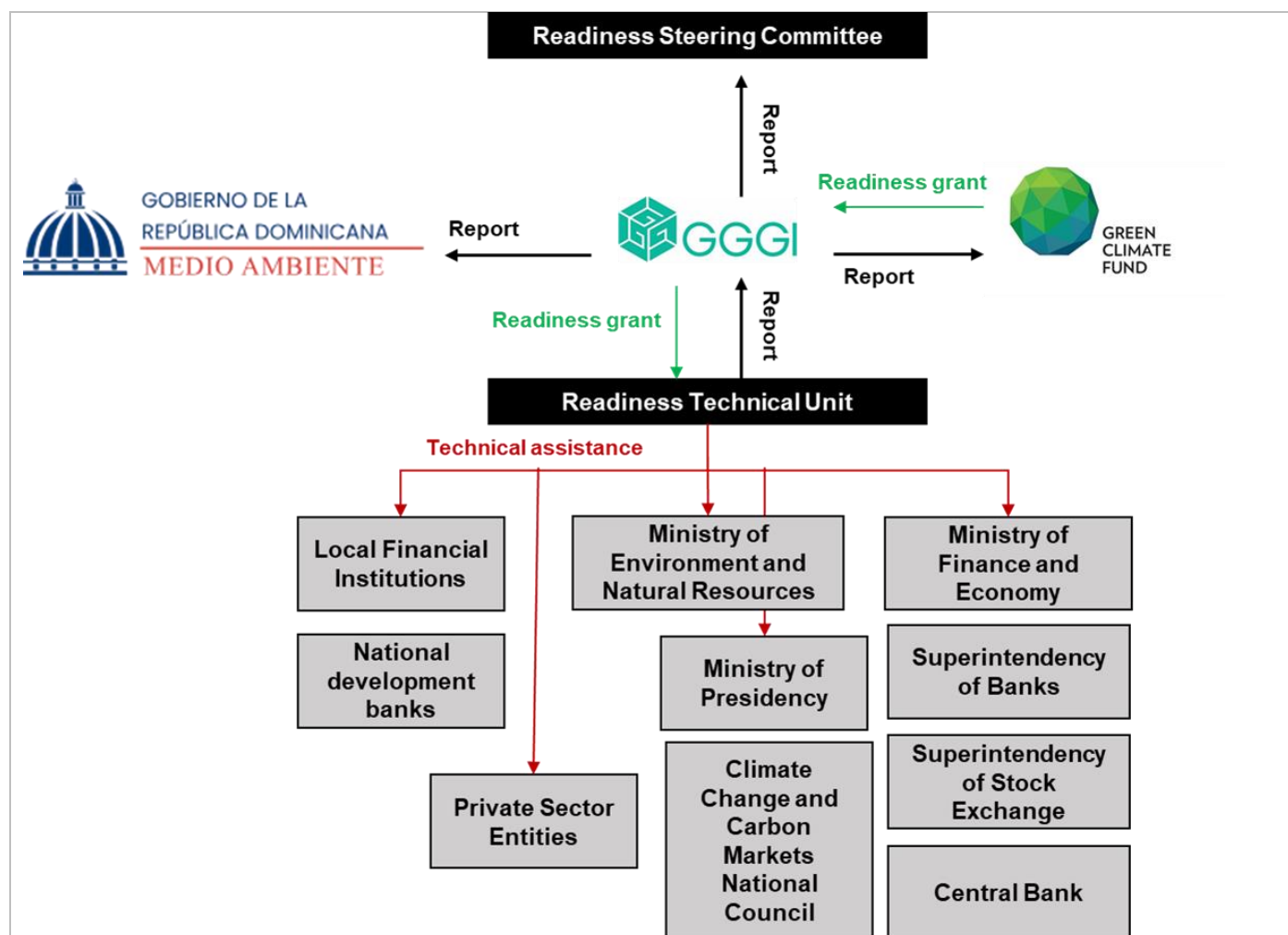
The Readiness grant will be implemented in close partnership and consultation with the Ministry of Environment and Natural Resources (the NDA), the Ministry of Finance and Economy and the Ministry of Presidency to ensure alignment with the Dominican Republic's planning directions and strategic policies and NDC targets. The responsibility for the overall project implementation remains with GGGI. As such, GGGI, as the Delivery Partner, will be responsible for implementation of the Readiness support, and will carry out all fiduciary and financial management, procurement of goods and services, and monitoring and reporting activities under this proposal, in compliance with GGGI's policies and procedures, with the Framework Readiness, and the Second Amended and Restated Framework Readiness and Preparatory Support Grant Agreement entered into between GCF and the Global Green Growth Institute on 12 May 2021 ("Framework Agreement") and the Side Letter No.1 to the Framework Agreement dated 24 July 2023.

The Readiness grant's governance structure is shown in **Figure 1**; implementation[MS1] [AGBR2] [MS3] arrangements are shown in **Figure 2**.

**Figure 1.** Readiness governance structure



**Figure 2.** Implementation arrangements



The Readiness Steering Committee (RSC) will be chaired by the Ministry of Environment and Natural Resources (the NDA) and will be composed of the NDA, the Ministry of Finance and Economy and the Ministry of Presidency. The RSC will primarily serve to provide guidance and advisory support, including (a) providing guidance and supporting the Readiness grant implementation, (b) reviewing and validating the work products, (c) ensuring synergy and coordination, as well as avoiding any overlaps with ongoing or planned projects. The RSC members are also the main beneficiaries of the proposed intervention. Their dual role as RSC members and beneficiaries will serve to strengthen the ownership of deliverables and the sustainability of the intervention.

The Readiness Coordination Unit (RCU) is established and composed by the GGGI Project Manager, GGGI's Finance, Procurement and Administrative Associate, GGGI's Communications and Events Assistant, and GGGI's Monitoring, Evaluation and Learning Associate. All of the RCU members are based in Santo Domingo. The RCU is responsible for the day-to-day management of the Readiness grant and the overall implementation of the Readiness activities. Headed by the Project Manager, the RCU will draw detailed terms of reference, perform procurement and human resources duties, manage funds according to the terms in the grant agreement, provide technical inputs, lead liaison efforts with the NDA and other key proposal stakeholders, organize events, oversee that all deliverables are provided by staff[MS1] [MB2], individual consultants or consulting firms in a timely and efficient way, and report progress to the GCF.

The Readiness Technical Unit (RTU) is composed of GGGI experts and consultants, including a Sustainable Finance Officer, Public Finance Officer and Project Manager, Accreditation Associate, and Carbon and Biodiversity Market Associate. Roles, responsibilities, and qualifications of staff members are outlined in Section 3.3. The technical staff lead the delivery of Readiness grant activities and deliverables under the supervision of the RCU. Overall management and supervision of the RCU and RTU will be by GGGI, the delivery partner, responsible for the overall implementation of this Readiness grant. Under no circumstances will grant funds be used to pay for existing staff of Ministries or consultants of the government or any beneficiaries.

The Country Platform's governance structure, members of the Steering Committee, Coordination Secretariat, and technical working groups, will be defined as part of the TORs and SOP during the project implementation, following

an exhaustive stakeholder consultation and engagement . The host ministry for the Country Platform Secretariat will be determined in the implementation stage of the Readiness, however, both the Ministry of Finance and Economy, the Ministry of Environment and Natural Resources (NDA) and the Ministry of Presidency are possible options to be evaluated. Relevant stakeholders to be engaged include representatives from the Ministry of Energy and Mines, Climate Change National Council and Carbon Markets, Central Bank, Superintendency of Securities Markets, among other. Their role and participation will be validated during the implementation process.

Three thematic experts will be hired as part of the Country Platform Secretariat (Climate Finance Consultant, Mitigation Consultant and Adaptation), and their main scope of work will be defined based on previous prioritization of three sectors linked to the ENFC and the NDC carried out through a comprehensive and consultative process. The consultants will support pipeline management under the NDC Investment Plan, operate the digital funding platform, conduct proposal due diligence, engage stakeholders, connect investment opportunities with funding sources, coordinate platform meetings, manage the climate finance monitoring system, and mobilize technical assistance to enable investment-ready projects.

To ensure the free flow of information and transfer of knowledge, all material and deliverables produced as a result of the proposed Readiness will be made available to the public via the NDA's websites. All country-based staff and consultants involved in the Readiness activities will be expected to work in the NDA's premises to ensure close collaboration with Government technical staff and to facilitate the transfer of skills and knowledge. For the same reason, all material, reports, assessments, and publications completed under the present outcome will be revised by the RSC before being shared with third parties. The above will guarantee an effective and sustainable knowledge and skills transfer to build the capacity of the NDA to effectively achieve its mission and raise its ambitions. The Project Manager will conduct regular meetings with the RSC to collect suggestions and feedback, as well as to ensure that actions are taken in an appropriate and timely manner towards the successful delivery of the activities and capture of lessons learned. The NDA will also leverage the support of the GGGI's GCF Liaison officer.

