

Readiness Proposal

**with the Food and Agriculture Organization of the United Nations (FAO)
for Republic of Azerbaijan**

24 April 2019 | NDA Strengthening & Country Programming



**GREEN
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Readiness and Preparatory Support Proposal Template

Programme title:	Strengthening country capacities for NDC implementation in the Agriculture and LULUCF Sectors and supporting the identification of potential Direct access Entities from different sectors relevant for the implementation of the Country Work Programme in Azerbaijan
Country:	Republic of Azerbaijan
National designated authority:	Ministry of Ecology and Natural Resources
Implementing Institution:	United Nations Food and Agriculture Organization- FAO.
Date of first submission:	1 September 2018
Date of current submission / version number	19 March 2019 V.3



How to complete this document?

- Please visit the [Empowering Countries](#) page of the GCF website to download the Readiness Guidebook and learn how to access funding under the GCF Readiness and Preparatory Support Programme.
- This document should be completed by National Designated Authorities (NDA) or focal points with support from their Delivery Partners where relevant. Once completed, this document should be submitted to the GCF by the NDA or focal point to countries@gcfund.org.
- Please be concise. If you need to include any additional information, please attach it to the proposal.
- If the Delivery Partner implementing the Readiness support is not a GCF Accredited Entity for project Funding Proposals, please complete the Financial Management Capacity Assessment (FMCA) questionnaire and submit it prior to or with this Readiness proposal. The FMCA is available for download at the [Library](#) page of the GCF website.

Where to get support?

- If you are not sure how to complete this document, or require support, please send an e-mail to countries@gcfund.org.
- You can also complete as much of this document as you can and then send it to countries@gcfund.org, copying both the Readiness Delivery Partner and the relevant GCF Country Dialogue Specialist and Regional Advisor. Please refer to the [Country Profiles](#) page of the GCF website to identify the relevant GCF Country Dialogue Specialist and Regional Advisor.
- We will get back to you within five (5) working days to acknowledge receipt of your submission and discuss the way forward.

Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, particularly to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult Annex IV of the Readiness Guidebook for more information.

Please submit the completed form to:

countries@gcfund.org

Please use the following naming convention for the file name:

“GCF Readiness Proposal-[Country]-[yymmdd]”



1. SUMMARY			
Country submitting the proposal	<p>Country name: Azerbaijan</p> <p>Name of institution representing NDA or Focal Point: Ministry of Ecology and Natural Resources</p> <p>Name of contact person: H.E. Mr. Mukhtar Babayev</p> <p>Contact person's position: Minister</p> <p>Telephone number: +99412 538 53 81</p> <p>Email: info@eco.gov.az</p> <p>Full office address: 100A Bahram Aghayev Baku, Republic of Azerbaijan</p> <p>Additional email addresses that need to be copied on correspondences:</p>		
Date of initial submission	27 September 2018		
Last date of resubmission	22 February 2019	Version number	V.2
Which institution will implement the Readiness and Preparatory Support project?	<p><input type="checkbox"/> National designated authority</p> <p><input checked="" type="checkbox"/> Accredited entity</p> <p><input type="checkbox"/> Delivery partner</p> <p>Please provide contact information if the implementing partner is not the NDA/focal point</p> <p>Name of institution: FAO</p> <p>Name of official: Melek Cakmak</p> <p>Position: FAO Representative in Azerbaijan</p> <p>Telephone number: +994125635628</p> <p>Email: melek.cakmak@fao.org</p> <p>Full office address: 8th micro-district Quarter 3123, AZ 1115, Baku, Azerbaijan</p> <p>Additional email addresses that need to be copied on correspondences:</p> <p>bariz.mehdiyev@fao.org;</p> <p>carmen.arguellolopez@fao.org;</p> <p>Kentaro.Aoki@fao.org</p> <p>Savis.Sadeghian@fao.org</p>		
Title of the Readiness support proposal	Strengthening country capacities for NDC implementation in the Agriculture and LULUCF Sectors and supporting the identification of potential Direct access Entities from different sectors relevant for the implementation of the Country Work Programme in Azerbaijan		
Type of Readiness support sought	<p>Please select the relevant GCF Readiness activity area below (click on the box):</p> <p><input type="checkbox"/> I. Country capacity for engagement with GCF</p> <p><input checked="" type="checkbox"/> II. Country programming process</p> <p><input checked="" type="checkbox"/> III. Direct access to climate finance</p> <p><input type="checkbox"/> IV. Climate finance accessed</p> <p><input type="checkbox"/> V. Formulation of national adaptation planning and/or other adaptation planning processes</p>		

<p>Brief summary of the request</p>	<p>Nationally Determined Contributions (NDCs) are at the heart of the Paris Agreement and the achievement of the long-term goals contained in it. Considering that, as highlighted in Azerbaijan’s 3rd National Communication to the UNFCCC, the Agriculture sector is both one of the most vulnerable to the effects of climate change and one of the main sources of GHG emissions as CH₄ and N₂O, the sector is being prioritized by the Government of Azerbaijan to strengthen its capacities to advance NDC implementation; therefore, this readiness proposal aims at providing Government of Azerbaijan with the sector specific knowledge and information, as well as a detailed analysis on the feasibility of practical technology solutions to facilitate implementation of NDCs in the Agriculture and LULUCF Sectors.</p> <p>Additionally, following up to the engagement of Azerbaijan’s NDA with the GCF and the work carried out to finalize a Country Work Programme, and recognizing the importance of direct access to climate finance, the Government of Azerbaijan, through this proposal will also aim at supporting the identification of the most suited national institution (public or private) to start the accreditation process. This identification process will be open to institutions in all relevant sectors and won’t be limited to institutions in the Agriculture and LULUCF.</p> <p>In general terms, the proposal will look for opportunities to leverage private sector participation into climate investments specifically in the agriculture and LULUCF sectors for the implementation of NDCs in Azerbaijan, following a gender balanced and participatory approach to all the proposed activities. However, the support for identification of Direct Access Entities will also consider private sector institutions from different sectors identified as priorities for the engagement with the GCF according to the Country Work Programme and the findings of the Gap analysis report on the “Private Sector Engagement to the adaptation and mitigation activities in the country”, delivered under Readiness 1.</p> <p>The proposal will build on the priorities defined in the Country Work Programme under identification with the support of a first readiness grant implemented by UNDP, and expected to be finalized in the first half of 2019. This support will go one step further in conducting feasibility studies for technologies that can serve the priorities of the Country Programme.</p>		
<p>Total requested amount and currency</p>	<p>USD 499,654</p>	<p>Anticipated duration</p>	<p>18 months</p>

Has the country received or is expecting to receive other Readiness and Preparatory Support funding allocations (including adaptation planning) from GCF or other donors?

- Yes
 No

Government of Azerbaijan submitted to the GCF a first readiness proposal in 2017, with the aim of defining a strategic engagement framework with the Green Climate Fund (GCF), help strengthen the National Designated Authority (NDA) and enhance Azerbaijan's capacity to implement mitigation and adaptation actions. Building on the first readiness proposal, this proposal incorporates a number of more sector-specific activities, directly related with the implementation of Azerbaijan's commitments to the UNFCCC in the Agriculture and LULUCF sectors. This proposal will build on the more general analysis, studies, consultations and other deliverables of the first readiness under implementation by UNDP, some of which have been completed and others expected to be completed in the first semester of 2019. This proposal will aim at providing more in-depth elements to ensure successful implementation in two of the priority sectors of Azerbaijan's NDC, for example taking into consideration the priorities included in the final Country Work Programme to concretely identify potential Direct Access Entities that can contribute to the implementation of these priorities.

Recently, the Government of Azerbaijan with the support of UNDP as Delivery Partner has submitted to the GCF a proposal to support National Adaptation Planning processes in the country; this proposal will focus on three priority sectors, including agriculture. This readiness proposal will complement the work to be carried out through the NAP proposal and will avoid any overlapping. More concretely, this proposal will complement the efforts of the NAP proposal, by providing concrete sector specific information on the existing initiatives, measures, financing gaps and technologies to serve as the basis for the work to be carried out under the NAP for the agriculture sector. However this proposal will not focus specifically on adaptation but will have a broader lens to also include mitigation.

