

Annex 10a

Procurement Plan

to the GCF Funding Proposal

*Land-based Mitigation and Adaptation through a Jurisdictional
Approach in West Kalimantan*

23/07/2024

Version 4.0

Submitted by:

Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ GmbH)

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Procurement Plan¹

I. General

1. **Project information:** Land-based mitigation and adaptation through a Jurisdictional Approach in West Kalimantan (Indonesia)
2. **Version of the Plan:** Version 04, dated **23.07.2024**
3. **Approval Date of the procurement Plan:** n/a
4. **Date of General Procurement Notice:** n/a
5. **Period covered by this procurement plan:** First 18 months of project implementation **01/2025-06/2026**
6. **Other Arrangements:** The project will provide grants to Government, Civil Society, Private Sector and regional organizations. The Accredited Entity's approved Procurement and Consultant Guidelines reviewed and accepted by the Fund will apply under these grants. Procurement will be carried out by Grant Recipients themselves and procurement plan will be agreed between the AE and Grant Recipients.

¹ The EEs are responsible for the procurement procedures and determine the procurement method during the project implementation. The details of the procurement plan will be filled in for procurements done from GIZ as AE and/or EE. Further/Detailed information from the EEs are available after conclusion of the Grants and start of the Implementation.

7. GIZ Specification:

- **GIZ as Accredited and Executing Entity (AE/EE):** GIZ will conduct the procurements according to the regulations for public procurement (German law/EU law) – the table below can get adapted from time to time, due to legal changes:

Procurement type	Estimated Contract Value	Procedure	Approximate duration
Direct buying	up to EUR 1.000,00	<u>Direct buying</u> : without formal justification and without formal contract form	
small contracts	from EUR 1.000,01 up to EUR 7.999,99	<u>Direct award procedure</u> : usually limited to 1 contract for consultant/company per <u>Project Number (PN)</u>	2-4 weeks
small contracts	from EUR 8.000,00 up to EUR 20.000,00	<u>Simple negotiated procedure without a call for competition with at least three eligible bidders (3 options)</u> : <ul style="list-style-type: none"> • price quotation (100%) • CV / price assessment (70% / 30%) • Concept / CV / price assessment (35% / 35% / 30%) 	2-4 weeks
Tender below the EU threshold	from EUR 20.000,01 up to EUR 215.000,00	<ul style="list-style-type: none"> • <u>Negotiated Procedure Tender without a call for competition (shortlist) with 5 to 8 eligible bidders</u>: technical and price assessment (70% / 30%) • Public (Open) Tender Procedure: in case there is no knowledge of the relevant market; technical and price assessment (70% / 30%); no negotiation permitted 	8-10 weeks
Exception: Higher EU-thresholds of up to EUR 750.000,00 for HCD, legal advice and catering and up to EUR 5.382.000 for construction			
Tender above the EU threshold	from EUR 215.000,01	<u>Public (Open) Tender Procedure</u> <u>Restricted Negotiated Procedure</u> <u>Negotiated procedure with competitive tender</u>	5-9 months
	In justified exceptional cases: Direct order above EUR 1,000.00	In justified exceptional cases, while observing the principles of economic efficiency and cost-effectiveness: a direct order above EUR 1,000.00 from a specific contractor is possible without competitive tender. <u>For example</u> , a justified exception exists in the event of a unique selling point, i.e. if a single specific company is uniquely qualified to provide a particular service.	
Framework contracts	unspecified	Individual contracts using existing framework agreements	

- **Other Executing Entities (EEs):**

- The other EEs will sign a subsidiary contract (Grant Agreement) with GIZ as AE, based its standard operating procedures for contracts for financing.
- When awarding contracts for goods, works and consulting services to be financed in full or in part from the grant, the EE shall observe the regulations mentioned in the 'Procurement Guidelines for projects funded by GIZ' (Annex 10b). The procedures described in the Guidelines are contractually agreed minimum standards. In addition to these, the Recipient must also comply with all applicable statutory regulations under public (procurement) law.

