



GREEN  
CLIMATE  
FUND

# Approved GCF Project Preparation Facility application

## PPF120 | Funding

|                          |                                                   |
|--------------------------|---------------------------------------------------|
| <b>Funding Proposal</b>  | Amazon Sustainable Economy and Protection Program |
| <b>Accredited Entity</b> | Fundo Brasileiro para a Biodiversidade (Funbio)   |
| <b>Country</b>           | Brazil                                            |
| <b>Approval Date</b>     | 14 July 2026                                      |

# Project Preparation Facility (PPF) Application

|                    |                                                   |           |
|--------------------|---------------------------------------------------|-----------|
| Concept note title | Amazon Sustainable Economy and Protection Program |           |
| Accredited Entity  | Brazilian Biodiversity Fund                       |           |
| Country(ies)       | Brazil                                            |           |
| First submission   | 26 May 2026                                       |           |
| Current submission | 7 July 2026                                       | Version 3 |



Please submit the completed PPF application and the PPF no-objection letter to [ppf@gcfund.org](mailto:ppf@gcfund.org). Refer to the PPF Guidelines for further information on completing the PPF application.



| A. Executive Summary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                      |                                                                                                                                                                             |                                                                                                                          |                                         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|
| A.1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Accredited Entity (AE)                               | Brazilian Biodiversity Fund                                                                                                                                                 |                                                                                                                          |                                         |
| A.2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Title of concept note submitted with PPF application | Amazon Sustainable Economy and Protection Program                                                                                                                           |                                                                                                                          |                                         |
| A.3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | PPF no-objection letter issued by NDA                | Please indicate country(ies) and date of letter(s).                                                                                                                         |                                                                                                                          |                                         |
| A.4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | PPF support requested                                | PPF Funding - AE will receive grant funding from GCF & directly acquire the services of international or local consultants or sub-grantees for Funding Proposal Development |                                                                                                                          |                                         |
| A.5                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Support from Readiness                               | Has the AE accessed Readiness support for preparing the CN or PPF application?                                                                                              | <input checked="" type="checkbox"/> Yes [indicate relevant modality]<br><input type="checkbox"/> No                      |                                         |
| A.6                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Cost of PPF activities                               | Amount requested from GCF                                                                                                                                                   | <input checked="" type="checkbox"/> Grant<br><input type="checkbox"/> Repayable grant<br><input type="checkbox"/> Equity | USD 289,304<br>USD amount<br>USD amount |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                      | Only applicable to PPF Funding                                                                                                                                              |                                                                                                                          |                                         |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                      | Co-financing from AE                                                                                                                                                        | USD 99,000                                                                                                               |                                         |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                      | Co-financing from other sources                                                                                                                                             | USD 18,000                                                                                                               |                                         |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                      | Total project preparation cost                                                                                                                                              | USD 406,304                                                                                                              |                                         |
| A.7                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Implementation period                                | 8 months                                                                                                                                                                    |                                                                                                                          |                                         |
| A.8                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Summary of PPF request                               |                                                                                                                                                                             |                                                                                                                          |                                         |
| <p>The proposed Project Preparation Facility (PPF) support will enable the development of a full Green Climate Fund (GCF) funding proposal aimed at strengthening climate mitigation and adaptation planning and implementation across the Amazon region. The project preparation activities will address critical technical, environmental, social, gender, and stakeholder engagement gaps required to develop a robust and investment-ready proposal aligned with GCF requirements.</p> <p>PPF resources will finance pre-feasibility and feasibility studies, project design, environmental and social assessments, Indigenous Peoples consultations, gender analysis, stakeholder engagement processes, and climate rationale and carbon mitigation assessments. The support will also facilitate coordination with stakeholders across the nine Amazon states to ensure that the proposed interventions are technically sound, socially inclusive, environmentally sustainable, and country-driven.</p> <p>The requested support will contribute to the preparation of key studies and strategic documents, including feasibility assessments, environmental and social safeguard instruments, Indigenous Peoples and gender-related analyses, stakeholder engagement plans, and climate impact assessments. These activities will provide the analytical basis necessary for the development of a high-quality GCF funding proposal with strong mitigation and adaptation outcomes and long-term transformational impact.</p> |                                                      |                                                                                                                                                                             |                                                                                                                          |                                         |



The total cost of the project preparation activities is USD 406,304, of which USD 289,304 is requested from the GCF Project Preparation Facility and USD 117,000 will be provided as co-financing support. The PPF activities will be implemented by FUNBIO in close coordination with subnational stakeholders.

