



### **MEMORY AID**

CIRCULAR PROGRAM EVALUATION MISSION REGIONAL FINANCING OF ADAPTATION AT THE LOCAL  
LEVEL (LOCAL) IN WEST AFRICA

*BURKINA FASO STAGE, FROM May 16 to 17, 2024*

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## I. INTRODUCTION

A Program evaluation mission Regional Local Adaptation Financing (LoCAL) in West Africa (Burkina Faso, Ivory Coast, Mali & Niger) stayed from May 16 to 17, 2024 in Ouagadougou, Burkina Faso. It is part of the GCF procedures, implying that any modifications to the Complete Project Document (DCP) must be brought to the attention of the Beneficiary country to obtain their opinion in order to obtain the Letter of No Objection. This mission was therefore carried out in this logic with particular attention to the institutional framework and the refinancing line.

The circular mission was led by Mr. Ibrahim TRAORE, Head of the Climate Project Development Area (DDPC) accompanied for the Burkina Faso stage by Mr. Yohann ZABA, Specialist in the development of climate projects. The Resident Mission (MR) of BOAD was represented by Ms ADOVELANDE Miranda, Head of the MR and YAMEOGO Gisèle Senior Financial Analyst, who took part in all the working sessions. Details of the personalities and structures representing the Burkinabé side are in Annexes 02 of this document. The various working sessions carried out focused on the following points: (i) The framing of the mission chaired by the Executive Secretariat of the Designated National Authority (FVC) in Burkina Faso, in order to organize the various meetings with the institutions project stakeholders; (ii) the presentation of the program followed by questions and answers between the two delegations for better appreciation and understanding; (iii) discussions and proposals relating to the institutional architecture of the program for Burkina Faso and the deployment of the refinancing line; (iv) development and finalization of the institutional framework (Burkina Faso); (v) the development and finalization of the aide-mémoire; (vi) and a work restitution session with all project stakeholders.

## II. REGIONAL PROGRAM FOR FINANCING ADAPTATION AT THE LOCAL LEVEL

The Regional Program for Financing Adaptation at the Local Level (LoCAL) is structured around two components, namely: (i) strengthening the awareness and response capacities of local governments, local actors and communities and promoting local adaptation responses and (ii) establishing country-based financing mechanisms to access and channel climate finance at the local level and enable local investments led by climate resilience. The program's areas of intervention are: climate-smart agriculture, forestry, agroforestry, water and health, energy efficiency and renewable energy, climate resilient infrastructure and coastal areas. The interventions proposed by the program aim to: (i) Strengthen institutional, technical and financial capacities at the local level; (ii) Provide performance-based climate resilience grants to cover the additional costs of climate resilient investments; (iii) The implementation of mixed financing instruments to respond to the financing constraints of local private sector actors and generate investments aligned with adaptation priorities.

### **Component 1:** Strengthening response and awareness capacities at the local level.

Activities selected:	Expected results:
Activity 1.1: Needs assessments of local stakeholders for awareness-raising and capacity building. Activity 1.2: Organization of awareness-raising activities with key local stakeholders. Activity 1.3: Development and implementation of a local awareness and communication strategy. Activity 2.1: Carrying out localized analyzes of climate risks. Activity 2.2: Establishment of Local Information Systems for Adaptation (LISA) in target local governments. Activity 3.1: Strengthening the capacities of local authorities for participatory and gender-sensitive adaptation planning. Activity 3.2: Development of Annual Investment Plans (AIP) for adaptation. Activity 3.3: Strengthening the capacities of local governments to execute and manage adaptation investments. Activity 3.4: Establishing local monitoring and evaluation systems to track adaptation investments. Activity 3.5: Awareness raising and training of target local governments on the LoCAL standard and the characteristics of the PBCRG	(i) Increased awareness of the risks and impacts of climate change at the local level (ii) Improving availability and access to climate data for risk-informed local decision-making (iii) Strengthening the capacity of local governments for effective locally-led adaptation.

**Component 2:** Establishing country-based financing mechanisms to access and channel climate finance locally and enable local investments led by climate resilience.