In close consultation with the NDA, GGGI has designed the team structure to maximize value for money, sustainability, continuity and just work conditions. GGGI's experience through the conceptualization and delivery of more than 82 Readiness grants and other large scale climate finance technical assistance programs, has revealed the importance of the continuity of staff to leverage existing institutional knowledge (of GGGI, GCF and the NDA), grow established networks, build on existing work and expertise, and promote long term accountability and ownership. This continuity supports higher quality, more comprehensive, and more efficient and effective delivery with a long-term view of transformational climate finance based in strong institutional relationships based on trust. GGGI currently has an office embedded in the Ministry of Environment and Natural Resources, fostering a collaborative, daily work environment that promotes the transfer of knowledge and the free flow of information. GGGI and the NDA intend to maintain this structure in support of this Readiness grant and the wider portfolio of climate finance projects that GGGI is implementing in the country. To foster the continuity of and complementarity of ongoing interventions, local staff that have worked on GGGI's first Readiness grant in the country (DOM-RS-004) will continue to provide their expertise for the proposed grant and will continue to be based in the GGGI/NDA office in Santo Domingo. Additional staff and consultants that will be hired will also be based in the GGGI/NDA office in Santo Domingo. Where new staff or consultants will be hired, GGGI commits to pursue maximum efforts to hire national staff and consultants to ensure that the capacity is retained in-country. GGGI and the RSC will collaborate in the development of terms of reference and will work together to hire the best-suited candidates. Only in exceptional circumstances, where short term, highly technical expertise is required, some experts may support the project remotely from elsewhere in the LAC region.

### **6.1.2 Framework Agreements**

The NDA has nominated GGGI, an existing Framework Readiness and Preparatory Support Grant Agreement holder[1], to implement the grant. GGGI will be responsible for implementing this Direct Access readiness support and will handle all fiduciary, financial management, monitoring, and reporting activities in compliance with the Framework Readiness, and the Second Amended and Restated Framework Readiness and Preparatory Support Grant Agreement entered into between GCF and GGGI on 12 May 2021 and the Side Letter No.1 to the Framework Agreement dated 24 July 2023 as well as with GGGI rules, policies and procedures.

## 6.2 Organisation and Staffing

In this chapter, propose the structure and composition of your team. Consider including a visual depiction of the programme team through an organisational chart, depicting reporting responsibilities. List the main disciplines, key experts responsible, and technical and support staff, including their relevant past experience, using the form below.

Please also include any details on any other relevant group of stakeholders, such as steering committees, technical teams, tasks force, coordination mechanisms, PMC structure, etc.

For these groups, describe their composition, decision-making processes, operational procedures, terms of reference (if any) and how the NDA/focal point or the designated agency will manage or oversee these units to ensure fulfillment of its obligations.

| Name of staff                 | Organization | Area of Expertise                           | Position Assigned                           | Task Assigned                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Base Country During Assignment |
|-------------------------------|--------------|---------------------------------------------|---------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|
| Alfredo Garcia Besne Riquelme | GGGI         | Sustainable Finance Coordination Mechanisms | Green Investment Senior Program Coordinator | <ul style="list-style-type: none"> <li>· Lead overall stakeholder consultations and coordination</li> <li>· Lead development of national climate platform including related consultations with government entities, national development banks, private sector, academia and civil society.</li> <li>· Lead engagement with the NDA, line ministries, financial institutions, private sector, civil society, academia and any other interested/relevant party who could</li> </ul> | Dominican Republic (the)       |

- benefit from and contribute to the country platform
- Define objectives, scope, and governance arrangements for the Country Platform
  - Align the platform with national climate strategies, the NDC, and the National Climate Investment Plan
  - Facilitate dialogue to ensure national ownership and alignment across institutions
  - Establish a working group to guide platform development
  - Map financing needs, sectoral priorities, and investment opportunities
  - Identify sources of financing including multilateral funds, bilateral donors, and development banks and development a partnership plan for these institutions.
  - Conduct background research on priority sectors and existing projects to identify alignment with NDC 3.0 targets.
  - Define criteria and methodology for project selection and prioritization
  - Engage stakeholders (NDA, line ministries, private sector representatives, civil society, potential financiers) to validate investment priorities and gather inputs
  - Screen and assess potential projects, including technical, financial, and institutional feasibility.
  - Develop standardized project profiles or concept notes for pipeline inclusion.
  - Map financing sources and link projects to potential funding opportunities.
  - Draft the investment pipeline document, including sectoral breakdown, priority projects, financing strategies, and implementation pathways.
    - Coordinate stakeholder consultations to gather inputs and priorities for the updated programme.
  - Review national climate policies,

|                 |      |                                                                                                             |                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                          |
|-----------------|------|-------------------------------------------------------------------------------------------------------------|------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
|                 |      |                                                                                                             |                                                | NDCs, adaptation plan, and investment frameworks to ensure alignment with national priorities and GCF programming priorities                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                          |
| Katerin Ramirez | GGGI | Public Finance<br>NDC Investment<br>Plan<br>GCF Country<br>Programme<br>National Climate<br>Investment Plan | Public Finance<br>Officer & Project<br>Manager | <ul style="list-style-type: none"> <li>· Oversee overall project implementation, ensuring all deliverables (D7, D10, D11 series) are completed on time, within scope, and in line with quality standards.</li> <li>· Provide technical guidance and contribute to deliverables, including system integration, climate scenario assessments, NDC Investment Plan development, pre-feasibility studies, and funding proposals.</li> <li>· Assess the existing GCF project pipeline including previously submitted concept notes to identify lessons learned, gaps, and opportunities</li> <li>· Draft and update the Country Programme document with revised priorities, pipeline projects, and strategic alignment with GCF investment criteria</li> <li>· Convene stakeholders to validate the updated Country Programme and support the NDA in submission to the GCF</li> <li>· Ensure alignment across project components, integrating IT upgrades, climate data, and fiduciary, environmental, and social safeguards into a cohesive approach.</li> <li>· Develop the methodology and work plan for the National Climate Investment Plan including the expected content, intended use, development timeline, validation process and relevant stakeholders</li> <li>· Draft the National Climate Investment Plan including investment priorities, expected impact and outcomes, KPIs, and an indicative financing timeline</li> <li>· Validate the National Climate Investment Plan with stakeholders and lead an outreach webinar</li> </ul> | Dominican Republic (the) |
| Arantxa Morel   | GGGI | Green taxonomy and standards                                                                                | Sustainable Finance Officer                    | <ul style="list-style-type: none"> <li>· Select potential public or private sector potential issuers to receive thematic bond technical assistance</li> <li>· Deliver technical assistance for a</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Dominican Republic (the) |

|                     |      |                           |                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                          |
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|                     |      | ESS<br>Thematic bond      |                         | <p>thematic bond issuance, covering the full issuance cycle including pre issuance preparation, eligibility criteria, impact reporting frameworks, post issuance obligations.</p> <ul style="list-style-type: none"> <li>· Coordinate a second party opinion (SPO) to ensure alignment with international standards and investor expectations</li> <li>· Develop and disseminate capacity development and knowledge sharing products on thematic bonds</li> <li>· Develop a self-assessment tool for public and private sector entities to evaluate gaps and needs for green taxonomy implementation</li> <li>· Draft green taxonomy implementation guidelines for local entities</li> <li>· Select two entities to receive targeted technical assistance for green taxonomy implementation</li> <li>· Deliver customized support to selected entities based on their self-assessment and specific needs</li> <li>· Design a self-assessment tool for banks to measure their sustainable banking performance against international standards and identify areas of improvement.</li> <li>· Select 2 LFIs to receive targeted support in the development of their ESMS systems</li> <li>· Deliver targeted support to 2 LFIs based on identified needs.</li> </ul> |                          |
| Marianne Li Herrera | GGGI | GCF accreditation process | Accreditation Associate | <ul style="list-style-type: none"> <li>· Support Accreditation queries, capacity building, support, and initiatives for the Country Platform</li> <li>· Accompany candidate DAE's throughout the accreditation process from nomination to capacity building, system development, integration, collection, translation and submission of accreditation documents to the GCF</li> <li>· Develop capacity building material on GCF ESS, GESI, MRV policy requirements, investment criteria, funding proposal development and approval process targeting Executing Entities</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Dominican Republic (the) |