Please see the additional information and the Implementation Arrangements sections below for more information on the complementarities and mechanisms to ensure collaboration with other partners (including UNDP, as Delivery Partner for both the first readiness and the NAP in Azerbaijan) for the implementation of this proposal.

2. BACKGROUND

Climate Change impacts and NDC implementation in Azerbaijan

As a developing country, experiencing the impacts of climate change, including hydro-meteorological phenomena, such as droughts, floods and raise in temperature, the Republic of Azerbaijan actively participates in the global climate change related negotiations and initiatives. In light of this, the country (a non-Annex I country to the Convention) has ratified the Paris Agreement in 2016 ¹ and has commenced to fulfil some of the obligations in energy, industry, agriculture, LULUCF and waste sectors outlined as priorities in its NDC document, submitted in October 2015.

Azerbaijan's NDC aims at a 35% reduction in the level of greenhouse gas emissions by 2030 compared to the base year (1990). The NDC document considered mitigation actions in all relevant key sectors in the country, including agriculture and LULUCF. The working documents that included initial sectoral analysis of the sector's contribution to the INDC included a target of 10% decrease in GHG emission in agricultural sector and 20% of GHG removals in LULUCF sectors. Final INDC document has identified the following measures for agricultural and LULUCF sectors:

- Agricultural sector: Collect methane gas from manure of livestock and poultry, use of alternative sources of energy and modern technologies.
- Land Use, Land-Use Change, and Forestry (LULUCF) sector: Plant new forest areas, water and land protecting forest strips (windbreaks), urban and roadside greenery as well as further improve the management of pastures and agricultural lands.

INDC ambitious targets and identified measures need more structural and focused approach to ensure achievement of NDC commitments in mentioned sectors. Recent Strategic Roadmap on agricultural development opens new perspectives for additional analysis and measures that may lead to fulfilment of undertaken commitments and even to achieve higher levels than estimated targets.

In light of the highly ambitious target, there is a need to explore current opportunities/initiatives in mentioned sectors, formulate a detailed investment plan for planned initiatives and identify related climate change technology solutions. The current Readiness project will help the country to ensure implementation of mentioned actions that will lead to the fulfilment of sectoral commitments in agriculture and LULUCF sectors.

Azerbaijan's 3rd National Communication characterizes the Agriculture sector as one of the main sources of GHG emissions including CH₄ and N₂O, and highlights the increase in emissions related to the agriculture sector from 129 Gg. In 1990 to 182 Gg in 2012. At the same time, Agriculture is also one of the most vulnerable sector to the impact of the climate change, with an expected decrease of 15-20% in productivity of crops. Being also the third source of income in the country and the main source of employment - with 42.2 percent of all employed women and 31 percent of all employed men working in agriculture in 2018², and experiencing important growth in the export of agricultural products (three times) since 2005, represents a great priority for the Government of Azerbaijan and important efforts are being conducted to strengthen capacities of stakeholders relevant for the sector to understand climate risk and also to implement mitigation measures according to country commitments included in the NDCs.

Following almost full privatization of the agricultural sector (99% of the sector is under private ownership), new policy measures have been undertaken by the Government. President of Azerbaijan declared 2015 the Year of Agriculture in order to give a new momentum to the development of agriculture and accelerate its modernization, to ensure a systematic and comprehensive approach to solving existing problems, and to support farmers by introducing financial mechanisms and market-based services that promote local production of agricultural goods. The State Program on socio-economic development of the regions (2014-2018) has the support to the food processing industry as one of its key elements.

¹ Azerbaijan has set a number of policy and strategic documents relevant for climate action, these documents include the Azerbaijan 2020 development plan, which incorporates climate change dimensions into development planning. Additionally, other policy documents include the Action plan on improvement of ecological situation and efficient use of natural resources for 2015-2020; the State Strategy on use of Alternative and Renewable energy Sources (2012-2020); Law of Azerbaijan on utilization of Energy Resources; Law on Energy; Action Plan for Energy Efficiency and Reduction of Losses and Technological consumption of Energy Sector Enterprises regardless of Ownership Form, among other documents

² Women and men in Azerbaijan 2018, p.139, available at: <https://www.stat.gov.az/source/gender/?lang=en>

Other climate related initiatives and expected collaborations with different partners

Initial observations and stakeholder discussions prove that there is a need for a specific focus on selected sectors in light of climate change mitigation and adaptation as there are not in depth climate change related assessments conducted in mentioned sectors compared with other sectors, where significant work has taken place. In this context, the following elements could be indicated as the main barriers to be addressed by current readiness project:

- a) lack and fragmented information on existing initiatives, measures and policies related to climate change under implementation or planned in agriculture and LULUCF sectors; to better understand the gaps and additional needs in the sector;
- b) weak or not existing human, institutional, technological and financial capacities required to understand climate change and implement priority measures to advance NDC implementation in the agriculture and LULUCF sector;
- c) low private sector participation on climate related investments in agriculture;
- d) Lack of definition on potential Direct Access Entities to support in the implementation of Azerbaijan's priorities according to the NDCs and Country Work Programme.

In this sense, current Readiness proposal should be considered as complimentary support to on-going country efforts related to fulfilment of NDC commitments and will involve stakeholders relevant for climate investments, ensuring gender equality, including Government Institutions, Private Sector and Non-Governmental Organizations representing the interests of women and men, as well as Academia and research and innovation centres. The project is well aligned with the "Strategic roadmap on agricultural production and processing" adopted in 2016 that has specific planned climate change mitigation and adaptation actions in agriculture and LULUCF sectors. Concretely, the project will complement and explore collaboration with the following initiatives being implemented in Azerbaijan:

1. First Readiness project in Azerbaijan is mostly focused on increasing of awareness and capacities of national stakeholders to engage with the GCF. Along with this, the first Readiness project will produce Azerbaijan's Country Program identifying general strategic line for cooperation with GCF. Furthermore, all gaps in existing national policy and programs will be analyzed, Roadmap for NDC implementation, private sector engagement strategy are also elements to be defined as part of the first readiness grant, under implementation. However, it is worth highlighting that the first readiness doesn't include any specific focus on agricultural and LULUCF sectors, and doesn't identify any possible climate technology solutions to advance the implementation of NDCs; this is the added value to be addressed through the second Readiness project.

This second readiness proposal will contribute to the Strategic Roadmap of Republic of Azerbaijan to improve the agricultural production and processing, specifically targets 7.1.1, 7.2.2 and 7.2.6 related to the specific mitigation and adaptation measures in agricultural and LULUCF sectors.

This proposal will actively look for opportunities for collaboration and avoid any overlapping with other initiatives touching on the institutional and human capacities of the NDA and other relevant institutions related to Agriculture and LULUCF to tackle climate change. Particularly, the project will build on the work and success achieved by the first approved readiness grant under implementation by UNDP and expected to be finalized in the first semester of 2019. The following concrete opportunities to utilize the results of the first readiness during the implementation of the second readiness have been identified:

- **Standard Operating Procedures for stakeholder engagement for climate change related policies and strategies:** – Under first Readiness SOP for stakeholder engagement process has been finalized. This SOP will be used for stakeholder engagement process within Second readiness project as well.

