

Readiness Proposal

with Global Green Growth Institute (GGGI)

for United Mexican States

24 July 2019 | NDA Strengthening, Country Programming, Entity Support & Strategic Frameworks



**GREEN
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FUND**

Readiness and Preparatory Support Proposal Template

Programme title:	Readiness Support for Country Programming and Direct Access in Mexico
Country:	Mexico
National designated authority:	Ministry of Finance and Public Credit (SHCP)
Implementing Institution:	Global Green Growth Institute
Date of first submission:	28 February 2019
Date of current submission / version number	28 February 2019 V.1



How to complete this document?

- Please visit the [Empowering Countries](#) page of the GCF website to download the Readiness Guidebook and learn how to access funding under the GCF Readiness and Preparatory Support Programme.
- This document should be completed by National Designated Authorities (NDA) or focal points with support from their Delivery Partners where relevant. Once completed, this document should be submitted to the GCF by the NDA or focal point to countries@gcfund.org.
- Please be concise. If you need to include any additional information, please attach it to the proposal.
- If the Delivery Partner implementing the Readiness support is not a GCF Accredited Entity for project Funding Proposals, please complete the Financial Management Capacity Assessment (FMCA) questionnaire and submit it prior to or with this Readiness proposal. The FMCA is available for download at the [Library](#) page of the GCF website.

Where to get support?

- If you are not sure how to complete this document, or require support, please send an e-mail to countries@gcfund.org.
- You can also complete as much of this document as you can and then send it to countries@gcfund.org, copying both the Readiness Delivery Partner and the relevant GCF Country Dialogue Specialist and Regional Advisor. Please refer to the [Country Profiles](#) page of the GCF website to identify the relevant GCF Country Dialogue Specialist and Regional Advisor.
- We will get back to you within five (5) working days to acknowledge receipt of your submission and discuss the way forward.

Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, particularly to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult Annex IV of the Readiness Guidebook for more information.

Please submit the completed form to:

countries@gcfund.org

Please use the following naming convention for the file name:

"GCF Readiness Proposal-[Country]-[yyymmdd]"



1. SUMMARY			
Country submitting the proposal	Country name: Mexico		
	Name of institution representing NDA or Focal Point: Ministry of Finance and Public Credit		
Country submitting the proposal	Name of contact person: Ms. Karina Ramírez Arias		
	Contact person's position: Deputy Director General		
	Telephone number: +52 (55) 3688 2608		
	Email: NDA_mexico@hacienda.gob.mx		
	Full office address: Insurgentes Sur 1971, Torre 3, Piso 3 Mexico City, 01020 Mexico		
	Additional email addresses that need to be copied on correspondences:		
Date of initial submission	28 February 2019		
Last date of resubmission	17 May 2019	Version number	V.3
Which institution will implement the Readiness and Preparatory Support project?	<input type="checkbox"/> National designated authority <input type="checkbox"/> Accredited entity <input checked="" type="checkbox"/> Delivery partner		
	Please provide contact information if the implementing partner is not the NDA/focal point		
	Name of institution: Global Green Growth Institute		
	Name of official: Ms. Hyoeun Jenny Kim		
	Position: Deputy Director-General & Head of Green Growth Planning and Implementation		
	Telephone number: +82 70 7117 9968		
	Email: jenny.kim@gggi.org		
Title of the Readiness support proposal	Full office address: 19F Jeongdong Building, 21-15 Jeongdong-gil Jung-gu, Seoul, 04518, Republic of Korea		
	Additional email addresses that need to be copied on correspondences: pablo.martinez@gggi.org, jose.amaya@gggi.org, gcfliaison@gggi.org		
Title of the Readiness support proposal	Readiness Support for Country Programming and Direct Access in Mexico		
Type of Readiness support sought	Please select the relevant GCF Readiness activity area below (click on the box):		
	<input checked="" type="checkbox"/> I. Country capacity for engagement with GCF		
	<input checked="" type="checkbox"/> II. Country programming process		
	<input checked="" type="checkbox"/> III. Direct access to climate finance		
	<input checked="" type="checkbox"/> IV. Climate finance accessed		
	<input type="checkbox"/> V. Formulation of national adaptation planning and/or other adaptation planning processes		

<p>Brief summary of the request</p>	<p>Readiness support aims to:</p> <p>1) Foster ownership through a no-objection procedure and country program, establishing clear updating mechanisms; Support will be provided for updating the no-objection procedure, the development of Mexico's country program and the establishment a process for updating the country program with strong stakeholder engagement and gender responsiveness practices.</p> <p>2) Support the Direct Access Entity accreditation process of NAFIN and BANOBRAS. NAFIN is well advanced in the process for accreditation, but support is needed and will be provided to implement environmental and social safeguards and gender standards to meet the GCF accreditation standards. BANOBRAS has yet to formally start GCF accreditation process, but as part of its commitment to support sustainable development it has already initiated the development of ESS standards, and has undertaken activities to introduce gender perspective in its operations, culture, products and services. These need to be reviewed, strengthened and implemented to meet GCF accreditation standards. Support will be provided to fully understand the gaps for its accreditation, guide the accreditation process and to learn from NAFIN's experience.</p> <p>3) Develop a national climate finance strategy engaging various stakeholders (e.g. private sector). The NDA and other relevant stakeholders are seeking to develop an effective and efficient climate finance architecture to support NDC implementation. This architecture seeks to make the best use of public and external climate finance sources to unlock private sector finance. Support will be given to map out a roadmap to develop an effective climate finance architecture considering all relevant stakeholders and systems that need to be developed and implemented.</p>		
<p>Total requested amount and currency</p>	<p>USD 798,975</p>	<p>Anticipated duration</p>	<p>18 months</p>
<p>Has the country received or is expecting to receive other Readiness and Preparatory Support funding allocations (including adaptation planning) from GCF or other donors?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>In 2015, NDA nominated the Nacional Financiera, S.N.C. (NAFIN) to become a direct access entity. In 2016, readiness support was approved, with PricewaterhouseCoopers (PwC) as the delivery partner, for the in-depth assessments of NAFIN's institutional capacity, fiduciary, environmental and social safeguards and gender standards to meet the GCF accreditation standards.</p> <p>In 2018, Mexico submitted a Proposal to access Readiness and Preparatory Support for adaptation planning with The Development Bank of Latin America (CAF) as Delivery Partner. This proposal, which is still under review is to be implemented for 36 months and will assist (among other things) the country to develop a National Adaptation Plan.</p>		