|                         |      |                                                        |                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                          |
|-------------------------|------|--------------------------------------------------------|-----------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
|                         |      |                                                        |                                               | <ul style="list-style-type: none"> <li>· Delivering two capacity building workshops on GCF ESS policy requirements, investment criteria, funding proposal development and approval process targeting Executing Entities</li> <li>· Conduct follow-up bilateral meetings to review and strengthen Executing Entities' understanding and compliance with GCF ESS, MRV and GESI policy requirements</li> <li>· Lead the development of candidate DAE's ESS, GESI or MRV policy and the development and/or integration of relevant and required documentation to meet GCF Accreditation</li> </ul>                                                                                                                                                                                                                                                                                                                                                             |                          |
| To be hired             | GGGI | Carbon markets<br>Biodiversity<br>Financial mechanisms | Carbon and Biodiversity Market Associate      | <ul style="list-style-type: none"> <li>· Review existing national legislation and policies relevant to biodiversity, conservation, and natural capital</li> <li>· Analyze international best practices on biodiversity credits, offset schemes, and market standards</li> <li>· Organize workshops, consultations, and knowledge-sharing sessions with national stakeholders</li> <li>· Develop guidance materials, presentations, and toolkits on carbon markets and pricing instruments</li> <li>· Conduct research on international best practices in carbon pricing, Article 6 cooperation and biodiversity markets</li> <li>· Assess financial instruments and incentives to attract private sector participation</li> <li>· Recommend mechanisms for pricing, transparency, and traceability of biodiversity credits</li> <li>· Prepare guidance materials and technical manuals to support carbon and biodiversity market implementation</li> </ul> | Dominican Republic (the) |
| Florencia Sol Di Crocco | GGGI | Monitoring Evaluation Learning Results                 | Monitoring, Evaluation and Learning Associate | <ul style="list-style-type: none"> <li>· Design and implement the program's Monitoring, Evaluation &amp; Learning framework and information systems</li> <li>· Design and implement GCF Workshops' MEL framework to maximize effective learning and</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Dominican Republic (the) |

knowledge sharing

- Design and implement MEL frameworks and practices for key deliverables including country platform, policy development, TA to the banking sector, private sector climate toolkit
- Prepare and ensure timely submission of project performance monitoring plans, including developing and defining program indicators and sources of data, and contribute to annual work plans, quarterly and annual reports, and other project documents
- Track the project's progress against indicators, collect results and work products
- Provide data and evidence for donor reporting and audits when requested
- Collect project performance indicators, results, work products
- Lead the design and implementation and procurement of final evaluation

- Prepare and manage estimates, budgets, forecasts and such financial or other submissions as may be required
- Prepare financial analyses and reports and papers for GCF, GGGI Management team and GGGI Program Lead
- Support with admin related tasks related to GCF grant agreement • Support procurement processes and related tasks
- Support HR processes and related tasks
- Providing advice on procurement e.g., planning, tendering and using government framework agreements
- Prepare and ensure proper validation of Terms of Reference
- Develop and deliver onboarding material to new staff and consultants
- Developing, monitoring, and proposing revisions to financial and procurement plans
- Develop and submit requests to the GCF and GGGI MT to obtain approval for the revision of financial

Carlotta  
Cruz

GGGI

Finance  
Administration  
Procurement

Finance,  
Administration,  
and Procurement  
Associate

Dominican  
Republic (the)

|             |      |                                                                         |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                          |
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|             |      |                                                                         |                                                 | and procurement plans                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                          |
|             |      |                                                                         |                                                 | <ul style="list-style-type: none"> <li>· Ensure the appropriate use of GGGI budget tools and systems by team members</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                          |
| To be hired | GGGI | Communications<br>Public Relations<br>Events<br>Logistics<br>Management | Communication<br>and Events Senior<br>Assistant | <ul style="list-style-type: none"> <li>· Draft and Design a project communication strategy</li> <li>· Draft and edit content for press releases, newsletters, website, social media, press releases, and internal communications</li> <li>· Support the development of external communication materials (flyers, presentations, brochures, etc.)</li> <li>· Monitor Dominican Republic media coverage on green finance, climate finance, climate change</li> <li>· Assist with ensuring GGGI and NDA branding are accurate and consist across materials</li> <li>· Respond to basic inquiries from stakeholders, partners, or the public</li> <li>· Schedule and coordinate posts on social media platforms</li> <li>· Plan event logistics (venue booking, catering, equipment rental, etc.)</li> <li>· Handle event registrations, invitations, and RSVP lists</li> <li>· Coordinate travel arrangements and accommodations for speakers or guests when relevant</li> <li>· Prepare event materials (programs, name tags, banners, presentations)</li> <li>· Provide on-site support for events including setting up rooms, managing registration, etc.</li> <li>· Liaise with vendors and service providers</li> </ul> | Dominican Republic (the) |
| To be hired | GGGI | Coordination<br>Logistics<br>Planning                                   | NDA Liaison<br>Consultant                       | <ul style="list-style-type: none"> <li>· Facilitate coordination and communication between the National Designated Authority (NDA), project team, and the Green Climate Fund Secretariat to ensure alignment with GCF requirements and national priorities.</li> <li>· Support the NDA in reviewing and providing feedback on project deliverables, funding proposals, and reports before official submission to the GCF.</li> <li>· Monitor progress of readiness</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Dominican Republic (the) |

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|             |      |                                                                   |                                  | <p>activities, prepare briefings and summary notes for the NDA, and support timely decision-making and reporting.</p> <ul style="list-style-type: none"> <li>· Strengthen NDA capacity by documenting lessons learned and supporting the development of tools or processes for future GCF engagement.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                          |
| To be hired | GGGI | Carbon markets<br>Public policy<br>Economy<br>Climate change      | Article 6 Expert Consultant      | <ul style="list-style-type: none"> <li>· Analyse national climate policies, NDCs, and sectoral priorities to identify opportunities under Article 6</li> <li>· Deliver options assessment of regulatory instruments for Article 6</li> <li>· Evaluate financial, regulatory, and institutional requirements for implementing carbon pricing mechanisms</li> <li>· Prepare recommendations on governance structures, registry systems, and monitoring frameworks</li> <li>· Deliver Capacity Building to the public and private sectors on Article 6 instruments</li> <li>· Design and deliver training programs on Article 6 and carbon pricing for policymakers, technical staff, and private sector actors</li> </ul>                                                            | Dominican Republic (the) |
| To be hired | GGGI | Green and climate investments<br>MDBs<br>PPP<br>Funding proposals | Country Platform Climate Finance | <ul style="list-style-type: none"> <li>· Provide technical expertise to the Country Platform on climate finance mobilization, supporting the design of financing mechanisms and investment frameworks aligned with national priorities and GCF criteria.</li> <li>· Assess public and private finance opportunities, identifying barriers, blended finance instruments, and partnerships to scale up climate investments.</li> <li>· Support the preparation and review of investment-ready project proposals and concept notes, including financial models, cost analyses, and leverage assessments.</li> <li>· Strengthen national capacities and coordination with financial institutions to enhance access to domestic and international climate finance resources.</li> </ul> | Dominican Republic (the) |

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| To be hired           | GGGI | Renewable energy<br>Energy efficiency<br>Low-carbon transport<br>Green buildings                    | Country Platform Mitigation Consultant                     | <ul style="list-style-type: none"> <li>· Provide technical expertise to the Country Platform on low-emission development and mitigation opportunities across priority sectors</li> <li>· Support the identification and evaluation of mitigation investment pipelines, ensuring alignment with national NDC targets, decarbonization pathways, and GCF mitigation investment criteria.</li> <li>· Where required, review technical inputs for funding proposals and concept notes such as emission reduction estimates, baselines, and MRV methodologies.</li> <li>· Through the Country Platform, support the advancement of investment-ready mitigation projects and blended finance opportunities.</li> </ul> | Dominican Republic (the) |
| To be hired           | GGGI | Ecosystem services<br>Adaptation to climate change<br>Water management<br>Climate-smart agriculture | Country Platform Adaptation Consultant                     | <ul style="list-style-type: none"> <li>· Provide technical expertise to the Country Platform on climate adaptation priorities, ensuring alignment of investment pipelines with national adaptation plans, sectoral strategies, and GCF adaptation criteria.</li> <li>· Identify and assess potential adaptation projects and programs for inclusion in the Country Investment Framework, focusing on resilience-building in vulnerable sectors and communities.</li> <li>· Support the preparation and review of adaptation-related concept notes and project proposals, ensuring strong climate rationale, impact potential, and feasibility.</li> </ul>                                                        | Dominican Republic (the) |
| To be procured (firm) | GGGI | Communications<br>Marketing<br>Digital tools<br>Multimedia                                          | Firm: Country Platform Video and Multimedia Marketing Firm | <ul style="list-style-type: none"> <li>· Review project communication strategy and prepare deliverables in line with strategy, suggesting adjustments where required</li> <li>· Develop Website for Country Platform</li> <li>· Develop and produce multimedia content (videos, animations, infographics, and photos) to showcase the Country Platform</li> <li>· Design and implement marketing and communication strategies to promote the Platform to national and international stakeholders, including financiers, implementing partners, and the public.</li> </ul>                                                                                                                                        | Dominican Republic (the) |