#### Activity 1 – Pre-feasibility, feasibility studies, and project design

This activity will support the technical structuring of the Amazon Consortium project through the engagement of specialized consultants. It includes an international expert to ensure alignment with GCF requirements, as well as national consultants to strengthen links with public policies across the nine states and conduct economic and financial analyses. Translation services and travel missions are also included to support coordination with state stakeholders. The objective is to deliver a robust, integrated, and technically sound project design. Funbio's project development staff will also work to coordinate and support the consultants to guarantee alignment and also learn in the process.

#### Activity 2 – Environmental and social studies, including Indigenous Peoples

This activity aims to ensure full compliance with GCF environmental and social safeguards (ESS) requirements based on GCF's Revised Environmental and Social Policy and interim ESS standards, Indigenous Peoples Policy, Updated Gender Policy, and Information Disclosure Policy. It includes the engagement of specialists in safeguards and Indigenous Peoples to assess risks, impacts, and mitigation measures. Participatory workshops will be carried out to validate findings with stakeholders, alongside travel and translation support. The objective is to ensure an inclusive, rights-based approach aligned with international standards.

#### Activity 3 – Gender studies

This activity will integrate a gender perspective into the project through the engagement of specialized consultants. It includes gender analysis, identification of gaps and opportunities, and stakeholder engagement through dedicated workshops. Supporting services such as logistics and translation are also included. The objective is to ensure compliance with GCF's Updated Gender Policy and promote gender equality outcomes.

#### Activity 4 – Development of stakeholder engagement plans

This activity focuses on the preparation of structured stakeholder engagement plans, involving government entities, civil society, local communities, and other relevant actors. It includes facilitation of workshops, logistical support, and technical expertise, as well as travel for consultations. The objective is to ensure inclusive participation, transparency, and alignment among stakeholders throughout project development.

#### Activity 5 – Assessment of climate impact potential for mitigation and adaptation

This activity includes the engagement of climate experts to assess the project's mitigation and adaptation potential. It involves the development of a strong climate rationale, estimation of emission reduction potential, and evaluation of resilience benefits. The objective is to provide a solid technical basis for the project's contribution to climate change mitigation and adaptation, in line with GCF requirements.



## B. Justification for Project Preparation Support

Please explain the AEs' need for project preparation funding from GCF, including any cash or in kind resources from the AE and co-financing for funding proposal development.

The Green Climate Fund (GCF) endorsed the Concept Note for the Amazon Sustainable Economy and Protection Program and a Project Preparation Facility (PPF) could be used to develop the technical studies, consultations, analyses, and design work required for the preparation of a full Funding Proposal. The proposed programme covers the entire Brazilian Legal Amazon, involving the nine Amazon states, multiple federal and state institutions, Indigenous Peoples, local communities, civil society organizations, and private sector actors. Given its geographic scope, institutional complexity, and innovative financing approach, substantial project preparation is required to ensure that the programme is technically sound, operationally feasible, and fully compliant with GCF requirements.

The PPF will focus on addressing the principal technical and operational gaps identified during the Concept Note review. In particular, it will refine the design of the programme by identifying and prioritizing intervention areas in high-deforestation zones across the nine Amazon states; assessing the institutional capacities and operational requirements of the command-and-control system; strengthening the governance arrangements required for interstate coordination; defining the operational design and financial architecture of the Sustainable Economy Facility (SEF); and preparing the environmental, social, Indigenous Peoples, gender, climate, and financial analyses required under GCF policies.

The programme builds upon an extensive policy and institutional framework already under implementation in Brazil. In particular, it supports the objectives of the **Plano Clima**, the **Plano de Ação para Prevenção e Controle do Desmatamento na Amazônia Legal (PPCDAm)**, the **Planos Estaduais de Prevenção e Controle do Desmatamento (PPCDQs)**, and other federal and state strategies for climate adaptation, forest conservation, sustainable production, and bioeconomy. The PPF will refine the programme design to ensure that proposed investments are fully aligned with these public policies while strengthening coordination among the Consortium of Amazon States, state governments, federal agencies, and other implementation partners.

The project preparation activities will also generate the evidence required to support investment decisions under each project component. This includes defining priority investments for environmental enforcement, monitoring systems, and institutional strengthening; assessing opportunities for strengthening the Rural Environmental Registry (CAR) and its integration with existing environmental monitoring systems; identifying priority bioeconomy value chains and financing needs; evaluating opportunities to mobilize private investment through the SEF; estimating the programme's expected climate and socioeconomic impacts, including greenhouse gas emission reductions, beneficiaries, and jobs created; and developing implementation arrangements that are consistent with federal and state institutional responsibilities.