- **Report detailing each national policy, program, and plan related to climate change adaptation and policy gaps.** – Under UNDP first readiness project, experts developed a gap analysis report on *Economic and Financial Legislation of the country. The report includes a gap analysis of funding opportunities in the country through the GCF. In addition, the report includes gap analyses of financial, auditing and tax institutes, and documents regulating their activities.* It means that proposed assessment within second Readiness will focus on different issues, very sector specific, not as general, therefore will build on the work of the first readiness to provide the NDA detailed information on sector specific issues related to Agriculture and LULUCF that were concretely not address within first Readiness and that represent a priority for the country.
- **Roadmap for NDC implementation** – It is in progress under first readiness. Expected to be finalized in the first quarter of 2019. This will be taken into account while planning the work under Sub-Outcome 2.5. where a sector specific analysis of the NDC implementation in Agriculture and LULUCF sectors will be conducted, based on the more general information resulting from the first readiness.
- **Azerbaijan’s country program** - CP is in progress within first Readiness. Expected to be finalized in the second quarter of 2019. The results of the Country Work Programme development process will be taken into account while planning of activities within Second Readiness, particularly, the identification and definition of priority technologies will be based in the priorities identified in the Country Work Programme; however, final decision on the technologies to be selected is competence of the NDA in consultation with the National Coordination Mechanism. For the preparation of Azerbaijan’s Country Work Programme, the NDA has identified and discussed an initial list of projects for adaptation and mitigation in the Agriculture, Ennergy Efficiency and Renewable Energy sectors, however this list will be complemented with project ideas in the oil/gas, waste and industry sectors. An internal discussion in line with the country’s no-objection procedure will allow the NDA to finalize this list of project ideas to be included in the final version of the country programme and to be used as reference for the implementation of the Readiness 2.
- **Gap report on the opportunities to involve the private sector and private Sector Engagement Strategy** - The GCF Readiness project experts drafted a Gap analysis report on the “Private Sector Engagement to the adaptation and mitigation activities in the country”. The report has not been validated by MENR so far, but it is expected to be finalized soon. Information contained in this report will be utilized within second Readiness project, to further advance sector specific engagement with private sector on climate investments in the Agriculture and LULUCF sector. Additionally, the results of the gap analysis will also be the basis for the work with private sector under the component on Accreditation and identification of potential Direct access Entities in Azerbaijan.
- Additionally, following up on the work carried out during the first approved readiness support, this proposal will strengthen country capacities to better understand climate finance architecture at the global level, as well as to enhance direct access through the identification of a potential Direct Access Entity for Azerbaijan to support in the implementation of country priorities as defined in the Country Work Programme.
- Particularly, based on the findings of the Gap report on the opportunities to involve the private sector and the Private Sector Engagement Strategy developed under Readiness 1, this second readiness proposal will work with private sector entities to identify concrete measures to overcome the barriers identified during the implementation of the first readines, including:
 - a) Lack of adequate infrastructure to ensure effective climate change adaptation;
 - b) Insufficient support from other sources (different from exisiting support from the State actors);
 - c) Lack of climate change specialists with expertise in private sector engagement to work with PS institutions;
 - d) Lack of clear bennefits of private sector engagement and economic valoration of adaptation measures in the long term
 - e) Lack of clear tools to have georeferenced measures and estimated climate change impacts.

In this sense, these gaps will be the starting point to develop a gender responsive and participatory Agriculture and LULUCF investment plan (Activity 2.5.2) including the definition of concrete ways to leverage private sector participation in climate investments. Additionally, these barriers for private sector engagement will also be taken into consideration for the identification and prioritization of

technologies/approaches to mitigate GHG emissions and to adapt to climate change in the Agriculture and LULUCF sectors (Activity 2.5.3).

2. Azerbaijan's recent climate change related reports (Technology Needs Assessment-TNA, First Biennial Update Report-FBUR, Third National Communication-TNC, the Intended Nationally Determined Contribution-INDC), as well as, on-going national level processes on the development of low-carbon development strategy, clearly show that the country faces many gaps and barriers related to climate change policy formulation and implementation. Particularly, the TNA project was one of the first initiatives in this direction implemented in the country in 2012. Within the TNA, possible climate change technologies related to mitigation and adaptation have been assessed setting-up detailed action plan for measures to be conducted. Agricultural sector was one of the identified priority sectors lying down relevant climate technology solutions related to the introduction of crop species resistant to expected climatic changes, enhancement of the application of windbreaks, application of water saving technologies, such as drop or spray irrigation, at irrigated lands and conservative agricultural technologies. Current Readiness proposal will use the recommendations and proposals of TNA, assess the reasons and barriers impeding implementation of proposed measures and will propose new ideas/suggestions taking into account emerging realities in-line with the socio-economic progress and development in the country.
3. Additionally, this proposal has the commitment to complement the work proposed under the NAP proposal submitted to the GCF by the NDA with the support of UNDP, which has a more general focus on assessment of climate change impacts and formulation of related adaptive measures in respective sectors, while on the other hand, current Readiness proposal is focused on concrete actions devoted to identify feasible climate technologies and formulation of a sector specific investment plan based on existing policy documents and programs. While the NAP focuses on adaptation only, this readiness proposal will look at sectoral response to climate change, including both mitigation and adaptation technologies (such as application of biogas, windbreaks, water saving technologies etc) that will contribute to the fulfilment of NDC commitments, as this is on the top of the agenda of the Government of Azerbaijan. As part of the strategy for diversification of the economy, the activities within the Readiness project will be aligned with forthcoming NAP project and coordination mechanisms are in place between FAO and UNDP as Delivery Partners to ensure complementarities, including the participation of UNDP as part of the Steering Committee for the implementation of the readiness grant, to ensure that UNDP can timely flag any potential risk of overlapping or duplication or identify concrete spaces for collaboration. FAO and UNDP Azerbaijan have conducted preliminary discussions on possible opportunities for collaboration in the context of the implementation of NAP proposal and FAO Readiness projects. Particularly, as Agriculture is one of the 3 priority sectors that will also be covered under the NAP proposal, the outputs of the Readiness 2 project will be used to feed in the concrete activities on adaptation under the NAP project.

Concrete opportunities for collaboration have been jointly discussed in the following specific topics:

- a) The analysis of initiatives, measures and policies currently under implementation or planned related to Agriculture and LULUCF sectors (Activity 2.5.1-Readiness 2) will provide important inputs for the development of a comprehensive analysis of existing data and information on CCA-in Agriculture sector as one of the three priorities for Adaptation planning (Activity 1.1.1 NAP proposal)
- b) The work to develop an Investment Plan in the agriculture and LULUCF sector (Activity 2.5.2 Readiness 2) will be mutually complementary with the analysis of technical capacity needs and a plan to address gaps (Activity 2.1.1 NAP proposal) and the Listing of priority-long term, costed, adaptation options for public and private investments, and the Development of a Financial Needs Assessment (Activity 3.3.4 and 3.3.5 of the NAP proposal). The work under these activities will be coordinated to avoid duplication and jointly maximize the impacts of the work to be carried out by using the findings and recommendations as inputs of one another;
- c) The work on Private Sector Participation on Adaptation measures under the NAP proposal will also be used as valuable inputs for the investment plan for the Agriculture and LULUCF sector to be developed under the readiness 2 project.
- d) It is also agreed to closely collaborate in the context of the capacity development and other multi-stakeholder gatherings to ensure complementarity.

4. World Bank project on Reducing Vulnerability to Climate Change in Azerbaijani Agricultural Systems (2012-2013) addresses impact of climate change to the agriculture via adverse trends in temperature, precipitation and the frequency and severity of droughts. The assessment report produced as a result of a project focused on selected specific agricultural species to assess vulnerabilities towards water scarcity and drought prognosis providing specific adaptive recommendations. These recommendations will be explored to cross them with technology needs and priorities defined in the Country Work Programme to identify ways to advance some practical solutions.
5. EU funded "Identification and Implementation of Adaptation Response to Climate Change Impact for Conservation and Sustainable Use of Agro-Biodiversity in Arid and Semi-Arid Ecosystems of South Caucasus" has also produced valuable assessments related to the vulnerability of specific agricultural activities in the specific target regions of the country.
6. Currently on-going Development of Azerbaijan's Fourth National Communication to the UNFCCC and Second Biennial Reporting has not any specific component related to targeted sectors, but GHG inventory, vulnerability analysis and developing adaptation measures also cover both sectors.
7. Other initiative related to LULUCF sector, includes the GEF funded Sustainable Land and Forest management in Greater Caucasus Landscape project and Assessment and monitoring of forest resources to strengthen forest knowledge framework projects addressing national forestry inventory and land degradation problems through introduction of new management methods and capacity improvement.

Some of the activities under this Readiness proposal will specifically focus on Agriculture and LULUCF as key sectors to advance the implementation of Azerbaijan's NDC; private sector participation relevant for Agriculture and LULUCF sectors is targeted throughout these activities. However, concretely for the activities related to the identification of potential Direct Access Entities, private sector actors will not be limited to companies or entities relevant only for agricultural and LULUCF sector but will be open to all priority sectors as defined in Azerbaijan's legal and policy framework, including the Country Work Programme for the GCF.