2. BACKGROUND

Mexico has a strong regulatory framework to tackle climate change that includes law, policy, plans and an institutional framework that involves various ministries and civil society. Between May 2016 and July 2017, the National Institute of Ecology and Climate Change (INECC) and the Ministry of Environment (SEMARNAT), carried out 8 dialogues to present the NDCs and gather feedback on the proposed technological roadmap for NDC implementation, which included indicative initiatives and their costs of implementation and expected net economic benefits. More than 1,000 stakeholders from the public and private sectors, the academia, civil society and international organizations attended these events. The information developed through these multi-stakeholder dialogues resulted in the approval of 30 proposed lines of action and an estimation of their implementation costs, and will serve as critical inputs for the Country Programme. During 2019-2020 the Government of Mexico will enhance its NDCs and estimate again their cost of implementation. This effort will be led by SEMARNAT, INECC and will be supported by the international cooperation. The NDA and GGGI are coordinating with main stakeholders to avoid duplicity, ensure complementarity and that the most up to date data is used in building the country program. In this sense, this proposal takes these complementary efforts into account and will be adjusted as needed to ensure appropriate stakeholder engagement local relevance.

Mexico's NDA, hosted at the Sustainable Finance Department of the Ministry of Finance (SHCP), is also the focal point for GEF and CIFs. It coordinates closely with SEMARNAT, MoFA, national development banks (NAFIN, BANOBRAS) and other government entities to ensure funding complementarity when accessing international and national green funds. It also coordinates with relevant entities to ensure project alignment with the country's national priorities and commitments. This mechanism will be further strengthened with the present Readiness activities proposed.

In 2017, the NDA, with the support of the World Bank, developed an evaluation methodology for projects to be submitted for GCF financing. Through this methodology, projects are evaluated to ensure they are relevant, feasible, transformational and financially-sound among other criteria. The methodology was presented and validated with key stakeholders in an event where GCF participated. Currently the methodology is still used by the new administration, but it needs to be updated with the latest policies, benchmarks and projects currently under development.

Mexico's new government (2018-2024) has expressed its intention to further strengthen its climate change framework by refining climate goals, updating its climate change policy, and by building institutional capacities to enhance cooperation and unlock green finance. Central to this objective, is the development of a Climate Finance Strategy to ensure NDC activities are effectively and efficiently funded, and that public funding leverage significant private resource mobilization. Moving forward, the Ministry of Finance will play a lead role in consolidating existing financial coordination mechanism, including inter-ministerial task forces (e.g. the current No-objection procedure taskforce, the Finance Consultative Council and the Mexican Banking Association) and build on previous diagnosis on the climate finance ecosystems (including but not limiting to the studies by the Climate Finance Group of Latin America and the Caribbean, GFLAC, and UN environment) to develop a roadmap for a national climate finance strategy that considers these recommendations as well as the new government's priorities and climate goals.

3. LOGICAL FRAMEWORK AND IMPLEMENTATION SCHEDULE																			
Outcomes	Baseline ¹	Targets	Activities ² (brief description and deliverables)	Anticipated duration: 18 months															
				Monthly implementation plan of activities ³															
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Sub-Outcome 2.4 Gender issues considered in climate finance programming	1	2	<p>Activity 2.4.1: Develop guidelines to ensure gender and social inclusion mainstreaming and participation throughout the development and updating of the Country Programme. The guidelines will be based on national policies and processes including roles of relevant government agencies and engagement of women's groups in the country, considering its alignment with the GCF Gender Policy and approaches in the manual.</p> <p>Deliverable: 2.4.1: Gender mainstreaming guidelines developed by M7</p>								X								
			<p>Activity 2.4.2: Enhance capacity of relevant stakeholders through 5 regional gender and social inclusion sensitization workshop for relevant stakeholders.</p> <p>Deliverable 2.4.2: a) Five regional workshops conducted , each with ~ 20 participants between M11-M13 (concurrently with Activity 2.3.2) b) Workshop reports prepared by M13 and list of participants</p>												X				
Outcome 3: Direct Access to Climate Finance	0	1	<p>Activity 3.2.1: Consultancy to undertake Gap Assessment against compliance with the GCF Standards, including fiduciary, ESS and gender standards and develop an action plan to address the identified gaps</p> <p>Deliverable 3.2.1: Gaps Assessment Report and Action Plan prepared by M8</p>								X								
			<p>Activity 3.2.2: Share lessons learned from NAFIN accreditation process</p> <p>Deliverables 3.2.2: a) Brief report and presentation on lessons learned prepared by M11 b) Workshop conducted with ~ 10 participants by M13 c) Workshop report prepared by M13 including list of participants</p>												X		X		

