

## Procurement Plan

### I. General

1. **Program information:** PEEB Cool
2. **Version of the Plan:** Version 3.0 dated 18 July 2022
3. **Approval Date of the procurement Plan:**
4. **Date of General Procurement Notice:**
5. **Period covered by this procurement plan:** 18 months Tentative date: (Q3 2023- Q32024). Procurement plan is for 18 months (To be reviewed and revised)
6. **Other Arrangements:**

Procurement will take place at Program level and at Subproject level.

- At program level, calls for tenders concern intellectual services relating to the general monitoring of PEEB Cool (PMU, audit, evaluation, other expertise) as well as technical assistance services that will be mobilized for each project (TA for component 1). Those procurement are submitted through AFD's public procurement guidelines. Component 2 will be implemented mainly by GIZ. In countries where GIZ will not implement the activities for component 2, AFD may hire Expertise France (EF), AFD's affiliate for technical cooperation. EF would act as AFD's service provider. GIZ procurement and awarding rules apply for procurement within component 2 for which GIZ is the procuring agency.
- At subproject level, calls for tender will depend on the nature of the investment and the category of subproject (direct or intermediated). They are submitted to AFD's procurement guidelines for AFD-financed contracts in foreign countries.

Because of the programmatic approach, the full pipeline of the subprojects that will be financed under PEEB Cool is not identified. For identified subprojects, the level of details required to prepare the procurement plan is not always known.

For those reasons, the present document states the procurement plan related to calls for tender at program level. AFD will provide to GCF an updated procurement plan in the APR.

## **II. Goods, Works and non-consulting services.**

Goods, works, and non-consulting services will be procured at subproject level by subproject sponsors according to AFD's procurement guidelines. A detailed procurement plan at subproject level will be provided by AFD according to a timetable to be agreed with GCF.

### **1. Prior Review Threshold:** Procurement Decisions subject to Prior Review by the AE/Fund

As a public entity, AFD is required to ensure that the funding it provides for its activities in foreign countries is used for the intended purpose. Hence, regarding AFD-financed contracts in foreign countries, beneficiaries<sup>1</sup> must comply with AFD's procurement guidelines that are provided in Annex 19 that specify AFD's requirements for procurement, to render them binding upon the beneficiary and to specify the scope of reviews that AFD undertakes on AFD-financed procurement.

	Procurement Method	Threshold for use of method	Prior Review Threshold	Comments
AFD-Financed contracts in Foreign Countries				
1.	Direct contracting	<15,000 EUR	Unless otherwise notified by AFD to the beneficiary AFD shall carry out prior reviews of the procurement processes financed by AFD and issue No Objection Letters when it is satisfied that the beneficiary has complied with AFD Guidelines (annex 19). Hence, there is no threshold for prior review.	
2.	Request for quotation for works, or plants contracts	< 300,000 EUR		
3.	Request for quotation for goods, consulting services or non-consulting services contracts	<200,000 EUR		
4.	National procurement competition for works, or plants contracts	< 5,000,000 EUR		
5.	National procurement competition for goods, consulting services or non-consulting services contracts	<200,000 EUR		

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<sup>1</sup> Beneficiary means any direct or indirect recipient of AFD financing, acting as a contracting authority, regardless of whether it received or will receive a loan or a grant under a financing agreement.

## 2. Prequalification (for complex Civil Works)

A prequalification stage is recommended in the case of procurement of complex works or plants through an international procurement competition for which the risk of collusion between prequalified applicants is low. It stimulates competition, particularly when the bid preparation costs are high. Unless otherwise approved by AFD, it is required for “design, build and operate” contracts.

## 3. Procurement Packages with Methods and Time Schedule

Procurement for goods, works and non-consulting services will be carried out at subproject level. Detailed subproject procurement plan at subproject basis will be provided by AFD on a schedule agreed with GCF.

1	2	3	4	5	6	7	8	9	10	11	12	13
Ref. No.	Contract (Description)	Source of Funds	Planned vs Actual	Estimated Cost in US\$	Procurement Method	Pre qualification (yes/no)	Domestic Preference (yes/no)	Review by AE/Fund (Prior / Post)	Date of issuance of doc's	Bid-Opening Date	Date of contract /order signature	Comments
1			Planned									
			Actual									
2			Planned									
			Actual									
3			Planned									
			Actual									
Total For Goods												

### **III. Selection of Consultants**

#### **1. Prior Review Threshold:** Selection decisions subject to Prior Review by AE/Fund:

As a public entity, AFD is required to ensure that the funding it provides for its activities in foreign countries is used for the intended purpose. Hence, regarding AFD-financed contracts in foreign countries, beneficiaries<sup>2</sup> must comply with AFD's procurement guidelines that are provided in Annex 19 that specify AFD's requirements for procurement, to render them binding upon the beneficiary and to specify the scope of reviews that AFD undertakes on AFD-financed procurement.

