
Readiness Grant Agreement

with the People's Democratic Republic of Algeria

06 April 2018 | DZA-RS-001



**GREEN
CLIMATE
FUND**

GRANT AGREEMENT

THIS GRANT AGREEMENT is made and entered into on this 6 day of APRIL, 2018,

BETWEEN

NATIONAL AGENCY ON CLIMATE CHANGE, a public company with limited liability, organized and existing under the laws of the People's Democratic Republic of Algeria pursuant to Executive Decree No. 05-375 of 22 Chaâbane 1426 Corresponding to 26 September 2005 (Creation of the National Agency for Setting out its tasks and defining the Arrangements for its organization and operation) and having its registered office at 127, Didouche Mourad Algiers Algeria, represented by M.Boukadoum Abderrahmane, General Director (the "**Recipient**"); and

THE GREEN CLIMATE FUND, established under the United Nations Framework Convention on Climate Change ("Convention") and designated as an operating entity of the financial mechanism under Article 11 of the Convention pursuant to the Governing Instrument for the Green Climate Fund ("Governing Instrument"), possessing juridical personality in order to operate effectively internationally, having such legal capacity as is necessary for the exercise of its functions and the protection of its interests and having its headquarters at Songdo, Incheon, Republic of Korea represented by Mr. Pa Ousman Jarju, Director, Division of Country Programming (the "**Fund**").

WHEREAS

- (A) the Recipient has been selected by the national designated authority referred to in paragraph 46 of the Governing Instrument for the Green Climate Fund ("**NDA**"), represented by Mr Messaoud Tebani, General Director of Environment and Sustainable Development, Ministry of Environment and Renewable Energies, to implement the Readiness Support; and
- (B) the Fund is willing to make a grant to the Recipient upon the terms and conditions set forth herein;

NOW THEREFORE the parties hereto agree as follows:

ARTICLE I

Standard Conditions and Policy on Prohibited Practices; Definitions

Section 1.01. (a) The Standard Conditions and the Policy on Prohibited Practices, both of which are defined below, constitute integral parts of this Grant Agreement and are made hereto with the same force and effect as if they were fully set forth herein.

- (b) Without limiting the foregoing, this Grant Agreement includes all schedules, appendices, attachments and annexes to this Grant Agreement.

Section 1.02. Wherever used in this Grant Agreement, terms defined in the Standard Conditions shall have the respective meanings therein set forth unless modified herein or the context otherwise requires. Additional terms used in this Grant Agreement shall have the following meanings:

- (a) "Board" means the board of the Fund established pursuant to the provisions of the Governing Instrument;
- (b) "Confidential Information" means information, however recorded or preserved, disclosed by either of the parties to the other, relating to the Readiness Support, which is described and/or marked as "confidential" at the time of disclosure;

- (c) "Disbursement Schedule" means the disbursement schedule or plan set out in the approved proposal for the Readiness Support dated 23 July 2017 in Annex 1 hereto;
- (d) "Focal Point" means the individual or authority designated by a developing country party to the convention to fulfil all functions of an NDA on a temporary basis, until it has designated an NDA;
- (e) "Grant Term" has the meaning ascribed thereto in Section 3.01 of this Grant Agreement;
- (f) "Information Disclosure Policy" means the information disclosure policy of the Fund adopted by Decision B.12/35, as amended from time to time, and any successor document thereto;
- (g) "NDA" has the meaning ascribed thereto in recital (A) above;
- (h) "Procurement Plan" means the procurement plan for the Readiness Support set out in the approved proposal dated 23 July 2017 in Annex 1 hereto or which has been agreed to by the Fund subsequently and submitted with the disbursement requests by the Recipient ;
- (i) "Policy on Prohibited Practices" means the Fund's interim policy on prohibited practices adopted by Decision B.12/31 by the Board;
- (j) "Readiness Support" means the readiness support described in the approved proposal dated 23 July 2017 in Annex 1 hereto; and
- (k) "Standard Conditions" means the Standard Conditions for Readiness and Preparatory Support Grants provided by the Green Climate Fund (May 2016).

ARTICLE II

The Grant

Section 2.01. Subject to the terms and conditions of this Grant Agreement, the Fund agrees to make available to the Recipient the amount of Three Hundred Thousand Dollars (\$ 300,000).

ARTICLE III

Effective Date; Grant Term

Section 3.01. Except as the Fund and the Recipient may otherwise agree and as specified in writing by the Fund, (a) the Effective Date of this Grant Agreement shall be the date upon which the Fund dispatches to the Recipient notice of its acceptance of the evidence required by Section 14.01 of the Standard Conditions, and (b) the Grant Term shall be from the Effective Date to 31 August 2020.

Section 3.02. Unless as the Fund may otherwise agree, a date 90 days after the date of this Grant Agreement is specified for effectiveness of this Grant Agreement for the purposes of Section 14.03 of the Standard Conditions. (Termination for Failure to Become Effective).

Section 3.03. Notwithstanding the foregoing, this Section 3 and 8 of the Grant Agreement and Sections 10, 11, 12, 13, 15, 16, 17, 18, 19, 21 of the Standard Conditions shall be effective from the date of this Grant Agreement.

Section 3.04. This Grant Agreement and all obligations of the parties hereunder shall terminate on the date 3 years after the expiry of the Grant Term.

ARTICLE IV

Use of Proceeds of the Grant

Section 4.01. (a) The Recipient shall (i) apply the proceeds of the Grant to the financing of Eligible Expenditures in respect of Goods and Services in accordance with the provisions of this Grant

Agreement; (ii) ensure that the Grant proceeds are used for their intended purposes in accordance with the generally accepted principles of economy, efficiency, transparency and accountability; (iii) cause the Readiness Support to be carried out in conformity with sound administrative, technical, financial, business and development practices, and (iv) ensure that the Readiness Support activities are carried in accordance with the terms and conditions of this Grant Agreement, including the Standard Conditions and the Policy on Prohibited Practices.

(b) The proceeds of the Grant shall be allocated and applied as set forth in Annex 1 to this Grant Agreement, which may be amended from time to time by agreement between the Recipient and the Fund.