|                       |      |                                                                            |                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                          |
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|                       |      |                                                                            |                                                   | <ul style="list-style-type: none"> <li>· Manage social media, website, and digital campaigns, ensuring consistent branding and clear messaging about the Platform's objectives and activities.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                          |
| To be procured (firm) | GGGI | Digital platforms Data management                                          | Firm: Sustainable Banking Evaluation Digital Tool | <ul style="list-style-type: none"> <li>· Design and develop Sustainable Banking Self-Assessment digital tool, including interactive self-assessment modules, user-friendly interfaces, and backend architecture to support data collection, storage, and analysis.</li> <li>· Develop reporting and analytics functionalities, allowing banks to generate individual and comparative reports on sustainability performance and improvement areas.</li> <li>· Test and validate the tool with pilot users, ensuring usability, accuracy, security, and scalability.</li> <li>· Provide user manuals, training, and technical support, including guidance for system administrators and end-users to ensure effective adoption and long-term maintenance of the tool.</li> </ul> | Dominican Republic (the) |
| To be procured (firm) | GGGI | Thematic bonds ICMA Principles Internal audit Sustainability               | Firm: Thematic Bond Second Party Opinion          | <ul style="list-style-type: none"> <li>· Firm to provide second party opinion on thematic bonds; due to an agreement with GGGI, this is a preferential rate</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Dominican Republic (the) |
| To be procured (firm) | GGGI | GCF Accreditation Climate funds Fiduciary standards ESS and GESI standards | Firm: GCF Accreditation                           | <ul style="list-style-type: none"> <li>· Conduct a comprehensive gap assessment of the organization's policies, procedures, and systems against GCF accreditation standards (fiduciary, environmental and social safeguards, gender, and risk management).</li> <li>· Develop a detailed action plan to address identified gaps, including timelines, responsibilities, and prioritization of technical and institutional measures.</li> <li>· Implement technical aspects of the action plan, to strengthen policies and management as required for accreditation</li> </ul>                                                                                                                                                                                                  | Dominican Republic (the) |
| To be procured (firm) | GGGI | English-Spanish translation                                                | Firm: Translation                                 | <ul style="list-style-type: none"> <li>· Deliver translation services for candidate DAEs to translate documents and policies as needed to comply with requirements of GCF accreditation</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Dominican Republic (the) |

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| To be procured (firm) | GGGI | Project planning and monitoring<br>Sector-specific experience                             | Firm: Pre-feasibility Study | <ul style="list-style-type: none"> <li>· Develop the technical rationale and theory of change for priority sector, including climate rationale, baseline analysis, and expected adaptation or mitigation benefits.</li> <li>· Contribute to the development of cost estimates, activity plans, and implementation arrangements related to their sector of expertise.</li> <li>· Support the integration of cross-cutting considerations, including gender equality, social inclusion, and environmental safeguards.</li> <li>· Conduct the technical feasibility assessments and studies of at least two projects --specific activities and deliverables will be defined after project prioritization and selection.</li> <li>· Deliver analysis or other activities required to conduct a preliminary assessment of a project's technical viability.</li> <li>· Assess the detailed technical viability specific to each sector/project</li> <li>· Analyze demands and market needs</li> <li>· Conduct a risk assessment with potential mitigating actions</li> <li>· Analyze construction costs, operating costs, necessary permits and licensing</li> <li>· Develop a project implementation plan including a tentative time schedule</li> <li>· Develop an Environmental and Social impact assessment</li> <li>· Prepare a clear pre-feasibility study report that can be used to prepare the funding proposals</li> </ul> | Dominican Republic (the) |
| To be procured (firm) | GGGI | Cost-benefit analysis<br>Financial planning<br>Environmental assessments<br>Risk analysis | Firm: Feasibility Study     | <ul style="list-style-type: none"> <li>· Conduct in-depth engineering design studies, including preliminary designs, specifications, and technology options.</li> <li>· Assess resource availability, site conditions, and infrastructure requirements.</li> <li>· Evaluate alternative technical solutions, comparing efficiency, resilience, and cost implications.</li> <li>· Confirm technical standards and compliance with national regulations and international best practices.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Dominican Republic (the) |

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|                       |      |                                                        |                                           | <ul style="list-style-type: none"> <li>· Prepare detailed cost estimates (capital expenditure, operating and maintenance costs).</li> <li>· Develop financial models</li> <li>· Conduct economic cost–benefit analysis to demonstrate social and environmental returns on investment.</li> <li>· Assess potential financing structures and sources, including private, public, and blended finance options.</li> <li>· Update or expand the preliminary Environmental and Social Impact Assessment (ESIA)</li> <li>· Perform comprehensive risk analysis, and develop risk management and mitigation strategies</li> </ul>                          |                          |
| To be procured (firm) | GGGI | Climate risk assessment Geographic information systems | Firm: Climate Scenario Modeling           | <ul style="list-style-type: none"> <li>· Based on the DR Climate Scenario Modeling Tool Concept, develop options for the climate scenario modeling tool</li> <li>· Gather data required to develop climate scenarios</li> <li>· Climate Scenario Modeling Tools</li> <li>· Conduct 3 workshops to test the Climate Scenario Modeling Tools with different users to receive feedback and adjust the tool based on that feedback</li> <li>· Develop the user manual</li> <li>· Deliver 1 training session on 1) Purpose of Climate Scenario Modeling, 2) Use of the Tools</li> </ul>                                                                  | Dominican Republic (the) |
| To be procured (firm) | GGGI | -                                                      | Firm: Monitoring System Expert Consultant | <p>Review the public investment system and processes, identify entry points where climate, environmental, and disaster risk data can be integrated.</p> <ul style="list-style-type: none"> <li>• Identify existing data sources and additional data that may be required</li> <li>• Develop data protocols for sharing across agencies</li> <li>• Review existing templates and identify where risk and climate impact fields should be included/updated</li> <li>• Develop technical guidelines and methodologies for assessing environmental and climate risks in public investment projects.</li> <li>• Train government officials on</li> </ul> | Dominican Republic (the) |

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|                       |      |   |                                               | applying risk and impact data in project evaluation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                          |
| To be procured (firm) | GGGI | - | Firm: IT System                               | <p>Assess the needs of the NDA Public Investment IT platform to enable the integration, storage, and analysis of climate, environmental, and disaster risk data</p> <ul style="list-style-type: none"> <li>• Develop required IT requirements to enable integration with relevant national databases and agencies.</li> <li>• Design and implement data management protocols and dashboards for tracking climate and environmental indicators in the public investment portfolio.</li> <li>• Provide user training and technical documentation for system administrators and government staff to ensure sustainable operation and maintenance.</li> <li>• Offer ongoing technical support and troubleshooting during system testing, deployment, and initial implementation phases.</li> </ul> | Dominican Republic (the) |
| To be hired           | GGGI | - | Green Taxonomy Sectoral Expert Consultant 1 - | Support the implementation of green taxonomy pilots, redocumentations, handbook and capacity building or knowledge sharing based on prioritized sector                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Dominican Republic (the) |
| To be hired           | GGGI | - | Green Taxonomy Sectoral Expert Consultant 2   | Support the implementation of green taxonomy pilots, redocumentations, handbook and capacity building or knowledge sharing based on prioritized sector                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Dominican Republic (the) |
| To be procured (firm) | GGGI | - | Private Sector Sustainability Toolkit         | <p>Develop Private Sector Sustainability Toolkit including methodology, pilot cases, and investment templates</p> <ul style="list-style-type: none"> <li>• Develop Toolkit user guidelines to ensure effective use of the toolkit</li> <li>• Deliver Capacity Building Workshop to launch the Private Sector Sustainability Toolkit and guidelines, and train private sector actors on engaging in climate finance</li> </ul>                                                                                                                                                                                                                                                                                                                                                                  | Dominican Republic (the) |
| To be procured (firm) | GGGI | - | Final Evaluation                              | <p>Design and deliver the final evaluation of the project.</p> <ul style="list-style-type: none"> <li>• Present findings on project relevance, effectiveness, efficiency, impact, and sustainability, supported by evidence and data analysis.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Dominican Republic (the) |

• Identify key insights, best practices, and recommendations to inform future programming.

| Name of staff                 | Organisation | Area of expertise                                  | Position Assigned                           | Task Assigned                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Base Country During Assignment |
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| Alfredo Garcia Besne Riquelme | GGGI         | Sustainable Finance<br><br>Coordination Mechanisms | Green Investment Senior Program Coordinator | <ul style="list-style-type: none"><li>• Lead overall stakeholder consultations and coordination</li><li>• Lead development of national climate platform including related consultations with government entities, national development banks, private sector, academia and civil society.</li><li>• Lead engagement with the NDA, line ministries, financial institutions, private sector, civil society, academia and any other interested/relevant party who could benefit from and</li></ul> | Santo Domingo                  |