3. LOGICAL FRAMEWORK AND IMPLEMENTATION SCHEDULE

Outcomes	Baseline ¹	Targets	Activities ² (brief description and deliverables)	Anticipated duration: 18 months																		
				Monthly implementation plan of activities ³																		
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
			Activity 3.2.7: Support BANOBRAS in the integration, translation and summary of their accreditation documentation Deliverable 3.2.7: Documentation for accreditation application prepared by M18																	X		
Sub-Outcome 3.2: Accreditation of direct access entity (NAFIN)	1	2	Activity 3.2.8: Assessment of the progress by Nacional Financiera, S.N.C. (NAFIN) in implementing institutional capacity, fiduciary, environmental and social safeguards (ESS), and gender standards, based on the <i>PwC assessment and action plan</i> for NAFIN and on documenting this progress Deliverable 3.2.8: Assessment report on progress for GCF accreditation by M5					X														
			Activity 3.2.9: Support NAFIN operationalize and implement ESS Policy (already) developed Deliverables 3.2.9: a) ESS Policy to be included in NAFIN's operation manual by M9 b) Report on capacity building efforts on ESS Policy implementation by M9										X									
			Activity 3.2.10: Develop NAFIN's Gender Policy and support its implementation Deliverables 3.2.10: a) Gender Policy developed by M9 b) Report on capacity building efforts on Gender by M12										X		X							

3. LOGICAL FRAMEWORK AND IMPLEMENTATION SCHEDULE																			
Outcomes	Baseline ¹	Targets	Activities- (brief description and deliverables)	Anticipated duration: 18 months															
				Monthly implementation plan of activities ³															
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
			Activity 4.1.4: Develop a single-window online platform for stakeholders to access information on climate finance, access procedures, concept note development, find relevant partners and submit proposals. Deliverable 4.1.4: Online platform launched by M18																X
Sub-Outcome 4.3 Project Concept Notes development	0	1	Activity 4.3.1: Engage with key stakeholders of the Inter-secretarial Climate Change Commission enhance project development capacities to access GCF and build capacities on the online platform Conduct one-on-one meetings and workshop. Deliverable 4.3.1: Capacity building workshop with ~20 participants conducted by M18																X
			Activity 4.3.2: Assist NDA or other government partners in developing a concept note for a project included in the Country Programme in 2.1.4 ⁴ Deliverable 4.3.2: At least one concept note developed by M18																
Sub-Outcome 4.5: Private sector engagement	0	1	Activity 4.5.1: Engage with the Mexican Green Finance Consultative Council (CCFV) and/or the Mexican Bank Association (ABM) to gather inputs for the development of the roadmap for Climate Finance Strategy (M6), the Country Program (M12) and present the Online Platform (M18). Deliverables 4.5.1: Three focus groups/ workshops with the finance sector, each with ~20 participants in M6, M12 ((concurrently with Activity 2.3.2) and M18						X										X
Project management and implementation			a. Preparation of TORs and recruitment																X
			b. Disbursement requests	X															X
			c. Interim progress reporting																X
			d. Annual audit report (end of financial year)															X	X
			e. Preparation of completion report																X

⁴ Following the No-Objection Procedure and using the guidance of the Country Programme, the NDA will ultimately be responsible for approving the selected project for concept note development and in due course to help identify and select the most suitable Accredited Entity to advance the concept note further.



4. ADDITIONAL INFORMATION (ONLY FOR ADAPTATION PLANNING SUPPORT)

This section is only to be completed when seeking support for formulation of national adaptation plans and/or other adaptation planning processes. Please see Part 3 Section 4 in the Readiness Guidebook and please limit to maximum of 500 words.

5. BUDGET, PROCUREMENT, IMPLEMENTATION, AND DISBURSEMENT

5.1 Budget plan

Please complete the Budget Plan in Excel using the template available in the [Library](#) page of the GCF website.

5.2 Procurement plan

Please complete the Procurement Plan in Excel using the template available in the [Library](#) page of the GCF website. For goods, services, and consultancies to be procured, please list the items, descriptions in relation to the activities in section 2, estimated cost, procurement method, relevant threshold, and the estimated dates. Please include the procurement plan for at least the first tranche of disbursement requested below and provide a full procurement plan for the entire duration of the implementation period if available at this stage.

5.3 Disbursement schedule

Please specify the proposed schedule for requesting disbursements from the GCF. For periodicity, specify whether it's quarterly, bi-annually or annually only.

Readiness Proposal that falls within a Framework Agreement with the GCF

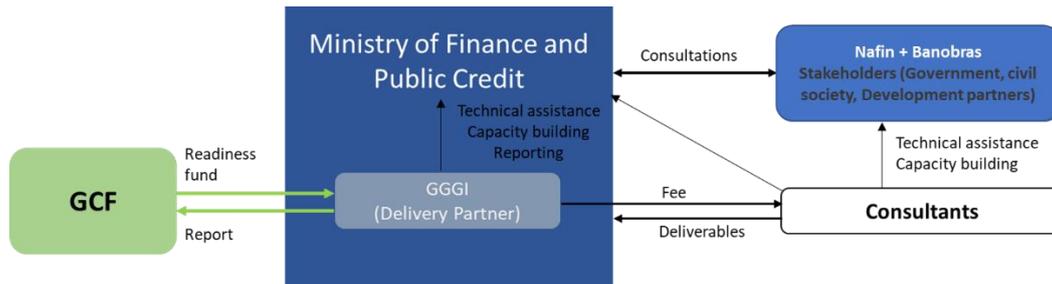
Disbursements will be made in accordance to Clause 4 "*Disbursement of Grants*" and Clause 5 "*Use of Grant Proceeds by the Delivery Partner*" of the Amended Framework Readiness and Preparatory Support Grant Agreement entered between GCF and the Global Green Growth Institute on *13 December 2017*.

6. IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

6.1 Implementation map

Please describe how funds will be managed by the NDA and/or the Readiness Delivery Partner.

Please describe the differing responsibilities of the NDA and their Delivery Partner for the management of the grant, procurement, implementation of activities, project oversight, monitoring, and reporting. If applicable, please also describe any delegation of responsibility from the Delivery Partner to another Executing Entity.