Section 4.02. Except as the Fund may otherwise agree, the Recipient shall procure, or cause to be procured, all Goods and Services in accordance with the Procurement Plan.

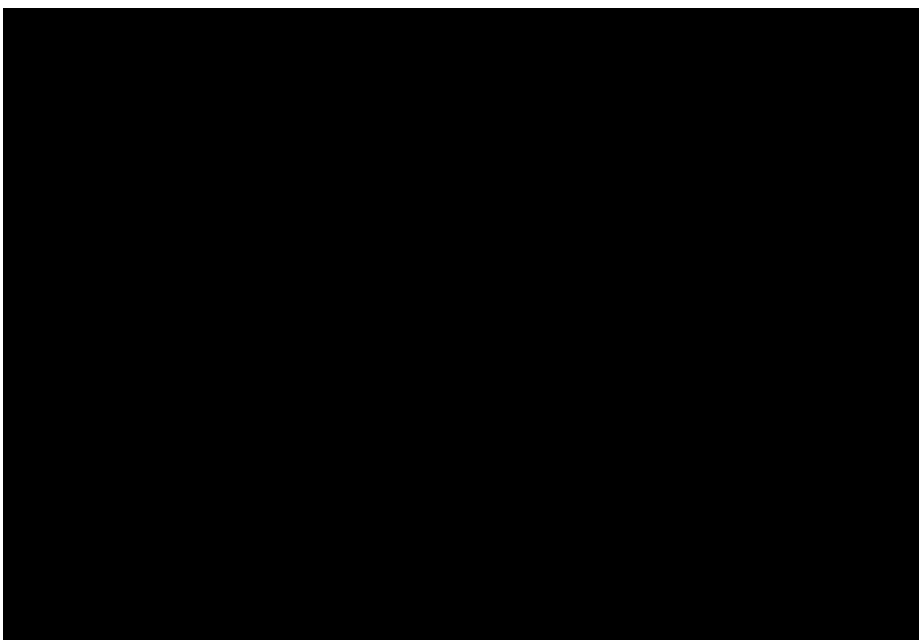
ARTICLE V Payment of Grant Proceeds

Section 5.01. Notwithstanding any other provision of this Grant Agreement, payments to the Recipient shall be made only upon fulfillment by the Recipient of the requirements set forth in Section 6.01 of the Standard Conditions, to the satisfaction of the Fund.

Section 5.02. Payment of the Grant proceeds shall be made in accordance with the indicative Disbursement Schedule included in the approved proposal as set forth in Annex 1 to this Grant Agreement and upon submission by the Recipient of appropriate reports, along with Requests for Disbursement, in a form and substance acceptable to the Fund:

Section 5.03. All disbursements up to the amounts specified in the Disbursement Schedule shall be made by the Fund following the receipt of a written disbursement request submitted by the Recipient and provided the applicable requirements as regards reporting and information as to the date of request have been satisfied. Each disbursement request should include information on the Procurement Plan, the budget and list activities to be implemented for the requested amount.

Section 5.04 All payments of the Grant proceeds shall be made in US Dollars, to the following bank account:



This section has been redacted in accordance with the GCF Information Disclosure Policy.

ARTICLE VI
Audits; Other Covenants

Section 6.01. The Recipient shall (i) have Financial Statements audited annually by independent auditors whose qualifications, experience and terms of reference are acceptable to the Fund, in accordance with international standards for auditing or the national equivalent acceptable to the Fund; (ii) as part of each such audit, have the auditors prepare a report (which includes the auditors' opinion on the Financial Statements, use of the Grant proceeds and compliance with the covenants of this Grant Agreement); and (iii) furnish to the Fund, no later than 3 months after the completion of the Readiness Support, copies of such audited Financial Statements and audit report, all in the English language, and such other information concerning these documents and the audit thereof as the Fund may from time to time reasonably request.

Section 6.02. For the purposes of Section 7.07 of the Standard Conditions, the Recipient shall submit, or cause to be submitted, to the Fund (i) Readiness Reports as follows: an Interim Progress Report within four (4) months from the Effective Date, ten (10) months from the Effective Date, and fifteen (15) months from the Effective Date; and (ii) the Completion Report(s) no later than three (3) months from the completion of activities.

Section 6.03. In addition to the reports and information set forth in Sections 7.07 and 7.08 of the Standard Conditions, the Recipient shall furnish, or cause to be furnished, to the Fund all such reports and information as the Fund shall reasonably request concerning the Readiness Support.

Section 6.04. In implementing the Readiness Support activities, the Recipient shall ensure that there are no adverse environmental and/or social risks and/or impacts within the meaning of the Environmental and Social Safeguards. If, during the course of implementation, such risks and/or impacts arise, the Recipient shall inform the Fund promptly, and comply forthwith with the requirements set forth in the Environmental and Social Safeguards.

Section 6.05. The Recipient shall use its best efforts to secure recognition of the Fund by the Host Country, through a bilateral agreement or other arrangement, as an international institution to which the privileges, immunities and exemptions normally granted to international organizations apply.

ARTICLE VII
Miscellaneous

Section 7.01. The General Director of National Agency on Climate Change is designated as the Recipient's Representative for the purposes of Section 21.02 of the Standard Conditions.

Section 7.02. Any notice, requests including disbursement requests, documents, reports or other communication required or permitted to be given or made under the Grant Agreement, and any other agreement between any of the parties contemplated by this Grant Agreement, shall be in writing, and furthermore, shall be delivered by hand or by facsimile or email to the party to which it is required or permitted to be given or made to the following addresses:

For the Recipient

Agence Nationale des Changements Climatiques (ANCC)

127, Didouche Mourad

Algiers Algeria

Tel/Fax 213-23-77-78-86/94

Direction.general@ancc.dz

www.ancc.dz

For the Fund

Director Country Programming

Green Climate Fund

175, Art Center-daero

Yeonsu-gu, Incheon 22004

Republic of Korea

+82 32 458 6092

countries@gcfund.org

**ARTICLE VIII
Confidentiality**

Section 8.01. The Parties undertake that no party shall at any time disclose to any person any Confidential Information except as permitted by Section 8.02.