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|  |  |  |  | <p>contribute to the country platform</p> <ul style="list-style-type: none"> <li>• Define objectives, scope, and governance arrangements for the Country Platform</li> <li>• Align the platform with national climate strategies, the NDC, and the NDC Investment Plan</li> <li>• Facilitate dialogue to ensure national ownership and alignment across institutions</li> <li>• Establish a working group to guide platform development</li> <li>• Map financing needs, sectoral priorities, and investment opportunities</li> <li>• Identify sources of financing including multilateral funds, bilateral donors, and development banks and development a partnership plan for these institutions.</li> <li>• Conduct background research on priority sectors and existing projects to identify alignment with NDC 3.0 targets.</li> <li>• Define criteria and methodology for project selection and prioritization</li> <li>• Engage stakeholders (NDA, line ministries, private sector representatives, civil society, potential financiers) to validate investment priorities and gather inputs</li> <li>• Screen and assess potential projects, including technical,</li> </ul> |  |
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|                 |      |                                                                                       |                                          | <p>financial, and institutional feasibility.</p> <ul style="list-style-type: none"> <li>• Develop standardized project profiles or concept notes for pipeline inclusion.</li> <li>• Map financing sources and link projects to potential funding opportunities.</li> <li>• Draft the investment pipeline document, including sectoral breakdown, priority projects, financing strategies, and implementation pathways.</li> <li>• Coordinate stakeholder consultations to gather inputs and priorities for the updated programme.</li> <li>• Review national climate policies, NDCs, adaptation plan, and investment frameworks to ensure alignment with national priorities and GCF programming priorities</li> </ul> |               |
| Katerin Ramirez | GGGI | Public Finance<br>NDC Investment Plan<br>GCF Country Programme<br>NDC Investment Plan | Public Finance Officer & Project Manager | <ul style="list-style-type: none"> <li>• Oversee overall project implementation, ensuring all deliverables (D7, D10, D11 series) are completed on time, within scope, and in line with quality standards.</li> <li>• Provide technical guidance and contribute to deliverables, including system integration, climate scenario assessments, NDC Investment Plan development, pre-feasibility studies, and funding proposals.</li> <li>• Assess the existing GCF project pipeline including</li> </ul>                                                                                                                                                                                                                  | Santo Domingo |

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|               |      |                                     |                             | <p>previously submitted concept notes to identify lessons learned, gaps, and opportunities</p> <ul style="list-style-type: none"> <li>• Draft and update the Country Programme document with revised priorities, pipeline projects, and strategic alignment with GCF investment criteria</li> <li>• Convene stakeholders to validate the updated Country Programme and support the NDA in submission to the GCF</li> <li>• Ensure alignment across project components, integrating IT upgrades, climate data, and fiduciary, environmental, and social safeguards into a cohesive approach.</li> <li>• Develop the methodology and work plan for the NDC Investment Plan including the expected content, intended use, development timeline, validation process and relevant stakeholders</li> <li>• Draft the NDC Investment Plan including investment priorities, expected impact and outcomes, KPIs, and an indicative financing timeline</li> <li>• Validate the NDC Investment Plan with stakeholders and lead an outreach webinar</li> </ul> |               |
| Arantxa Morel | GGGI | Green taxonomy and standards<br>ESS | Sustainable Finance Officer | <ul style="list-style-type: none"> <li>• Select potential public or private sector potential issuers to receive thematic bond technical assistance</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Santo Domingo |

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|  |  | Thematic bond |  | <ul style="list-style-type: none"> <li>• Deliver technical assistance for a thematic bond issuance, covering the full issuance cycle including pre issuance preparation, eligibility criteria, impact reporting frameworks, post issuance obligations.</li> <li>• Coordinate a second party opinion (SPO) to ensure alignment with international standards and investor expectations</li> <li>• Develop and disseminate capacity development and knowledge sharing products on thematic bonds</li> <li>• Develop a self-assessment tool for public and private sector entities to evaluate gaps and needs for green taxonomy implementation</li> <li>• Draft green taxonomy implementation guidelines for local entities</li> <li>• Select two entities to receive targeted technical assistance for green taxonomy implementation</li> <li>• Deliver customized support to selected entities based on their self-assessment and specific needs</li> <li>• Design a self-assessment tool for banks to measure their sustainable banking performance against international standards and identify areas of improvement.</li> <li>• Select 2 LFI to receive targeted support in the</li> </ul> |  |
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|                     |      |                           |                         | <p>development of their ESMS systems</p> <ul style="list-style-type: none"> <li>• Deliver targeted support to 2 LFI based on identified needs.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |               |
| Marianne Li Herrera | GGGI | GCF accreditation process | Accreditation Associate | <ul style="list-style-type: none"> <li>• Support Accreditation queries, capacity building, support, and initiatives for the Country Platform</li> <li>• Accompany candidate DAE's throughout the accreditation process from nomination to capacity building, system development, integration, collection, translation and submission of accreditation documents to the GCF</li> <li>• Develop capacity building material on GCF ESS, GESI, MRV policy requirements, investment criteria, funding proposal development and approval process targeting Executing Entities</li> <li>• Delivering two capacity building workshops on GCF ESS policy requirements, investment criteria, funding proposal development and approval process targeting Executing Entities</li> <li>• Conduct follow-up bilateral meetings to review and strengthen Executing Entities' understanding and compliance with GCF ESS, MRV and GESI policy requirements</li> <li>• Lead the development of candidate DAE's ESS, GESI or MRV policy and the development and/or integration of relevant and</li> </ul> | Santo Domingo |

|                         |      |                                                        |                                               | required documentation to meet GCF Accreditation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
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| To be hired             | GGGI | Carbon markets<br>Biodiversity<br>Financial mechanisms | Carbon and Biodiversity Market Associate      | <ul style="list-style-type: none"> <li>Review existing national legislation and policies relevant to biodiversity, conservation, REDD+ and natural capital</li> <li>Analyze international best practices on biodiversity credits, offset schemes, REDD+ and market standards</li> <li>Organize workshops, consultations, and knowledge-sharing sessions with national stakeholders</li> <li>Develop guidance materials, presentations, and toolkits on carbon markets and pricing instruments</li> <li>Conduct research on international best practices in carbon pricing, Article 6 cooperation, REDD+ and biodiversity markets</li> <li>Assess financial instruments and incentives to attract private sector participation</li> <li>Recommend mechanisms for pricing, transparency, and traceability of biodiversity credits</li> <li>Prepare guidance materials and technical manuals to support carbon, REDD+ and biodiversity market implementation</li> </ul> | Santo Domingo |
| Florencia Sol Di Crocco | GGGI | Monitoring<br>Evaluation<br>Learning                   | Monitoring, Evaluation and Learning Associate | <ul style="list-style-type: none"> <li>Design and implement the program's Monitoring, Evaluation &amp; Learning framework and information systems</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Santo Domingo |

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|               |      | Results                                  |                                                                | <ul style="list-style-type: none"> <li>• Design and implement GCF Workshops' MEL framework to maximize effective learning and knowledge sharing</li> <li>• Design and implement MEL frameworks and practices for key deliverables including country platform, policy development, TA to the banking sector, private sector climate toolkit</li> <li>• Prepare and ensure timely submission of project performance monitoring plans, including developing and defining program indicators and sources of data, and contribute to annual work plans, quarterly and annual reports, and other project documents</li> <li>• Track the project's progress against indicators, collect results and work products</li> <li>• Provide data and evidence for donor reporting and audits when requested</li> <li>• Collect project performance indicators, results, work products</li> <li>• Lead the design and implementation and procurement of final evaluation</li> </ul> |               |
| Carlotta Cruz | GGGI | Finance<br>Administration<br>Procurement | Finance,<br>Administration,<br>and<br>Procurement<br>Associate | <ul style="list-style-type: none"> <li>• Prepare and manage estimates, budgets, forecasts and such financial or other submissions as may be required</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Santo Domingo |

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|             |      |                                                                         |                                              | <ul style="list-style-type: none"> <li>• Prepare financial analyses and reports and papers for GCF, GGGI Management team and GGGI Program Lead</li> <li>• Support with admin related tasks related to GCF grant agreement • Support procurement processes and related tasks</li> <li>• Support HR processes and related tasks</li> <li>• Providing advice on procurement e.g., planning, tendering and using government framework agreements</li> <li>• Prepare and ensure proper validation of Terms of Reference</li> <li>• Develop and deliver onboarding material to new staff and consultants</li> <li>• Developing, monitoring, and proposing revisions to financial and procurement plans</li> <li>• Develop and submit requests to the GCF and GGGI MT to obtain approval for the revision of financial and procurement plans</li> <li>• Ensure the appropriate use of GGGI budget tools and systems by team members</li> </ul> |               |
| To be hired | GGGI | Communications<br>Public Relations<br>Events<br>Logistics<br>Management | Communication and Events<br>Senior Assistant | <ul style="list-style-type: none"> <li>• Draft and Design a project communication strategy</li> <li>• Draft and edit content for press releases, newsletters, website, social media, press releases, and internal communications</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Santo Domingo |

