

## Annex 11 Monitoring and evaluation plans

Monitoring				
Data/Source	Collection Tool	Frequency	Indicator	Indicative Budget
Monitoring & Evaluation System				
<b>Design a M&amp;E</b> for all activities of Component 1, 2 and 3, including the integration of all data-items required for Indicator monitoring including the programming of a <b>Cloud Database</b> to collect local information on the impact of Local initiatives.	Other (please specify)  Online system	<i>Inception phase</i>	<i>Core 2: Direct and indirect beneficiaries reached</i> <b>Mid-term</b> <b>Total direct beneficiaries:</b> 4,735 out of which 2,367 are women  156,924 <b>indirect beneficiaries</b> (of which 78,462 are women)  <b>Final</b> <b>Total direct beneficiaries:</b> 60,715 out of which 30,088 are women	<i>EUR 250,000</i>
<b>Maintenance</b> of technical aspects (troubleshooting) including integration of established data-collection tools/templates and Monitoring Data into the system.	Other (please specify)	<i>Ongoing</i>	2,011,856 <b>indirect beneficiaries</b> (of which 1,005,928 are women)  <i>Supplementary 2.1: Beneficiaries (female/male) adopting improved and/or new climate-resilient livelihood options</i>	
<b>Trainings</b> and ongoing coaching of project and EE staff to use the system and cloud database to extract data for national and project M&E systems.	Other (please specify)  Trainings	<i>Ongoing</i>	<b>Mid-term</b> <b>Total direct beneficiaries:</b> 4,735 out of which 2,367 are women  <b>Final</b> <b>Total direct beneficiaries:</b> 60,715 out of which 30,088 are women	

<p><b>Mainstreaming of M&amp;E</b> aspects (including indicators) into annual planning (including facilitation of the planning process) and into approaches and guidelines of activities.</p>	<p>Other (please specify)</p> <p>Planning</p>	<p><i>Annually</i></p>	<p><i>Core 4: Hectares of natural resources brought under improved low-emission and/or climate-resilient management practice</i></p> <p><b>Mid-term</b> 1,865 ha</p> <p><b>Final</b> 23,914 ha</p> <p><i>Supplementary 4.1: Hectares of terrestrial forest, terrestrial non-forest, freshwater and coastal marine areas brought under restoration and/or improved ecosystems</i></p> <p><b>Mid-term</b> 1,865 ha</p> <p><b>Final</b> 23,914 ha</p>	<p><i>Profonampe-Monitoring specialists 233,000</i></p> <p><i>GIZ- M&amp;E specialist 955,000</i></p>
<p><b>Quality-checks</b> on M&amp;E project and local data provided by EEs, including revision of protocols for collecting data, feedback on quality issues and finalized figures (to be used for data-analysis and reporting).</p>	<p>Other (please specify)</p> <p>Data review</p>		<p><i>Supplementary 4.2: Number of livestock brought under sustainable management practices</i></p> <p><b>Mid-term</b> 690 Livestock Unit (LSU)<sup>1</sup></p> <p><b>Final</b> 8,854 LSU</p>	<p><i>EUR 100,000</i></p>

<sup>1</sup> An alpaca is estimated as 0.25 LSU/head

Analysis of incoming monitoring information & <b>feedback to implementors</b> and technical advisors (including adapting and fine-tuning approaches and quality of implementation, and workshops).	Other (please specify)  Data review	Annually (and more frequent, if required)		
<b>Supervision</b> of M&E System design and quality assurance of all M&E related tasks (see above) & Management of M&E Team.	Other (please specify)  Quality assurance	Ongoing		EUR 277,000
Analysis of incoming monitoring information & <b>reporting</b> to the GCF, GIZ, Government of Peru and other stakeholders including travels.	<i>Other (please specify)</i>  Data review	Annually (and more frequent, if required)		
Carry out of <b>subject specific</b> surveys on improvement of local economic opportunities and capacities of direct beneficiaries including printing surveys and communication materials.	Survey/question naire	Baseline, Mid-term, End of project		Monitoring & Evaluation surveys EUR 59,000  Baseline Local initiatives EUR 58,000
<b>Safeguards Monitoring System</b>				

