# Readiness Proposal

**with Global Green Growth Institute for the Hashemite Kingdom of Jordan**

28 March 2018 | Strategic Framework

GREEN CLIMATE FUND

# Readiness and Preparatory Support Proposal

**How to complete this document?**

- A Readiness Guidebook is available to provide information on how to access funding under the GCF Readiness and Preparatory Support programme. It should be consulted to assist in the completion of this proposal template.
- This document should be completed by National Designated Authorities (NDAs) or focal points with support from their delivery partners where relevant.
- Please be concise. If you need to include any additional information, please attach it to the proposal.
- Information on the indicative list of activities eligible for readiness and preparatory support and the process for the submission, review and approval of this proposal can be found on pages 11-13 of the guidebook.
- For the final version submitted to GCF Secretariat, please delete all instructions indicated in italics in this template and provide information in regular text (not italics).

**Where to get support?**

- If you are not sure how to complete this document, or require support, please send an e-mail to countries@gcfund.org. We will aim to get back to you within 48 hours.
- You can also complete as much of this document as you can and then send it to countries@gcfund.org. We will get back to you within 5 working days to discuss your submission and the way forward.

**Note: Environmental and Social Safeguards and Gender**

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, particularly to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult page 7 of the readiness guidebook for more information.

| **SECTION 1: SUMMARY** | |
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| **1. Country submitting the proposal** | Country name: Jordan<br>Name of institution representing National Designated Authority (NDA) or Focal Point: Ministry of Environment<br>Name of NDA/Focal Point: Dina Kisbi Position: Director of Climate Change Directorate<br>Telephone: +962-7 7749 9940 Email: dina.kisbi@gmail.com<br>Full office address: Ministry of Environment, King Faisal Bin Abd Al Aziz St 83, Amman, Jordan |
| **2. Date of initial submission** | 28 September 2017 |
| **3. Last date of resubmission** | *(third re-submission) 2 Feb 2018* |
| **4. Which entity will implement the Readiness and Preparatory Support project?** | ☐ National Designated Authority ☐ Accredited Entity ☒ Delivery Partner<br>*(Please provide contact information if the implementing partner is not the NDA/focal point)*<br>Name of institution: Global Green Growth Institute (GGGI)<br>Name of official: Dexippos Agourides Position: Head of Programs, Africa and the Middle East<br>Telephone: +82 2 2096 9991 Email: dex.agourides@gggi.org<br>Full Office address: 19 F Jeongdong Building, 21-15, Jeongdong-gil, Jung-gu, Seoul 04518 Republic of Korea |
| **5. Title of the Readiness support proposal** | Improving Jordan's Readiness to Access Finance for Climate Change and Green Growth in Jordan |
| **6. Type of Readiness support sought** | *Please select one option below (one box or circle)*<br>☒ Readiness<br>o Establishing and strengthening national designated authorities or focal points<br>o Strategic frameworks, including the preparation of country programmes<br>o Support for accreditation and accredited direct access entities<br>☐ Adaptation Planning |
| **7. Brief summary of the request** | *Please include a brief description of the general readiness context; specific readiness challenge; solution/outcome identified; how GCF Readiness support activities will advance this solution; and how this change will be visible over time. (200 words maximum)*<br><br>Developed under the leadership of Jordan's NDA, the Ministry of Environment, this Readiness Proposal is designed to synergize with and add value to the July 2017 approved Readiness Program being implemented by UNEP. This readiness proposal includes interlinked activities aimed at four key readiness outcomes:<br>1. **Accreditation of a Jordanian direct access entity:** although Jordan has a strong financial sector, few institutions are aware of or meet the requirements for accreditation. This workstream will identify potential candidates and support selected entity through the accreditation process;<br>2. **Pipeline of investment-ready project concepts developed**: The Government of Jordan is focused on project implementation, but lacks the capacity to develop project proposals. This workstream will identify a pipeline of climate projects, and prepare concept notes and implementation plans for them;<br>3. **Government capacity to develop bankable project proposals improved:** In tandem with the workstream above, this work will focus on collecting best practices and lessons learned for preparing bankable project proposals, and provide trainings and a guide book for quick government reference;<br>4. **Jordan's private sector is more aware and engaged in green investment:** While green and climate-friendly investment is growing more popular in Jordan, more work remains to crowd- |