Section 8.02. Each Party may disclose the other Party's Confidential Information to its employees, officers, representatives, consultants or advisers, and in the case of the Fund, its Board members, alternate Board members and their advisers, who need to know such information for the purposes of exercising the Party's rights or carrying out its obligations under or in connection with this Grant Agreement. Each Party shall ensure that its employees, officers, representatives, consultants or advisers to whom it discloses the other Party's confidential information comply with this Section 8 and the Fund shall ensure that its Board members, alternate Board members or their advisors shall also comply with this Section 8, provided, however, that the Recipient acknowledge and agree that Board members, alternate Board members and their advisers may provide Confidential Information to the governments of the countries in their respective constituencies in the course of their official duties for their respective home country pursuant to the policy on ethics and conflicts of interest for the Fund's Board, as may be amended from time to time.

Section 8.03. The provisions of this Section 8 shall not apply to any information, including Confidential Information, that:

- (a) Is or becomes generally available to the public (other than as a result of its disclosure by the receiving Party or its representatives in breach of this Section 8);
- (b) Was available to the receiving Party on a non-confidential basis before disclosure by the disclosing Party;
- (c) Was, is or becomes available to the receiving Party on a non-confidential basis from a person who, to the receiving Party's knowledge, is not bound by a confidentiality agreement with the disclosing party or otherwise prohibited from disclosing the information to the receiving Party;
- (d) The receiving Party is required to produce by any court, governmental or regulatory body or pursuant to any law, legal process, regulation, or governmental order, decree or rule, or which is necessary or desirable for the receiving Party to disclose in connection with any proceeding in any court or tribunal or before any regulatory authority in order to preserve its rights, provided that, prior to disclosing such information, the receiving Party provides

prior written notice to the disclosing Party and advises the court, governmental authority, regulatory body or other entity requesting the disclosure that the disclosing party (i) in case of the Recipient, is a subsidiary organ of the United Nations, (ii) in case of the Fund, is an international organization, and, in both cases, as such has privileges and immunities, and requests that such court, governmental authority, regulatory body or other entity requesting the disclosure to contact the Recipient or the Fund, as the case may be, directly;

- (e) The Parties agree in writing is not confidential or may be disclosed; or
- (f) Is developed by or for the receiving party independently of the information disclosed by the disclosing party.

Section 8.04. No Party shall use the other Party's Confidential Information for any purpose other than to exercise its rights and perform its obligations under or in connection with this Grant Agreement, without obtaining the prior written consent of the other Party.

Section 8.05. Pursuant to the Information Disclosure Policy, the Fund may make publicly available certain information which is not marked confidential and update such information periodically as required by the Information Disclosure Policy.

Section 8.06. The obligations of confidentiality contained in this Section 8 shall continue for a period of two years from the date of disclosure. If requested by the disclosing Party, the receiving Party shall return to the disclosing Party all Confidential Information in written form or destroy or (to the extent technically practicable) permanently erase all Confidential Information provided to the receiving Party in written or electronic form save to the extent that Confidential Information which the receiving Party is required to retain by applicable law, rule or regulation, or if such information is contained in any computer records or files which have been created pursuant to the receiving Party's automatic archiving and back-up procedures, in which case, the Confidential Information retained shall continue to be kept confidential in accordance with the terms of this Section 8 in which case the provision on expiration of the confidentiality obligation shall not apply.

IN WITNESS WHEREOF the parties hereto, acting through their representatives thereunto duly authorized, have caused this Grant Agreement to be signed in their respective names as of the day and year first above written and to be delivered at the principal office of the Fund.

NATIONAL AGENCY ON CLIMATE CHANGE

By _____

Mr. Boukadoum Abderrahmane
General Director

الوكالة الوطنية للتغيرات المناخية
المدير العام بالنيابة

السيد: بوقادوم عبد الرحمان

GREEN CLIMATE FUND

By _____

Mr. Pa Ousman Jarju
Director, Division of Country Programming

ANNEXURE 1
Approved Proposal for the Readiness Support

| SECTION 1: SUMMARY | |
|--|--|
| 1. Country submitting the proposal | Country name: People's Democratic Republic of Algeria Name of institution representing National Designated Authority (NDA) or Focal Point: Ministry of Environment and Renewable Energies Name of NDA/Focal Point: Messaoud Tebani Position: General Director Telephone: +213555005927 Email: direction.generale@ancc.dz Full office address: 4, rue des quatre canon, Alger Centre Algiers Algeria |
| 2. Date of initial submission | 23/07/2017 |
| 3. Last date of resubmission (if applicable) | DD/MM/YYYY |
| 4. Which entity will implement the Readiness and Preparatory Support project? (Provide the contact information if entity is different from NDA/focal point) | <input type="checkbox"/> National Designated Authority <input type="checkbox"/> Accredited Entity <input checked="" type="checkbox"/> Delivery Partner Name of institution: National Agency on Climate Change Name of official: Boukadoum Abderrahmane Position: General Director Telephone: 213661272268 Email: direction.general@ancc.dz Full Office address: 127, Didouche Mourad Algiers Algeria |
| 5. Title of the Readiness and Preparatory Support Proposal | <input checked="" type="checkbox"/> Readiness <input type="checkbox"/> Establishing and strengthening national designated authorities or focal points <input type="checkbox"/> Strategic frameworks, including the preparation of country programmes |
| 6. Brief summary of the request (200 words) | Algeria is highly vulnerable to climate change. Even a small rise in temperature would lead to various socio-economic problems that hinder the development of the country. The models predict that rainfall events are less frequent but more intense, while droughts are more common and longer. Algeria's INDC covers the 2021-2030 period. It involves mainly the sectors of energy, industry, transport, agriculture and forestry, construction and the environment. Algeria lacks the technical capacity and financial resources to overcome and cope with the anticipated changes associated with its vulnerability to climate change. The Green Climate Fund (GCF) Readiness Programme activities will contribute to strengthening Algerian technical and coordination capacity, with a view to enhancing its access to adequate climate financing to address these challenges. As a result of the readiness programme activities, it is expected that an appropriate NDA arrangement is identified, and its institutional capacities are built to effectively fulfil its roles and responsibilities in relation to the Fund. The programme will also facilitate the development, through a stakeholder engagement process, of a country programme, which will include programming priorities and programme/project concepts. |
| 7. Total requested amount and currency | US\$300.000 |
| 8. Anticipated duration | 01/01/2018 – 31/12/2020 (24 months) |
| 9. Is the country receiving other Readiness and Preparatory Support related to the GCF? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