As mentioned above, it is important to highlight that the readiness proposal will complement the efforts for comprehensive analysis of existing data, by the development of a detailed Analysis of initiatives, measures and policies currently under implementation, or planned, related to Agriculture and LULUCF as priority Sectors defined in Azerbaijan's NDC, which together will provide the Government of Azerbaijan a more complete view of what is being done, where and by whom and what are the areas where significant work and efforts are still needed to be translated into a sector wide investment strategy. Additionally, the training on access to climate finance, is seen as a first step into the strengthening of the capacities in the sector that will further developed through the technical and high-level capacities proposed under the NAP.

3. LOGICAL FRAMEWORK AND IMPLEMENTATION SCHEDULE

Outcomes	Baseline ³	Targets	Activities ⁴ (brief description and deliverables)	Anticipated duration: 18 months																
				Monthly implementation plan of activities ⁵																
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
Outcome 2: Country Programming Process	Sub-Outcome 2.3. Stakeholder engagement consultative processes	1	1	Activity 2.3.1 Inception workshop to gather all relevant stakeholders (minimum 40 participants representing different governmental agencies, non-governmental organizations, academic and private sector, representing the interests of both women and men) involved on climate change policy and implementation in the country and provide detailed information on goals and objectives of the project, as well to discuss possible ways of collaboration during project implementation period. This activity will follow the established	X															

³ For baselines rated at 1 or 2, please shortly elaborate on current baselines on which the proposed activities can be built on, processes that are in place that the current Readiness proposal can strengthen, or any gaps that the proposed activities would fill in. If more space is needed, please elaborate this in Section 2.

⁴ Please include tangible and specific deliverables for each activity proposed, and the timeframe (month number) in which it will be delivered to GCF. Please note that during implementation all deliverables should be included within the implementation reports for GCF consideration.

⁵ If the duration of the proposal is longer than 24 months, please change the monthly columns to indicate 2 or 3 months each (e.g. change month "1" to month "1-2" or "1-3").

	<p>Sub-Outcome 2.5: Appropriate climate technology solutions identified in Agriculture and LULUCF sector and prioritized in accordance with national strategies and plans for climate adaptation and mitigation</p>	1	2	<p>Activity 2.5.1: Analysis of initiatives, measures and policies currently under implementation, or planned, related to Agriculture and LULUCF as priority Sectors defined in Azerbaijan's NDC.</p> <p>Deliverable:2.5.1.1: Report on the implementation of NDC in the Agriculture and LULUCF Sector in Azerbaijan (Chapter on Ongoing efforts to implement Azerbaijan's NDC). (Completion Q1Y1)</p>	x		x																	
				<p>Activity 2.5.2 Develop gender responsive and participatory Agriculture and LULUCF investment plan, including identification of financial needs, gaps, barriers, risks and ways to leverage private sector participation for the implementation of Azerbaijan's NDC in the Agriculture and LULUCF sector.</p> <p>This investment plan will be based on and consider the results of the roadmap for NDC implementation and Azerbaijan's Country Work Programme to be developed by the end of 2018 under the first readiness proposal under implementation in Azerbaijan. The investment plan will be developed through consultations with relevant stakeholders (at least 2 consultations with around 50-70 participants each, of which at least 30% will be women) and interviews with Government institutions with work in this sectors and desk review of existing needs and ongoing</p>																x				

private sector institutions, and communication materials for promotion. At least 30% will be women). Workshops will take place in Baku.

Deliverable 3.1.1.1:

Meeting report and sex-disaggregated list of participants for workshops (Completion Q3Y1).

Activity 3.1.2:

Rapid assessment for understanding the roles of existing institutions and identifying initial list of institutions with potential to be accredited and support in the implementation of Azerbaijan's priorities as outlined in the Country Programme and the Private Sector Engagement Strategy, both under development through the first readiness approved in Azerbaijan.

Deliverable 3.1.2.1:

-Assessment report on entities with potential to be accredited (Completion Q3Y1).

Deliverable 3.1.2.2:

Revised Country Work Programme Document incorporating a DAE Strategy for Azerbaijan.⁶ Completion (Q2Y2)

Activity 3.1.3:

x

x

x

⁶ This activity will also aim to consult stakeholders on the progress made from the first version of the Country Work Programme to be delivered by the first Readiness Project in 2019, and will incorporate the updated priorities and other elements in the revision process.



4. ADDITIONAL INFORMATION (ONLY FOR ADAPTATION PLANNING SUPPORT)

This section is only to be completed when seeking support for formulation of national adaptation plans and/or other adaptation planning processes. Please see Part 3 Section 4 in the Readiness Guidebook and please limit to maximum of 500 words.

5. BUDGET, PROCUREMENT, IMPLEMENTATION, AND DISBURSEMENT

5.1 Budget plan

Please complete the Budget Plan in Excel using the template available in the [Library](#) page of the GCF website.

5.2 Procurement plan

Please complete the Procurement Plan in Excel using the template available in the [Library](#) page of the GCF website. For goods, services, and consultancies to be procured, please list the items, descriptions in relation to the activities in section 2, estimated cost, procurement method, relevant threshold, and the estimated dates. Please include the procurement plan for at least the first tranche of disbursement requested below and provide a full procurement plan for the entire duration of the implementation period if available at this stage.

5.3 Disbursement schedule

Please specify the proposed schedule for requesting disbursements from the GCF. For periodicity, specify whether it's quarterly, bi-annually or annually only.

Please choose one option among the two below and delete the one that does not apply to you. Please fill in information under brackets:

Readiness Proposal that falls within a Framework Agreement with the GCF

Disbursements will be made in accordance to Clause 4 “*Disbursement of Grants*” and Clause 5 “*Use of Grant Proceeds by the Delivery Partner*” of the Amended and Restated Agreement in the respect of the Framework Readiness and Preparatory Support Grant Agreement entered into between GCF and FAO on 17 May 2018. The Delivery Partner is entitled to submit *two* requests for disbursement each year.

Readiness Proposal that requires a bilateral Grant Agreement to be signed with the GCF (please add more disbursement as needed)

- The first disbursement *amounting [Choose Currency] [Type the amount]* will be transferred upon approval of the readiness request and effectiveness of the Grant Agreement;
- The second disbursement *amounting [Choose Currency] [Type the amount]* will be transferred upon submission of an interim progress report [and audited financial report]⁷, in form and substance acceptable to the Fund, [including an audited expenditure statement]; and
- The third disbursement *amounting [Choose Currency] [Type the amount]* will be made upon submission of a completion report and financial report, in form and substance acceptable to the Fund, including an audited expenditure statement.

Please include an indicative disbursement table showing the expected amounts to be requested and keep to multiples of USD 5,000.

⁷ For second disbursement, audited financial report and audited expenditure statement are only required for readiness and preparatory support proposals expected to last over 12 months.

6. IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

6.1 Implementation map

Please describe how funds will be managed by the NDA and/or the Readiness Delivery Partner.

The GCF Readiness Programme will be implemented by FAO under the guidance and leadership of the NDA. As Readiness Delivery Partner, FAO will manage the Readiness funding and will be responsible for providing and contracting the services contained in this proposal, as well as reporting on the progress of this implementation in close coordination with the NDA.

In the execution, the Readiness Project will receive technical support from a national Readiness 2 Steering Committee which will act as an advisory body to the project and be chaired by the GCF Focal Point, within the Ministry of Environment of Azerbaijan. Throughout the project's implementation, the Steering Committee's role will be to discuss and deliberate on technical products, and provide technical oversight and advice to the NDA, particularly ensuring the activities of this project do not overlap or duplicate the work carried out by other partners currently working in Azerbaijan. General responsibilities will include brainstorming and support the processes necessary for the efficient implementation of the Project.

To ensure complementarities in the implementation of this grant and the NAP proposal to be implemented with GCF resources, with UNDP serving as Delivery Partner, a representative from UNDP will be requested to be part of the Steering Committee to facilitate the identification of opportunities to collaborate and avoid duplication of efforts.

