

Annex 6A: Environmental and Social Screening Checklist

Part A: Risk Factors related to the menu of eligible investments of Annex 2 A2, 2 B2, 2 C2 and 2 D2

| Risk Factors | YES | NO |
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| Will the activities involve associated facilities and require further due diligence of such associated facilities? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> The adaptation interventions will be a direct investment done by local governments. | | |
| Will the activities involve trans-boundary impacts including those that would require further due diligence and notification to affected states? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> The adaptation interventions are national or local in scope, with no cross-border impact. | | |
| Will the activities adversely affect working conditions and health and safety of workers or potentially employ vulnerable categories of workers including women and children? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> The adaptation interventions will be selected from a menu of eligible investments (please refer to Annex 2 A2, 2 B2, 2 C2, 2 D2) that are aligned with Countries relevant legislation in terms of protection of health and safety of workers, including vulnerable categories. No interventions with potential negative impact will be selected and implemented. | | |
| Will the activities potentially generate hazardous waste and pollutants including pesticides and contaminate lands that would require further studies on management, minimization and control and compliance to the country and applicable international environmental quality standards? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> The adaptation interventions will be selected from a menu of eligible investments (please refer to Annex 2 A2, 2 B2, 2 C2, 2 D2), which excludes polluting activities and prioritizes good practices, particularly with regard to agricultural activities and the protection of natural resources. Negative impacts and effects will be avoided by adhering to national legislation with regard to environmental and social safeguards for the different types of interventions, as stipulated in the menu of investments and the minimum conditions of access. Please note that investment activities will undergo a mandatory ESS screening under relevant AE (BOAD) ESS and ESMP procedures for all Adaptation Interventions before final selection for funding from PBCRGs. | | |
| Will the activities involve the construction, maintenance, and rehabilitation of critical infrastructure (like dams, water impoundments, coastal and riverbank infrastructure) that would require further technical assessment and safety studies? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> The adaptation interventions may include rehabilitation and construction of new infrastructures; however, these will be small-scale infrastructures at village or community level and not critical ones with no significant negative impact, no additional footprint, in already built up areas and where waste and wastewater management will not be a problem. Negative impacts and effects will be avoided by adhering to national legislation with regard to environmental and social safeguards for the different types of interventions, as stipulated in the menu of eligible investments and the minimum conditions of access. | | |
| Will the proposed activities potentially involve resettlement and dispossession, land acquisition, and economic displacement of persons and communities? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> Activities that entail the displacement of people are excluded from the scope of the adaptation interventions (please refer to Annex 2 A2, 2 B2, 2 C2, 2 D2 for the menu of eligible investments). | | |
| Will the activities be located in or in the vicinity of protected areas and areas of ecological significance including critical habitats, key biodiversity areas and internationally recognized conservation sites? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> Adaptation interventions will never be carried out within protected areas or areas with high ecological added value. In the event that the adaptation interventions are carried out near protected areas or areas with high | | |

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| ecological added value, specific ESIA will be carried out (at sub-project level) in alignment with UNCDF and BOAD policies, with are aligned with GCF / IFC ESS standards to avoid negative impacts and effects. | | |
| Will the activities affect indigenous peoples that would require further due diligence, free, prior and informed consent (FPIC) and documentation of development plans? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> Ethnic minorities present in the affected areas will be consulted during the planning process and included in decision-making processes. No interventions with potential negative impact will be selected and implemented. | | |
| Will the activities be located in areas that are considered to have archaeological (prehistoric), paleontological, historical, cultural, artistic, and religious values or contains features considered as critical cultural heritage? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> Adaptation interventions will never be carried out within protected areas or areas with archaeological, paleontological, historical, cultural or artistic heritage. In the event that interventions are carried out near such areas, specific ESIA will be carried out (at sub-project level) in alignment with UNCDF an BOAD policies, with are aligned with GCF / IFC ESS standards to avoid negative impacts and effects. In case of chance find these will be managed according to national law, BOAD guidelines and the GCF will be notified. | | |