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|             |      |                                      |                               | <ul style="list-style-type: none"> <li>• Support the development of external communication materials (flyers, presentations, brochures, etc.)</li> <li>• Monitor Dominican Republic media coverage on green finance, climate finance, climate change</li> <li>• Assist with ensuring GGGI and NDA branding are accurate and consist across materials</li> <li>• Respond to basic inquiries from stakeholders, partners, or the public</li> <li>• Schedule and coordinate posts on social media platforms</li> <li>• Plan event logistics (venue booking, catering, equipment rental, etc.)</li> <li>• Handle event registrations, invitations, and RSVP lists</li> <li>• Coordinate travel arrangements and accommodations for speakers or guests when relevant</li> <li>• Prepare event materials (programs, name tags, banners, presentations)</li> <li>• Provide on-site support for events including setting up rooms, managing registration, etc.</li> <li>• Liaise with vendors and service providers</li> </ul> |               |
| To be hired | GGGI | Climate Governance, UNFCCC Processes | Climate Governance Consultant | <ul style="list-style-type: none"> <li>• Consultant - Individual - Local -Climate Governance</li> <li>• Review and assess existing institutional frameworks, data flows, and coordination mechanisms</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Santo Domingo |

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|             |      |                                       |                        | <p>related to the BTR and/or MRV system.</p> <ul style="list-style-type: none"> <li>• Define institutional roles and coordination processes for climate data collection, reporting, and verification in line with national and UNFCCC transparency requirements.</li> <li>• Develop a Climate Governance Manual outlining governance structures, coordination protocols, and standard procedures for data management and reporting.</li> <li>• Validate the manual through consultations and a national workshop with key stakeholders to ensure institutional ownership and practicality.</li> <li>• Design and deliver at least four capacity-building workshops to train relevant institutions on the use and operationalization of the governance manual and coordination protocol.</li> </ul> |               |
| To be hired | GGGI | Coordination<br>Logistics<br>Planning | NDA Liaison Consultant | <ul style="list-style-type: none"> <li>• Facilitate coordination and communication between the National Designated Authority (NDA), project team, and the Green Climate Fund Secretariat to ensure alignment with GCF requirements and national priorities.</li> <li>• Support the NDA in reviewing and providing feedback on project deliverables, funding proposals, and reports</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                      | Santo Domingo |

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|             |      |                                                                                 |                             | <p>before official submission to the GCF.</p> <ul style="list-style-type: none"> <li>• Monitor progress of readiness activities, prepare briefings and summary notes for the NDA, and support timely decision-making and reporting.</li> <li>• Strengthen NDA capacity by documenting lessons learned and supporting the development of tools or processes for future GCF engagement.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                      |               |
| To be hired | GGGI | <p>Carbon markets</p> <p>Public policy</p> <p>Economy</p> <p>Climate change</p> | Article 6 Expert Consultant | <ul style="list-style-type: none"> <li>• Analyse national climate policies, NDCs, and sectoral priorities to identify opportunities under Article 6, including those related to REDD+</li> <li>• Deliver options assessment of regulatory instruments for Article 6</li> <li>• Evaluate financial, regulatory, and institutional requirements for implementing carbon pricing mechanisms</li> <li>• Prepare recommendations on governance structures, registry systems, and monitoring frameworks</li> <li>• Deliver Capacity Building to the public and private sectors on Article 6 instruments and REDD+</li> <li>• Design and deliver training programs on Article 6, carbon pricing and REDD+ mechanisms for policymakers, technical staff, and private sector actors</li> </ul> | Santo Domingo |

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| To be hired | GGGI | Green and climate investments<br><br>MDBs<br><br>PPP<br><br>Funding proposals                | Country Platform Climate Finance Consultant | <ul style="list-style-type: none"> <li>• Provide technical expertise to the Country Platform on climate finance mobilization, supporting the design of financing mechanisms and investment frameworks aligned with national priorities and GCF criteria.</li> <li>• Assess public and private finance opportunities, identifying barriers, blended finance instruments, and partnerships to scale up climate investments.</li> <li>• Support the preparation and review of investment-ready project proposals and concept notes, including financial models, cost analyses, and leverage assessments.</li> <li>• Strengthen national capacities and coordination with financial institutions to enhance access to domestic and international climate finance resources.</li> </ul> | Santo Domingo |
| To be hired | GGGI | Renewable energy<br><br>Energy efficiency<br><br>Low-carbon transport<br><br>Green buildings | Country Platform Mitigation Consultant      | <ul style="list-style-type: none"> <li>• Provide technical expertise to the Country Platform on low-emission development and mitigation opportunities across priority sectors</li> <li>• Support the identification and evaluation of mitigation investment pipelines, ensuring alignment with national NDC targets, decarbonization pathways, and GCF mitigation investment criteria.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                  | Santo Domingo |

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|                       |      |                                                                                                     |                                                            | <ul style="list-style-type: none"> <li>Where required, review technical inputs for funding proposals and concept notes such as emission reduction estimates, baselines, and MRV methodologies.</li> <li>Through the Country Platform, support the advancement of investment-ready mitigation projects and blended finance opportunities.</li> </ul>                                                                                                                                                                                                                                                                                                 |                                                                 |
| To be hired           | GGGI | Ecosystem services<br>Adaptation to climate change<br>Water management<br>Climate-smart agriculture | Country Platform Adaptation Consultant                     | <ul style="list-style-type: none"> <li>Provide technical expertise to the Country Platform on climate adaptation priorities, ensuring alignment of investment pipelines with national adaptation plans, sectoral strategies, and GCF adaptation criteria.</li> <li>Identify and assess potential adaptation projects and programs for inclusion in the Country Investment Framework, focusing on resilience-building in vulnerable sectors and communities.</li> <li>Support the preparation and review of adaptation-related concept notes and project proposals, ensuring strong climate rationale, impact potential, and feasibility.</li> </ul> | Santo Domingo                                                   |
| To be procured (firm) | GGGI | Communications<br>Marketing<br>Digital tools<br>Multimedia                                          | Firm: Country Platform Video and Multimedia Marketing Firm | <ul style="list-style-type: none"> <li>Review project communication strategy and prepare deliverables in line with strategy, suggesting adjustments where required</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Team members to be based in Santo Domingo and in the LAC region |

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|                       |      |                                      |                                                      | <ul style="list-style-type: none"> <li>• Develop a Website for Country Platform</li> <li>• Develop and produce multimedia content (videos, animations, infographics, and photos) to showcase the Country Platform</li> <li>• Design and implement marketing and communication strategies to promote the Platform to national and international stakeholders, including financiers, implementing partners, and the public.</li> <li>• Manage social media, website, and digital campaigns, ensuring consistent branding and clear messaging about the Platform's objectives and activities.</li> </ul>                                  |                                                                 |
| To be procured (firm) | GGGI | Digital platforms<br>Data management | Firm:<br>Sustainable Banking Evaluation Digital Tool | <ul style="list-style-type: none"> <li>• Design and develop Sustainable Banking Self-Assessment digital tool, including interactive self-assessment modules, user-friendly interfaces, and backend architecture to support data collection, storage, and analysis.</li> <li>• Develop reporting and analytics functionalities, allowing banks to generate individual and comparative reports on sustainability performance and improvement areas.</li> <li>• Test and validate the tool with pilot users, ensuring usability, accuracy, security, and scalability.</li> <li>• Provide user manuals, training, and technical</li> </ul> | Team members to be based in Santo Domingo and in the LAC region |