Additionally, the project will be implemented through a gender-balanced, transparent, participatory and inclusive consultative process. The readiness proposal will be led by the Government of Azerbaijan through the Ministry of Ecology and Natural Resources and it is expected that the activities under this readiness will benefit a broad spectrum of government institutions, along with other non-state actors. Concretely the project will benefit:

- ❖ Government ministries and agencies, including: the Ministry of Ecology and Natural Resources, Ministry of Agriculture, Ministry of Economy, Ministry of Finance, National Parliament, Melioration and Water Farms OSC, Entrepreneurs Development Fund Public Entity, State Family, Women and Child Rights Committee, etc;
- ❖ Non-governmental organizations and academic sector including: National Academy of Sciences, Forest Research Institution, IDEA etc.;
- ❖ Private sector Institutions including: private agricultural processing companies such as Azersun, Gilan, and also private farmers..

The project will also strive to ensure the results and information obtained during the project's implementation are shared with all the stakeholders. The project will be framed by Azerbaijan's commitments and policies on gender equality, along with the GCF and FAO policies on gender equality.

The project will be led by the Government of Azerbaijan (who is the ultimate beneficiary), with the technical and operational support of FAO, as delivery partner, through the supervision of FAO's Regional Office in Budapest, the support from FAO's HQ in Rome and the day-to-day execution under the direct responsibility of the FAO's Country Office (CO) in Baku. In this way, the project will benefit from the technical and implementation capacities of FAO at national, regional and international levels. FAO will ensure possible synergies with other countries, in relation to GCF experience and capacity building.

Project Quality Assurance: As a Delivery Partner selected by the NDA, FAO will implement and execute the readiness project, to deliver GCF-specific oversight and quality assurance services, including: (i) Day-to-day oversight supervision; (ii) Oversight of project completion; and (iii) Oversight of project reporting, including gender and social inclusion mainstreaming. FAO will ensure the overall quality of the project implementation through different levels of supervision, including the support of FAO staff in Country Office's and at regional and headquarters levels. Project Quality Assurance will be independent of the Project Coordination function and will provide support to the Project Steering Committee and Project Coordinator by carrying out objective and independent project oversight and monitoring functions, ensuring appropriate project management milestones are timely completed.

To conduct the functions of Project Quality Assurance, FAO will conform a Project Task Force which will be presided over by the budget holder, together with the FAO Lead Technical Officer (LTO); this Task Force will be integrated by experts from FAO's Regional Office, HQ and the Country Office. The task force is a management

and consulting body composed of FAO staff members with the authority and technical knowledge required to ensure efficient technical, operational and administrative management of the project. Finally, the FAO Regional Office and HQ will provide administrative support and supervision on issues such as purchasing goods internationally and presenting reports. FAO's LTO in coordination with the Country Office will lead in the preparation of reports with the support of the National Project Manager and FAO HQ will follow official channels for communication with the GCF. Additionally the LTO, in consultation with FAO staff in HQ will ensure the observation of all considerations included in the Framework Agreement for Readiness Grants between FAO and the GCF with regards to reporting.

Gender equality

Gender dimensions have been integrated throughout the project, to ensure that gender issues of climate change are reflected in the knowledge products, as well as to ensure that diverse viewpoints are reflected and different types of actors' capacity is strengthened. In particular, by using a human rights-based approach, both duty-bearers and right-holders will be identified, and their capacities developed. Within this approach, duty-bearers will be ready to mainstream gender and to support all, and right-holders will be ready to practice their rights. By taking gender issues into account in all activities, the project is more likely to meet its objectives and reach its full potential, as the knowledge products and related planning processes will more accurately reflect the existing socio-economic dynamics that shape women's and men's adaptive capacity. In addition, the project will avoid reinforcing existing inequalities vis a vis access to knowledge and training by encouraging the participation and engagement of diverse stakeholders. The project will target 50-50 equal participation of women in all the events organized within the project and ensure that the deliverables are gender-sensitive and that the interests of both men and women are considered and represented throughout the project implementation. The proposal indicates that, according to the UN quota on women representation and with Government of Azerbaijan and FAO Gender policies and action plans, **at least** (no less than) 30% of all participants to the different events will be women, and in this sense this only represents the absolute minimum representation of women that can be expected. To achieve this goal, the NDA and FAO will closely work with the State Committee for Family, Women and Children Affairs to consult on the engagement of women, women organizations and relevant ministries with gender mandates that should be engaged throughout the project implementation. A clear reference to the collaboration with the State Committee for Family, Women and Children Affairs has been introduced in the proposal.

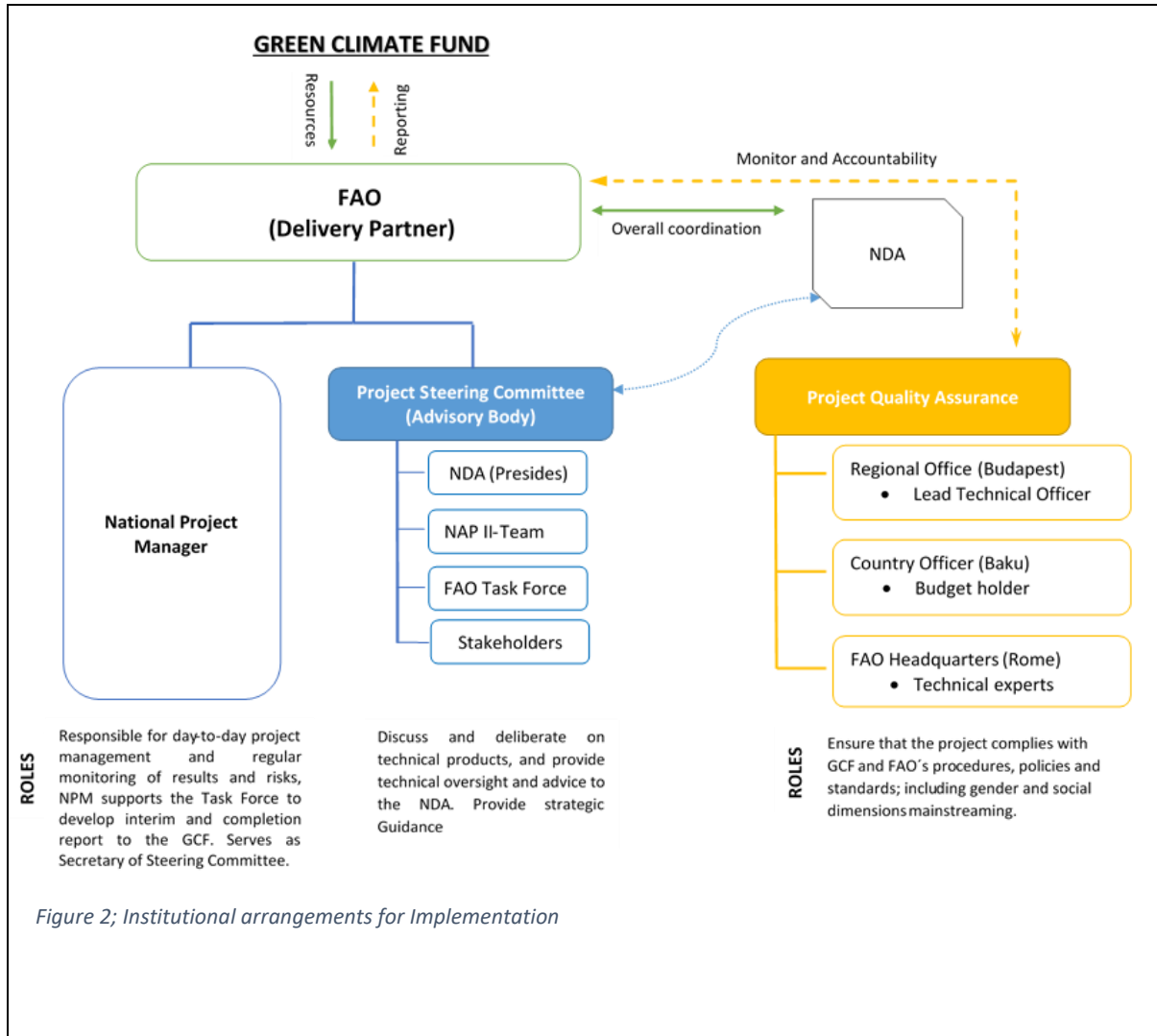
The LTO will ensure effective gender and social inclusion mainstreaming in line with the FAO Policy on gender equality; the FAO guidelines for the implementation of gender mainstreaming and human rights-based approach in the project cycle⁸; the FAO Environmental and Social Management Guidelines; and the GCF standards on gender equality and social inclusion.