Estimated Contract value	Procedure
up to EUR 1.000,00	Procurement is permitted without a tender procedure for the award of a contract but observing the principles of economic efficiency and cost-effectiveness.
over EUR 1,000.00 and up to EUR 20,000.00	Request for at least three candidates to submit offers
over EUR 20,000.00 and up to EUR 200,000.00	a) Public invitation to tender, or b) Limited invitation to tender after competitive tender for participation, or c) Limited invitation to tender without competitive tender for participation
over EUR 200,000.00	a) Public invitation to tender or b) Limited invitation to tender after competitive tender for participation
Exceptions	Procedure
In justified exceptional cases: Direct order above EUR 1,000.00	In justified exceptional cases, while observing the principles of economic efficiency and cost-effectiveness: a direct order above EUR 1,000.00 from a specific contractor is possible without competitive tender. <u>For example</u> , a justified exception exists in the event of a unique selling point, i.e. if a single specific company is uniquely qualified to provide a particular service.
Individual contracts using existing framework agreements between the Recipient and their suppliers of goods and services.	Existing framework agreements with the Recipient may be used, provided there is evidence of compliance with the minimum standards mentioned above (except direct order).

II. Goods, works and non-consulting services

- **Prior Review Threshold:** Procurement Decisions of the AE – see I general / 7. GIZ specification
- **Prequalification** (for complex Civil Works)
- **Procurement Packages (for Goods, works and non-consultancy services) with Methods and Time Schedule**

Ref. No.	Contract (Description)	Source of Funds	Planned vs Actual	Estimated Cost in EUR	Procurement Method	Pre qualification (yes/no)	Domestic Preference (yes/no)	Date of issuance of doc's approx. (Quarter/year)	Bid-Opening Date approx. (Quarter/year)	Date of contract /order signature approx. (quarter/year)	Comments
1	IT equipment Local Staff	GCF	Planned	71,381	<u>Negotiated Procedure Tender without a call for competition (shortlist)</u>	no	Yes	Q1/2025	Q1/2025	Q1/2025	Responsible EE: GIZ
			Actual								
2	IT equipment international staff	GCF	Planned	8,951	Existing framework agreement	no	no	Q1/2025	Q1/2025	Q1/2025	Responsible EE: GIZ
			Actual			no	no	n/a	n/a	Q1/2025	
3	It licenses	GCF	Planned	17,230	Framework contract						Responsible EE: GIZ
			Actual								
4	Office supplies	GCF	Planned	11,101	Simple negotiated procedure without a call for competition	no	Yes	Q1/2025	Q1/2025	Q1/2025	Responsible EE: GIZ

Ref. No.	Contract (Description)	Source of Funds	Planned vs Actual	Estimated Cost in EUR	Procurement Method	Pre qualification (yes/no)	Domestic Preference (yes/no)	Date of issuance of doc's approx (Quarter/year)	Bid-Opening Date approx (Quarter/year)	Date of contract /order signature approx. (quarter/year)	Comments
					with at least three eligible bidders (3 options)						
5	Office consumables	GCF	Actual Planned	11,101 (aggregated running costs of small amounts of under 1,000 Euros per item, during the 7 years of project implementation)	Direct buying	No	yes	n/a	n/a	Q1/2025	Responsible EE: GIZ
6	Office cleaning services	GCF	Actual Planned Actual	9,251	Direct buying	No	yes	n/a	n/a	Q1/2025	Responsible EE: GIZ

Ref. No.	Contract (Description)	Source of Funds	Planned vs Actual	Estimated Cost in EUR	Procurement Method	Pre qualification (yes/no)	Domestic Preference (yes/no)	Date of issuance of doc's approx. (Quarter/year)	Bid-Opening Date approx. (Quarter/year)	Date of contract /order signature approx. (quarter/year)	Comments
7	Office rent	GCF	Planned	51,395	Simple negotiated procedure without a call for competition with at least three eligible bidders	no	Yes	n/a	n/a	Q1/2025	Responsible EE: GIZ
8	Stakeholder engagement and other M&E related activities (e.g. workshops, focus groups)	GCF	Actual Planned	80,000	<u>Negotiated Procedure Tender without a call for competition (shortlist)</u>	no	yes	Q3/2025	Q3/2025	Q41/2025	Responsible EE: GIZ
9	Staff training	GCF	Actual Planned	6,118	Simple negotiated procedure without a call for competition with at least three eligible bidders	no	no	n/a	n/a	Q3/2025	Responsible EE: GIZ
10	Vehicles	GCF	Actual Planned	200.000	Public (Open)	no	Yes	Q1/2025	Q2/2025	Q2/2025	Responsible EE: GIZ