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| | in private sector investors to key sectors. This workstream will work with government and private sector actors to identify investment barriers, develop solutions, and facilitate lender-borrow matchmaking for climate investments. |
| **8. Total requested amount and currency** | *$660,000* |
| **9. Anticipated duration** | *18 months (July 2018 to Dec 2019)* |

**SECTION 2: LOGICAL FRAMEWORK**

*Please complete the table below by including proposed outcomes, baseline situations, the targets for implementation period, and the activities to be undertaken, including key outputs or deliverables. Please add rows for additional outcomes as needed. For further guidance on completing the table, please refer to the guidebook "Accessing the GCF Readiness and Preparatory Support Programme", including specific Outcomes to select from.*

| OUTCOMES[1] | BASELINE | TARGET | ACTIVITIES |
|---|---|---|---|
| **1. Country capacity strengthened** | **0** | **0** | **Strengthening NDA** |
| **1.1 NDA/focal point lead effective coordination mechanism** | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | Area 1 is covered by UNEP's Readiness Program |
| 1.2 No objection procedure established and implemented | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | |
| 1.3 Bilateral agreements between the country and the GCF executed | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | |
| 1.4 Monitoring, oversight and streamlining of climate finance | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | |
| **2. Stakeholders engaged in consultative processes** | **0** | **0** | **Strategic Framework** |
| 2.1 Stakeholders engaged in consultative processes | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | Area 2 is covered by UNEP's Readiness Program |
| 2.2 Country programmes, including adaptation priorities, developed and continuously updated | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | |
| 2.3 Stakeholder consultations conducted with equal representation of women | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | |
| 2.4 Annual participatory review of GCF portfolio in the country organized | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | |
| **3. Direct access realized** | **0** | **3** | **Accreditation of Direct Access Entities (DAE)** |
| 3.1 Candidate entities identified and nominated for accreditation | ☐0 ☒1 ☐2 | ☐0 ☐1 ☒2 | **DAE Candidate Selection:** GGGI will support the NDA to facilitate a national process for identifying and selecting strong Jordanian candidates for GCF accreditation through the following process:<br>1. Establish criteria for identifying candidate entities at national level for GCF direct access accreditation, based on GCF Accreditation Self-Assessment Tool, its |

[1] Based on decisions: B.08/10, annexes XII, XIII & XIV; B.08/11; B.11/10, annex I; B.12/20, annex I

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| | | | relevance to the forthcoming Jordan national Country Programme (developed by UNEP) and in consultation with relevant Ministries and stakeholders; GGGI will work closely with the NDA and UNEP to ensure that the priorities being included in the Country Programme are incorporated into the selection criteria for DAE support, which include the NDC (and forthcoming implementation plan from GIZ), National Green Growth Plan (and its implementation plan) and other key plans and strategies in place; The NDA will ensure that the selection criteria for candidate national DAEs considers the potential DAE's relationship with and impact on women and other vulnerable groups (refugees and the poor) and the potential DAE's impact on women and vulnerable groups will be emphasized.<br>2. Identify potential candidate DAEs including commercial banks, state banks, national development banks or other national funds or finance institutions.<br>3. Engage with identified candidate DAEs through individual consultations and a workshop. Local NGOs, trade associations, and CBOs who work with women and vulnerable groups will also be invited to attend these informational sessions. The workshop will inform interested candidate DAEs about the GCF accreditation requirements and process, as well as the role and responsibilities of DAE etc.; The proceedings and findings of these engagements will be produced into a synthesis report with a set of action points for follow up, and a factsheet in Arabic and English to clarify the process of accreditation for stakeholders.<br>4. Facilitate open call for expressions of interest from candidate DAEs. This will be led by the NDA (Ministry of Environment) and supported by GGGI;<br>5. Collect and review relevant information from interested candidate entities against GCF accreditation requirements and select the entity which demonstrates to best meet eligibility requirements;<br>6. Support NDA in the nomination process of the selected entity.<br>7. All entities with ongoing applications who are not selected to receive technical support for their application will be provided with clear recommendations on how to strengthen their case so they can be considered for further readiness support in the future.<br><br>Clarification on Candidate Selection Process: Jordan's NDA will open a call for expressions of interest to all potential candidate DAEs – including those who may already be developing applications. Every institution submitting an expression of interest will be considered and a selection will be made based on pre-defined criteria designed to select the entity with the greatest potential for success. If one of the institutions already developing an application meets the necessary criteria, they will be selected to receive support. |