<p><b>Integrate Safeguards and Gender aspects</b> to monitor and mitigate into data-collection tools/templates for all activities of Components 1, 2 and 3</p>	<p>Document review</p>	<p>Ongoing (for each activity, prior to its initiation)</p>	<p>The system is to be established in a cross-cutting way, including all indicators and data-items to monitor from the:</p> <ul style="list-style-type: none"> <li>- Environmental and Social Management Plan (ESMP)</li> <li>- Gender Action Plan (GAP)</li> <li>- Indigenous People and Local Communities Engagement Plan (IPLCEP)</li> </ul>	<p><i>As part of the M&amp;E system mentioned above</i></p>
<p><b>Mainstreaming</b> of Safeguards and Gender aspects (including indicators) into annual and quarterly planning (including facilitation of the planning process) and into approaches and guidelines of activities</p>	<p>Other (please specify)  Planning</p>	<p>Quarterly and annually</p>	<p>Guidelines with Safeguard and Gender aspects developed</p>	<p><i>GIZ- Safeguard and Gender advisor 144,000</i></p> <p><i>Profonanpe-Environmental Safeguard specialists 198,000</i></p> <p><i>Profonanpe-Social and Gender specialist 198,000</i></p>
<p><b>Desk Review of all guidelines</b> of Components 1, 2 and 3 to assess, in as far Safeguards requirements are fulfilled and feedback, how to adapt the</p>	<p>Document review</p>	<p>Ongoing (for each guideline, prior to finalization)</p>	<p>Guidelines with Safeguard and Gender aspects reviewed</p>	<p><i>IdM- Gender specialist 32,000</i></p>

approaches accordingly.				
Contracting of Technical <b>monitors in the 4 regions</b> (including travel costs) to monitor and mitigate safeguards compliance of Local initiative activities	Field observation visits	ongoing	Contracts signed	<i>Profonanpe-Technical monitors in the regions (4)</i> 381,000  <i>Profonanpe travel costs</i> 101,000  <i>CSOs technical assistance</i> 360,000
<b>Conflict sensitive</b> reflection cycle on reception of project activities in the regions	GIS data	Quarterly	Guidelines with interview protocols	<i>GIZ, IdM and Profonanpe ESS and Gender experts (see above)</i>
<b>Quality-checks</b> on Safeguards and Gender data provided by fund recipients, including feedback on quality issues and finalized figures (to be used for data-analysis and reporting)	Document review	ongoing	Reports on Local initiatives developed	<i>Profonanpe-Monitoring specialists (see above)</i>  <i>Profonanpe-Technical monitors in the regions (4) (see above)</i>
Analysis of incoming safeguards information & <b>feedback to Local initiatives</b> and technical advisors (including adapting and fine-tuning approaches and quality of implementation)	Other (please specify)  Data review	ongoing		<i>Profonanpe-Environmental Safeguard specialists (see above)</i>  <i>CSOs technical assistance (see above)</i>  <i>Profonanpe-technical assistance for the</i>

				<i>for the implementation of Local initiatives 365,000</i>
<b>Supervision</b> of Safeguards and Gender Monitoring System design and quality assurance of all M&E related tasks (see above)	Other (please specify)  Quality assurance	ongoing	Documentation on supervision processes	<i>Profonampe-Monitoring specialists (see above)</i>  <i>GIZ- M&amp;E specialist (see above)</i>
Analysis of incoming safeguards information & <b>reporting</b> to the GCF, GIZ and other stakeholders	Other (please specify)  Data review	Annually (and more frequent, if required)	Documentation on analysis	<i>Profonampe-Monitoring specialists (see above)</i>  <i>GIZ- M&amp;E specialist (see above)</i>

<b>Evaluation</b>			
Type	Timing	Independent/Self-evaluation	Indicative Budget
<i>Participatory</i>	Baseline, Mid-term, End of project	<b>Self-Assessment</b>	EUR 117,000 ( <i>already budgeted above for baseline of Local initiatives and surveys</i> )
<i>Formative</i>	Mid-term	<b>Independent</b>	EUR 150,000
<i>Summative</i>	End of project	<b>Independent</b>	EUR 150,000