SECTION 2: COUNTRY READINESS LOGICAL FRAMEWORK

Please complete the table below, which enables a country to assess its readiness for the GCF and set targets for strengthening its readiness, including proposed outputs and activities to improve the country's readiness. For further guidance on completing the table, please refer to the guidebook "Assessing the GCF Readiness and Preparatory Support Programme".

| OUTCOMES ¹ | BASELINE | TARGET | ACTIVITIES <i>(including key outputs or deliverables where applicable)</i> |
|---|---|---|---|
| 1. Country capacity strengthened | 0 | 7 | Note to the GCF: detailed activities and outputs/deliverables are presented separately in the file "Algeria Implementation Schedule.doc", along with an implementation schedule. |
| 1.1 NDA/focal point lead effective coordination mechanism | X 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 X 2 | <ul style="list-style-type: none"> - Raise awareness about the GCF and identify an appropriate institutional arrangement that can facilitate collaboration between the country and the Fund; - Build the capacity of the FP/NDA to engage stakeholders and organizing multi-party Consultations Outputs: workshop reports and report on activities relating to the preparation of the institutional arrangements (for the workshops, see footnotes 4 and 5 in the budget below) |
| 1.2 No objection procedure established and implemented | X 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 X 2 | <ul style="list-style-type: none"> - Strengthen the knowledge and capacities of the FP/NDA coordination team to fulfil its roles and responsibilities - Define and operationalize a no-objection procedure specific to the Fund Outputs: Procedural document for reviewing proposals and issuing no-objection letters + training materials |
| 1.3 Bilateral agreements between the country and the GCF executed | X 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 X 1 <input type="checkbox"/> 2 | <ul style="list-style-type: none"> - Ongoing discussions with the GCF Sec on the bilateral agreement |
| 1.4 Monitoring, oversight and streamlining of climate finance | X 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 X 2 | <ul style="list-style-type: none"> - Coordinate and liaise with other ministries, relevant departments and agencies on key issues relating to the GCF (Activity 2.1 in the implementation schedule) Note: consultants recruited under activities 2.2 and 2.3 will have some analytical work in their TORs for identifying existing climate finance flows in Algeria and providing some guidance for better oversight and streamlining of these climate finance flows. |
| 2. Stakeholders engaged in consultative processes | 0 | 7 | |
| 2.1 Stakeholders engaged in consultative processes | X 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 X 2 | <ul style="list-style-type: none"> - Organizing a stakeholder consultation process for the development of the country Programme |
| 2.2 Country programmes, including adaptation priorities, developed and continuously updated | X 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | 0 <input type="checkbox"/> 1 X 2 | <ul style="list-style-type: none"> - Identify/update adaptation and mitigation priorities of the Government and stakeholders, including projects and/or priorities which could be financed by the Fund; - Identifying a list of programmes and projects, including public-private partnerships, consistent |

¹ Based on decisions: B.08/10, annexes XII, XIII & XIV; B.08/11; B.11/10, annex I; B.12/20, annex I

| | | | |
|--|--|---|---|
| | | | with the Fund's initial investment framework; - Elaboration of Algeria's Country Programme, taking into account outcomes of all activities above. - Development, in coordination with key stakeholders, of the inputs required to inform Algeria's preparation of a NAP readiness request for the GCF. Outputs: Adaptation planning priorities that are a good match for GCF Readiness are identified and inform the preparation of a NAP readiness request + Government's mitigation and adaptation priorities identified/updated + country programme |
| 2.3 Stakeholder consultations conducted with equal representation of women | X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | 0 X1 <input type="checkbox"/> 2 | - Organizing trainings, workshops, and consultations with relevant non-state actors from the civil society, academia and private sector (including micro, small, medium enterprises) on GCF issues, including for the identification of national or regional entity positioned for accreditation by the Fund Output: Reports of stakeholders engagement sessions with a focus on equal representation of women |
| 2.4 Annual participatory review of GCF portfolio in the country organized | X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 X2 | |
| 3. Direct access realized | 0 | 4 | |
| 3.1 Candidate entities identified and nominated for accreditation | X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 X1 <input type="checkbox"/> 2 | - Identifying the roles of prospective public and private sector entities that may be accredited by the Fund in implementing Algeria's programming priorities with respect to the Fund |
| 3.2 Direct access entity accredited | X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 X1 <input type="checkbox"/> 2 | - At least one entity will be identified and nominated for accreditation. |
| 3.3 Entity/ies annual/multi-annual work programme developed | X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 X1 <input type="checkbox"/> 2 | - Identify relevant regional and/or international bodies already accredited by the Fund that can support the country to develop a priority project for submission to the Fund by the end of the year; |
| 3.4 Funding proposals through enhanced direct access modality approved | X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 X1 <input type="checkbox"/> 2 | - As a result of the activities carried out under this outcome, Algeria will have all inputs required to prepare a formal readiness request to support the accreditation of the nominated national entity. Outputs: one national entity nominated and as a result, formal readiness request prepared to support its accreditation |
| 4. Access to finance | 0 | 5 | |
| 4.1 Structured dialogue between the NDA/Accredited Entities and the GCF Secretariat organized | X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 X2 | - Readiness activities will help us to improve the existing dialogue with all stakeholders before identifying projects and programs to be submitted to GCF in a financial request. - But during the readiness program, we can update the priorities of Algeria both for mitigation and adaptation |
| 4.2 Country programmes, concept notes, including on adaptation, developed that implement high-impact priorities identified in INDCs and other national strategies or plans | X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 | <i>Note: Activities 2.2, 2.3.1, 2.6 and 2.7 in the implementation schedule will help deliver on this outcome.</i> |
| 4.3 Project/programme preparation support, including for adaptation, to develop funding proposals provided | X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 | |
| 4.4 Funding proposals, including for adaptation, that are aligned with country priorities approved | X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 | |
| 5. Private sector mobilization | 0 | 4 | |
| 5.1 Private sector engaged in country consultative processes | X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 | <input type="checkbox"/> 0 <input type="checkbox"/> 1 X2 | |