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| | | | **Outputs**:<br>a. Criteria for Jordanian DAE nomination are established (taking into consideration feedback from stakeholders);<br>b. Clear guidance in Arabic and English developed and shared;<br>c. Candidate national entity for accreditation is identified |
| 3.2 Direct access entity accredited | ☐0 ☒1 ☐2 | ☐0 ☐1☒ 2 | **Accreditation Support**:<br>After the candidate DAE is selected, GGGI will support an assessment and provide the necessary technical assistance to develop and submit a successful application for accreditation, through the following steps:<br>1. Undertake deep-dive institutional gap assessment of the nominated entity and prepare an action plan to address these gaps in a timely manner;<br>2. Provide support for the nominated candidate DAE to build individual and organizational capacity including in climate change project development and management, as well as to design/upgrade, adopt and integrate policies in accordance with GCF's fiduciary principles and standards, environmental and social safeguards (ESS), and gender policy.<br>3. Support nominated entity during the preparation and submission of the GCF accreditation application form;<br>4. Support nominated entity address comments from the GCF accreditation review panel;<br>5. Document the process in Arabic an English to be shared with other candidate entities for future use.<br><br>**Output**:<br>a. Nominated direct access entity's application for accreditation submitted to the GCF.<br>b. Report detailing the lessons learned from the application process developed and shared |
| 3.3 Entity/ies annual/multi-annual work programme developed | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | |
| 3.4 Funding proposals through enhanced direct access modality approved | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | |
| **4. Access to finance** | **0** | **3** | **Pipeline Development** |

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| 4.1 Structured dialogue between the NDA/Accredited Entities and the GCF Secretariat organized | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | Area 4.1 is partially covered by UNEP's Readiness Proposal |
| 4.2 Country programmes, concept notes, including on adaptation, developed that implement high-impact priorities identified in INDCs and other national strategies or plans | ☒0 ☐1 ☐2 | ☐0 ☐1 ☒2 | a. **Project/Programme Preparation Capacity Development**: GGGI will deliver capacity building activities to support government agencies in the preparation of bankable project proposals for climate finance as follows:<br>1. Undertake capacity needs assessment through consultations and develop training materials for capacity building within Ministries and government agencies, with a focus on project preparation towards bankability in the Jordan context. GGGI will focus its capacity building efforts on sectors/ministries which are most relevant to the GCF Country Programme priorities.<br>2. Compile and arrange training materials to produce a "Project preparation for bankability" guide to support future implementation of government priority projects and programmes. The guide will address challenges, best practices and lessons learned.<br>3. Build the capacity within government officials on climate project preparation through the facilitation of a workshop to be held by NDA and GGGI, which will cover project economic and risk assessments, financing and bankability, but also monitoring and evaluation. Distribute the guide prepared under point 2 across government and private sector in English and Arabic.<br>Outputs:<br>a. Needs assessment report developed<br>b. Capacity building materials are developed (including handbook/guide for government workers)<br>c. Training is provided to Ministries and government agencies through a workshop focusing on climate change projects preparation for enhanced bankability.<br><br>b. **Concept Note Development**: GGGI will support the selected candidate DAE in the development of a pipeline of mitigation and adaptation projects through the following steps:<br>1. Review existing government priority projects, drawing upon the Country Programme (being prepared by UNEP), Executive Development Programme of the Vision 2025, the NDC and the National Green Growth Plan;<br>2. Leverage existing concept notes prepared by national organizations and development partners (GGGI, World Bank, GIZ, UNDP, etc.), and identify new |