Ref. No.	Contract (Description)	Source of Funds	Planned vs Actual	Estimated Cost in EUR	Procurement Method	Pre qualification (yes/no)	Domestic Preference (yes/no)	Date of issuance of doc's approx. (Quarter/year)	Bid-Opening Date approx. (Quarter/year)	Date of contract /order signature approx. (quarter/year)	Comments
					Tender Procedure						
11	Running costs with Vehicles (e.g., insurance, fuel etc.)	GCF	Actual Planned	14.048	For insurance: <u>Simple negotiated procedure without a call for competition with at least three eligible bidders</u>	no	yes	Q1/2025	Q2/2025	Q2/2025	Responsible EE: GIZ
12	Monitoring Equipment/Software/system	GCF	Actual Planned	179,024	Negotiated Procedure Tender without a call for competition (shortlist) with 5 to 8 eligible bidders	no	No	Q2/2025	Q2/2025	Q3/2025	Responsible EE: GIZ
13	Team workshops (venue, catering etc.)	GCF	Actual Planned	2,117	Simple negotiated procedure without a call	no	yes	Q2/2025	Q3/2025	Q3/2025	Responsible EE: GIZ

Ref. No.	Contract (Description)	Source of Funds	Planned vs Actual	Estimated Cost in EUR	Procurement Method	Pre qualification (yes/no)	Domestic Preference (yes/no)	Date of issuance of doc's approx. (Quarter/year)	Bid-Opening Date approx. (Quarter/year)	Date of contract /order signature approx. (quarter/year)	Comments
					for competition with at least three eligible bidders						
			Actual								
14	Workshops material	GCF	Planned	4,112	Simple negotiated procedure without a call for competition with at least three eligible bidders	no	Yes	Q2/2025	Q3/2025	Q3/2025	Responsible EE: GIZ
			Actual								
15	Meetings and events with partners and beneficiaries (venue, catering etc.)	GCF	Planned	108,663	Negotiated Procedure Tender without a call for competition (shortlist) with 5 to 8 eligible bidders	no	yes	Q2/2025	Q3/2025	Q3/2025	Responsible EE: GIZ
			Actual								
16	Shipping costs contracts & shipping/transport	GCF	Planned	700	Direct buying	no	No	Q1/2025	Q1/2025	Q2/2025	Responsible EE: GIZ
			Actual								

Ref. No.	Contract (Description)	Source of Funds	Planned vs Actual	Estimated Cost in EUR	Procurement Method	Pre qualification (yes/no)	Domestic Preference (yes/no)	Date of issuance of doc's approx. (Quarter/year)	Bid-Opening Date approx. (Quarter/year)	Date of contract /order signature approx. (quarter/year)	Comments
17	t insurance on procured goods Training and coaching to ensure M&E system efficacy, including travel, materials, and ongoing support etc.	GCF	Planned	50,000	Negotiated Procedure Tender without a call for competition (shortlist)	No	Yes	Q3/2024	Q4/2025	Q1/2026	Responsible EE: GIZ
			Actual								
18	Speaker / advocate	GCF	Planned	2,338 (Aggregated costs of small individual contracts of under 1,000 Euro each)	Procurement without a tender procedure	no	yes	Q2/2025	Q2/2025	Q3/2025	Responsible EE: BPD LH
			Actual								
19	Events & trainings (venue, catering etc.)	GCF	Planned	2,036	Request for at least three candidates to submit offers	no	yes	Q1/2025	Q1/2025	Q1/2025	Responsible EE: Solidaridad Indonesia

Ref. No.	Contract (Description)	Source of Funds	Planned vs Actual	Estimated Cost in EUR	Procurement Method	Pre qualification (yes/no)	Domestic Preference (yes/no)	Date of issuance of doc's approx. (Quarter/year)	Bid-Opening Date approx. (Quarter/year)	Date of contract /order signature approx. (quarter/year)	Comments
20	Notebooks & Printer	GCF	Actual Planned	1,303	Request for at least three candidates to submit offers	no	yes	Q2/2025	Q3/2025	Q4/2025	Responsible EE: Solidaridad Indonesia
21	GPS units & drones	GCF	Actual Planned	2,475	Request for at least three candidates to submit offers	no	yes	Q2/2025	Q3/2025	Q4/2025	Responsible EE: Solidaridad Indonesia
22	Material & Labor for establishing UPPB (10x15m2)	GCF	Actual Planned	10,000	Request for at least three candidates to submit offers	no	yes	Q2/2025	Q3/2025	Q4/2025	Responsible EE: Solidaridad Indonesia
23	Safety equipment & other field work accessories	GCF	Actual Planned	47,091	Request for at least three candidates to submit offers	no	yes	Q2/2025	Q3/2025	Q4/2025	Responsible EE: Solidaridad Indonesia
24	Stationary & printing	GCF	Actual Planned	20,542	Request for at least three candidates to submit offers	no	yes	Q2/2025	Q2/2025	Q2/2025	Responsible EE: Solidaridad Indonesia