Activities selected:	Expected results:
Activity 5.1: Transfer of PBCRG allocations to target local governments and implementation of investments. Activity 5.2: Conducting Annual Performance Assessments (EPAs) in target local governments. Activity 6.1: Support to institutions for the adoption and operationalization of the PBCRG mechanism. Activity 6.2: Support for institutional actors in mobilizing additional funding. Activity 7.1: Establishment of Blended Financing Facilities and establishment of governance committees. Activity 7.2: Assignment and implementation of private sector-led adaptation projects in target local areas.	(v) Deployment of PBCRGs, transfer of allocations to local governments and implementation of investments (vi) Gradual institutionalization of the PBCRG system and attraction of additional financing for its expansion. (vii) Establishment of Blended Finance Facilities and financing of income-generating adaptation investments in each target country.

The total cost of the program is estimated at 55 million euros or 36,078 MFCFA including: (i) 47 million euros expected from the GCF or 30,876 MFCFA; (ii) 6.2 million euros or 4,046 MFCFA expected from the UEMOA Council of Territorial Authorities (UEMOA CCT); (iii) 852,410 euros or 559 MFCFA expected from the United Nations Capital Development Fund (UNCDF) and (iv) an in-kind contribution from countries estimated at 1.4 million euros or 233 MFCFA.

**Structuring of financing:**

<b>Financing Green Climate Fund (Donation)</b>	•FVC: 47,068,480 (€) Or => 30,875,746,200 FCFA
<b>Co-financing from partners (Don)</b>	•UEMOA CCT: 6,168,390 (€) Or => 4,046,309,630 FCFA •UNCDF: 852,410.83 (€) Or => 559,160,194 FCFA
<b>Country Co-financing (Nature)</b>	•Country: 1,415,390.17 (€) => 353,847.54 (€) per country Or => 232,115,140 FCFA per country
<b>Total Program Amount</b>	55,504,670 million euros (€) Or => 36,409,675,903 FCFA

### III. ACTIONS TO BE TAKEN AND TIMELINE

The mission made it possible to review the main points of attention to be taken into account in finalizing the project document.

#### Distribution of funds by country

Each country will benefit from an equal distribution of program funds. However, the LOCAL initiative in Mali is the 3rd phase, that is to say, that of investments. As a result and unlike the other three (3) countries in the program, Mali will benefit from a larger financial pot.

#### Criteria for selecting municipalities and intervention areas

Burkina Faso, with regard to the criteria of the LoCAL initiative and in connection with the socio-economic, security and climatic situation of the Local Communities, will proceed to the selection of the municipalities of intervention during the execution of the program with a "do -TO DO ".

#### Institutional framework of the program under Burkina Faso

The AND FVC of Burkina Faso and national stakeholders met and proposed the institutional linkage and the composition of the Steering Committee, the Technical Committee and the Project Management Unit which will be set up. The proposed plan takes into account the experiences and achievements of phase I of the current LoCAL project (Cf. Appendix 3).

#### **Refinancing line**

The AND and the stakeholders propose for the sustainability and traceability of the credit line that the subsidy granted to the private sector be repayable in capital.

For consistency of actions and traceability of the refinancing line, it was recommended that the institutional and control framework of the facility be organized including the authorized state structures.

#### **Work schedule:**

<b>May 17, 2024</b>	<b>Responsible</b>	<b>May 27, 2024</b>	<b>Responsible</b>	<b>May 30, 2024</b>	<b>Responsible</b>
Development and updating of the institutional framework as well as the composition of COPIL COTECH and the UGP	<b>Burkina Faso</b>	Preparation and transmission to BOAD of the no-objection letter from the regional program	<b>Burkina Faso</b>	Review and submission of the Full Project Document to the GCF	<b>BOAD</b>

#### **IV. CONCLUSION**

The mission would like to thank the Burkinabè side for its hospitality and availability. The Burkina Faso GCF Executive Secretariat greatly facilitated stakeholder mobilization, logistics and catering for the benefit of participants and the mission team during the working sessions.

Burkinabe stakeholders transmitted an inclusive architecture of the institutional framework of the regional program for Burkina Faso.