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| | | | project ideas particularly in the field of adaptation. A focus will also be on successful pilot projects that can be scaled up or have strong demonstration effect;<br>3. Shortlist a pipeline of priority projects for climate mitigation and adaptation together with NDA; The final selection of concept notes will be aligned to the priority projects outlined in the Country Programme, in close coordination with the NDA and UNEP.<br>4. Work closely with candidate national DAE to develop 8 to 10 Project Concept Notes as per GCF template, including project description, financing, expected sustainability performance, GCF involvement rationale and exit strategy, risks analysis, stakeholders, environmental and social safeguards and gender policy, etc.<br>5. Present final concept notes to NDA and key stakeholders;<br>6. Submit to the GCF for review through relevant accredited entity (candidate national DAE or international DAE)<br>Output:<br>a. 8 to 10 project concept notes developed and submitted to the GCF |
| 4.3 Project/programme preparation support, including for adaptation, to develop funding proposals provided | ☒0 ☐1 ☐2 | ☐0 ☒1☐ 2 | **Development of Project Preparation Funding Application**<br>After the development of concept notes, GGGI will support the candidate DAE, NDA and other stakeholders to prepare 1 PPF application through the following activities:<br>1. In close consultation with the NDA, support the nominated DAE to select a concept note identified as part of concept note development process (4.2 above); As with the concept notes, the submission of PPF applications will follow the priorities of the Country Programme<br>2. Support the nominated DAE in the design of a project preparation funding application to the GCF's Project Preparation Facility (PPF) for the selected concept note. The proposal will focus on funding request for (pre-) feasibility studies, environmental, social and gender studies, risk assessments, or any other eligible activity under the PPF Guidelines.<br><br>Clarification on Concept Note and PPF Application Submission: GGGI's readiness support aims to build the capacity in the selected candidate DAE to develop a concept note into a PPF application. GGGI anticipates the accreditation of a national DAE before the submission of any concept notes or PPF applications. If a candidate is not yet accredited, the NDA will keep the application in the pipeline until GCF has accredited the DAE, or choose an internationally accredited access entity.<br><br>Note on Potential Conflicts of Interest: GGGI is not a GCF accredited access entity, so the potential for a conflict of interest in selection of concept notes for implementation is |

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| | | | relatively low. The NDA will be supported by UNEP's Readiness Programme to develop a no objection procedure that is transparent and consistent The NDA, as leader of this process will ensure that national stakeholders and national priorities are the paramount criteria for issuing a no objection. The NDA is committed to ensuring mechanisms are in place to avoid such conflicts of interest in the selection of concept notes for submission to GCF.<br><br>Output:<br>a. 1 Project Preparation Facility application for selected concept note is prepared and submitted to GCF |
| 4.4 Funding proposals, including for adaptation, that are aligned with country priorities approved | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | |
| 5. **Private sector mobilization** | **0** | **4** | **Information and Experience Sharing** |
| 5.1 Private sector engaged in country consultative processes | ☒0 ☐1 ☐2 | ☐0 ☐1 ☒2 | **Private Sector Engagement**: The NDA and GGGI will engage with private sector actors to: a) inform about GCF financing opportunities for mitigation and adaptation, b) better understand the challenges towards enhanced private capital involvement in climate projects, c) develop innovative solutions to overcome investment barriers and d) introduce project opportunities and matchmake developers with financiers (included under area 5.2).<br><br>1. This outcome will consist of one event hosted by the NDA gathering national private sector actors (equity funds, financing institutions, project developers) and industry representatives (trade and bank associations) with the objective of sharing knowledge, understanding challenges to increasing domestic private sector investment in climate change, and fostering cooperation among stakeholders, including through MoUs or other agreements. This may be held together with UNEP's planned private sector round table.<br>**Output**:<br>a. Workshop to engage private sector actors in climate finance and the GCF process, and to raise awareness about climate-related investment opportunities in Jordan and results report. |
| 5.2 Enabling environment for crowding-in private sector investments at national, regional and international levels exists | ☒0 ☐1 ☐2 | ☐0 ☐1 ☒2 | **Improving Enabling Environment for Investment:**<br>In addition to regular consultation and information sharing, GGGI will facilitate tangible and in-depth private sector involvement in Jordan's climate finance and readiness program, through the following activities: |