The PPF will therefore provide the analytical basis, stakeholder engagement, safeguard instruments, and technical documentation necessary to transform the endorsed Concept Note into a fully developed Funding Proposal that meets GCF investment criteria and supports implementation of an integrated programme for reducing deforestation, strengthening environmental governance, and promoting sustainable economic development across the Brazilian Legal Amazon.

In addition, project preparation activities in Brazil require that studies, consultations, and technical documents be conducted primarily in Portuguese to ensure broad accessibility and participation among national and subnational stakeholders. FUNBIO routinely develops technical documents in Portuguese and subsequently translates final outputs into English to comply with international submission requirements. Dedicated support for translation services is therefore essential to maintain effective stakeholder engagement and ownership throughout the preparation process.

Project preparation will also be supported through co-financing provided by FUNBIO and Natura Co. These resources will complement the PPF by supporting selected project preparation activities and demonstrate the commitment of the Accredited Entity and its partners to the successful development of the Funding Proposal. The combination of GCF PPF resources and co-financing will ensure that the studies, consultations, and technical analyses are completed at the level of quality required for a programme of this scale and complexity. The total co-financing contribution for project preparation activities amounts to USD 117,000. This includes approximately USD 60,000 in in-kind contributions from



FUNBIO through staff time dedicated to coordinating consultants, USD 39,000 from Funbio in cash (gender consultants and workshop), supervising studies, organizing stakeholder engagement activities, a ESS safeguards specialist and supporting the preparation of the Funding Proposal. An additional USD 18,000 will be provided through in-cash co-financing contributions from Natura Company to support project preparation activities.

### C. Implementation Arrangement, Risks & Mitigants

The Project Preparation Facility (PPF) activities will be coordinated and implemented by the Brazilian Biodiversity Fund (FUNBIO), through its GEF/GCF Area and Project Implementation Agency. FUNBIO, as the Accredited Entity (AE), will be responsible for overall project management, fiduciary oversight, procurement, financial management, monitoring, reporting, and quality assurance of all deliverables produced under the PPF. The project preparation activities will be implemented in accordance with FUNBIO's institutional policies and procedures, as well as the requirements established under the Grant Agreement to be signed with the Green Climate Fund (GCF). As the Accredited Entity, FUNBIO's full legal and fiduciary responsibility vis-à-vis the GCF remains unchanged, irrespective of any internal operational delegations.

FUNBIO's GEF/GCF team will coordinate the preparation of Terms of Reference (ToRs) for all technical studies and consultancies required under the PPF. The finalized ToRs will be submitted to FUNBIO's procurement team, which will manage the consultant selection and contracting processes in accordance with internationally recognized procurement standards adopted by the institution. FUNBIO has extensive experience managing complex environmental and climate finance projects in Brazil, including projects financed by the GEF and GCF, and has established systems and procedures to ensure efficient and transparent implementation.

#### Consultants and Technical Expertise Required

The PPF will finance a multidisciplinary team of consultants to prepare the Funding Proposal and the technical annexes required by the Green Climate Fund (GCF). The consultants have been selected to address the key technical, institutional, environmental, social, financial, and climate-related gaps identified during the GCF review of the Concept Note and to ensure that the Funding Proposal fully complies with GCF requirements.

The proposed consultants and their estimated level of effort are summarized below:

- GCF Feasibility Study Specialist (International Consultant) – 100 working days. The consultant will lead the preparation of the comprehensive feasibility study (Annex 2) and act as the overall technical coordinator for project preparation. Responsibilities include addressing the key gaps identified in the Concept Note, defining priority intervention areas, strengthening the operational design of Components 1, 2 and 3, integrating the work of all thematic consultants, preparing the Theory of Change and Logical Framework, and ensuring consistency across the Funding Proposal and all supporting annexes.
- Project Development Specialist (National Consultant) – 70 working days. The consultant will lead the institutional and governance analysis, ensuring alignment of the project with federal and state policies, climate and bioeconomy strategies, PPCDQs, and existing governance arrangements. The consultant will assess institutional capacities, develop implementation arrangements (Annex 5), support preparation of the Stakeholder Engagement Plan (Annex 7), prepare the Project Implementation Manual (Annex 21), and strengthen coordination with the Consortium of Amazon States, state governments, and other key institutions.
- Financial and Economic Specialist – 70 working days. The consultant will prepare the economic and financial analyses (Annex 3), including cost-benefit analysis, financial structuring of the Sustainable Economy Facility



(SEF), assessment of investment barriers, public financial flows, benefit-sharing mechanisms, and preparation of the financial sections of the Funding Proposal and budget (Annex 4).