GGGI has a Framework Agreement with the GCF to act as a Delivery Partner for GCF Readiness projects. The NDA has nominated GGGI to be its Delivery Partner for this project, whereby the funds will be disbursed from the GCF to GGGI to undertake project implementation. GGGI will use its procurement rules to recruit consultants where needed and undertake non-consultancy services related to this project. GGGI will work closely with the Ministry of Finance and Public Credit, NAFIN and BANOBRAS and seek advice to meet their needs and expectations. GGGI will manage the execution of the project.

GGGI as the DP will oversee the financial preparation of the project progress and completion reports and submit the financials and reports to the GCF Secretariat. In close collaboration with NDA, GGGI will prepare project closing documents including externally audited expenditure statements and financial closure report and submit to the GCF. The DP will also ensure that all funds are provided on a timely basis to ensure expediting and acquittal of funds are not delayed, and all funds are accounted for transparently.

The project formulation has involved in-country consultation and engagement with the government counterparts. As per the design, under the guidance of NDA, all day-to-day activities will be closely coordinated and communicated between GGGI and NDA. Further, GGGI has and will continue to consult with the NDA, NAFIN and BANOBRAS in drafting TORs and invite them to participate in the recruitment interviews and/or procurement evaluations. This will provide an opportunity for them to build capacity to work with international standards and processes. GGGI will further have ongoing communication with the NDA to keep them informed of project progress.

Other Information

Where resource for the scope of work is available, GGGI will opt to recruit national consultants to ensure that the capacity is retained in-country.

Resources	Brief TOR	Rate
Outcomes 1, 2 & 4		
Consultant (National)	<p>Climate Finance and Policy Expert</p> <p>Responsibilities:</p> <ul style="list-style-type: none"> - Lead and take responsibility for the preparation of GCF Country Programme, updating of the No-Objection Procedure and co-lead the development of the Climate Finance Strategy roadmap - Review of Mexico climate change adaptation and mitigation policies, strategies and plans - Support capacity building efforts at the NDA - Coordinate closely with stakeholders leading NDC enhancement process (mainly SEMARANT, INECC and 	330 days @ \$375/day



	<p>international cooperation) to ensure complementarity with Country Program development process</p> <ul style="list-style-type: none"> - Coordinate with team leading Outcomes 3 and provide ad-hoc support - Assess progress made towards the achievements of national adaptation and mitigation targets - Measure climate finance flow, including from public budget, international aid and private sector - Review and prioritize proposed climate change programs and interventions based on GCF theory of change, focus areas and investment criteria - Conduct stakeholder analysis and mapping - Lead awareness raising and consultation workshops - Ensure Country Program meets GCF standards on Gender Policy <p>Requirements:</p> <ul style="list-style-type: none"> - The candidate should possess a master's degree in Economics, Climate Change Policy, Finance or other relevant discipline combined with at least seven years of relevant professional experience, or a Ph.D., with five years of experience - The candidate should demonstrate an excellent knowledge of Mexico climate change financing architecture - The candidate should demonstrate experience advising the Government of Mexico on climate change projects and programs - Excellent oral and written communication in English and Spanish - Familiarity with GCF mandate and processes 	
<p>Consultant (National)</p>	<p>Climate Finance and Policy Specialist</p> <p>Responsibilities:</p> <ul style="list-style-type: none"> - Support the Climate Finance and Policy Specialist for the preparation of GCF Country Programme, updating of the No-Objection Procedure and the development of the Climate Finance Strategy roadmap - Review of Mexico climate change adaptation and mitigation policies, strategies and plans - Support capacity building efforts at the NDA - Coordinate closely with stakeholders leading NDC enhancement process (mainly SEMARANT, INECC and international cooperation) to ensure complementarity with Country Program development process - Coordinate with team leading Outcomes 3 and provide ad-hoc support - Assess progress made towards the achievements of national adaptation and mitigation targets - Measure climate finance flow, including from public budget, international aid and private sector - Review and prioritize proposed climate change programs and interventions based on GCF theory of change, focus areas and investment criteria - Conduct stakeholder analysis and mapping - Lead awareness raising and consultation workshops - Ensure Country Program meets GCF standards on Gender Policy <p>Requirements:</p> <ul style="list-style-type: none"> - The candidate should possess a master's degree in Economics, Climate Change Policy, Finance or other relevant discipline combined with at least five years of relevant professional experience, or a Ph.D., with three years of experience 	<p>330 days @ \$225/day</p>



	<ul style="list-style-type: none"> - The candidate should demonstrate an excellent knowledge of Mexico climate change financing architecture - The candidate should demonstrate experience advising the Government of Mexico on climate change projects and programs - Excellent oral and written communication in English and Spanish - Familiarity with GCF mandate 	
Outcomes 2 & 3		
Consultant (National)	<p>Gender & Environmental and Social Specialist</p> <p>Responsibilities:</p> <ul style="list-style-type: none"> - Develop preparatory action plan to support BANOBRAS address gaps to comply with the GCF fiduciary, ESS and Gender Policy requirements for accreditation - Assess the progress by Nacional Financiera, S.N.C. (NAFIN) in implementing institutional capacity, fiduciary, environmental and social safeguards (ESS) based on the PwC assessment and action plan - Review NAFIN and BANOBRAS Operational Manuals - Develop/Review NAFIN and BANOBRAS existing policies to meet GCF ESS and Gender requirements - Prepare information documents to brief NAFIN and BANOBRAS' Boards of Directors - Assist in defining steps required to operationalize ESS and Gender standards into NAFIN and BANOBRAS Operational Manuals - Assist in providing training to NAFIN and BANOBRAS staff on ESS and Gender standards and practices - Lead the development of NAFIN Gender Policy to meet GCF Accreditation requirements - Develop guidelines to ensure gender and social inclusion throughout the development and updating of the Country Programme <p>Play a leading role in the delivery of regional workshops to support gender and social mainstreaming on finance programming</p> <p>Requirements:</p> <ul style="list-style-type: none"> - A Master's Degree in environmental management or sciences, social sciences, economics, or other relevant discipline. - A minimum of seven years of relevant experience in gender, environmental and/or social assessment related to financial institutions business operations. - Track record in working with financial institutions to identify gender, environmental/social risks and issues and develop mitigation measures to ensure compliance with good international industry practices. - Familiarity with GCF Gender and ESS Accreditation Requirements - Experience working towards the accreditation of GCF Direct Access Entities is a strong asset - Excellent written and oral communication in English and Spanish 	262 days @ \$375/day
Outcome 3		
Consultant (Local)	<p>Climate Finance Expert</p> <p>Responsibilities:</p> <ul style="list-style-type: none"> - Lead the accreditation process of NAFIN and BANOBRAS - Lead communications with NDA and GCF - Review and ensure quality of deliverables 	330 days @ \$375/day