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| | | | 1. Develop an outreach plan for the GCF pipeline projects identified in area 4.2-3, specifically focusing on private sector engagement. This will be prepared by NDA with cooperation from candidate national DAE and shared with key government stakeholders such as MOPIC, Ministry of Finance, Jordan Investment Commission, and others.<br>2. Work closely with the NDA, candidate national DAE, other DAEs active in Jordan and regionally, GCF and UNEP to design and implement an investor forum. This forum will bring together the candidate national DAE, project developers seeking funding for green growth projects and financiers (coordinated with the World Bank Partnership for Market Readiness pillar on matchmaking platform and others). The NDA will be invited to facilitate a structured dialogue with GCF during the forum, and host any sessions related to private sector awareness of GCF Funding Instruments (e.g. roundtable, etc.), and present the Jordan Country Programme at the forum, and host any sessions related to private sector awareness of GCF Funding Instruments (e.g. roundtable, etc.), and present the Jordan Country Programme to private sector.<br>3. Building upon the knowledge and network developed as part of the private sector engagement activities under area 5.1 and the investor forum, support the design of an online bilingual platform hosted by NDA with the purpose of informing stakeholders about GCF financing options, project opportunities in Jordan, GCF application process and match-making for project developers with financiers; The platform may also integrate other similar green investment promotion initiatives from the Government or development partners;<br>4. Train NDA personnel on how to update the online platform on periodic basis.<br><br>**Clarification on roles and Responsibilities for the Investor Forum (point 2 above):**<br>• NDA: Lead the conceptual development of the event with support from delivery partners. Engage high-level government and private sector stakeholder participation in the event, presenting country programme. Review and approve the final agenda/program.<br>• Delivery Partners: Design, organize and facilitate the investor forum, including event logistics, coordination of presenters, early engagement of private sector, present at forum, etc. Liaise with international DAEs and partners (WB, EBRD, AFD, EIB, KfW, UNDP, GIZ, etc.).<br>**Outputs:**<br>**a.** Outreach plan for Country Programme projects developed for NDA and candidate national DAE |

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| | | | **b.** Investor forum for pipeline of projects and GCF readiness held<br>**c.** Private sector engagement online platform developed. |
| 5.3 Funding proposals for private sector projects/programmes, including for adaptation, approved | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | |
| 5.4 Funding proposals under the Private Sector Facility programmes (small- and medium-sized enterprises and mobilizing funds at scale) submitted and approved | ☐0 ☐1 ☐2 | ☐0 ☐1 ☐2 | |
| **TOTAL** | **0** | **10** | |

## SECTION 3: ADDITIONAL INFORMATION

*Please explain how this grant will help deliver on the country's readiness needs as identified above and build on institutions, processes or existing work already underway in the country. Please refer to the Guidebook for more specific information on completing this section.*

A. **Baseline Situation**. Although the government of Jordan has relatively strong systems in place for developing and implementing projects with donors and development partners, it is at the very beginning of its GCF Readiness experience. Few stakeholders are aware of the GCF, and what its role is in ushering in low-carbon growth and climate change adaptation, and what is required to access funding available.

1. Jordanian DAE Accreditation to the GCF – Jordan has multiple national development banks and other finance vehicles that could potentially be good candidates for GCF Accreditation, and 4 have received no objection letters from the NDA. However, even those with ongoing applications are relatively unfamiliar with the requirements of the GCF and little-to-no analysis has been undertaken as to their technical to meet the GCF's accreditation requirements. Jordan also has a relatively strong commercial banking sector, but few efforts have been made to draw the link between global climate finance and these actors (no commercial banks have expressed interested in becoming accredited to date). This program will provide the required analysis of and outreach to existing public, quasi-public and commercial sector actors to identify an institution that can handle the important role of Direct Access Entity.
2. Project Pipeline – Jordan has a plethora of strategies and action plans which aim to support the implementation of the national development plan, the Vision 2025. Relevant strategies include the Jordan Response Plan (JRP), the Nationally Determined Contribution (NDC), the National Green Growth Plan (NGGP) and relevant sector plans and strategies. Each sector also elaborates an Executive Development Programme, which provides a long list of details regarding infrastructure investments needed to implement the Vision. However, much work remains to develop these concepts into projects that public and private sector investors (and donors) would consider bankable. In this program, project concepts from across sectors critical to NDC implementation (including adaptation) and green growth will be identified and further prepared so that they are more attractive to investors. It will also provide much needed capacity development and guidance to government agencies responsible for project preparation to help them produce more and higher quality project concepts.
3. Private Sector Engagement – The recent growth of the renewable energy sector in Jordan demonstrates that national and regional private sector actors are keen to invest in a greener, more climate-friendly Jordan. The RE sector's success can be scaled up and replicated in other sectors that need substantial investment including waste, transport, water and agriculture. However, the government will need support to crowd-in private sector investment. This program aims to provide the opportunity for government and private sector to discuss challenges towards green investments, and to matchmake project developers and potential investors.