- Environmental and Social Safeguards Specialist – 50 working days. The consultant will lead the preparation of the Environmental and Social Management Framework (Annex 6), conduct environmental and social risk assessments, develop safeguard screening procedures, assess grievance mechanisms, support stakeholder consultations, integrate SEAH considerations, and prepare the Stakeholder Engagement Plan jointly with other specialists.
- Indigenous Peoples Specialist – 50 working days. The consultant will ensure full compliance with the GCF Indigenous Peoples Policy by conducting Indigenous Peoples assessments, supporting culturally appropriate consultations and FPIC processes where required, preparing the Indigenous Peoples Planning Framework (IPPF) as part of Annex 6, and integrating Indigenous Peoples' considerations across project design and safeguard instruments.
- Gender Specialist – 60 working days. The consultant will prepare the Gender Assessment and Gender Action Plan (Annex 8), conduct gender-responsive consultations, identify gender gaps and opportunities, define gender-responsive indicators and targets, and ensure that gender considerations are mainstreamed across all project components.
- Climate Rationale Specialist – 70 working days. The consultant will develop the project's climate rationale through analyses of climate trends, deforestation drivers, land-use change, forest integrity, climate vulnerability, fire risk, and adaptation benefits, ensuring full consistency with GCF investment criteria and strengthening the climate justification presented in the Funding Proposal.
- Carbon and Mitigation Specialist – 40 working days. The consultant will prepare Annex 22 by developing greenhouse gas accounting methodologies, baseline and project scenarios, additionality analyses, and robust estimates of GHG emission reductions and removals using internationally recognized methodologies consistent with GCF requirements.

The proposed level of effort reflects the scope and complexity of preparing a GCF Funding Proposal for a programme covering the nine states of the Brazilian Legal Amazon. Project preparation requires the completion of multiple technical annexes, detailed feasibility, climate, economic, financial, environmental and social analyses, as well as extensive stakeholder engagement and coordination with federal, state, and local institutions. Each consultant has been assigned a clearly defined area of expertise and corresponding GCF deliverables, ensuring that all technical requirements are addressed through complementary and non-overlapping assignments.

### **Justification for International Expertise**

The PPF includes an international GCF Feasibility Study Specialist because this consultant will provide specialized expertise in the preparation of GCF Funding Proposals, including the application of GCF investment criteria, results management requirements, climate rationale standards, and feasibility assessment methodologies. Given the strategic importance and scale of the proposed programme, as well as the need to ensure that all technical studies are integrated into a coherent and GCF-compliant Funding Proposal, FUNBIO considers international experience in the design of successful GCF projects essential to reduce preparation risks and improve proposal quality.

International expertise may also be mobilized for highly specialized analytical tasks where demonstrated experience with GCF methodologies and climate finance requirements is critical and where equivalent expertise is not readily available within the national consultant pool.

### **FUNBIO Oversight and Responsibility**

FUNBIO will manage and coordinate the entire project preparation process, including procurement and contract management, technical supervision of consultants, coordination with government counterparts and stakeholders,



quality assurance, and consolidation of all technical outputs into the final Funding Proposal and supporting annexes. Throughout implementation, FUNBIO will ensure effective coordination among the multidisciplinary team so that individual studies are technically consistent and fully integrated into a single programme design.

Although consultants will provide specialized technical expertise within their respective areas, all deliverables will be reviewed and approved by FUNBIO before acceptance. FUNBIO will retain full responsibility for the quality, consistency, and compliance of all documents submitted to the Green Climate Fund, including the Funding Proposal and its annexes.

Most consultancies are expected to be national consultants and firms with demonstrated technical expertise and experience in their respective fields. Consultant selection will be based on technical qualifications and relevant professional experience, with most positions requiring at least five years of specialized experience. The estimated procurement and contracting period for consultants is expected to range from one to two months. Payments will be made directly by FUNBIO upon receipt and technical approval of agreed deliverables in accordance with the contractual Terms of Reference.

Funds received from the GCF will be managed by FUNBIO through a dedicated bank account opened exclusively for the PPF activities, following FUNBIO's standard financial management procedures for externally financed projects. All project expenditures and payments will be processed through this account in accordance with the approved budget and procurement plan. No transfer of funds to executing entities is anticipated under this PPF.