Regarding AFD's public procurement, AFD is subject to the French public procurement code. This implies that, apart from a few exceptions allowed by the texts, all purchases must systematically be subject to a call for competition adapted to the need, whatever the amount of the purchase. In order to comply with this regulatory constraint, AFD has set up internal procurement procedures that must be respected for all purchases.

	Selection Method	Threshold for use of method	Comment
AFD-Financed contracts in Foreign Countries			
1.	Direct contracting	<15,000 EUR	Unless otherwise notified by AFD to the beneficiary AFD shall carry out prior reviews of the procurement processes financed by AFD and issue No Objection Letters when it is satisfied that the beneficiary has complied with AFD Guidelines (annex 19).
2.	Request for quotation	<200,000 EUR	
3.	National procurement competition	<200,000 EUR	
AFD's procurement			
1.	Direct contracting	<40,000 EUR	Beyond 40,000 EUR, direct contracting is authorized for the cases described in the Public Order Code (articles R2122-1 to R2122-8 and R2122-10)
2.	Request for quotation	<90,000 EUR	

#### **2. Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$100,000 equivalent per contract, may comprise entirely of national consultants in accordance with the Fund's interest in encouraging the development and use of National Consultants from partner countries of operation.

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**3. Any Other Special Selection Arrangements:** [including advance procurement and retroactive financing, if applicable]

**4. Consultancy Assignments with Selection Methods and Time Schedule**

As described above, the table below presents the calls for tender at Program level – with amounts of each contract at a Subproject level where applicable. At subproject level, subproject sponsor will carry out calls for tender. Examples of consultants procurement at subproject level are subproject management services.

1	2	3	3	4	5	6	7	8	9	10	11	
Ref No.	Description of Assignment	Source of Funds	Estimated Cost (MEUR)	Selection Method	Review by AE/Fund (Prior / Post)	Issuance of Expression of Interest	Finalize shortlist and issue RFP	Proposals Submission Date	Complete Technical Evaluation	Complete Financial Evaluation	Negotiate and Award	Comment
1	Audit	AFD	1.0	QCBS	n.a.(*)	2 months after signing of Funded Activity Agreement (FAA)	4 months after signing of Funded Activity Agreement (FAA)	6 months after signing of Funded Activity Agreement (FAA)	7 months after signing of Funded Activity Agreement (FAA)	8 months after signing of Funded Activity Agreement (FAA)	9 months after signing of Funded Activity Agreement (FAA)	Contract duration: 15 years (implementation period)
2	Technical assistance of design phase (support preparation of feasibility studies) and renovation / construction phase (monitor proper implementation, project management)	GCF / AFD	~1.5 per Subproject	QCBS	Post	3 months after signing of Funded Activity Agreement (FAA)	6 months after signing of FAA	9 months after signing of FAA	10 months after signing of FAA	10 months after signing of FAA	12 months after signing of FAA	This item includes a framework agreement with 2 or 3 consulting companies specialised in energy efficiency in buildings. The framework agreement will be mobilised upon service request by the executing entities, and the consulting companies in charge of the required services will be selected within the

1	2	3	3	4	5	6	7	8	9	10	11	
Ref No.	Description of Assignment	Source of Funds	Estimated Cost (MEUR)	Selection Method	Review by AE/Fund (Prior / Post)	Issuance of Expression of Interest	Finalize shortlist and issue RFP	Proposals Submission Date	Complete Technical Evaluation	Complete Financial Evaluation	Negotiate and Award	Comment
												framework agreement according to their capacities and their availabilities.
3	Develop sectoral frameworks or initiatives (support definition of green labels, develop action plans and roadmaps)	GCF / AFD	~0.5 per Subproject / country		Post	1 month after signing of FAA	2 months after signing of FAA	3.5 months after signing of FAA	4.5 months after signing of FAA	4.5 months after signing of FAA	6 months after signing of FAA	Note that the timing of these contracts will be dependent upon approval of specific Subprojects in specific countries.
4	Support the development of policies on EE buildings	GCF / AFD	~0.7 per Subproject / country	QCBS	Post	1 month after signing of FAA	2 months after signing of FAA	3.5 months after signing of FAA	4.5 months after signing of FAA	4.5 months after signing of FAA	6 months after signing of FAA	
5	Develop and implement training and awareness modules	GCF / AFD	~0.4 per Subproject / country	QCBS	Post	1 month after signing of FAA	2 months after signing of FAA	3.5 months after signing of FAA	4.5 months after signing of FAA	4.5 months after signing of FAA	6 months after signing of FAA	
7	PMU members	GCF	~0.5	QCBS	n.a.(* )	1 month after Signing of FAA	3 months after Signing of FAA	5 months after Signing of FAA	6 months after Signing of FAA	6 months after Signing of FAA	6 months after Signing of FAA	Around 2-3 individual consultants at the same time, depending on the workload during program duration.

(\*) in the mentioned assignment, AFD will be responsible for the call for tender.

Competitive Methods are the following:

- Quality Cost Based Selection method (QCBS)
- Quality Based Selection (QBS)
- Fixed Budget Selection (FBS)

- Least Cost Selection (LCS)
- Consultants Qualifications Selection (CQS)