National Project Manager (NPM): The National Project Manager is responsible for day-to-day project management and regular monitoring of project results and risks, including social and environmental risks. The National Project Manager will ensure that all project personnel maintain a high level of transparency, responsibility and accountability in M&E and in reporting of project results. The National Project Manager will report to the Lead Technical Officer and will inform the Task Force, of any delays or difficulties encountered during implementation to ensure that appropriate support and corrective measures can be adopted.

The National Project Manager will develop annual work plans to support the efficient implementation of the project. The NPM will ensure that the standard FAO and GCF M&E requirements are fulfilled to the highest quality and will ensure fluid communication between all stakeholders of the project (including FAO, the NDA and other stakeholders) and also serves as Secretary to the Steering Committee ensuring that all the decisions made by the SC are duly executed to ensure a smooth implementation of the project.

Figure 1: Composition of the Steering Committee

⁸ These guidelines have been developed for the Region of Europe and Central Asia and are available at: <http://www.fao.org/3/a-i6808e.pdf>



6.2 Risks, monitoring and evaluation (M&E), and other relevant information

FAO Partnership and Liaison Office in Azerbaijan has created fruitful collaboration with the Ministry of Ecology and Natural Resources in recent years. At present, there is an on-going project "Forest Resources Assessment and Monitoring to Strengthen Forest Knowledge Framework in Azerbaijan (GCP/AZE/007/GFF)" in the framework of it, is formed a well-functioning mechanism to monitor project achievements mitigate risks emerged during project implementation cycle.

For this readiness proposal a similar mechanism will be applied; Project Steering Committee represented by FAO, NDA and other relevant stakeholders will gather at least twice a year in order to monitor project implementation, discuss emerging problems/risks and identify possible mitigation actions. Additionally, National Project Manager will provide monthly monitoring and implementation reports, summarizing progress made and identifying potential risks triggered and mitigation actions. The National Project Manager will assume responsibility for the preparation of interim reports and the completion report to be submitted to the GCF according to the GCF-FAO Framework Agreement. S/he will also act as a focal point for filing grievances raised by any of the stakeholders, if there is any need and bring the issues to the attention of the task force.

The potential risks that might affect the achievement of project objectives could be listed as following:

Risks	Risk level	Risk mitigation actions
Lack of political buy-in on the importance of interventions related to NDC commitments	Low	Regular meetings within current project steering committee will strengthen political buy-in and ownership.
Poor institutional coordination	Medium	Ministry of Ecology and Natural Resources effectively leads coordination of climate change and NDC related issues in the country in recent years. This effective practice will be also used and applied. Along with this, project steering committee will be in charge of briefing all relevant stakeholders and supporting an effective institutional coordination
Some sectoral institutions do not show interest in participation in project interventions	Medium	As the coordinating institution - the Ministry of Ecology and Natural Resources will conduct regular consultations and negotiations with key relevant institutions to explain the importance of the planned project interventions. FAO will also use every opportunity to increase participation level of stakeholders
Lack of skill and capacities of sectoral institutions	Medium	This shortcoming will be addressed by developing targeted capacity building approaches for different sectors and also for different skill sets.
Lack of available data or access to information	Medium	To address this risk the project will make use of current data bases and collaborate with a wide range of institutions involved to climate change issues
Lack of interest of national entities for direct access to climate finance	Low	Current observations show that national entities are very interested in direct access to climate finance. Planned awareness raising campaign within the planned project will increase their interest for proposed actions
<p>Performance of the proposed project will be monitored using the project results framework, including indicators (baseline and targets) and Annual Work Plan and Budgets. At the inception phase of project implementation, the results framework will be reviewed with the Project Steering Committee members for any updated information relevant to the project implementation. The Inception Workshop Report and the subsequent six-monthly GCF Interim Progress Reports (IPRs) will be the main reports used for the monitoring and evaluation of project results. During the project implementation phase, FAO's LTO will carry out technical supervision missions. Lessons learned throughout the project will be reviewed and discussed at the terminal workshop.</p>		
<p>To avoid any possible conflicts of interest deriving from the Delivery Partner's role as an Accredited Entity, the prioritization of technologies, investments and projects in the context of this readiness grant, will be made through a broad consultation process with relevant stakeholders, including other potential implementing entities for Azerbaijan. The final validation of these priorities will be carried out through the countries' own relevant coordination mechanism and institutional arrangements, with the participation of other government agencies, as well as representatives from civil society and private sector as the NDA deems relevant, to ensure chosen priorities are fully aligned with national plans, strategies and Azerbaijan's Country Work Programme and adequately includes inputs from consulted stakeholders.</p>		

5.1 Budget Plan

Please add rows for Outcomes, Outputs and Cost Categories as required. Additional budget categories may be added by manually typing them on the Budget Category sheet.

Outcomes	FAO Budget Categories	Budget Note No.	Budget Categories <small>choose from the drop-down list</small>	Detailed Budget (in US\$)				Total Budget <small>(per budget category)</small>	Total Budget <small>(per sub-outcome)</small>	Total Budget <small>(per outcome)</small>	Disbursement Plan									
				Unit	# of Unit	Unit Cost	Total Budget				6m	12m	18m	24m	30m	36m				
Outcome 2 Country Programming Process	Sub-outcome 2.5: Appropriate climate technology solutions identified in Agriculture and LULUCF sector and prioritized in accordance with national strategies and plans for climate adaptation	5920- Training and Workshop	1	Workshop/Training	Lumpsum	1	17,000.00	17,000.00	17,000.00	17,000.00	17,000.00									
		5542- Consultants Internationally Recruited	2	Consultant - Individual - International	W/Day	40	500.00	20,000.00			20,000.00									
		5990- Travel Duty	3	Travel - International	Trip	2	3,000.00	6,000.00			6,000.00									
		5543- Consultants Locally Recruited	4	Consultant - Individual - Local	W/Day	60	100.00	6,000.00			6,000.00									
		5543- Consultants Locally Recruited	5	Consultant - Individual - Local	W/Day	50	100.00	5,000.00			5,000.00									
		5543- Consultants Locally Recruited	6	Consultant - Individual - Local	W/Day	50	100.00	5,000.00			5,000.00									
		5660- Locally contracted Labour	7	Professional Services – Companies/Firm	Lumpsum	1	1,500.00	1,500.00			1,500.00									
		5920- Training and Workshop	8	Workshop/Training	Lumpsum	2	10,000.00	20,000.00			20,000.00									
		5542- Consultants Internationally Recruited	9	Consultant - Individual - International	W/Day	60	500.00	30,000.00			30,000.00									
		5990- Travel Duty	10	Travel - International	Trip	2	3,000.00	6,000.00			6,000.00									
		5543- Consultants Locally Recruited	11	Consultant - Individual - Local	W/Day	80	100.00	8,000.00			8,000.00	172,000.00								
		5543- Consultants Locally Recruited	12	Consultant - Individual - Local	W/Day	60	100.00	6,000.00			6,000.00									
		5543- Consultants Locally Recruited	13	Consultant - Individual - Local	W/Day	80	100.00	8,000.00			8,000.00									
		5920- Training and Workshop	14	Workshop/Training	Lumpsum	2	10,000.00	20,000.00			20,000.00									
		5660- Locally contracted Labour	15	Professional Services – Companies/Firm	Lumpsum	1	1,500.00	1,500.00			1,500.00									
		5542- Consultants Internationally Recruited	16	Consultant - Individual - International	W/Day	25	500.00	12,500.00			12,500.00									
		5990- Travel Duty	17	Travel - International	Trip	1	3,000.00	3,000.00			3,000.00									
		5543- Consultants Locally Recruited	18	Consultant - Individual - Local	W/Day	60	100.00	6,000.00			6,000.00									
		5543- Consultants Locally Recruited	19	Consultant - Individual - Local	W/Day	60	100.00	6,000.00			6,000.00									
		5660- Locally contracted Labour	20	Professional Services – Companies/Firm	Lumpsum	1	1,500.00	1,500.00			1,500.00									
	5920- Training and Workshop	21	Workshop/Training	Lumpsum	2	17,000.00	34,000.00	34,000.00												
	5542- Consultants Internationally Recruited	22	Consultant - Individual - International	W/Day	34	500.00	17,000.00	17,000.00												
	5543- Consultants Locally Recruited	23	Consultant - Individual - Local	W/Day	30	100.00	3,000.00	3,000.00												
	5543- Consultants Locally Recruited	24	Consultant - Individual - Local	W/Day	30	100.00	3,000.00	3,000.00												
	5543- Consultants Locally Recruited	25	Consultant - Individual - Local	W/Day	30	100.00	3,000.00	3,000.00												
	5660- Locally contracted Labour	26	Professional Services – Companies/Firm	Lumpsum	1	1,500.00	1,500.00	1,500.00												
	5920- Training and Workshop	27	Workshop/Training	Lumpsum	1	12,000.00	12,000.00	12,000.00												
	5990- Travel Duty	28	Travel - International	Trip	1	3,000.00	3,000.00	3,000.00												
	5543- Consultants Locally Recruited	29	Consultant - Individual - Local	W/Day	100	100.00	10,000.00	10,000.00												
	5990- Travel Duty	30	Travel – Local	Trip	8	1,000.00	8,000.00	8,000.00												
	5920- Training and Workshop	31	Workshop/Training	Lumpsum	2	17,000.00	34,000.00	34,000.00												
	5543- Consultants Locally Recruited	32	Consultant - Individual - Local	W/Day	80	100.00	8,000.00	8,000.00												
	5543- Consultants Locally Recruited	33	Consultant - Individual - Local	W/Day	80	100.00	8,000.00	8,000.00												
	5542- Consultants Internationally Recruited	34	Consultant - Individual - International	W/Day	47	500.00	23,500.00	23,500.00												
	5990- Travel Duty	35	Travel - International	trip	2	3,000.00	6,000.00	6,000.00												
	5660- Locally contracted Labour	36	Professional Services – Companies/Firm	Lumpsum	1	3,000.00	3,000.00	3,000.00												
	5920- Training and Workshop	37	Workshop/Training	Lumpsum	2	10,000.00	20,000.00	20,000.00												
	5650- Contracts	38	Audio Visual & Printing	Lumpsum	1	25,000.00	25,000.00	25,000.00												
	5543- Consultants Locally Recruited	39	Consultant - Individual - Local	days	60	100.00	6,000.00	6,000.00												
Total Outcome Budget											417,000.00	165,250.00	143,750.00	108,000.00						
Project Management Cost (PMC) Up to 7.5% of Total Activity Budget	5543- Consultants Locally Recruited	40	Consultant - Individual - Local	Month	18	1,000.00	18,000.00	31,000.00	Percentage of PMC requested: 7.2%											
	5543- Consultants Locally Recruited	41	Consultant - Individual - Local	Month	18	500.00	9,000.00													
	6300- General Operating Expenses	42	Office Supplies	Lumpsum			4,000.00													