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|                       |      |                                                                                     |                                          | support, including guidance for system administrators and end-users to ensure effective adoption and long-term maintenance of the tool.                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                 |
| To be procured (firm) | GGGI | Thematic bonds<br>ICMA Principles<br>Internal audit<br>Sustainability               | Firm: Thematic Bond Second Party Opinion | <ul style="list-style-type: none"> <li>Firm to provide second party opinion on thematic bonds; due to an agreement with GGGI, this is a preferential rate</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                    | Team members to be based in Santo Domingo and in the LAC region |
| To be procured (firm) | GGGI | GCF Accreditation<br>Climate funds<br>Fiduciary standards<br>ESS and GESI standards | Firm: GCF Accreditation                  | <ul style="list-style-type: none"> <li>Conduct a comprehensive gap assessment of the organization's policies, procedures, and systems against GCF accreditation standards (fiduciary, environmental and social safeguards, gender, and risk management).</li> <li>Develop a detailed action plan to address identified gaps, including timelines, responsibilities, and prioritization of technical and institutional measures.</li> <li>Implement technical aspects of the action plan, to strengthen policies and management as required for accreditation</li> </ul> | Team members to be based in Santo Domingo and in the LAC region |
| To be procured (firm) | GGGI | English-Spanish translation                                                         | Firm: Translation                        | <ul style="list-style-type: none"> <li>Deliver translation services for candidate DAEs to translate documents and policies as needed to comply with requirements of GCF accreditation</li> </ul>                                                                                                                                                                                                                                                                                                                                                                        | Team members to be based in Santo Domingo and in the LAC region |
| To be procured (firm) | GGGI | Project planning and monitoring<br>Sector-specific experience                       | Firm: Pre-feasibility Study              | <ul style="list-style-type: none"> <li>Develop the technical rationale and theory of change for priority sector, including climate rationale, baseline analysis, and</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                         | Team members to be based in Santo Domingo                       |

|  |  |  |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                       |
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|  |  |  |  | <p>expected adaptation or mitigation benefits.</p> <ul style="list-style-type: none"> <li>• Contribute to the development of cost estimates, activity plans, and implementation arrangements related to their sector of expertise.</li> <li>• Support the integration of cross-cutting considerations, including gender equality, social inclusion, and environmental safeguards.</li> <li>• Conduct the technical feasibility assessments and studies of at least two projects --specific activities and deliverables will be defined after project prioritization and selection.</li> <li>• Deliver analysis or other activities required to conduct a preliminary assessment of a project 's technical viability.</li> <li>• Assess the detailed technical viability specific to each sector/project</li> <li>• Analyze demands and market needs</li> <li>• Conduct a risk assessment with potential mitigating actions</li> <li>• Analyze construction costs, operating costs, necessary permits and licensing</li> <li>• Develop a project implementation plan including a tentative time schedule</li> <li>• Develop an Environmental and Social impact assessment</li> </ul> | and in the LAC region |
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|                       |      |                                                                                                       |                         | <ul style="list-style-type: none"> <li>• Prepare a clear pre-feasibility study report that can be used to prepare the funding proposals</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                 |
| To be procured (firm) | GGGI | Cost-benefit analysis<br><br>Financial planning<br><br>Environmental assessments<br><br>Risk analysis | Firm: Feasibility Study | <ul style="list-style-type: none"> <li>• Conduct in-depth engineering design studies, including preliminary designs, specifications, and technology options.</li> <li>• Assess resource availability, site conditions, and infrastructure requirements.</li> <li>• Evaluate alternative technical solutions, comparing efficiency, resilience, and cost implications.</li> <li>• Confirm technical standards and compliance with national regulations and international best practices.</li> <li>• Prepare detailed cost estimates (capital expenditure, operating and maintenance costs).</li> <li>• Develop financial models</li> <li>• Conduct economic cost-benefit analysis to demonstrate social and environmental returns on investment.</li> <li>• Assess potential financing structures and sources, including private, public, and blended finance options.</li> <li>• Update or expand the preliminary Environmental and Social Impact Assessment (ESIA)</li> <li>• Perform comprehensive risk analysis, and develop</li> </ul> | Team members to be based in Santo Domingo and in the LAC region |

|                       |      |                                                               |                                           | risk management and mitigation strategies                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                 |
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| To be procured (firm) | GGGI | Climate risk assessment<br><br>Geographic information systems | Firm: Climate Scenario Modeling           | <ul style="list-style-type: none"> <li>Based on the DR Climate Scenario Modeling Tool Concept, develop options for the climate scenario modeling tool</li> <li>Gather data required to develop climate scenarios</li> <li>Climate Scenario Modeling Tools</li> <li>Conduct 3 workshops to test the Climate Scenario Modeling Tools with different users to receive feedback and adjust the tool based on that feedback</li> <li>Develop the user manual</li> <li>Deliver 1 training session on 1) Purpose of Climate Scenario Modeling, 2) Use of the Tools</li> </ul> | Team members to be based in Santo Domingo and in the LAC region |
| To be procured (firm) | GGGI | IT, Data, Data Monitoring                                     | Firm: Monitoring System Expert Consultant | <ul style="list-style-type: none"> <li>Review the public investment system and processes, identify entry points where climate, environmental, and disaster risk data can be integrated.</li> <li>Identify existing data sources and additional data that may be required</li> <li>Develop data protocols for sharing across agencies</li> <li>Review existing templates and identify where risk and climate impact fields should be included/updated</li> <li>Develop technical guidelines and methodologies for assessing environmental</li> </ul>                    | Team members to be based in Santo Domingo and in the LAC region |

|                       |      |                         |                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                 |
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|                       |      |                         |                 | <p>and climate risks in public investment projects.</p> <ul style="list-style-type: none"> <li>• Train government officials on applying risk and impact data in project evaluation</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                 |
| To be procured (firm) | GGGI | IT, data, documentation | Firm: IT System | <ul style="list-style-type: none"> <li>• Assess the needs of the NDA Public Investment IT platform to enable the integration, storage, and analysis of climate, environmental, and disaster risk data <ul style="list-style-type: none"> <li>○ Develop required IT requirements to enable integration with relevant national databases and agencies.</li> <li>○ Design and implement data management protocols and dashboards for tracking climate and environmental indicators in the public investment portfolio.</li> <li>○ Provide user training and technical documentation for system administrators and government staff to ensure sustainable operation and maintenance.</li> <li>○ Offer ongoing technical support and troubleshooting during system testing, deployment, and initial</li> </ul> </li> </ul> | Team members to be based in Santo Domingo and in the LAC region |

|                       |      |                               |                                               | implementation phases.                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                 |
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| To be hired           | GGGI | Green Taxonomy Sector 1       | Green Taxonomy Sectoral Expert Consultant 1 - | <ul style="list-style-type: none"> <li>Support the implementation of green taxonomy pilots, redocumentations, handbook and capacity building or knowledge sharing based on prioritized sector</li> </ul>                                                                                                                                                                                                                    | Santo Domingo                                                   |
| To be hired           | GGGI | Green Taxonomy Sector 2       | Green Taxonomy Sectoral Expert Consultant 2   | <ul style="list-style-type: none"> <li>Support the implementation of green taxonomy pilots, redocumentations, handbook and capacity building or knowledge sharing based on prioritized sector</li> </ul>                                                                                                                                                                                                                    | Santo Domingo                                                   |
| To be procured (firm) | GGGI | Private Sector Sustainability | Private Sector Sustainability Toolkit         | <ul style="list-style-type: none"> <li>Develop Private Sector Sustainability Toolkit including methodology, pilot cases, and investment templates</li> <li>Develop Toolkit user guidelines to ensure effective use of the toolkit</li> <li>Deliver Capacity Building Workshop to launch the Private Sector Sustainability Toolkit and guidelines, and train private sector actors on engaging in climate finance</li> </ul> | Team members to be based in Santo Domingo and in the LAC region |
| To be procured (firm) | GGGI | Impact Evaluation             | Final Evaluation                              | <ul style="list-style-type: none"> <li>Design and deliver the final evaluation of the project.</li> <li>Present findings on project relevance, effectiveness, efficiency, impact, and sustainability, supported by evidence and data analysis.</li> <li>Identify key insights, best practices, and recommendations to</li> </ul>                                                                                            | Team members to be based in Santo Domingo and in the LAC region |

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|  |  |  |  | inform future programming. |  |
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**6.3 Fund flow arrangements**

The funds will flow as outlined in GGGI and GCF’s Framework agreement. The account will be in USD, and there will be no separate account in local currency.

## 7. Monitoring, Evaluation and Reporting

### Guidance

The RRMF has been revised to align with the objectives and outcomes outlined in the 2024-2027 readiness strategy, with the view to (1) simplify and streamline monitoring and reporting procedures; (ii) appropriately capture and reflect the systemic approach and strategic, programme-based methodology for planning and implementing readiness support; and (iii) establish a clear connection between readiness support and the enhanced programming capacities of developing countries and DAEs to effectively access and utilise climate finance.

Please note that there are as per the Revised RRMF, there are two levels of monitoring and reporting. The first is at the programme/project level, and the second is at the Country level. Outlined below are the monitoring and reporting requirements and responsible parties.

### 7.1 Programme Monitoring and Reporting (Output-Level)

#### Responsibility & Tasks:

- In the case of direct access, the NDA/focal point, an agency designated for direct access, or a Framework Agreement (FWA) holder is responsible for monitoring the programme/project's implementation and preparing relevant reports that detail the progress of output-level results and deliverables as articulated in the programme/project logframe.

#### Financial Reporting:

- Regular financial reporting is the principal reporting mechanism under Readiness. Reports should provide an overview of funds received and expended and a comparison of planned versus actual expenditure with rationale for any variances. All financial reports must be presented in USD, in line with the approved programme budget. The detailed reporting requirements, including content and format, will be specified in the implementation-related templates.