### **Relevant roles and institutions for this proposal**

#### FUNBIO

FUNBIO, as the Accredited Entity, will coordinate and manage all project preparation activities. It will be responsible for procurement and supervision of consultants, financial management, quality assurance of technical studies, coordination of stakeholder engagement activities, consolidation of all project preparation outputs, and submission of the Funding Proposal to the GCF. FUNBIO will also ensure that all deliverables comply with GCF policies, standards, and investment criteria.

#### Amazon Consortium of Governors

The Amazon Consortium of Governors will serve as the primary institutional coordination platform for the project preparation process. The Consortium will facilitate engagement with the governments of the nine Amazon states, support the organization of consultations and technical meetings, promote alignment of project preparation activities with regional priorities, and assist in validating technical studies and implementation arrangements.

#### State Governments of the Brazilian Legal Amazon

The governments of the nine Amazon states (Acre, Amapá, Amazonas, Maranhão, Mato Grosso, Pará, Rondônia, Roraima, and Tocantins) will actively participate throughout project preparation by providing technical information, policy and planning documents, institutional inputs, and strategic guidance. State agencies will contribute to identifying priority intervention areas, assessing institutional capacities, validating technical studies, participating in consultations and workshops, and ensuring that the proposed programme is aligned with state climate, environmental, and development strategies. They will also support the definition of implementation arrangements and coordination mechanisms for Components 1, 2 and 3.

#### Local Organizations and Stakeholders

Local organizations, including Indigenous Peoples' organizations, traditional community organizations, civil society organizations, producer associations, women's organizations, research institutions, and other relevant stakeholders, will participate in consultations, technical workshops, and validation processes. Their contributions will support the identification of local priorities, assessment of implementation opportunities and risks, refinement of project



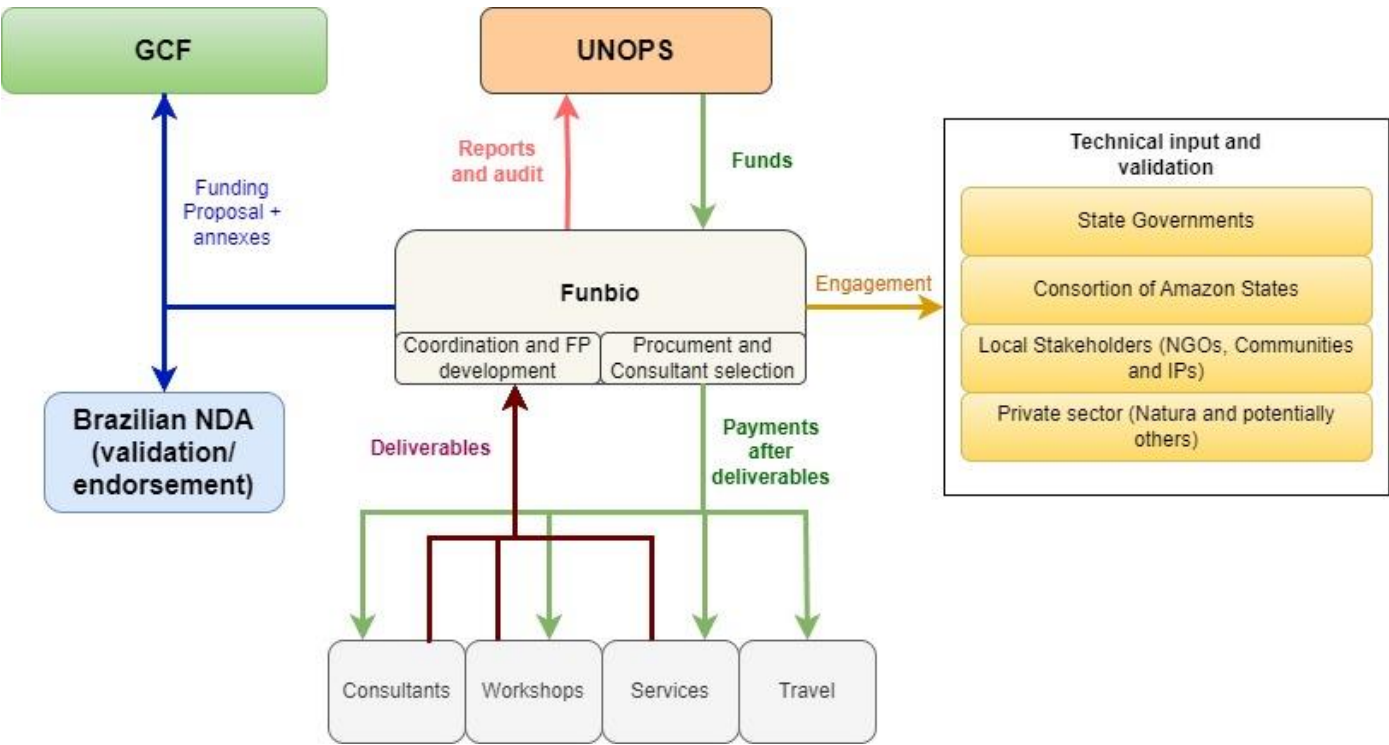


interventions, and preparation of environmental, social, Indigenous Peoples, gender, and stakeholder engagement instruments.