FOR GREEN CLIMATE FUND SECRETARIAT'S USE ONLY

Breakdown (per budget category)	Total (per budget category)
Audio Visual & Printing	25,000.00
Audit Fee	-
Consultant - Individual - International	103,000.00
Consultant - Individual - Local	118,000.00
Professional Services – Companies/Firm	9,000.00
IT Equipment	-
Office Supplies	4,000.00
Travel - International	24,000.00
Travel – Local	8,000.00
Workshop/Training	157,000.00
0	-
0	-
0	-
0	-
0	-
Total Outcome Budget + PMC	448,000.00

FOR GREEN CLIMATE FUND SECRETARIAT'S USE ONLY

Total Outcome Budget		417,000.00
Project Management Cost (PMC)	7.2% requested	31,000.00
Contingency	3% requested	12,510.00
Sub-Total (Total Outcome Budget + Contingency + PMC)		460,510.00
Delivery Partner Fee (DP) - Up to 8.5% of the Sub-Total		39,143.35
Total Project Budget (Total Activity Budget + Contingency + PMC + DP)		\$ 499,654.00

Budget Note No.	Sub-Outcome	Detailed Description	
1	2.3	Project inception workshop with participation of at least 50 national stakeholders for activity 2.5.1. Cost estimates include logistics (e.g. venue, catering, stationary, translation, etc.)	
2	2.5	One international consultant (climate change specialist) for 40 working days for activity 2.5.1, to be recruited according to FAO honorarium scale	
3		Travel for international consultant (2 trips to Baku) for activity 2.5.1. Cost estimate includes travel and DSA in line FAO/UN Travel regulations.	
4		One national consultant (climate change specialist) for 60 working days for activity 2.5.1, to be recruited according to FAO honorarium scale	
5		One national consultant (agricultural specialist) for 50 working days for activity 2.5.1, to be recruited according to FAO honorarium scale	
6		One national consultant (LULUCF specialist) for 50 working days for activity 2.5.1, to be recruited according to FAO honorarium scale	
7		Printing materials, translation of materials, stationary for activity 2.5.1. Cost estimates are based on FAO experience in the country.	
8		2 workshop/meetings with national stakeholders (2 workshops- 1 day per workshop with 25 participants each) for activity 2.5.2. Cost estimates include logistics (e.g. venue, catering, stationary, translation, etc.)	
9		One international consultant (climate finance specialist) for 60 working days for activity 2.5.2, to be recruited according to FAO honorarium scale	
10		Travel for international consultant (2 trips to Baku) for activity 2.5.1. Cost estimate includes travel and DSA in line FAO/UN Travel regulations.	
11		One national consultant (climate finance specialist) for 80 working days for activity 2.5.2, to be recruited according to FAO honorarium scale	
12		One national consultant (specialist to conduct gap analysis) for 60 working days for activity 2.5.2, to be recruited according to FAO honorarium scale	
13		One national consultant (specialist on gender) for 80 working days for activity 2.5.2 and to ensure overall gender mainstreaming throughout the project. To be recruited according to FAO honorarium scale	
14		2 workshop/meetings with national stakeholders (2 workshops- 1 day per workshop with 25 participants each) for activity 2.5.4. Cost estimates include logistics (e.g. venue, catering, stationary, translation, etc.)	
15		Printing materials, translation of materials, stationary for activity 2.5.2 Cost estimates are based on FAO experience in the country.	
16		One international consultant (consultant on TNA) for 25 working days for activity 2.5.3, to be recruited according to FAO honorarium scale	
17		Travel for international consultant (1 trip to Baku) for activity 2.5.3. Cost estimate includes travel and DSA in line FAO/UN Travel regulations.	
18		One national consultant (TNA mitigation) for 60 working days for activity 2.5.3, to be recruited according to FAO honorarium scale	
19		One national consultant (TNA adaptation) for 60 working days for activity 2.5.3, to be recruited according to FAO honorarium scale	
20		Printing materials, translation of materials, stationary for activity 2.5.3. Cost estimates are based on FAO experience in the country.	
21		2.6	2 multi stakeholder consultation workshops (2 workshops- 2 days per workshop with 40 participants each). Cost estimates include logistics (e.g. venue, catering, stationary, translation, etc.) for activity 2.6.1
22	One international consultant (consultant on feasibility study) for 34 working days for activity 2.6.1, to be recruited according to FAO honorarium scale		
23	One national consultant (feasibility study/socio-economic aspects) for 30 working days for activity 2.6.1, to be recruited according to FAO honorarium scale		
24	One national consultant (feasibility study/mitigation) for 30 working days for activity 2.6.1, to be recruited according to FAO honorarium scale		
25	One national consultant (feasibility study/adaptation) for 30 working days for activity 2.6.1, to be recruited according to FAO honorarium scale		
26	Printing materials, translation of materials, stationary for activity 2.6.1. Cost estimates are based on FAO experience in the country.		
27	Training (1 training- 2 days with 15 participants) for activity 2.6.2. Cost estimates include logistics (e.g. venue, catering, stationary, translation, etc.)		
28	Travel for international consultant (1 trip to Baku) for activity 2.6.1. Cost estimate includes travel and DSA in line FAO/UN Travel regulations.		
29	3.1	One national consultant (consultant to coordinate the work on accreditation and awareness raising) for 100 working days to lead overall work on accreditation (activities 3.1.1, 3.1.2, 3.1.3 and 3.1.4)	
30		Travel for 2 NDA staff+ 2 consultants (2 trips * 4 people from Baku to different regions) for activity 3.1.1. Cost estimate includes travel and DSA in line FAO/UN Travel regulations.	
31		2 awareness raising workshops for national stakeholders (2 workshops- 2 days per workshop with 50 participants each) for activity 3.1.1	
32		One national consultant (expert on institutional issues) for 80 working days for activities 3.1.2, 3.1.3 and 3.1.4 to be recruited according to FAO honorarium scale	
33		One national consultant (expert on financial issues) for 80 working days for activities 3.1.2, 3.1.3 and 3.1.4 to be recruited according to FAO honorarium scale	
34		One international consultant (consultant accreditation to conduct the rapid assessment and the gap analysis for the identified potential direct access entity) for 47 working days for activity 3.1.1, 3.1.2, 3.1.3, and 3.1.4 to be recruited according to FAO honorarium scale	
35		Travel for international consultant (2 trip to Baku) for activity 3.1.2, and 3.1.3. Cost estimate includes travel and DSA in line FAO/UN Travel regulations.	
36		Printing materials, translation of materials, stationary for activities 3.1.1, 3.1.2, 3.1.3 and 3.1.4 Cost estimates are based on FAO experience in the country.	
37		Trainings for 10 participants for activity 3.1.4.	
38		Audivisuals/printing for activity 3.1.4	
39		One national consultant (Environmental and social safeguards Specialist) for 60 working days for activities 3.1.2, 3.1.3 and 3.1.4	
40		PMC	Project manager hired for 18 months part time
41			Admin assistant hired for 18 months part time
42			Office supplies for the whole duration of the project