Ref. No.	Contract (Description)	Source of Funds	Planned vs Actual	Estimated Cost in EUR	Procurement Method	Pre qualification (yes/no)	Domestic Preference (yes/no)	Date of issuance of doc's approx. (Quarter/year)	Bid-Opening Date approx. (Quarter/year)	Date of contract /order signature approx. (quarter/year)	Comments
25	Vehicle rent	GCF	Actual Planned	35,583	Request for at least three candidates to submit offers	no	yes	Q2/2025	Q2/2025	Q2/2025	Responsible EE: Solidaridad Indonesia
26	IT Software & licenses	GCF	Actual Planned	23,333	Request for at least three candidates to submit offer	no	yes	Q1/2025	Q2/2025	Q2/2025	Responsible EE: Solidaridad Indonesia
27	Training material, hand-outs, material, banner, etc.	GCF	Actual Planned	13,939	Request for at least three candidates to submit offer	no	yes	Q1/2025	Q2/2025	Q2/2025	Responsible EE: Solidaridad Indonesia
28	Office costs (rent, supplies, utilities)	GCF	Actual Planned	23,760	Existing framework contracts	no	yes	Q1/2025	Q2/2025	Q2/2025	Responsible EE: Solidaridad Indonesia
			Actual								
Total of goods, works and non-consultancy services				1,007,592							

III. Consultancy services

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by AE.

2. Short list comprising entirely of national consultants:

- see I general / 7. GIZ specification

3. Any Other Special Selection Arrangements: [including advance procurement and retroactive financing, if applicable]

4. Consultancy Assignments with Selection Methods and Time Schedule

Ref. No.	Description of Assignment	Source of Funds (GCF)	Estimated Cost (EUR)	Selection Method	Issuance of Expression of Interest approx. (quarter/year)	Finalize shortlist and issue RFP approx. (quarter/year)	Proposals Submission Date approx. (quarter/year)	Complete Technical Evaluation approx. (quarter/year)	Complete Financial Evaluation approx. (quarter/year)	Negotiate and Award approx. (quarter/year)	Comment
1	International Consultants for Act.1.3.1,	GCF	58,000	<u>Negotiated Procedure Tender without a call for competition (shortlist)</u>	Q3/2025	Q4/2025	Q1/2026	Q2/2026	Q2/2026	Q2/2026	Responsible EE: GIZ
2	Local Consultants for Act.1.3.1	GCF	1,364,840	<u>Negotiated procedure with competitive tender</u>	Q3/2025	Q4/2025	Q1/2026	Q2/2026	Q2/2026	Q2/2026	Responsible EE: GIZ
3	Local Consultants for the Conflict Resolution Desk/GRM	GCF	320,000	<u>Negotiated procedure with competitive tender</u>	Q3/2025	Q4/2025	Q1/2026	Q2/2026	Q2/2026	Q2/2026	Responsible EE: GIZ

Ref. No.	Description of Assignment	Source of Funds (GCF)	Estimated Cost (EUR)	Selection Method	Issuance of Expression of Interest approx. (quarter/year)	Finalize shortlist and issue RFP approx. (quarter/year)	Proposals Submission Date approx. (quarter/year)	Complete Technical Evaluation approx. (quarter/year)	Complete Financial Evaluation approx. (quarter/year)	Negotiate and Award approx. (quarter/year)	Comment
4	International consultants for act. 2.1.1	GCF	302,874	<u>Negotiated procedure with competitive tender</u>	Q3/2025	Q4/2025	Q1/2026	Q2/2026	Q2/2026	Q2/2026	Responsible EE: GIZ
5	Local consultants for act. 2.1.1	GCF	262,400	<u>Negotiated procedure with competitive tender</u>	Q3/2025	Q4/2025	Q1/2026	Q2/2026	Q2/2026	Q2/2026	Responsible EE: GIZ
6	Local consultants for act. 3.1.1	GCF	1,026,520	<u>Negotiated procedure with competitive tender</u>	Q3/2025	Q4/2025	Q1/2026	Q2/2026	Q2/2026	Q2/2026	Responsible EE: GIZ
	Local consultants for act. 3.2.1	GCF	250,000	<u>Negotiated procedure with competitive tender</u>	Q3/2025	Q4/2025	Q1/2026	Q2/2026	Q2/2026	Q2/2026	Responsible EE: GIZ
7	Local consultants for HCD for Act. 3.1.1	GCF	102,941	<u>Negotiated procedure with competitive tender</u>	Q3/2025	Q4/2025	Q1/2026	Q2/2026	Q2/2026	Q2/2026	Responsible EE: GIZ