#### Reporting Frequency:

- Annual progress reports, including financial reporting, are to be submitted to the GCF Secretariat by 31 March of each year, unless otherwise agreed to in the respective legal agreements. Upon project closure, a completion report must be submitted within six [6] months of the completion of the activities

☒ By checking this box, I acknowledge awareness of reporting tasks, including frequency and content

### 7.2 Financial Audits

#### Responsibility:

- Independent, third-party auditor commissioned by [choose appropriate] the NDA/focal point or designated agency or FWA holder in case of direct access.

#### Task:

- Regular financial audits to ensure responsible and transparent fund management, preventing misuse or mismanagement and ensuring compliance with GCF and national requirements.

#### Reporting Frequency:

- Annual financial audit reports must be submitted to the GCF Secretariat by 31 March of each calendar year, unless an alternative frequency and deadline is agreed upon at the conclusion of the legal agreement. The completion financial audit report to be submitted to the GCF Secretariat within three months of completing the activities.

**Please confirm which type of auditor will be selected and provide any details**

☒ Private Auditor

☐ Public Auditor (such as Country Supreme Audit Institution (SAI) or other)

N/A

N/A

☒ By checking this box, I acknowledge awareness of financial audit responsibilities, including frequency

### 7.3 Mid-Term Review (if the programme is four years)

This will align with the Year 2 annual progress report; a separate mid-term report is not required. Instead, a specific section in the Year 2 annual progress report will focus on the assessment of the adequacy of the initial design of the four-year programmes, the evaluation of the progress made towards achieving project objectives, and the review of the effectiveness of the delivery partner (if selected from the GCF pre-qualified pool). It will also identify any challenges encountered. The findings from this review will be used to make necessary adjustments to the project implementation plan in Years 3-4.

☒ By checking this box, I acknowledge awareness of reporting tasks, including frequency and content

### 7.4 Final Evaluation

Responsibility:

- An independent evaluator will be commissioned by the NDA/focal point, a designated agency or a FWA holder.

Task:

- Upon completion of the project implementation period, an independent evaluation will be conducted to assess the overall impact of the readiness activities. This evaluation will measure the contribution of these activities to the country's objectives and GCF outcomes, guided by the GCF Evaluation Policy and Standards. It will include an impact assessment and document lessons learned to inform future project planning and readiness support. If the country selects two programmes, the GCF Secretariat and the country may consult to explore the rationale and feasibility of conducting a single evaluation for both programmes.

Reporting Frequency:

- The final evaluation report will be submitted to the GCF Secretariat within three months of completing the Readiness activities.

**If the country is considering two programmes, please confirm under which programme the final evaluation cost will be included in the budget:**

☒ The final evaluation cost is included in this programme.

☐ The final evaluation cost is included in the other programme.

☒ By checking this box, I acknowledge awareness of reporting tasks, including frequency and content

### 7.5 Sanctions and Restrictive Measures

At the time of submission of the proposed Readiness grant, there are no United Nations Security Council (UNSC) restrictive measures in force within the Dominican Republic. There are no entities or individuals subject to or affected by UNSC sanctions regimes that will be involved in this Readiness grant or its activities, either as counterparties or as beneficiaries.

## 7.6 Grievance Redress Mechanisms

**Whistleblower Protection Program:** GGGI has a Whistleblower Policy which aims to create an environment where staff members and external persons feel safe to report any misconduct or activities that work against the best interests of GGGI or violate the Anti-Corruption Policy without the fear of retaliation. This policy is supported by the Guidelines for GGGI Anti-Corruption Policy and Whistleblower Policy which provide for procedures for reporting and investigation of instances of misconduct, retaliation or integrity violation, including violation of the Anti-Corruption Policy

**Compliance Review Mechanism:** GGGI has an online Compliance Review Mechanism (CRM) that can be used by third parties to make a request for compliance review in accordance with the CRM. The CRM is available when a person or group of persons believes that GGGI has failed to comply with any of its regulations, rules, policies and procedures and that this failure has or threatens to adversely affect such person(s). The personal data provided in the CRM will be processed in accordance with GGGI's Rules on Protection of Personal Data.

## 8. Budget

### 8.1 Budget

Refer to the Excel File

[Download template](#)

#### Budget source

Of the total amount requested under this proposal, please outline how much is requested from the country window and how much from NAP origination or implementation, if applicable

☒ Country window: Insert amount requested out of the TOTAL AMOUNT REQUESTED

\$3,999,141

☐ NAP Origination: Insert amount requested out of the TOTAL AMOUNT REQUESTED

N/A

☐ NAP Implementation: Insert amount requested out of the TOTAL AMOUNT REQUESTED

N/A

#### Budget plan (please complete in the separate document)

While developing the budget, please consider the ineligible costs as per page 13 of the [Initial Guide for Countries to Access Readiness Support](#)

## 9. Other relevant information

### 9.1 Exit and Sustainability Strategy

The implementation of the Readiness grant will be overseen by the DP, in ongoing coordination and consultation with the Government of the Dominican Republic. The country's ownership is reinforced through the coherence and alignment with the country's National Climate Finance Strategy, Law 64-00 on Environment and Natural Resources, NDC, National Climate Finance Strategy and other key planning documents. Additionally, the grant implementation strategy – which plans for the Readiness grant's team to work directly from government premises and all material being approved and collected by the NDA – will guarantee an effective and sustainable transfer of knowledge and skills to build the capacity of the NDA to effectively achieve its mission and raise its ambitions going forward.

The Readiness grant deliverables will influence the medium and long-term climate change agenda of the country and actively support the achievement of the country's NDC targets by:

- Enhancing national coordination through the Dominican Republic Country Platform
- Creating an enabling environment for carbon and biodiversity markets through policies and strategies
- Supporting adaptation goals through the Adaptive Social Protection Strategy and INDOMET Strategy
- Strengthening private sector involvement in climate finance through sustainable banking training and technical assistance, support for thematic bond issuances, and the private sector toolkit
- Enhancing the flow of climate finance in the country through the NDC Investment Plan, support to Direct Access Entities, and the design and development of high-quality climate finance projects.

With strengthened multi sectoral coordination, an improved climate investment enabling environment, a robust pipeline of NDC aligned investment projects, and enhanced knowledge products and tools for the public and private sector, the Dominican Republic will be well-positioned to continue to meet and increase the country's climate finance ambition.

To ensure institutionalization, integration, and sustainability of the deliverables the following actions will be taken:

- The DR Country Platform will be established to serve as the central point for convening climate actors and ensuring implementation of policies, projects and other climate finance initiatives in the country, remaining consistent with the objectives of national development and climate policies and strategies. The design and operational structure of the platform will be elaborated upon during the implementation of the grant and will include a plan for continuity and sustainability after the life of the grant. The platform will be formally anchored within an existing public institution, and the operational manual will include progressive budgetary integration of operational costs, including the required positions, website, MRV system and digital funding platform into the host institutions budgets. A resource mobilization strategy will be developed to secure contributions from public budgets, development partners and private sector stakeholders. Other options such as a dedicated financing window or trust fund will be explored to support its continued activities. The MRV system and digital portal will be transferred to the host institution's IT Architecture supported by trained staff (who will be trained as part of the Readiness) and will be accompanied by a strong operational manual and maintenance protocols. Finally, stakeholder engagement will be sustained through institutionalized coordination mechanisms and regular communication products and outreach activities to maintain visibility, collaboration, and national ownership.
- All capacity building materials will be handed over to participants and relevant public and private sector partners. Where possible, the DP will identify opportunities to institutionalize the trainings so that changes in personnel will not impact the broad level of knowledge.
- All tool kits and knowledge products will be developed to enhance the daily operations of relevant institutions, including the sustainable banking self-assessment tool, private sector climate toolkit, and the climate scenario modelling tool. These will be made widely available and shared with additional institutions as relevant.

- All capacity building activities will be adapted to the Dominican Republic context and tailored to the needs and gaps of specific beneficiary institutions.
- Policies, strategies, guidelines, and tools will be integrated into relevant institutions through capacity building, training, feedback, and application.
- GGGI will leverage its Green Growth Knowledge Platform, specifically the Green Finance Platform to share relevant information about policy and project development as well as capacity building activities and other climate finance initiatives in the country.

**Grant closure:** Upon completion of the grant activities, the grant recipient (Delivery Partner) shall transfer all tangible and intangible assets and their ownership to the grant beneficiaries or any other body(ies) designated by the NDA.

## 9.2 Readiness Programme Closure

Upon completion of the grant activities, the grant recipient (Delivery Partner) shall transfer all tangible and intangible assets and their ownership to the grant beneficiaries or any other body(ies) designated by the NDA.