5.2 Procurement Plan

For goods, services, and consultancies to be procured, please list the items, descriptions in relation to the activities in Section 3, estimated cost, procurement method, relevant threshold, and the estimated dates. Please include the procurement plan for at least the first tranche of disbursement requested below and provide a full procurement plan for the entire duration of the implementation period if available at this stage.

Item	Item Description	Estimated Cost (US\$)	Procurement Method	Thresholds (Min-Max monetary value for which indicated procurement method must be used)	Estimated Start Date	Projected Contracting Date
Goods and Non-Consulting Services						
Audivisuals/printing	Preparation and printing of audio-visual materials, brochures, leaflets, guidelines etc in local language for national stakeholders for activity 3.1	25,000.00	Open tender	\$20,000 - \$50,000	1-Apr-2019	15-Apr-2019
Travels	Int. and local travels for national and international consultants	23,527.00	Direct procurement	\$20,000 - \$50,000	1-Feb-2019	1-Feb-2019
Workshop	Venue and catering for Project inception workshop	17,000.00	Open tender	\$10,000 - \$20,000	15-Jan-2019	30-Jan-2019
Workshop/meetings	Venue and catering for 2 workshop/meetings under activity 2.5.1	20,000.00	Open tender	\$20,000 - \$50,000	1-Apr-2019	1-Apr-2019
Workshop/meetings	Venue and catering for 2 workshop/meetings under activity 2.5.2	20,000.00	Open tender	\$20,000 - \$50,000	1-Apr-2019	1-Apr-2019
Multistakeholder consultations	Venue and catering for 2 workshops under activity 2.5.4	34,000.00	Open tender	\$20,000 - \$50,000	1-Jul-2019	1-Jul-2019
Awareness workshops	Venue and catering for 2 workshops under activity 3.1.1	34,000.00	Open tender	\$20,000 - \$50,000	15-Jan-2020	15-Jan-2020
Capacity building training	Venue and catering for 1 training under activity 3.1.2	12,000.00	Open tender	\$10,000 - \$20,000	1-Jul-2019	1-Jul-2019
Capacity building trainings	Venue and catering for 2 trainings under activity 3.1.4	20,000.00	Open tender	\$20,000 - \$50,000	1-Jul-2019	1-Jul-2019
Other costs	Printing, stationery, translations	9,000.00	Direct procurement	\$10,000 - \$20,000	1-Feb-2019	1-Feb-2019
Sub-Total (US\$)		\$ 214,527.00				
Consultancy Services						
International consultant	Climate Change Specialist under activity 2.5.1	20,000.00	Vacancy announcement	\$20,000 - \$50,000	1-Feb-2019	15-Feb-2019
International consultant	Climate finance expert under activity 2.5.1	30,000.00	Vacancy announcement	\$20,000 - \$50,000	1-Apr-2019	15-Apr-2019
International consultant	Expert on Technological Needs Assessment under activity 2.5.3	12,500.00	Vacancy announcement	\$10,000 - \$20,000	1-Jul-2019	15-Jul-2019
International consultant	Feasibility study expert under activity 2.6.1	17,000.00	Vacancy announcement	\$10,000 - \$20,000	15-Jan-2020	1-Feb-2020
International consultant	Expert on accreditation to conduct the rapid assessment on direct access under activity 3.1.2 and gap analysis of identified potential direct access entity under activity 3.1.3	23,500.00	Vacancy announcement	\$20,000 - \$50,000	1-Apr-2019	15-Apr-2019
Local consultant	Climate Change Specialist under activity 2.5.1	6,000.00	Vacancy announcement	\$5,000 - \$10,000	15-Jan-2020	1-Feb-2020
Local consultant	Agricultural specialist under activity 2.5.1	5,000.00	Vacancy announcement	\$5,000 - \$10,000	15-Jan-2020	1-Feb-2020
Local consultant	LULUCF expert under activity 2.5.1	5,000.00	Vacancy announcement	\$5,000 - \$10,000	15-Jan-2020	1-Feb-2020
Local consultant	Climate finance expert under activity 2.5.2	8,000.00	Vacancy announcement	\$5,000 - \$10,000	1-Apr-2019	15-Apr-2019
Local consultant	Gap analysis expert under activity 2.5.2	6,000.00	Vacancy announcement	\$5,000 - \$10,000	1-Apr-2019	15-Apr-2019
Local consultant	Gender Specialist to mainstream gender into the Agriculture and LULUCF investment plan	8,000.00	Vacancy announcement	\$5,000 - \$10,000	1-Apr-2019	15-Apr-2019
Local consultant	TNA Mitigation expert under activity 2.5.2	6,000.00	Vacancy announcement	\$5,000 - \$10,000	1-Jul-2019	15-Jul-2019
Local consultant	TNA Adaptation expert under activity 2.5.2	6,000.00	Vacancy announcement	\$5,000 - \$10,000	1-Jul-2019	15-Jul-2019
Local consultant	Feasibility study socio-economic expert under activity 2.5.3	3,000.00	Vacancy announcement	\$5,000 - \$10,000	1-Jul-2019	15-Jul-2019
Local consultant	Feasibility study mitigation expert under activity 2.5.3	3,000.00	Vacancy announcement	\$5,000 - \$10,000	15-Jan-2020	1-Feb-2020
Local consultant	Feasibility study adaptation expert under activity 2.5.3	3,000.00	Vacancy announcement	\$5,000 - \$10,000	15-Jan-2020	1-Feb-2020
Local consultant	Accreditation coordinator and Awareness raising expert under activities 3.1.2, 3.1.3 and 3.1.4	10,000.00	Vacancy announcement	\$10,000 - \$20,000	1-Apr-2019	15-Apr-2019
Local consultant	Institutional expert under activities 3.1.2, 3.1.3 and 3.1.4	8,000.00	Vacancy announcement	\$5,000 - \$10,000	1-Apr-2019	15-Apr-2019
Local consultant	Financial expert under activities 3.1.2, 3.1.3 and 3.1.4	8,000.00	Vacancy announcement	\$5,000 - \$10,000	1-Apr-2019	15-Apr-2019
Local consultant	Expert on Environmental and Social Safeguards under activities 3.1.2, 3.1.3 and 3.1.4	6,000.00	Vacancy announcement	\$5,000 - \$10,000	1-Apr-2019	15-Apr-2019
Sub-Total (US\$)		\$ 194,000.00				