A series of framework documents (see list in appendix 4).

The AND FVC of Burkina Faso will send a letter to BOAD to request the appointment of a representative of the Bank within the LoCAL Technical Committee with the possibility of hybrid participation in the sessions.

BOAD also informed the Burkinabè side of the upcoming arrival of a Bank mission relating to the development of a Country Climate Program which will make it possible to develop a pipeline of mature sectoral climate projects. A virtual preparation session has already been organized for this purpose.

Finally, BOAD informed the Burkinabè side of the upcoming organization of a Climate Finance Seminar for the benefit of WAMU member states to work on harmonization of the nomenclature of climate studies and the mechanisms for supplementing the Study Fund ( FEC) of BOAD (FEC). An invitation letter will be sent to the Ministry in charge of the Economy and Finance in order to mobilize an Economist Expert (Economy and Finance) and an Adaptation/Mitigation Expert (Environment) who will be supported by BOAD.

Done in Ouagadougou, May 17, 2024

For BOAD

For the Malian Party

**Ibrahim TRAORE**

Head of the Climate Project Development  
Area, representing the Director of DEFIC

**Lamech Nebyida KABORE**

Designated National Authority of the GCF  
of Burkina Faso

**Appendix 01: PPT Presentation of the LoCAL program**

(PDF)

**Appendix 02: Attendance lists**

(Scan PDFx4)

## **Annex 03: Institutional Arrangement of the LoCAL Program in Burkina Faso**

### **Categorization of the project at the national level**

At the national level, depending on their affiliation and their mode of management, development projects and programs are classified into four (04) categories. The categories applicable to this project are as follows:

- Category 1: Project executed directly by the public administration;
- Category 2: Project requiring, for its implementation, the creation of an autonomous execution structure placed under the supervision of the public administration.

With regard to the classification criteria and for the sake of efficiency, the choice fell on category 2: Project requiring for its implementation the creation of an autonomous execution structure placed under the supervision of the administration public.

### **Executing entity and Project Management Unit (PMU)**

In Burkina Faso, the executing entity (EE) of the project is the Environmental Intervention Fund (FIE), a State Fund under the technical supervision of the Ministry of the Environment, Water and of Sanitation (MEEA). The main mission of the FIE is to contribute to the achievement of Burkina Faso's environmental objectives, in particular the reduction of environmental degradation and associated economic losses, as well as the fight against the negative effects of climate change. To this end, the FIE is a robust financial instrument responsible for mobilizing national and international financing for the environment and climate.

The FIE, a potential entity accredited to the GCF and the Adaptation Fund (transition to stage 2 of the GCF accreditation process), plays a fiduciary role in the implementation of the Municipal Integrated Development Plans (PDIC) with the Bank as well as the PADA/REDD+ project financed by the African Development Bank (AfDB). For example, as part of the implementation of the PADA/REDD+ project, the financial mechanism used for the deployment of resources was based on the performance of the Réseau des Caisses Populaires du Burkina Faso (RCPBF) which has experience in support to communities and which covers the entire national territory. This collaboration materialized through the signing of an agreement between the FIE and the RCPBF.

A Project Management Unit (PMU) will be set up within the FIE. The members of the PMU will be recruited by call for applications for the coordination and monitoring of the implementation of the project. She is made of :

- a Coordinator;
- a monitoring-evaluation expert;
- an Expert in environmental and social safeguards;
- an accountant ;
- a Financial Analyst;



- a communications officer;
- an Expert in local development and management of local authorities;
- an Expert in adaptation to climate change;
- one (e) Executive Secretary;
- a Courier Driver.

As for procurement, it will be the responsibility of the Procurement Specialist of each local authority involved in the implementation of the project.