Part B: Specific environmental and social risks and impacts

| Assessment and Management of Environmental and Social Risks and Impacts | YES | NO | TBD |
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| Has the E&S risk category of the project been provided in the concept note? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | |
| Has the rationale for the categorization of the project been provided in the relevant sections of the concept note? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | |
| Are there any additional environmental, health and safety requirements under the national laws and regulations and relevant international treaties and agreements? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> All the financed adaptation interventions will be implemented in compliance with the requirements of the relevant national laws and environmental and social risk treaties through the menu of eligible investments and the minimum conditions of access to the PBCRGs. | | | |
| Are the identification of risks and impacts based on recent or up-to-date information? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> The identification of potential risks and impacts takes into account also feedback from LoCAL Phase I and II experiences in Mali and Niger as well as phase I in Burkina Faso. | | | |
| Labour and Working Conditions | YES | NO | TBD |
| Will the activities potentially have impacts on the working conditions, particularly the terms of employment, worker's organization, non-discrimination, equal opportunity, child labour, and forced labour of direct, contracted and third-party workers? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> Please refer to the relevant annex on Gender Action Plan | | | |
| Will the activities pose occupational health and safety risks to workers including supply chain workers? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> Working conditions will be included in the process of contracting communities and/or companies, with a commitment to environmental and social safeguard clauses. | | | |
| Resource Efficiency and Pollution Prevention | YES | NO | TBD |
| Will the activities generate (1) emissions to air; (2) discharges to water; (3) activity-related greenhouse | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

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| gas (GHG) emissions, (4) noise and vibration; and (5) wastes? | | | |
| <p><i>Please provide a justification of your answer:</i></p> <p>The choice of adaptation interventions is based on the nationally defined menu of eligible investments (please refer to Annex 2 A2, 2 B2, 2 C2, 2 D2), which aims to minimize negative impacts, both in the construction phase and in the operating phase. Even if the selected adaptation interventions will generate some of these emissions, these will be at a level that remains insignificant given the scale of the investments.</p> | | | |
| Will the activities utilize significant amount of natural resources including water and energy? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <p><i>Please provide a justification of your answer:</i></p> <p>The choice of adaptation interventions is based on the nationally define investment menu (please refer to Annex 2 A2, 2 B2, 2 C2, 2 D2), which aims to minimize negative impacts, both in the construction phase and in the operating phase. Even if the selected adaptation interventions will utilise some natural resources these will be at a level that remains insignificant given the scale of the investments.</p> | | | |
| Will there be a need to develop detailed measures to reduce pollution and promote sustainable use of resources? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <p><i>Please provide a justification of your answer:</i></p> <p>The activities and projects must be selected from the menu of investments, which excludes polluting activities and prioritizes good practice, particularly with regard to agricultural activities and the protection of natural resources. Adverse effects will be prevented through compliance with national legislation in terms of environmental and social safeguards for the different types of interventions, as stipulated in the menu of investments and minimum conditions of access. Project-specific measures will be defined on the basis of the environmental and social assessments carried out in accordance with the ESMF.</p> | | | |
| Community Health, Safety, and Security | YES | NO | TBD |
| Will the activities potentially generate risks and impacts to the health and safety of the affected communities? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <p><i>Please provide a justification of your answer:</i></p> <p>Investments will be selected in consultation with local communities, including the most vulnerable, and activities will be defined so as not to have any negative impacts on these communities- especially in terms of SEAH.</p> | | | |
| Will there be a need for an emergency preparedness and response plan that also outlines how the affected communities will be assisted in times of emergency? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <p><i>Please provide a justification of your answer:</i></p> <p>Typically, the activities related to the adaptation interventions listed in the menu of eligible investments (please refer to Annex 2 A2, 2 B2, 2 C2, 2 D2), do not require the implementation of contingency plans; these activities can, however, contribute to strengthening communities' capacity for prevention and responsiveness in the event of climatic hazards and will therefore be included in local risk management plans if relevant. As all selected adaptation interventions will undergo an ESS before approval and implementation, if the necessity of an emergency and preparedness plan will be identified, then it will be developed according with the relevant national law.</p> | | | |
| Will there be risks posed by the security arrangements and potential conflicts at the project site to the workers and affected community? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <p><i>Please provide a justification of your answer:</i></p> <p>The selection of the adaptation interventions from the menu of eligible investments (please refer to Annex 2 A2, 2 B2, 2 C2, 2 D2) will be done in consultation with local communities, including the most vulnerable, which will prevent any risk from a security point of view as well as avoid any potential conflicts.</p> | | | |
| Land Acquisition and Involuntary Resettlement | YES | NO | TBD |
| Will the activities likely involve land acquisition and/or physical or economic displacement? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <p><i>Please provide a justification of your answer:</i></p> <p>Adaptation interventions that entail the displacement of people are excluded from the scope of activities (please refer to Annex 2 A2, 2 B2, 2 C2, 2 D2 for the menu of eligible investments). Land mobilization can only take place in a context of making it available to communities and will not create any physical or economic displacement.</p> | | | |
| Biodiversity Conservation and Sustainable Management of Living Natural Resources | YES | NO | TBD |