B. **Program Delivery and Coordination with UNEP**. GGGI has received and thoroughly reviewed the final version of the UNEP Readiness Proposal with the NDA focal point in the Ministry of Environment. GGGI's proposal has been designed so that the two programs together will address all 5 areas of GCF readiness support, and provide comprehensive support to government (including the NDA), private sector and other stakeholders. As such, their implementation will be closely coordinated between UNEP and GGGI, under the leadership of the NDA. UNEP's proposal primarily focuses on Areas 1-2: NDA Strengthening, (including capacity building and institutional strengthening), and Stakeholder Engagement. GGGI's proposal primarily focuses on Areas 3-5 (DAE Accreditation, Access to Finance, and Private Sector Engagement). However, there are elements of the UNEP program that must be closely coordinated with GGGI's readiness support to enhance the efficiency and effectiveness of both programs:

- The criteria for developing the Country Programme
- The development and submission of potential investment Concept Notes
- Stakeholder consultations and events

| **SECTION 4: BUDGET, PROCUREMENT, IMPLEMENTATION AND DISBURSEMENT** |
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| **4.1. Budget Plan** |
| *Please provide a breakdown of cost estimates and implementation schedule analysed according to the activities suggested in Section 2. Please feel free to replicate this table on Excel spreadsheet if needed.* |
| See GCF Budget Plan and GGGI Budget Formats attached. |

**4.2. Procurement Plan**

*For goods, services, and consultancies to be procured, please list the items, descriptions in relation to the activities in section 2, estimated cost, procurement method, relevant threshold, and the estimated dates. Please include the procurement plan for at least the first tranche of disbursement requested below. Also, please feel free to replicate this table on Excel spreadsheet if needed.*

| ITEM | ITEM DESCRIPTION | ESTIMATED COST (US $) | PROCUREMENT METHOD | THRESHOLDS *(Min-Max monetary value for which indicated procurement method must be used)* | ESTIMATED START DATE | PROJECTED CONTRACTING DATE |
|---|---|---|---|---|---|---|
| **Goods and Non-Consulting Services** | | | | | | |
| Translation Services | Translation of National DAE Gap Assessment Report and Criteria Factsheet Printing<br>Translation of Bankability Handbook<br>Translation of Forum Event Brochure | $6,500 | Direct | Under 10,000 Decentralized to Country Office | Sep 2018 | Aug 2018 |
| Graphic Design Services | Design of Bankability Handbook | $4,000 | Direct | Under 10,000 Decentralized to Country Office | Oct 2018 | Sep 2018 |
| Graphic Design Services | Design of Forum Event Brochure | $7,000 | Direct | Under 10,000 Decentralized to Country Office | Jul 2019 | Jun 2019 |
| Printing Services | (3 separate purchase orders)<br>National DAE Gap Assessment Report and Criteria Factsheet Printing (150 copies @ 12.50 ea) - $1,875<br>Bankability Handbook Printing (150 copies @ 12.50 ea) - $1,875<br>Forum Event Brochure Printing (400 copies @ 10.00 ea) - $4,000 | $7,750 | Direct | Under 10,000 Decentralized to Country Office | Oct 2018 | Sep 2018 |
| **Consultancy Services** | | | | | | |
| GGGI Staff Contract (X9 national or international) | A full-time national or international staff based in the Ministry of Environment to support the NDA with various readiness activities related to climate finance. This "Climate Finance Specialist" will be responsible for:<br>DAE Accreditation (3.1 and 3.2)<br>- Advise NDA on the establishment of DAE nomination criteria based on GCF standards, strategic considerations, international best practice and national context<br>- Produce guidance on accreditation process for national stakeholders in Arabic and English languages | Up to $137,650 | Standard Open HR Recruitment | Based on GGGI HR Rules | Jul 2018 | Jun 2018 |