	<ul style="list-style-type: none"> - Provide ad-hoc support for the development of the GCF Country Programme and Climate Finance Strategy roadmap - Review Mexico climate change adaptation and mitigation policies, strategies and plans - Maintain communication and ensure buy in from NAFIN and BANOBRAS' primary stakeholders - Lead capacity building efforts to NAFIN and BANOBRAS on climate change commitments, climate and green finance, GCF processes, adoption of gender and ESS standards - Support the adoption and institutionalization of fiduciary standards (as required), gender and ESS standards for accreditation - Prepare GCF accreditation documents <p>Requirements:</p> <ul style="list-style-type: none"> - The candidate should possess a master's degree in Economics, Climate Change Policy, Finance or other relevant disciplines combined with at least seven years of relevant professional experience, or a Ph.D., with five years of experience - The candidate should demonstrate excellent communication skills to lead change-processes efforts at all levels of the banks - The candidate should have experience working in finance. Previous practical experience in banking is a strong asset - The candidate should demonstrate an excellent knowledge of Mexico climate change financing architecture - Excellent oral and written communication in English and Spanish - Familiarity with GCF mandate 	
<p>Consultant (Local)</p>	<p>Climate Finance Specialist</p> <p>Responsibilities:</p> <ul style="list-style-type: none"> - Support the Climate Finance Specialist Expert in the accreditation process of NAFIN and BANOBRAS - Support communications with NDA and GCF - Review and ensure quality of deliverables - Provide ad-hoc support for the development of the GCF Country Programme and Climate Finance Strategy roadmap - Review Mexico climate change adaptation and mitigation policies, strategies and plans - Maintain communication and ensure buy in from NAFIN and BANOBRAS' primary stakeholders - Support capacity building efforts to NAFIN and BANOBRAS on climate change commitments, climate and green finance, GCF processes, adoption of gender and ESS standards - Support the adoption and institutionalization of fiduciary standards (as required), gender and ESS standards for accreditation - Prepare GCF accreditation documents <p>Requirements:</p> <ul style="list-style-type: none"> - The candidate should possess a master's degree in Economics, Climate Change Policy, Finance or other relevant disciplines combined with at least five years of relevant professional experience, or a Ph.D., with three years of experience - The candidate should demonstrate excellent communication skills to lead change-processes efforts at all levels of the banks 	<p>330 days @ \$225/day</p>



	<ul style="list-style-type: none"> - The candidate should demonstrate an excellent knowledge of Mexico climate change financing architecture - Previous experience working in finance or banking is a strong asset - Excellent oral and written communication in English and Spanish - Familiarity with GCF mandate 	
Outcome 4		
Consultant (Local)	<p>Climate Finance Specialist</p> <p>Responsibilities:</p> <ul style="list-style-type: none"> - Support the development of the Financial Strategy workstream - Develop and lead relationships with main private sector stakeholders and including coordination mechanisms - Review Mexico climate change adaptation and mitigation policies, strategies and plans and existing climate financial flows - Assess progress made towards the achievements of national adaptation and mitigation targets - Identify climate finance gaps and financing barriers - Measure climate finance flow, including from public budget, international aid and private sector - Review and ensure quality of deliverables - Provide ad-hoc support for the development of the GCF Country Program and Climate Finance Strategy roadmap - Assess effectiveness and efficiency of climate financial architecture in Mexico - Provide ad-hoc support to the development of the Country Program and updating of the No-objection Procedure <p>Requirements:</p> <ul style="list-style-type: none"> - The candidate should possess a master's degree in Economics, Climate Change Policy, Finance or other relevant disciplines combined with at least seven years of relevant professional experience, or a Ph.D., with five years of experience - The candidate should demonstrate an excellent knowledge of Mexico climate change financing architecture - Experience advising the Government of Mexico on climate change projects and programs is an asset - Excellent oral and written communication in English and Spanish - Familiarity with GCF mandate 	264 days @ 50% of time & \$225/day

The project will utilize in-country and regional GGGI staff on part-time basis instead of recruiting more consultants to implement the project. They have strong knowledge of local context and experience in project implementation in the country. This can ensure that the project will be effectively implemented and could take advantage of relationship and trust gained by GGGI staff from working with the Government of Mexico. Moreover, these positions are already on the ground which can significantly save mobilization time and cost. A table presenting the level of effort for GGGI staff is provided below.