Consultants

The multidisciplinary team of consultants financed by the PPF will prepare the technical studies required for the Funding Proposal, including feasibility, economic and financial, climate, environmental and social, Indigenous Peoples, gender, and stakeholder engagement analyses. Consultants will work in close coordination with FUNBIO and the participating institutions to ensure that all technical studies are mutually consistent and responsive to national and subnational priorities. While consultants will provide specialized technical expertise, FUNBIO will retain full responsibility for the quality, consistency, and compliance of all deliverables submitted to the GCF.

FUNBIO will maintain regular communication and coordination with the National Designated Authority (NDA) to ensure alignment with national priorities and to keep the NDA informed on progress and key developments throughout implementation of the PPF activities.



Risks

Potential implementation risks are considered manageable. One potential risk relates to delays in procurement and consultant mobilization due to the specialized expertise required for certain studies. This risk will be mitigated through early preparation of Terms of Reference, proactive market outreach, and the use of FUNBIO’s established procurement procedures and consultant networks. Another potential risk relates to logistical challenges and stakeholder coordination across the large geographic area covered by the Brazilian Amazon. This risk will be mitigated through close collaboration with the Amazon Consortium of Governors and the use of local coordination mechanisms to support



stakeholder engagement activities. Risks associated with limited participation of vulnerable groups, including Indigenous Peoples and women, will be mitigated through dedicated consultations, translation support, and targeted engagement processes designed to facilitate inclusive participation.

| Risk                                                  | Description of Risk                                                                                                                                                                       | Mitigation Measures                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|-------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Delays in procurement and consultant mobilization     | Delays may occur in the recruitment and mobilization of consultants due to the specialized expertise required for certain studies and assessments.                                        | Terms of Reference will be prepared early, proactive market outreach will be undertaken, and FUNBIO will use its established procurement procedures and consultant networks to identify qualified experts and accelerate recruitment processes.                                                                                                                                                                                                                                           |
| Logistical and stakeholder coordination challenges    | The large geographic scope of the Brazilian Amazon and the involvement of multiple institutions may create logistical and coordination challenges during project preparation activities.  | FUNBIO will work closely with the Amazon Consortium of Governors, state governments, and local organizations and will use existing local coordination mechanisms to facilitate consultations, meetings, and stakeholder engagement activities.                                                                                                                                                                                                                                            |
| Limited participation of vulnerable groups            | Indigenous Peoples, women, and other vulnerable groups may face barriers to participation in consultation and engagement processes.                                                       | Dedicated consultations, translation and interpretation support where necessary, and targeted engagement approaches will be implemented to promote meaningful and inclusive participation throughout project preparation.                                                                                                                                                                                                                                                                 |
| Funding Proposal does not fully meet GCF requirements | Due to the complexity of GCF requirements and the scale of the proposed programme, technical studies and proposal components may not initially meet all GCF requirements or expectations. | FUNBIO will engage a senior international consultant with demonstrated experience in the preparation of GCF Funding Proposals to lead the feasibility assessment and support overall proposal development. This consultant will help ensure alignment with GCF investment criteria, results management requirements, climate rationale standards, and other GCF policies. In addition, all deliverables will undergo quality assurance and review by FUNBIO before submission to the GCF. |

[illegible]

- Comprehensive Feasibility Study (Annex 2), including baseline, technical, institutional, operational and financial analysesEconomic and Financial Analysis (Annex 3)
- Institutional Mapping and Policy Alignment Report and institutional frameworks;
- Governance Gaps and Coordination Mechanisms Report
- Financial structuring report (SEF);
- Budget and Financial Plan (Annex 4)
- Recommendations for Project Implementation