| | | | |
|--|---|--|---|
| <p>5.2 Enabling environment for crowding-in private sector investments at national, regional and international levels exists</p> | <p>X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p><input type="checkbox"/> 0 <input type="checkbox"/> 1 X 2</p> | <p>- Organising trainings, workshops and consultations with relevant non-state actors from the civil society, academia and private sector (micro, small, medium enterprises) on GCF-related issues, including consultations on the development of a country programme. - Training to help the private sector, including micro, small and medium-sized enterprises in the formulation of projects to be submitted to GCF</p> <p><i>Note: Activities 1.3, 1.4, 2.1 and 2.4 in the implementation schedule will help deliver on this outcome.</i></p> |
| <p>5.3 Funding proposals for private sector projects/programmes, including for adaptation, approved</p> | <p>X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p>X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2</p> | |
| <p>5.4 Funding proposals under the Private Sector Facility programmes (small- and medium-sized enterprises and mobilizing funds at scale) submitted and approved</p> | <p>X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2</p> | <p>X0 <input type="checkbox"/> 1 <input type="checkbox"/> 2</p> | |
| <p>TOTAL</p> | <p>0/40</p> | <p>27/40</p> | |

SECTION 3: ADDITIONAL INFORMATION

Please explain how this grant will help deliver on the country's readiness needs as identified above and build on institutions, processes or existing work already underway in the country (*maximum 500 words*)

The issue of climate change is in Algeria an important and new challenge. A large part of Algeria belongs to the Mediterranean basin which is a "hot spot" of climate change and should therefore be protected. Algeria, ratified in April 1993, the United Nations Framework Convention on Climate Change (UNFCCC), and fully subscribes to commitments relating to the stabilizing emissions of greenhouse gases to prevent anthropogenic interference with the climate system. By adhering to the Kyoto Protocol in 2005, Algeria has shown its determination to participate in the international effort against climate change and its potential impacts on water resources, natural ecosystems and the sustainability of economic development. All socio-economic sectors and institutions are gradually incorporating aspects related to climate change, particularly in the search for ways of adaptation and mitigation. With no historical responsibility for climate change, any national effort of mitigation should not be economically restrictive or menacing the right of Algeria to development. Algeria has developed an initial strategy against climate change and developed numerous projects for adaptation and mitigation of changes climate. The national strategy is based primarily on four areas: institutional strengthening, adaptation to climate change, mitigation of emissions of GHG and human capacity building. Its implementation mainly concerns the sectors of energy, industry, transport, waste, water resources, agriculture and forests. In this context, there was creation in 2005 of the National Agency for climate change and inventories of greenhouse gas (GHG) emission are carried out periodically.

Algeria also faces the challenges related to food security, to its ecosystems and agriculture resilience, to major risks and to natural resources scarcity. In addition, it shall satisfy the expanding needs of its growing population, in terms of employment, education, health and housing, while the economic and financial environment is aggravated by the significant drop in oil prices. It should be noted as well that Algeria is already contributing for several decades in the mitigation efforts through its energy mix, based essentially on natural gas. The development of its electricity generation capacity is largely based on combined-cycle power plants. A hybrid power plant, combining solar energy and natural gas, is already operational. Besides, Algeria's efforts to reduce gas flaring emissions are to be pursued and sustained. Algeria's mitigation strategy covers mainly energy, forests, housing, transport, industry and waste sectors. It is based in particular on the national programs for renewable energy and energy efficiency. This reflects its willingness to pursue its efforts in combating the adverse impacts of climate change. Such programs shall be pursued and sustained so long as Algeria benefits from international support in terms of new and external financial resources, and technology transfer, and capacity building.

As the GCF Focal Point was only appointed a couple of months ago, he has to go through a steep learning curve in order to effectively fulfil the roles and responsibilities of being an interface between the country and the GCF. In addition, it should be noted that Algeria has not mobilized a lot of international climate finance so far and has little expertise in attracting such financing; therefore the readiness activities will increase the capacity of the Algeria stakeholders in mobilizing such financing in order to face the increasing climate challenges in the country. Hence the GCF readiness support will allow the country to overcome and cope with the anticipated challenges associated with climate change, effectively coordinating the various stakeholders in order to develop a country programme. The readiness support will enable the country to access technical support to take advantage of, and learn from, some of the tools and best practices developed by the Fund (such as the recently developed initial best practices options for country coordination and multi-stakeholder engagement). Algeria therefore seeks readiness support for activity 1 (strengthening its Focal Point) and activity 2 (developing a framework of engagement of the Fund) in order to strengthen the capacity of its Focal Point (and NDA when nominated) and help in identifying a suitable institutional arrangement for engaging with the Fund, as well as developing a country programme, which will include adaptation and mitigation programming priorities.