Others (GGGI Staff)	Brief TOR	Rate
Project Management		
Country Representative (National, based in Mexico)	<ul style="list-style-type: none"> - Lead strategic meetings and reviews with staff from NDA, candidates banks for DAE accreditation - Lead recruitment efforts - Monitor weekly country officer and consultants meetings 	\$21,850 7% @ ~\$206,000/yr

	<ul style="list-style-type: none"> - Liaise with stakeholders regarding validation of key documents (e.g. communications plans, stakeholder mapping, country programme) - Along country officer, lead the provision of feedback for early outputs/drafts/guidelines- Providing guidance throughout project implementation - Preparing and updating workplan and budget, as needed - Preparing/reviewing TORs - Reviewing consultants reports and deliverables 	
Country Officer (National, based in Mexico)	<ul style="list-style-type: none"> - Serve as first point of contact/liaison between consultants, NDA and DAE - Communicate with and monitor consultant to ensure activities and deliverables are carried out according to plan - Coordinate workshops' logistical details - In-country coordination support - Liaising with government and private sector stakeholders for the conduct of project activities 	\$22,500 23% @ ~\$65,000/yr

GGGI is already allocating staff time and cost to each appropriate output per project. Through our timesheet system, GGGI can provide timesheet reports to GCF to verify GGGI's staff time spent to the project and against the proposed budget.

6.2 Risks, monitoring and evaluation (M&E), and other relevant information

Please include a set of identified risks and mitigation actions for each, monitoring plan, and any other relevant information you wish to bring to the attention of the GCF Secretariat but has not been included in the sections above.

Risk Management

Risk	Impact Low/Medium/ High	Management
Implementation delays	Medium	<p>The NDA will support kickoff meetings between GGGI and NAFIN, BANOBRAS and other key government entities to ensure appropriate resources and support are committed to respective activities.</p> <p>To facilitate the recruitment process, GGGI will advertise the positions in advance (and undertake shortlisting, interviews, selection) with indication that actual contracting will be subject to availability of funding.</p> <p>GGGI will prepare a special onboarding program for hired consultants to bring them up to speed on objectives, reporting processes, background information and best practices to decrease the learning curve time.</p>
Cannot find suitable candidates for the consultancy position	Medium	GGGI in close coordination with NDA will prepare clear TORs, publish widely and utilize NDA and NAFIN network as well as GGGI's extensive network

The office for Sustainable Finance at the Ministry of Finance (SHCP), already engages with relevant government agencies and institutions (e.g. SEMARNAT, BANOBRAS, NAFIN), private sector (e.g. Mexican Green Finance Consultative Council, Mexican Bank Association), international financial institutions and development partners as part of its duties as NDA for GCF, focal point for GEF and CIFs, and as part of its official government duties. Three staff from that department have been involved in the scoping, preparation and review of this proposal. They will participate by coordinating and securing government support, providing inputs, and reviewing deliverables.

Furthermore, staff from the Ministry of the Environment (SEMARNAT), the National Institute of Ecology and Climate Change (INECC) and the Ministry of Foreign Affairs (SRE) have been directly consulted on various aspects of this proposal. Synergies are being sought with them and supporting international agencies on the process for NDCs enhancement, which is currently being planned and is expected to run concurrently with the activities of this proposal.

Monitoring and Evaluation

GGGI undertakes monthly and annual project reporting to provide mechanism for regular collection of information on progress to support oversight and adaptive management and external reporting to GGGI members/donors. The project progress is reported against the logframe and budget. GGGI also engages independent evaluators to ensure unbiased, credible project evaluation and the final report is being published on GGGI website.

In line with the Framework Agreement between GCF and GGGI, progress reports using the GCF template will be submitted for disbursement requests and project closing as well as audited financial statements at the portfolio level at the end of each year. GGGI will prepare periodic revisions to reflect changes in six monthly and annual expense category budgets, monitor and review project expenditure reports and communicate and share with the NDA and GCF Secretariat (if required).

Start Date

After the approval of the Grant, a notification letter will be sent by the GCF to NDA with copy to the Delivery Partner. The date of such notification by the GCF will be treated as the start date for project implementation. From that date, the end date of implementation will be calculated considering the total duration of the project as presented in the approved proposal.

Other Relevant Information

GGGI is supporting the Government of Mexico as a long-term embedded advisor (beyond the scope of GCF Readiness) since 2012. During 2013, GGGI supported the National Institute of Ecology and Climate Change (INECC) in the development and technical inputs for Mexico's National Climate Change Strategy (ENCC) and the Special Climate Change Program (PECC). These pioneer documents are highly relevant, as they provided a long-term vision to combat climate change, as well as specific actions to be undertaken by the 2012-18 federal administration.

GGGI also has a remarkable track record collaborating with other organizations: during 2016, GGGI formed part of a consortium (along with The Carbon Trust, the British Embassy in Mexico and INECC) which carried out an analysis of Mexico's potential for low-carbon technology innovation. More recently, GGGI has facilitated many synergies around subnational project origination and implementation in Sonora (with national and local governments, international organizations and IGOs). Currently, GGGI supports the Sonora State government to develop local capabilities and issue a subnational green bond as part of the Sonora Green Growth Strategy.

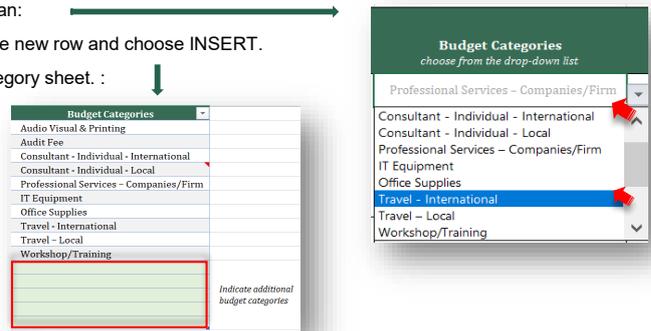
Moreover, GGGI has been nominated as Readiness delivery partner by 22 countries since the signing of the Framework Agreement with the GCF in 2017 and currently implements Readiness projects in 10 countries.