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| | - Provide one-on-one support to the candidate national DAE throughout the application process to GCF (in close coordination with NDA and technical consulting firm)<br>Access to finance (4.2a – Capacity Development)<br>- Conduct a capacity development needs assessment for government agencies' bankability training program<br>- Develop capacity building materials (including handbook for "Project preparation for bankability" and presentation/training materials)<br>- Conduct training (with local team) of government officials<br>Private Sector Engagement (5.2)<br>- Support the development of the knowledge platform<br>- Participate in investor forum<br>-<br>**NOTE: A national climate finance expert will be hired as a first choice, and only in the case this is not available, will internationals be hired.** | | | | | |
| GGGI Staff Contract (X7 national) | A full-time national staff based in the Ministry of Environment to support the NDA with various readiness activities related to climate finance. This "National Climate Finance Officer" will be responsible for supporting the Climate Finance Specialist and share responsibility implementing the following:<br>DAE Accreditation (3.1 and 3.2)<br>- Advise NDA on the establishment of DAE nomination criteria based on GCF standards, strategic considerations, international best practice and national context<br>- Produce guidance on accreditation process for national stakeholders in Arabic and English languages<br>- Provide one-on-one support to the candidate national DAE throughout the application process to GCF (in close coordination with NDA and technical consulting firm)<br>Access to finance (4.2a – Capacity Development)<br>- Conduct a capacity development needs assessment for government agencies' bankability training program<br>- Develop capacity building materials (including handbook for "Project preparation for bankability" and presentation/training materials)<br>- Conduct training (with local team) of government officials<br>Private Sector Engagement (5.2)<br>- Support the development of the knowledge platform<br>Participate in investor forum | Up to $73,526 | Standard Open HR Recruitment | Based on GGGI HR Rules | Jul 2018 | Jun 2018 |
| GGGI Staff Contract (X6 national) | A full-time national staff based in the Ministry of Environment (NDA) to support the NDA with its private sector engagement activities. This "Private Sector Engagement Officer" will be responsible for:<br>Private Sector Engagement (5.1 and 5.2) | Up to $67,130 | Standard Open HR Recruitment | Based on GGGI HR Rules | Jul 2018 | Jun 2018 |

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| | - Support the NDA with private sector stakeholder mapping<br>- Organize a workshop for private sector engagement (in cooperation with NDA and UNEP) to inform on GCF and hold technical discussion on finance barriers and opportunities<br>- Support the NDA to develop a private sector outreach plan for the Country Programme and accreditation information sharing<br>- Provide one-on-one support to NDA to plan and organize the 2019 investor forum<br>- Support the NDA with development of an online platform for climate finance information sharing (including management of design firm and incorporation of NDA specifications) | | | | | |
| Consultancy Firm (national or international) | Develop/update candidate DAE internal policies to meet GCF fiduciary standards, ESS and gender policy requirements.<br>Activities:<br>- Undertake gap analysis of DAE internal policy documents;<br>- Design/Upgrade policies in line with GCF standards.<br>Deliverables: GCF-compliant set of internal policies | Up to $50,000 | Standard Open Procurement | 10,000 | Jan 2019 | Dec 2018 |
| Consultancy Firm (national or international) | Mitigation and adaptation project pipeline development<br>Activities:<br>- Identify project ideas in the field of climate change mitigation and adaptation;<br>- Collect project technical, financial, and ESS-related information;<br>- Prepare Project Concept Notes;<br>Deliverables: 8-10 Project Concept Notes using GCF template | Up to $70,000 | Standard Open Procurement | 10,000 | Jan 2019 | Dec 2018 |
| Consultancy Firm (national) | Event organizer for private sector engagement workshop | Up to $10,000 | Standard Open Procurement | 10,000 | Jul 2019 | Jun 2019 |
| Consultancy Firm (national or international) | Web development company for online engagement platform | Up to $25,000 | Standard Open Procurement | 10,000 | Jul. 2019 | Jun 2019 |
| SUB-TOTAL (US $) | | Up to $433,306 | | | | |
| TOTAL COST (US $) | | | | | | |

### 4.3. Disbursement schedule

Specify the proposed schedule for requesting disbursements from the GCF, including amounts and periodicity. For amounts requested, keep to multiples of USD 5,000, and for periodicity, specify whether it's quarterly, bi-annually or annually only.

The disbursement requests and schedule for this project will be in line with Clause 4 of the "Framework Readiness and Preparatory Support Grant Agreement" between GCF and GGGI.

### 4.4. Additional information

This box provides an opportunity to include further explanations related to the budget, procurement plan and disbursement schedule, including any details on the assumptions to justify costs presented in the budget.

Budget Assumptions:

- **A national climate finance expert will be hired as a first choice, and only in the case this is not available, will internationals be hired.**
- Costs of staff are based on GGGI's staff rules and regulations;
- Costs of consultants are based on GGGI's Procurement rules and GGGI's Rules concerning engagement and use of individual consultants, as well as GGGI's experience of procuring similar services;
- Travel costs draw upon GGGI travel rules and procedures;
- Costs for workshops/trainings are based on guidance from GGGI's country office in Jordan;
- Other costs refer to translation and publication costs and draw upon previous quotations for similar services in Jordan;

Procurement Planning:

