

# Annex 10a

## Procurement plan

GCF Funding Proposal

*Thai Rice:  
Strengthening Climate-Smart Rice Farming*

July 2023

Version 4

Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH

## GIZ Procurement Plan<sup>1</sup>

### I. General

1. **Project information:** (name of project, country, Project) **Thai Rice: Strengthening Climate Smart Rice Farming, Thailand, Project**
2. **Version of the Plan:** Version 4, dated **28/07/2023**
3. **Approval Date of the procurement Plan:** n/a
4. **Date of General Procurement Notice:** n/a
5. **Period covered by this procurement plan: First 18 months of project implementation: 11/2023 – 04/2025**
6. **Other Arrangements:** (**Example:** The project will provide grants to Government, Civil Society, Private Sector and regional organizations. The Accredited Entity’s approved Procurement and Consultant Guidelines reviewed and accepted by the Fund will apply under these grants. Procurement will be carried out by Grant Recipients themselves and procurement plan will be agreed between the AE and Grant Recipient.
7. **GIZ Specification:**
  - **GIZ as Accredited Entity (AE):** GIZ will do the procurements according to the regulations for public procurement (German law/European law) – the table below can get adapted from time to time, due to legal changes:

	<b>Estimated Contract Value</b>	<b>Procedure</b>	<b>Approximate duration</b>
Direct buying	up to EUR 1.000,00	<u>Direct buying:</u> without formal justification and without formal contract form	
small contracts	from EUR 1.000,01 up to EUR 7.999,99	<u>Direct award procedure:</u> usually limited to 1 contract for consultant/company per PN	2-4 weeks
small contracts	from EUR 8.000,00 up to EUR 20.000,00	<u>Simple negotiated procedure without a call for competition with at least three eligible</u>	2-4 weeks

<sup>1</sup> The EEs are responsible for the procurement procedures and determine the procurement method during the project implementation. The details of the procurement plan will be filled in for procurements done from GIZ as AE and/or EE. Further/Detailed information from the EEs are available after conclusion of the Grants and start of the Implementation.

		<u>bidders (3 options):</u> - price quotation (100%) - CV / price assessment (70% / 30%) - Concept / CV / price assessment (35% / 35% / 30%)	
Tender below the EU threshold	from EUR 20.000,01 up to EUR 215.000,00 (Exception: Higher EU-threshold of up to EUR 750.000,00)	<u>Negotiated Procedure Tender without a call for competition (shortlist) with 5 to 8 eligible bidders:</u> technical and price assessment (70% / 30%) Public (Open) Tender Procedure: in case there is no knowledge of the relevant market; technical and price assessment (70% / 30%); no negotiation permitted	8-10 weeks
Tender above the EU threshold	from EUR 215.000,01 (Exception: Higher EU-threshold of up to EUR 750.000,00)	<u>Public (Open) Tender Procedure</u>  <u>Restricted Negotiated Procedure</u>  <u>Negotiated procedure with competitive tender</u>	5-9 months
	<b>In justified exceptional cases:</b> Direct order above EUR 1.000,00	<b>In justified exceptional cases, while observing the principles of economic efficiency and cost-effectiveness:</b> a direct order above EUR 1,000.00 from a specific contractor is possible without competitive tender. <i>For example</i> , a justified exception exists in the event of a unique selling point, i.e. if a single specific company is uniquely qualified to provide a particular service.	
	unspecified	Individual contracts using existing framework agreements	

- **Executing Entities (EEs):**

- a) The EEs will sign a subsidiary contract with the AE, based on GIZ's standard operating procedures for contracts for financing.
- b) When awarding contracts for goods, works and consulting services to be financed in full or in part from the grant, the EE shall observe the regulations mentioned in the 'Procurement Guidelines for projects funded by GIZ'. The procedures described in the Guidelines are contractually agreed minimum standards. In addition to these, the Recipient must also comply with all applicable statutory regulations under public (procurement) law.

Estimated Contract value	Procedure
up to EUR 1.000,00	Procurement is permitted without a tender procedure for the award of a contract but observing the principles of economic efficiency and cost-effectiveness.
over EUR 1.000,00 and up to EUR 20.000,00	Request for at least three candidates to submit tenders
over EUR 20.000,00 and up to EUR 200.000,00	a) Public invitation to tender, or b) Limited invitation to tender after competitive tender for participation, or c) Limited invitation to tender without competitive tender for participation
over EUR 200.000,00	a) Public invitation to tender or b) Limited invitation to tender after competitive tender for participation

Exceptions	Procedure
In justified exceptional cases: Direct order above EUR 1.000,00	In justified exceptional cases, while observing the principles of economic efficiency and cost-effectiveness: a direct order above EUR 1,000.00 from a specific contractor is possible without competitive tender. <u>For example</u> , a justified exception exists in the event of a unique selling point, i.e. if a single specific company is uniquely qualified to provide a particular service.
Individual contracts using existing framework agreements between the Recipient and their suppliers of goods and services.	Existing framework agreements with the Recipient may be used, provided there is evidence of compliance with the minimum standards mentioned above (except direct order).