SECTION 4: BUDGET, PROCUREMENT, IMPLEMENTATION AND DISBURSEMENT

4.1. Budget Plan

Please provide a breakdown of cost estimates and implementation schedule analysed according to the activities suggested in Section 2. Please feel free to replicate this table on Excel spreadsheet if needed.

| OUTCOMES (same as in section 2) | ACTIVITIES (same as in section 2) | TOTAL COST (per activity) | COST CATEGORIES | | | | EXPENDITURE AND IMPLEMENTATION SCHEDULE (add columns if >24 months) | | | | | |
|---|--|------------------------------|-----------------|--------|-------------------------|--------|--|-----|-------|-------|------|--|
| | | | Consultants | Travel | Workshops/ Trainings | Others | 6m | 12m | 18m | 24m | | |
| Outcome 1 NDA capacity to undertake Fund-related responsibilities and engage national stakeholders strengthened | 1.1 Identify the appropriate institutional arrangements and procedures that will support collaboration between the Government and the GCF | 13500 ² | 13500 | | | | | | | | | |
| | 1.2 Strengthen the knowledge of the NDA coordination team to fulfil its roles and responsibilities and to strengthen the operational capacity of the NDA coordination team | 33500 ³ | 22550 | 2950 | 8000 | | | | 19650 | 10250 | 3600 | |
| | 1.3 Build the capacity of the NDA to engage stakeholders and organise multi-party consultations | 13000 ⁴ | 3000 | | 10000 | | | | 13000 | | | |

² 1 national consultant, 45 business days at USD 300

³ 1 workshop (30 participants, 3 days, per diems, 2 coffee breaks/day, 1 lunch/day) = USD 8,000 - Consultancy (1 international consultant), 17,5 business days works (4.5 days of preparation + 3 days of workshop + 10 days follow up training seminars on demand) USD 500 *17,5 days = USD 8,750 - Travel (air ticket for the international consultant) = USD 2,500 and DSA (3 days in Algeria) = USD150 *3 days = USD 450 - 1 national consultant will be recruited to assist the NDA in readiness implementation with dedicated TORs (USD 600 each by month, 18 months of the project duration = USD 10,800) - 1 translation firm to translate key deliverables in French/English/Arabic = USD 300*10 = USD 3000

⁴ 1 workshop (30 participants, 5 days, per diems, 2 coffee breaks/day, 1 lunch/day) = USD 2000 per day*5 days = USD 10,000 - Consultancy (1 national consultant, 10 business days at USD 300) = USD 3000

| | | | | | | | | | |
|--|--|--------------------|-------|-------|-------|-------|-------|--|-------|
| Outcome 2 Strategic framework for engagement with the Fund developed | 1.4 Organising trainings, workshops and consultations with all stakeholders on GCF-related issues. | 24000 ⁵ | | 24000 | 12000 | 12000 | | | |
| | 2.1 Coordinate and liaise with other ministries, relevant departments, agencies and all other stakeholders on key issues relating to the GCF, including consultations on the development of a country programme. | 30600 ⁶ | 600 | 24000 | 6000 | 18600 | 6000 | | 6000 |
| | 2.2 Update the government's mitigation and adaptation priorities and draft the country programme | 36200 ⁷ | 20000 | 7700 | 8500 | 15000 | 5000 | | 16200 |
| | 2.3 Identifying an initial list of programmes and projects, including public-private partnerships and draft at least 4 concept notes | 65000 ⁸ | 48000 | 14000 | 3000 | 10000 | 35000 | | 20000 |
| | 2.4 Identifying opportunities to engage micro, small and medium-sized enterprises, and to leverage their capacity to implement programming priorities | 6000 ⁹ | | 6000 | | | 6000 | | |
| | 2.5 Identifying the roles of prospective public and private sector entities that may be accredited | 3000 ¹⁰ | | 3000 | | | 3000 | | |
| | 2.6 Identifying how the GCF can build on the ongoing work of other development partners in the country, and deliver its support in a | 4500 ¹¹ | | 4500 | | 1500 | 1500 | | 1500 |

⁵ 4 training workshops (60 participants each, 2 coffee breaks/day, 1 lunch/day) = USD 6000 per workshop * 4 = USD 24 000 – Note: consultants from footnotes 7 and 8 below will be used for the trainings workshops

⁶ 4 thematic workshops (energy, agriculture, waste and "others") (60 participants each, 4 days with 1 day per focused group, 2 coffees breaks/day, 1 lunch/day) = USD 6,000 per workshop * 4 = USD 24,000 - Conception and printing of the communication tools (250 USB keys, 250 flyers, 1 internet webpage, 2 banners, etc.) – Conception is USD 600 and printing is USD 3* 2,000 = USD 6,000

⁷ Consultancy (1 international consultant, 40 business days at USD 500) = USD 20,000 - Travel (air ticket for the international consultant) = USD 2,500 and DSA (40 days in Algeria* USD 150 = USD 6,000) - Validation workshop on country M&A priorities (80 participants, 1 day, 2 coffee breaks/day, 1 lunch/day) = USD 7,700

⁸ 2 international consultants, one for each priority sector (energy/waste, agriculture/"others"), 30 business days per consultant (USD 500 * 2 * 30 days = USD 30,000) - Travel (air ticket for the international consultant = USD 2,500 * 2 = USD 5,000) and DSA (30 days in Algeria = USD 150 * 30 * 2 = USD 9,000) - 2 national consultants to support 2 international consultants, 30 business days per consultant (USD 300* 2 * 30 = USD 18,000) - Print-outs of programme/project catalogues (100 pages each) (USD 60 * 50 = USD 3,000)

⁹ 1 workshop (60 participants, 1 day, 2 coffee breaks/day, 1 lunch/day)

¹⁰ 1 workshop (30 participants, 1 day, 2 coffee breaks/day, 1 lunch/day)

¹¹ Coordination meetings (10 participants, 1 coffee break), every quarter (USD 500 * 6 = USD 3,000) - Steering committee meetings, one every semester (10 participants, 1 coffee break), every semester (USD 500 * 3 = USD 1,500)

| | | | | | | | | | | | | | |
|--|---|---------------------|---------------|--------------|---------------|--------------|--------------|---------------|--------------|--------------|--|-------|--|
| | manner complementary to the efforts of other partners. | 23200 ¹² | 10000 | 5500 | 7700 | | | | | | | 10000 | |
| | | | | | | | | | | | | 13200 | |
| | 2.7 Elaboration of Algeria's Country Programme, taking into account outcomes of all activities above. | 252500 | 117650 | 30950 | 94900 | 9000 | 80650 | 101350 | 57300 | 13200 | | | |
| | Audit | 8000 | | | | | | 4000 | | 4000 | | | |
| | CONTINGENCY (UP TO 5% OF TOTAL ACTIVITIES) | 12300 | 4000 | 4000 | 2000 | 2300 | 2000 | 2000 | 3000 | 5300 | | | |
| | DELIVERY PARTNER FEE (UP TO 10% OF TOTAL ACTIVITIES) | 27200 | 10000 | 5000 | 10000 | 2200 | 5000 | 3000 | 3000 | 16200 | | | |
| | TOTAL | 300000 | 131650 | 39950 | 106900 | 13500 | 87650 | 110350 | 63300 | 38700 | | | |