[illegible]



|            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |  |  |   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
|            | <p>Arrangements (Annex 5)Stakeholder Engagement Plan (Annex 7)</p> <ul style="list-style-type: none"><li>• Implementation Arrangements (Annex 5)</li><li>• Project Implementation Manual (Annex 21)</li><li>• Project location maps (Annex 16), including prioritized intervention areas</li><li>• Theory of Change and Logical Framework</li><li>• Operational design of Components 1, 2 and 3</li><li>• Inputs to the Funding Proposal</li></ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |  |  |   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Activity 2 | <p><b>Environmental and social studies, including Indigenous Peoples studies</b></p> <p>This activity will ensure that the Funding Proposal complies with the GCF Environmental and Social Policy and Indigenous Peoples Policy. Environmental and social screening, risk and impact assessments, Indigenous Peoples assessment, conflict-sensitive analysis, stakeholder consultations, and Free, Prior and Informed Consent (FPIC), where applicable, will be undertaken to identify potential environmental and social risks, develop mitigation measures, and strengthen project design. The activity will also prepare the safeguards instruments required by the GCF, including culturally appropriate grievance mechanisms, stakeholder engagement procedures, and measures to protect Indigenous Peoples' rights throughout project implementation.</p> <p><b>Deliverables</b></p> <ul style="list-style-type: none"><li>• Indigenous Peoples assessment report;</li><li>• Indigenous Peoples Planning Framework (IPPF);</li><li>• Culturally Appropriate Engagement and FPIC Guidelines;</li><li>• Recommendations for culturally appropriate engagement;</li><li>• Consultation reports and systematization;</li><li>• Environmental and Social Risk Assessment;</li><li>• Environmental and Social Management Plan (ESMP);</li><li>• Safeguards Screening Tools and Recommendations;</li></ul> |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |



|            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |  |  |   |   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|---|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
|            | <ul style="list-style-type: none"><li>Grievance Redress Mechanism (GRM) Assessment and Design Report;</li><li>Stakeholder Engagement Plan (Annex 7 – jointly prepared)</li><li>Consultation reports;</li><li>Institutional Arrangements and Capacity Building Plan for Safeguards</li><li>Inputs to safeguards instruments and Funding Proposal.</li></ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |  |  |   |   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Activity 3 | <p><b>Gender studies</b></p> <p>This activity will integrate gender considerations throughout project preparation in accordance with the GCF Gender Policy. It will include a gender assessment to identify barriers, differentiated climate vulnerabilities, opportunities for women's participation and leadership, and gender-responsive interventions across all project components. The activity will also develop practical measures, indicators, implementation arrangements, and monitoring mechanisms to ensure gender equality outcomes are incorporated into the final project design.</p> <p><b>Deliverables</b></p> <ul style="list-style-type: none"><li>Gender Assessment</li><li>Gender Action Plan (Annex 8)</li><li>Gender-Responsive Stakeholder Consultation Outputs;</li><li>Recommendations for gender-responsive activities and indicators;</li><li>Inputs to Stakeholder Engagement Processes;</li><li>Inputs to the Funding Proposal and Results Framework</li></ul> |  |  | X |   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Activity 4 | Development of stakeholder engagement plans                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |  |  |   | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

v.6/2024

v.6/2024





E. Terms of Reference for Project Preparation Activities

Please provide detailed terms of reference for each project preparation activity, including expected scope of work, planned deliverables, no. of days per deliverable, technical qualifications of consultants of consultancy firms expected to undertake specific activities. A separate word file may be provided.

We included a separate word file in annex.



| F. Budget Summary (applicable to PPF Funding applications only)                                             |                                                                                 |              |                          |                 |
|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|--------------|--------------------------|-----------------|
| Outputs or Activities level budget summary                                                                  |                                                                                 | USD from GCF | USD from AE / co-finance | Total cost, USD |
| Please fill out the detailed budget template and submit it along with this application. Add rows as needed. |                                                                                 |              |                          |                 |
| Activity 1                                                                                                  | Pre-feasibility and feasibility studies, and project design                     | 113,400      | 60,000                   | 173,400         |
| Activity 2                                                                                                  | Environmental and social studies, including Indigenous Peoples studies          | 53,500       | 12,000                   | 65,500          |
| Activity 3                                                                                                  | Gender studies                                                                  | 6,800        | 39,000                   | 45,400          |
| Activity 4                                                                                                  | Development of stakeholder engagement plans;                                    | 24,900       | 6,000                    | 30,900          |
| Activity 5                                                                                                  | Assessment of climate impact potential for mitigation and adaptation activities | 40,300       |                          | 40,300          |
| Total activity-level costs                                                                                  |                                                                                 | 238,900      | 117,000                  | 355,900         |
| Additional costs and fees                                                                                   |                                                                                 |              |                          |                 |
| Contingency (up to 3% of total activity-level costs)                                                        |                                                                                 | 11,940       |                          | 11,940          |
| Project Management Cost (up to 7.5% of total cost + contingency)                                            |                                                                                 | 15,800       |                          | 15,800          |
| Sub-total of project preparation costs                                                                      |                                                                                 | 266,640      |                          | 266,640         |
| Accredited Entity Fee (up to 8.5% of sub-total)                                                             |                                                                                 | 22,664       |                          |                 |
| Total GCF PPF funding requested (sub-total + AE fee)                                                        |                                                                                 | 289,304      |                          |                 |