Readiness and Preparatory Support Budget and Procurement Plan

Readiness Grant Budget Preparation Guidelines

The following considerations are important when completing the budget:

1. Before preparing the Readiness and PPF budget, please read the full guidance on our website (<https://www.greenclimate.fund/how-we-work/empowering-countries>).
2. You can select the appropriate budget categories from the dropdown list in the budget plan: 
3. To insert additional rows, right click on the row number below where you wish to insert the new row and choose INSERT.
4. Additional budget categories may be added by manually typing them on the Budget Category sheet. : 



Project Management Cost:

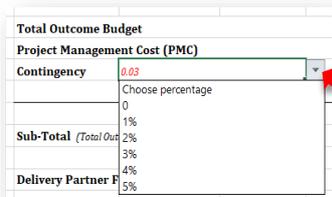
Project management costs (PMC) are the direct administrative costs incurred to execute a project. They should cover only incremental costs incurred due to the GCF contribution. In most cases, these costs are directly related to the support of a dedicated project management unit (PMU) which manages the day to day execution related activities of the project.

General Principles for PMC costs:

1. The percentage of PMC financed by GCF should not be more than the percentage share of the overall budget financed by GCF
2. PMC budget thresholds: Up to 7.5 per cent of total activity budget.
 - > PMC exceeding 7.5 per cent for the readiness (including NAPs) proposals, and PPF proposals, up to \$ 3 million will require detailed documentation and justification supporting the entire PMC budget.
 - > The PMC should be shown as a separate component in the project budget. A detailed breakdown of PMC should be provided by budget category.
 - > Indicative list of eligible project management costs:
 - > **Project staffing and consultants:** Project manager, Project Assistant, Procurement personnel, Finance personnel & Support/admin. Personnel
 - > **Other direct costs:** Office equipment, Mission related travel cost of the PMU, Project management systems and information technology, Office supplies, Audit cost

Contingency :

1. Select the appropriate % of Contingency Budget from the dropdown list : 



2. Contingency budget for unforeseen costs arising during the project implementation should not be included in the outcome budget separately.
3. Contingency budget must be used for any unforeseen programme (output level) cost that is unrelated to implementation/service fee.
4. Any use of contingency must be reported to and agreed by the GCF Secretariat in writing in advance provided with justifications that are acceptable to the GCF
5. If you get to the end of the project and you haven't spent Contingency, you can't increase the scope of the project or buy some more equipment to use it up.
6. The Budget Notes sheet should be used to record explanations, further details or cost breakdowns for individual lines

5.1 Budget Plan

Please add rows for Outcomes, Outputs and Cost Categories as required. Additional budget categories may be added by manually typing them on the Budget Category sheet.