¹² * 1 international consultant, 20 business days (USD 500 * 20 = USD 10,000) - Travel (air ticket for the international consultant = USD 2,500) and DSA (20 days in Algeria = USD 150 * 20 = USD 3,000) - CP validation workshop (80 participants, 1 day, 2 coffee breaks/day, 1 lunch/day) = USD 7,700

| 4.2. Procurement Plan | | | | | | |
|--|---|------------------------|-----------------------|---|--------------------------------|---------------------------------|
| For goods, services, and consultancies to be procured, please list the items, descriptions in relation to the activities in section 2, estimated cost, procurement method, relevant threshold, and the estimated dates. Please include the procurement plan for at least the first tranche of disbursement requested below. Also, please feel free to replicate this table on Excel spreadsheet if needed. | | | | | | |
| ITEM | ITEM DESCRIPTION | ESTIMATED COST (US \$) | PROCUREMENT METHOD | THRESHOLDS (Min-Max monetary value for which indicated procurement method must be used) | ESTIMATED START DATE | PROJECTED CONTRACTING DATE |
| Goods and Non-Consulting Services | | | | | | |
| | Provision of hotel services for workshops and meetings and trainings including hall rent, Catering (meals, lunches, tea and coffee breaks), Provision of workshops/meeting material | 94,900 | Open tender | (>7 000 000 DA equiv. USD 65,000) | January 5 th ,2018 | mars 5 th 2018 |
| | Communication Materials | 9,600 | Request for quotation | (≤7 000 000 DA equiv. USD 65,000) | January 5 th , 2018 | February, 23 th 2018 |
| | Airfare tickets international consultants | 12,500 | Direct Procurement | | | |
| | SUB-TOTAL (US \$) | 119,000 | | | | |
| Consultancy Services | | | | | | |
| | 1.2 Hiring an international consultant to Strengthen the knowledge of the NDA coordination team to fulfil its roles and responsibilities | 8,750 | Request for quotation | < DA 4 500 000 (equiv. USD 42,000*) | January,2018 | March, 23 th 2018 |
| | 2.2 Hiring an international consultant to update the government's mitigation and adaptation priorities | 20,000 | Request for quotation | < DA 4 500 000 (equiv. USD 42,000*) | April,2018 | June 2018 |
| | 2.3 Hiring an international consultant to identify an initial list of programmes and projects, including public-private partnerships energy/waste priority sector | 15,000 | Request for quotation | < DA 4 500 000 (equiv. USD 42,000*) | June,2018 | September 2018 |
| | 2.3 Hiring an international consultant to identify an initial list of programmes and projects, including public-private partnerships agriculture and others priority sector | 15,000 | Request for quotation | < DA 4 500 000 (equiv. USD 42,000*) | June,2018 | September 2018 |
| | 2.7 Hiring an international consultant to elaborate the Algerian's Country Programme, taking into account outcomes of all activities above | 10,000 | Request for quotation | < DA 4 500 000 (equiv. USD 42,000*) | January,2019 | March 2019 |
| | 1.1 Hiring a national consultant to identify the appropriate institutional arrangements and | 13,500 | Request for quotation | < DA 4 500 000 (equiv. USD 42,000*) | January,2018 | March 2018 |

| | | | | | | | | | |
|--|---|---------|-----------------------|--|----------------|----------------|--|--|---------|
| | procedures that will support collaboration between the Government and the GCF | | | | | | | | |
| | 1.2 Hiring a national consultant to Strengthening the knowledge of the NDA coordination team to fulfil its roles and responsibilities and to strengthening the operational capacity (e.g. availability of proper IT infrastructure...) of the NDA coordination team | 10,800 | Request for quotation | < DA 4 500 000 (equiv. USD 42,000*) | January,2018 | March 2018 | | | |
| | 1.2 Hiring a translation firm to Strengthening the knowledge of the NDA coordination team to fulfil its roles and responsibilities and to strengthening the operational capacity (e.g. availability of proper IT infrastructure...) of the NDA coordination team | 3,000 | Request for quotation | < DA 4 500 000 (equiv. USD 42,000*) | February,2018 | April 2018 | | | |
| | 1.3 Hiring a national consultant to build the capacity of the NDA to engage stakeholders and organise multi-party consultations | 3,000 | Request for quotation | < DA 4 500 000 (equiv. USD 42,000*) | February ,2018 | April 2018 | | | |
| | 2.3 Hiring a national consultant to Identify an initial list of programmes and projects, including public-private partnerships energy/waste priority sector | 6,000 | Request for quotation | < DA 4 500 000 (equiv. USD 42,000*) | June,2018 | September 2018 | | | |
| | 2.3 Hiring a national consultant to Identify an initial list of programmes and projects, including public-private partnerships agriculture/"others" priority sector | 6,000 | Request for quotation | < DA 4 500 000 (equiv. USD 42,000*) | June,2018 | September 2018 | | | |
| | Audits | 8000 | Request for quotation | Two audits will be realized: the first one will be realized at the end of the first year; and the second one will be realized at the end of the project. Two tenders will be launched. The last one will be launched three months before project completion | | | | | |
| | SUB-TOTAL (US \$) | 102,700 | | | | | | | 221,700 |
| | TOTAL COST (US \$) | | | | | | | | |

4.3. Disbursement schedule

Tranche 1: USD 60,000 (Sixty thousand US Dollars) only will be disbursed upon or after effectiveness of the Grant Agreement and also upon fulfilment of the disbursement conditions specified in the Grant Agreement and Standard Conditions. TORs for the consultants to be recruited on this tranche should also be provided with the disbursement request.