**II. Goods, Works and non-consulting services**

1. **Prior Review Threshold:** Procurement Decisions of the AE – see I general / 7. GIZ specification
2. **Prequalification** (for complex Civil Works)
3. **Procurement Packages (for Goods, Works and Non-consultancy services) with Selection Methods and Time Schedule**

1	2	3	4	5	6	7	8	9	10	11	12
Ref. No.	Contract (Description)	Source of Funds (GCF)	Planned vs Actual	Estimated Direct Cost in EUR	Procurement Method	Pre qualification (yes/no)	Domestic Preference (yes/no)	Date of issuance of doc's approx. (month/year)	Bid-Opening Date approx. (month/year)	Date of contract /order signature approx. (month/year)	Comments
1	Project Vehicles and Cars	GCF	Planned	Up to EUR 160,000	b) Limited invitation to tender after competitive tender for participation	yes	yes	01/2024	02/2024	03/2024	Procuring Entity: GIZ
			Actual								
2	Office Equipment Bangkok	GCF	Planned	Up to EUR 50,000	b) Limited invitation to tender after competitive tender for participation	yes	yes	01/2024	02/2024	03/2024	Procuring Entity: GIZ
			Actual								

1	2	3	4	5	6	7	8	9	10	11	12
Ref. No.	Contract (Description)	Source of Funds (GCF)	Planned vs Actual	Estimated Direct Cost in EUR	Procurement Method	Pre qualification (yes/no)	Domestic Preference (yes/no)	Date of issuance of doc's approx. (month/year)	Bid-Opening Date approx. (month/year)	Date of contract /order signature approx. (month/year)	Comments
3	5x Office and Technical Equipment for Field Teams	GCF	Planned	5x Up to EUR 43,000 = EUR 215,000	b) Limited invitation to tender after competitive tender for participation	yes	yes	01/2024	02/2024	03/2024	Procuring Entity: GIZ
			Actual								
4	Conference and moderation material for international exchange and capacity building	GCF	Planned	Up to EUR 40,000	b) Limited invitation to tender after competitive tender for participation	yes	No	03/2024	04/2024	05/2024	Procuring Entity: GIZ
			Actual								
5	Indicative: Agronomic Equipment for field demonstration and piloting (drones and DSR equipment;)	GCF	Planned	Up to EUR 66,710	b) Limited invitation to tender after competitive tender for participation	yes	no	05/2024	07/2024	08/2024	Procuring Entity: IRRI
			Actual								
6	Indicative: Agronomic and technical equipment for extension, training and outreach	GCF	Planned	Up to EUR 70,600	b) Limited invitation to tender after competitive tender for participation	yes	no	05/2024	07/2024	08/2024	Procuring Entity: RD
			Actual								
<b>Total For Goods</b>				<b>EUR 602,310</b>							

**III. Selection of Consultants**

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by AE:
2. **Short list comprising entirely of national consultants:**
  - see I general / 7. GIZ specification
3. **Any Other Special Selection Arrangements:** [including advance procurement and retroactive financing, if applicable]
4. **Consultancy Assignments with Selection Methods and Time Schedule**

1	2	3	3	4	5	6	7	8	9	10	11
Ref No.	Description of Assignment	Source of Funds (GCF)	Estimated Cost	Selection Method	Issuance of Expression of Interest approx. (month/year)	Finalize shortlist and issue RFP approx. (month/year)	Proposals Submission Date approx. (month/year)	Complete Technical Evaluation approx. (month/year)	Complete Financial Evaluation approx. (month/year)	Negotiate and Award approx. (month/year)	Comment
1	Baseline Survey	GCF	EUR 100,000	c) Limited invitation to tender without competitive tender for participation	01/2024	01/2024	02/2024	02/2024	02/2024	02/2024	Procuring Entity: GIZ
2	Curricula development for extension approach including initial roll-out and consultation	GCF	EUR 170,000	b) Limited invitation to tender after competitive tender for participation	01/2024	01/2024	02/2024	02/2024	02/2024	02/2024	Procuring Entity: GIZ
3	ESMP Implementation	GCF	EUR 150,000	c) Limited	02/2024	02/2024	02/2024	03/2024	04/2024	04/2024	Procuring Entity: GIZ

1	2	3	3	4	5	6	7	8	9	10	11
Ref No.	Description of Assignment	Source of Funds (GCF)	Estimated Cost	Selection Method	Issuance of Expression of Interest approx. (month/year)	Finalize shortlist and issue RFP approx. (month/year)	Proposals Submission Date approx. (month/year)	Complete Technical Evaluation approx. (month/year)	Complete Financial Evaluation approx. (month/year)	Negotiate and Award approx. (month/year)	Comment
				invitation to tender without competitive tender for participation							
4	Multi Taxon Biodiversity Study	GCF	EUR 30,000	c) Limited invitation to tender without competitive tender for participation	02/2024	02/2024	02/2024	03/2024	04/2024	04/2024	Procuring Entity: GIZ
5	Climate Smart Agriculture and Water Management Advocacy for policy environment and NDC implementation	GCF	EUR 102,000	c) Limited invitation to tender without competitive tender for participation	05/2024	06/2024	06/2024	07/2024	07/2024	07/2024	Procuring Entity: GIZ
6	Support to GAP implementation	GCF	EUR 120,000	c) Limited invitation to tender without competitive tender for	07/2024	08/2024	06/2024	08/2024	09/2024	09/2024	Procuring Entity: GIZ

1	2	3	3	4	5	6	7	8	9	10	11
Ref No.	Description of Assignment	Source of Funds (GCF)	Estimated Cost	Selection Method	Issuance of Expression of Interest approx. (month/year)	Finalize shortlist and issue RFP approx. (month/year)	Proposals Submission Date approx. (month/year)	Complete Technical Evaluation approx. (month/year)	Complete Financial Evaluation approx. (month/year)	Negotiate and Award approx. (month/year)	Comment
				participation							
<b>Total for consultants</b>		<b>EUR 672,000</b>									