Detailed Budget (in US\$)							Disbursement Plan								
Outcomes	Sub-outcomes	Budget Categories <small>choose from the drop-down list</small>	Unit	# of Unit	Unit Cost	Total Budget <small>(per budget category)</small>	Total Budget <small>(per sub-outcome)</small>	Total Budget <small>(per outcome)</small>	6m	12m	18m	24m	30m	36m	
1. Institutional capacity and coordination mechanisms in place to govern and coordinate climate action and finance	1.2: NDA 'No Objection' procedure for funding proposals and PPF applications	Consultant - Individual - Local	W/Day	40	375.00	15,000.00	25,500.00	25,500.00		15,000					
		Consultant - Individual - Local	W/Day	40	225.00	9,000.00				9,000					
		Audio Visual & Printing	Unit	1	1,000.00	1,000.00				1,000					
		Office Supplies	Unit	1	500.00	500.00				500					
2. Country Programming process	2.1 Country programme for climate finance developed across the climate finance landscape	Consultant - Individual - Local	W/Day	66	375.00	24,750.00	48,200.00	193,050.00	7,244	10,262	7,244				
		Consultant - Individual - Local	W/Day	66	225.00	14,850.00			4,950	4,950	4,950				
		IT Equipment	Laptops	2	1,200.00	2,400.00			2,400	-	-				
		Workshop/Training	Unit	2	2,000.00	4,000.00			2,000	2,000	-				
		Audio Visual & Printing	Unit	1	2,000.00	2,000.00			1,000	-	1,000				
		Office Supplies	Unit	1	200.00	200.00			200	-	-				
	2.2 Periodic participatory review and updating of the climate finance Country Programme	Consultant - Individual - Local	W/Day	37	375.00	13,875.00	24,400.00		-	-	13,875				
		Consultant - Individual - Local	W/Day	37	225.00	8,325.00			-	-	8,325				
		Audio Visual & Printing	Unit	1	2,000.00	2,000.00			-	-	2,000				
		Office Supplies	Unit	1	200.00	200.00			-	-	200				
	2.3: Stakeholder engagement consultative processes	Consultant - Individual - Local	W/Day	50	375.00	18,750.00	48,200.00		6,250	6,250	6,250				
		Consultant - Individual - Local	W/Day	50	225.00	11,250.00			3,750	3,750	3,750				
		Professional Services – Companies/Firm	Lumpsum	1	10,000.00	10,000.00			-	-	10,000				
		Travel – Local	Unit	10	800.00	8,000.00			2,000	2,000	4,000				
	2.4 Gender issues considered in climate finance programming	Office Supplies	Unit	1	200.00	200.00	200		-	-					
		Consultant - Individual - Local	W/Day	28	375.00	10,500.00	72,250.00		-	10,500	-				
Consultant - Individual - Local		W/Day	28	225.00	6,300.00	-		6,300	-						
Workshop/Training		Unit	5	4,000.00	20,000.00	-		10,000	10,000						
Travel – Local		Unit	15	800.00	12,000.00	-		6,000	6,000						
Office Supplies		Unit	1	200.00	200.00	-		200	-						
Consultant - Individual - Local		W/Day	62	375.00	23,250.00	-		10,000	13,250						
Consultant - Individual - Local		W/Day	218	375.00	81,750.00	230,451.00		10,529	23,701	47,520					
Consultant - Individual - Local	W/Day	218	225.00	49,050.00	6,894			13,644	28,512						
Consultant - Individual - Local	W/Day	122	375.00	45,750.00	7,865		11,865	26,020							
Professional Services – Companies/Firm	Lumpsum	1	50,000.00	50,000.00	50,000		-	-							
Workshop/Training	Unit	3	1,167.00	3,501.00	-		1,500	2,001							
Office Supplies	Unit	2	200.00	400.00	200		200	-							
3.2 Accreditation of direct access entities (NAFIN)	Consultant - Individual - Local	W/Day	112	375.00	42,000.00		102,450.00	14,950	27,050	-					
	Consultant - Individual - Local	W/Day	112	225.00	25,200.00			8,311	16,889	-					
	Consultant - Individual - Local	W/Day	78	375.00	29,250.00			11,808	17,443	-					
	IT Equipment	Unit	3	1,200.00	3,600.00			3,600	-	-					
	Workshop/Training	Unit	1	2,000.00	2,000.00			1,000	1,000	-					
	Office Supplies	Unit	2	200.00	400.00			200	200	-					
	Consultant - Individual - Local	W/Day	46	375.00	17,250.00			69,780.00	5,750	4,234	7,266				
	Consultant - Individual - Local	W/Day	46	225.00	10,350.00				3,450	1,517	5,383				
Consultant - Individual - Local	W/Day	106	225.00	23,850.00	5,940		8,955		8,955						
Professional Services – Companies/Firm	Lumpsum	1	15,000.00	15,000.00	-		7,500		7,500						
Workshop/Training	Unit	2	1,500.00	3,000.00	1,500	1,500	-								
Audio Visual & Printing	Unit	1	250.00	250.00	250	-	-								
Office Supplies	Unit	2	40.00	80.00	40	40.00	-								
4.3 Project Concept Notes development	Consultant - Individual - Local	W/Day	43	375.00	16,125.00	28,000.00	-		-	16,125					
	Consultant - Individual - Local	W/Day	43	225.00	9,675.00		-		4,838	4,838					
	Workshop/Training	Unit	1	2,000.00	2,000.00		-		-	2,000					
	Office Supplies	Unit	1	200.00	200.00		-		-	200					
4.5: Private sector engagement	Consultant - Individual - Local	W/Day	20	375.00	7,500.00	23,350.00	-		3,750	3,750					
	Consultant - Individual - Local	W/Day	20	225.00	4,500.00		-		2,250	2,250					
	Consultant - Individual - Local	W/Day	26	225.00	5,850.00		-		2,925	2,925					
	Workshop/Training	Unit	3	1,500.00	4,500.00		1,500		1,500	1,500					
Audio Visual & Printing	Unit	1	1,000.00	1,000.00	-	500	500								
Total Outcome Budget								672,581.00	163,790	250,712	258,089	-	-	-	
Project Management Cost (PMC) <small>Up to 7.5% of Total Activity Budget</small>	Current staff - Individual - Local	W/Day	23	950.00	21,850.00	50,350.00	Percentage of PMC requested: 7.5%								
	Current staff - Individual - Local	W/Day	75	300.00	22,500.00										
	Audit Fee	Lumpsum	2	3,000.00	6,000.00										

FOR GREEN CLIMATE FUND SECRETARIAT'S USE ONLY

Breakdown (per budget category)	Total (per budget category)
Audio Visual & Printing	6,250.00
Audit Fee	6,000.00
Consultant - Individual - International	-
Consultant - Individual - Local	523,950.00
Professional Services – Companies/Firm	75,000.00
IT Equipment	6,000.00
Office Supplies	2,380.00
Travel - International	-
Travel – Local	20,000.00
Workshop/Training	39,001.00
Current staff - Individual - Local	44,350.00
0	-
0	-
0	-
0	-
Total Outcome Budget + PMC	722,931.00

FOR GREEN CLIMATE FUND SECRETARIAT'S USE ONLY

Total Outcome Budget		672,581.00
Project Management Cost (PMC)	7.5% requested	50,350.00
Contingency	2% requested	13,451.62
<hr/>		
Sub-Total (Total Outcome Budget + Contingency + PMC)		736,382.62
Delivery Partner Fee (DP) - Up to 8.5% of the Sub-Total		62,592.52
<hr/>		
Total Project Budget (Total Activity Budget + Contingency + PMC + DP)		\$ 798,975.00

Budget Note	Detailed Description
Example - "A"	Example - One national consultant (climate change sepcialist) for 30 working days @ \$500 per day for activity 1.1.1, 1.1.2
Outcome resources to be engaged through UNOPS Individual Contractor Agreement (ICA) or consultancy contract	One local Climate Finance and Policy Expert for 330 days @ \$375 per day (leading role for Outcomes 1, 2 & 4)
	One local Climate Finance and Policy Specialist for 330 days @ \$225 per day (leading role for Outcomes 1, 2 & 4)
	One local Gender and ESS Expert for 262 days @ \$375 per day to lead suboutcome 2.4 & activities 3.2.5, 3.2.6, 3.2.9, 3.2.10)
	One local Climate Finance Expert for 330 days @ \$375 per day (leading role for Outcome 3)
	One local Climate Finance Specialist for 330 days @ \$225 per day (supporting role for Outcome 3)
Project Management	Country Representative, National, Based in Mexico ~23 days @ \$950/day
	Officer, National, Based in Mexico ~75 days @ \$300/day

Budget Categories
Audio Visual & Printing
Audit Fee
Consultant - Individual - International
Consultant - Individual - Local
Professional Services – Companies/Firm
IT Equipment
Office Supplies
Travel - International
Travel – Local
Workshop/Training
Current staff - Individual - Local

Indicate additional budget categories