Tranche 2: USD 60,000 (Sixty thousand US Dollars) only, will be transferred (provided that at least 70% of the 1st Tranche has been incurred) no sooner than after 6 months of disbursement of the 1st Tranche and (i) upon submission of a semi-annual Progress report and of a Certified Financial Report, and (ii) upon fulfilment of the disbursement conditions specified in the Grant Agreement and Standard Conditions. TORs for the consultants to be recruited on this tranche should also be provided with the disbursement request.

Tranche 3: USD 60,000 (Sixty thousand US Dollars) only, will be transferred (provided that 100% of the 1st Tranche and at least 70% of the 2nd Tranche has been incurred) no sooner than after 12 months of disbursement of the 1st Tranche and upon submission of an Annual Progress report and of an Audit Report, and also upon fulfilment of the disbursement conditions specified in the Grant Agreement and Standard Conditions. TORs for the consultants to be recruited on this tranche should also be provided with the disbursement request.

Tranche 4: USD 60,000 (Sixty thousand US Dollars) only, will be transferred (provided that 100% of the 2nd tranche and at least 70% of the 3rd Tranche has been incurred) no sooner than after 18 months of disbursement of the 1st Tranche and (i) upon submission of a semi-annual Progress report and of a Certified Financial Report and (ii) upon fulfilment of the disbursement conditions specified in the Grant Agreement and Standard Conditions. TORs for the consultants to be recruited on this tranche should also be provided with the disbursement request.

Tranche 5 (Final): USD 60,000 (Sixty thousand US Dollars) only, will be transferred (provided that 100% of the 3rd Tranche and at least 70% of the 4th Tranche has been incurred) upon submission of a project completion report and final Audit Report. Submission of a completion and audit report will be furnished no later than three (3) months after the completion of the Readiness Support and also upon fulfilment of the disbursement conditions specified in the Grant Agreement and Standard Conditions.

Certified Financial Reports Schedule:

In addition to the commitments described in the Disbursement Schedule, the Delivery Partner also ensures that certified financial reports are prepared and presented to the Secretariat of the GCF every 3 months following disbursement of Tranche 1. The Secretariat may, at its sole discretion, delay the disbursement of Tranches 2 to 5 until these relevant reports have been presented in form and substance acceptable to the GCF.

4.4. Additional information

In order to manage the financial resources, two staff of the ANCC will be in charge of the readiness projects: the head of the Finance department of ANCC, as well as a qualified accountant with sufficient accounting experience. Both of them will ensure efficient management of financial resources and will report to the Director of ANCC, and inform decisions taken by the Steering committee.

The ANCC currently does not have an internal policy for administration of financial resources provided by development partners. However, the ANCC wishes to benefit from the Delivery Partner fee in the amount of USD 27 200 in order to cover for the following expenses related to the efficient management of the grant resources: i) staff costs of the ANCC staff who will be part of the Project Management Unit over the lifetime of the project [for their time dedicated to managing the readiness project only = USD 9000]; ii) office equipment [no more than USD 3200 over 24 months]; iii) short trainings to ANCC/PMU staff in order to build capacity for efficient management of financial resources provided by external partners [3 trainings over the project duration*USD4000= USD12000]; iv) recruitment of a national consultant who will be in charge of documenting the procurement procedures of ANCC [USD300*10 days= USD3000].

SECTION 5: IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

5.1. Please attach an “implementation map” or describe how funds will be managed by the NDA/FP or delivery partner

If the entity implementing the readiness support is not an accredited entity of the GCF, please complete the [Financial Management Capacity Assessment \(FMCA\)](#) questionnaire and submit it with this proposal.

The implementation of the readiness program will be conducted under the leadership of the Ministry of Environment and Renewable Energy which is the NDA/FP of Algeria.

The ANCC will serve as the delivery partner under the leadership of the NDA/FP. With the guidance of the NDA/FP, ANCC will hire the consultants and procure other services to deliver as presented in this Readiness Request. The ANCC, under the guidance of the NDA/FP, will competitively procure services consistent with its procurement policies :

- The terms of reference of consultants will be developed under the guidance of the NDA to include the tasks outlined in the requested activities above.
- Items such as venues and travel for stakeholder workshops to be organized will also be procured consistent with the ANCC's procurement policies.

A Project Management Unit (PMU) will be established to manage directly all activities related to the implementation of the readiness program. The NDA/FP will be assisted by one national consultant, which TORs will be prepared and submitted at the same time of the first disbursement request. The PMU will hence be composed of the following members:

- Project Manager – NDA/FP to the Green Climate Fund for Algeria – Leadership/oversight/validation
- Project Coordinator – General Director of the National Agency on Climate Change (ANCC staff) – Delivery Partner role focused on procurement and financial management
- Readiness Technical Advisor – national consultant recruited with the resources the readiness grant – Day to day management of readiness activities to ensure delivery as planned in the implementation schedule
- Communication specialist (ANCC staff) – supporting communication/information/awareness raising activities
- Monitoring and evaluation specialist (ANCC staff) – following monitoring of deliverables
- 2 Finance Management Specialists supporting the financial management function and audit - (ANCC staff)

The project oversight will be done by the project steering committee which will be composed of the following members:

- NDA/FP to the Green Climate Fund for Algeria
- General Director of the National Agency on Climate Change (also Delivery Partner)
- National Focal Point to the UNFCCC-Algeria – Ministry of Foreign Affairs
- Director of Climate Change in the Ministry of Environment
- Director of Cooperation in the Ministry of Environment

The steering committee will meet every 6 months (4 times during the project) and will be in charge of ensuring high quality of the project deliverables (technical validation) and guide the implementation for the semester to come. The meetings will be chaired by the NDA/FP to the Green Climate Fund for Algeria ; the Director of ANCC – as Delivery Partner – will have to update the Steering committee on progress done for procurement and delivery of services. The Monitoring and evaluation specialist in the PMU will monitor achievements/results.

5.2. Other relevant information

Please see implementation schedule which follows.