- Three full time staff will be hired to be based in Jordan – one climate finance expert, one national climate finance officer, and one private sector engagement officer – in addition to 4 separate firms. Tenure for all contracts are as follows:

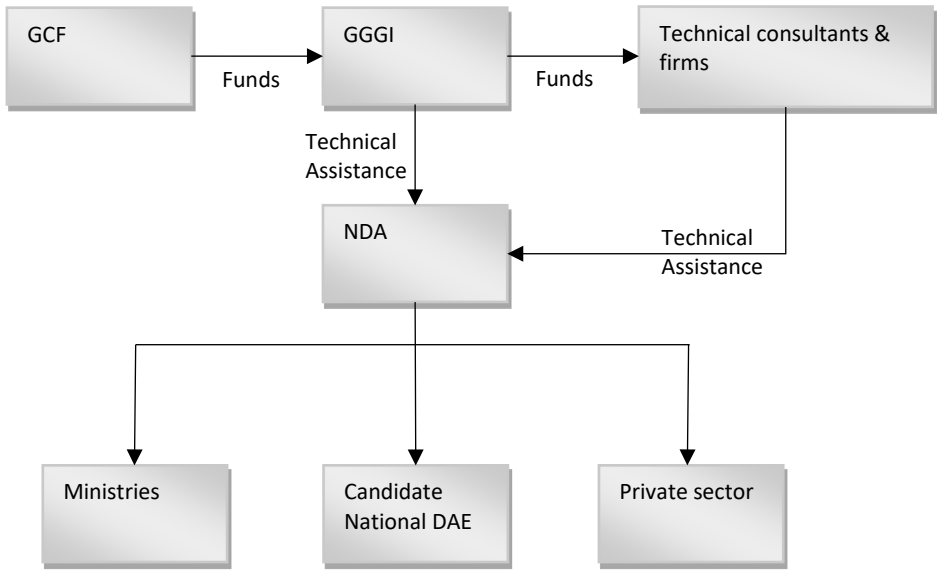
| Contract | Begin Date | End Date |
|---|---|---|
| Climate Finance Specialist (X9 International or National) | Jul 2018 | Jun 2019 |
| Jordan Climate Finance Officer (X7 National) | Jul 2018 | Dec 2019 |
| Private Sector Engagement Officer (X6 National) | Jul 2018 | Dec 2019 |
| Firm for Accreditation Support | Jan 2019 | Sep 2019 |
| Firm for Concept Note Development | Jan 2019 | Oct 2019 |
| Website Design Firm | Jul 2019 | Oct 2019 |
| Event Organizer | Jul. 2019 | Dec. 2019 |

Above mentioned GGGI Regulations, Policies & Guidance Notes are publicly available on the organization's website (gggi.org).

## SECTION 5: IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

### 5.1 Please attach an "implementation map" or describe how funds will be managed by the NDA/FP or delivery partner

*If the entity implementing the readiness support is not an accredited entity of the GCF, please complete the Financial Management Capacity Assessment (FMCA) questionnaire and submit it with this proposal.*




Roles:

- GCF: Funding entity
- GGGI: Delivery Partner
- NDA: National coordination of GCF Readiness activities
- Ministries: Project identification and development
- Candidate DAE: On-lending institution
- Private Sector: Project origination, project design & development, co-financing
- Technical Consultants and Firms: Technical support towards GCF Readiness

Details of the implementation arrangements:

a. Management of Funds: all funds will be sent from GCF to GGGI as per the conditions of the Framework Agreement. Funds will be managed according to GGGI's Delegation of Authority, with primary management handled by GGGI's Jordan Country Representative.

b. Delivery Partner Coordination: GGGI will coordinate closely with the NDA Focal Point and UNEP's GCF Readiness focal point through weekly or bi-weekly meetings as necessary. Other relevant stakeholders will be invited to attend these coordination meetings on an ad hoc basis, under the request of the NDA.

c. Project Management: All project/program management duties are the responsibility of GGGI's in-country team, located in the Ministry of Environment. This includes the recruitment of staff and consultants, logistics for meetings and events, delivery of outputs and deliverables, producing necessary reporting to GCF and period audit or review as per the Framework Agreement.

| **5.2 Other relevant information** |
| --- |
| *This box provides an opportunity to include any important information you wish to bring to the attention of the GCF Secretariat, but did not have an opportunity to provide in the sections above.*<br><br>**For detailed workplan please see Gantt chart attached. |