### **LoCAL Technical Secretariat**

As part of the deployment of the LoCAL Mechanism in Burkina Faso, a LoCAL Technical Committee was set up by decree n°1368/MEEA/CAB of July 14, 2022 establishing the creation, responsibilities and composition of a Technical Committee of the “LoCAL Mechanism”. financing adaptation to climate change at the local level” (LoCAL Burkina Faso Technical Committee). The main mission of this Committee is to coordinate and monitor the implementation of the LoCAL Mechanism in Burkina Faso. Placed under the presidency of the FIE, this committee has a Technical Secretariat made up of technicians from the FIE, the Designated National Authority of the Green Climate Fund (AND/FVC), the General Directorate of Territorial Development (DGDT) and of the General Directorate of Territorial Communities (DGCT). To these structures, it will be appropriate to add the General Directorate of Cooperation (DGCOOP) and the National Agency for Support to the Development of Territorial Communities (ADCT). As part of this project, this secretariat will ensure, in collaboration with the PMU, the alignment of the various documents/deliverables with the LoCAL investment menu. Also, it will collaborate with the PMU to remove bottlenecks that could hinder the implementation of the project. Finally, the technical secretariat will contribute to the consolidation of the documents prepared by the PMU before transmission to the Steering Committee (COPIL) which is made up of the majority of the members of the LoCAL Technical Committee.

### **Steering committee (COPIL)**

In order to facilitate the implementation of the project, a Steering Committee (COPIL) will be set up in accordance with the provisions of decree n°2021-1383/PRES/PM/MEFP of December 31, 2021 relating to general regulations for development projects and programs. executed in Burkina Faso. The COPIL serves as the project's guidance and management body. The COPIL will meet in ordinary session two (02) times a year to supervise the implementation of the project and report on the progress achieved. It may, however, meet in extraordinary session upon convocation by its President. In accordance with current regulations, the COPIL is made up of statutory members and observer members.

**The statutory members are:**

**President** :the head of the “Environmental governance and sustainable development” budget program

**Rapporteur**:the National Coordinator of the LOCAL CLIMATE ADAPTIVE LIVING FACILITY Plus (LoCAL+) project – West Africa (Burkina Faso, Ivory Coast, Mali and Niger)

**Members :**

- the General Director in charge of planning of the technical supervisory ministry (DGESS/MEEA);
- the Director General in charge of coordination and evaluation of public investments (DGEP/MEFP);
- the General Director in charge of the budget (DGB/MEFP);
- the Director General of the Treasury and Public Accounting (DGTCP/MEFP);
- the Director General in charge of Cooperation (DGCOOP/MEFP);
- the Director in charge of public procurement control of the technical supervisory ministry (DCMEF/MEEA);
- the Director in charge of coordinating projects and programs of the technical supervisory ministry (DCPPP/DGESS/MEEA);
- the Designated National Authority of the Green Climate Fund;
- the General Director of the Environmental Intervention Fund;
- the Permanent Secretary of the National Council for Sustainable Development;
- the General Director of local authorities;
- the General Director of Territorial Development;
- the General Director of the National Agency for Support to the Development of Territorial Communities (ADCT);
- the General Director of Green Economy and Climate Change;
- the General Director of Hydraulic Facilities and Irrigation Development;
- the Director General of the National Meteorology Agency;
- the Director General of the Institute of Environment and Agricultural Research of Burkina Faso;
- the Executive Secretary of the Association of Municipalities of Burkina Faso;
- the representative of the beneficiaries: municipality of...

**The observers are:**

- the representative(s) of LoCAL+ technical and financial partners: West African Development Bank (BOAD) and United Nations Capital Development Fund (UNCDF);
- Resource people (02 maximum).

#### Appendix 04: List of documents to be provided by structure

List of documents to be provided by the FIE	List of documents to be provided by the AND
<ul style="list-style-type: none"><li>▪ Law No. 10-027 of July 12, 2010, creating the FIE</li></ul>	<ul style="list-style-type: none"><li>▪ Order creating the LoCAL technical committee</li></ul>
<ul style="list-style-type: none"><li>▪ Complete documentation of the experience of the Cashew Development Support Project in the Comoé Basin for REDD+ (PADA/REDD+), particularly with regard to financial flows</li></ul>	<ul style="list-style-type: none"><li>▪</li></ul>
<ul style="list-style-type: none"><li>▪ Documentation concerning the coverage of the territory by the Caisse Populaire</li></ul>	<ul style="list-style-type: none"><li>▪</li></ul>