Ref. No.	Description of Assignment	Source of Funds (GCF)	Estimated Cost (EUR)	Selection Method	Issuance of Expression of Interest approx. (quarter/year)	Finalize shortlist and issue RFP approx. (quarter/year)	Proposals Submission Date approx. (quarter/year)	Complete Technical Evaluation approx. (quarter/year)	Complete Financial Evaluation approx. (quarter/year)	Negotiate and Award approx. (quarter/year)	Comment
8	International consultants to implement and operate M&E system	GCF	950,000	<u>Negotiated procedure with competitive tender</u>	Q2/2025	Q3/2025	Q4/2025	Q1/2026	Q1/2026	Q2/2026	Responsible EE: GIZ
9	Local consultants as Village-Safeguards Facilitators to monitor and mitigate safeguards compliance of village-level activities with a focus on IPs (IPP M&E implementation) (M&E)	GCF	65,000 in total (Aggregated costs of up to 200 small individual contracts of under 1,000 Euro each)	<u>Direct award procedure for each</u>	Q2/2025	Q3/2025	Q4/2025	Q1/2026	Q1/2026	Q2/2026	Responsible EE: GIZ
10	Local Consultants for the development of project manual, SOPs other guideline documents (PMC)	GCF	14,000	Simple negotiated procedure without a call for competition with at least	Q3/2025	Q4/2025	Q1/2026	Q2/2026	Q2/2026	Q2/2026	Responsible EE: GIZ

Ref. No.	Description of Assignment	Source of Funds (GCF)	Estimated Cost (EUR)	Selection Method	Issuance of Expression of Interest approx. (quarter/year)	Finalize shortlist and issue RFP approx. (quarter/year)	Proposals Submission Date approx. (quarter/year)	Complete Technical Evaluation approx. (quarter/year)	Complete Financial Evaluation approx. (quarter/year)	Negotiate and Award approx. (quarter/year)	Comment
				three eligible bidders							
11	Agronomy experts / commodity experts	GCF	30,000	Limited invitation to tender	Q2/2025	Q2/2025	Q3/2025	Q4/2025	Q4/2025	Q1/2026	Responsible EE: Solidaridad Indonesia
12	IT Expert / Digital Apps and Traceability Developer	GCF	8,750	Limited invitation to tender	Q2/2025	Q2/2025	Q3/2025	Q4/2025	Q4/2025	Q1/2026	Responsible EE: Solidaridad Indonesia
13	IT Expert / GHG measurement Cool Farm Tool	GCF	8,750	Limited invitation to tender	Q2/2025	Q2/2025	Q3/2025	Q4/2025	Q4/2025	Q1/2026	Responsible EE: Solidaridad Indonesia
14	Service provider / engagement expert for Module development for farmer engagement	GCF	50,000	Limited invitation to tender	Q2/2025	Q2/2025	Q3/2025	Q4/2025	Q4/2025	Q1/2026	Responsible EE: Solidaridad Indonesia

Ref. No.	Description of Assignment	Source of Funds (GCF)	Estimated Cost (EUR)	Selection Method	Issuance of Expression of Interest approx. (quarter/year)	Finalize shortlist and issue RFP approx. (quarter/year)	Proposals Submission Date approx. (quarter/year)	Complete Technical Evaluation approx. (quarter/year)	Complete Financial Evaluation approx. (quarter/year)	Negotiate and Award approx. (quarter/year)	Comment
15	Farmer / Extension services trainers	GCF	36,000	Request for at least three candidates to submit	n/a	Q1/2025	Q1/2025	Q1/2025	Q1/2025	Q1/2025	Responsible EE: Solidaridad Indonesia
16	Commodity / Certification Body/Agency (External Auditor)	GCF	93,750	Limited invitation to tender	Q2/2025	Q2/2025	Q3/2025	Q4/2025	Q4/2025	Q1/2026	Responsible EE: Solidaridad Indonesia
Total for consultancies			EUR 5,073,825								