| G. Budget Summary (applicable to PPF Funding applications only)                          |               |                                                                                                                                                                                                                                                                                                                                             |
|------------------------------------------------------------------------------------------|---------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| G.1 For AEs without framework readiness and preparatory support grant agreement with GCF |               |                                                                                                                                                                                                                                                                                                                                             |
| For PPF Funding requests of 12 months or less                                            | 1st tranche   | 90% of total grant, equating to USD 260,374, to be disbursed upon or after effectiveness of the Grant Agreement and fulfilment of conditions in Grant Agreement Standard Conditions.                                                                                                                                                        |
|                                                                                          | Final tranche | 10% of total grant, equating to USD 28,930, will be disbursed upon submission of a completion report and final audit report.                                                                                                                                                                                                                |
| For PPF Funding requests of 12 months or more                                            | 1st tranche   | 50% of total grant, equating to USD amount, will be disbursed upon or after effectiveness of the Grant Agreement and fulfilment of the conditions specified in Grant Agreement and Standard Conditions.                                                                                                                                     |
|                                                                                          | 2nd tranche   | 40% of total grant, equating to USD amount, will be disbursed (provided that at least 70% of the 1st Tranche has been committed) upon submission of an interim progress report and Certified Financial Report from CFO/Head of Finance or designated official and upon fulfilment of conditions in Grant Agreement and Standard Conditions. |
|                                                                                          | Final tranche | 10% of total grant, equating to USD amount, will be disbursed upon submission of completion report and final audit report.                                                                                                                                                                                                                  |
| G.2 For AEs with framework readiness and preparatory support grant agreement with GCF    |               |                                                                                                                                                                                                                                                                                                                                             |



The following paragraph to be completed by the AE:

*Disbursements will be made in accordance to [Clause xx] “Disbursement of Grants” and [Clause xx] “Use of Grant Proceeds by the Delivery Partner” of the Framework Readiness and Preparatory Support Grant Agreement entered into between GCF and [AE’s name] on [date].*

| H. Reporting Obligations & Acknowledgements by AE |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                           |
|---------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| H.1                                               | The AE will submit a Funding Proposal to the GCF that is supported by the PPF resources within 2 years of approval of this application, as per GCF Board Decision B.37/22.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <input checked="" type="checkbox"/> Agree |
| H.2                                               | All outputs as approved in the PPF application together with a draft Funding Proposal should be provided no later than 24 months from approval of this PPF application. If PPF grant duration is over 12 months, an Interim Progress Report, and for all grants, a Completion Report, and Certified Financial Reports will be submitted by the AE. Templates can be found on the GCF PPF website.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <input checked="" type="checkbox"/> Agree |
| H.3                                               | If requesting project preparation support via <b>PPF Funding</b> , the AE will be responsible for [and accountable to the GCF under terms of the Grant Agreement to be entered into between GCF and the AE in case of direct grants, or between United Nations Office of Project Services (UNOPS) and the AE in case of grants administered by UNOPS] the overall design, development, management, implementation and supervision of activities financed through the PPF. The AE will be responsible for determining that any sub-grantee(s) have the ability to successfully perform the activities under its overall guidance, management or supervision, according to the terms and conditions of the agreement between the GCF and the AE.                                                                                                                                                                                                                                                                                          | <input checked="" type="checkbox"/> Agree |
| H.4                                               | If requesting project preparation support via PPF Service, the AE will be responsible for managing the independent consultancy firm selected to provide PPF Services; providing instructions to the independent consultancy firm selected to provide PPF Services; and the quality control of the final products of the independent consulting firm. The AE shall provide to the GCF written confirmation that the deliverables by the PPF Services firm are satisfactory and meet the requirements of the AE within thirty [30] days from the date of completion of services by the consultancy firm. The GCF shall have no liability for the performance or quality of the services provided by the independent consultancy firm. To the maximum extent permitted by law, the AE agrees to release the GCF, and its employees, agents and representatives from any and all liability related to damage, loss, cost, expense incurred or injury suffered by the AE or any other party as a result of the project preparation services. | <input type="checkbox"/> Agree            |