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| Will the activities potentially introduce invasive alien species of flora and fauna affecting the biodiversity of the area? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> Adaptation interventions will be selected from the menu of eligible investments (please refer to Annex 2 A2, 2 B2, 2 C2, 2 D2); this menu excludes activities with negative effects on the environment and prioritizes good practices, especially with regard to the conservation of local biodiversity. Negative impacts and effects will be avoided by adhering to national legislation with regard to environmental and social safeguards for the different types of interventions, as stipulated in the menu of investments and the minimum conditions of access. | | | |
| Will the activities have potential impacts on or be dependent on ecosystem services including production of living natural resources (e.g. agriculture, livestock, fisheries, forestry)? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> Adaptation interventions will be selected from the menu of eligible investments (please refer to Annex 2 A2, 2 B2, 2 C2, 2 D2) and prioritize good practices, particularly with regard to agricultural activities and the protection of natural resources. It is not expected that the proposed sub-project will have potential impacts on ecosystem if not positive. | | | |
| Indigenous Peoples | YES | NO | TBD |
| Will the activities potentially have any indirect impacts on indigenous peoples, ethnic minorities, or vulnerable and marginalized groups? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> Ethnic minorities in the relevant areas will be consulted during the planning process to include them in decision-making processes. All adaptation interventions selected from the menu of eligible investments (please refer to Annex 2 A2, 2 B2, 2 C2, 2 D2) will be designed and implemented so not to have any negative indirect impacts on indigenous peoples, ethnic minorities, or vulnerable and marginalized groups. | | | |
| Cultural Heritage | Yes | NO | TBD |
| Will the activities restrict access to the cultural heritage sites and properties? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> Activities of the adaptation interventions will be designed so as not to affect any areas of high cultural value, while respecting traditional practices; this will be agreed in consultation with the relevant communities. | | | |
| Will there be a need to prepare a chance-find procedure in case of the discovery of cultural heritage assets? | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> In case of chance-find, the relevant procedure will be applied in accordance with the BOAD and UNCDF ESS and ESMP procedures; and all changes to the project's area will be notified to the GCF. | | | |
| Stakeholder engagement and grievance | Yes | NO | TBD |
| Will the activities include a continuing stakeholder engagement process and a grievance redress mechanism and integrated into the management/implementation plans? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <i>Please provide a justification of your answer:</i> Communities and local governments will be able to appeal to the LoCAL Technical Committee in the event of any grievances as well as referring to the BOAD procedures and their Grievance Mechanism procedure and form. | | | |