

Vanuatu Community-based Climate Resilience Project (VCCRP)

Annex 7b: Summary of Consultations

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1. Stakeholder consultations are a key part of the Vanuatu Community-based Climate Resilience Project (VCCRP) design process. This project is founded on consultations with national and sub-national government agencies and community engagement at representative villages across three provinces.
2. This Design Stakeholder Engagement Plan documents how the relevant project stakeholders have been engaged and defines the types of engagement established in the project design. In developing this engagement plan, the goal was to identify all the people and organizations involved in or potentially affected by the project.

Stakeholder Consultation during Project Design

3. This Design Stakeholder Engagement Plan documents how the relevant project stakeholders have been engaged and defines the types of engagement established in the project design. In developing this engagement plan, the goal was to identify all the people and organizations involved in or potentially affected by the project.

Stakeholder Identification

4. All **relevant stakeholders** were identified through a mapping output provided in Appendix A that includes specific contacts for each stakeholder group. Primary stakeholders were engaged early in the design phase (late 2020) and throughout, and secondary stakeholders were engaged as the design was being finalised (early 2021). Engagement was open and extensive, and many primary stakeholders will have an executing role for implementation. This stakeholder list will be updated throughout the project to ensure all key relevant stakeholders are included and a stakeholder needs matrix will be prepared to document the expectations of all interested parties, and how these will be managed.
5. In identifying stakeholders, particular attention has been given to ensuring vulnerable and marginalised groups within civil society, including women, children and people with disabilities, are included in the engagement plan to ensure effective engagement that is socially inclusive, has taken place. Stakeholder consultations are also an important part of the environmental and social, gender and vulnerable people safeguards and inputs from engagement will be incorporated into the Environmental and Social Safeguards Plan and the Gender Equity and Social Inclusion Plan.
6. **Direct beneficiaries** include communities and civil society organisations (CSO) and networks that represent communities and are included in the engagement plan with some CSOs and networks an important focus for meaningful participation. A representative sample of direct beneficiaries have been consulted during the design phase to capture their needs and inputs to the project activities and incorporated in the design of the project. Table 2 describes the kind and extent of consultations that have been conducted with direct beneficiaries during the design phase.
7. A project **Reference Group** has been established during the design phase with representatives invited from primary stakeholders who will be involved in implementation, including government agencies, NGOs, CSOs and private sector. The Reference Group will provide general oversight of the project and guidance to ensure it aligns with government policies and initiatives, and stakeholder needs. Members of the Reference

Group are included in Appendix A. A subset of members of the Reference Group will become the implementation Steering Committee for the project.

Consultations to Date

8. The earliest consultation undertaken with government stakeholders to develop the project concept commenced in 2016, with a number of concept development workshops held over 2016-2019, including with key government staff. A range of consultations have been undertaken at the national level, including a project theory of change workshop in February 2017, with participation from the NAB Secretariat, Prime Minister's office and the Department of Agriculture and Rural Development. A design workshop was held in September 2017 with participation from the Directors of the departments of Fisheries and Water, and senior representation from the Department of Agriculture. In April 2018, Save the Children participated in the first Vanuatu Private Sector Climate Finance Tradeshow, presenting this concept and engaging with potential private sector delivery partners.
9. Continued consultation during the design phase with government and other relevant stakeholders further progressed the project intent and development (consultations are detailed in Table 1) and included:
 - Initial information correspondence about the project concept and details of design process and timelines (November 2020 – February 2021);
 - Bilateral meetings with relevant personnel to discuss the project concept and details of design process and timelines (November 2020 – February 2021);
 - Community consultation surveys (December 2020 – January 2021), including community profiling, agriculture assessments, fish catch surveys and women's focus groups;
 - Establishment and representation of the project Reference Group during design; and
 - Participation in a validation workshop to validate the project design before submission to GCF (10 March 2021) (Attendees and outcomes listed in Appendix C, Annex 7).

Community consultation surveys

10. During the design phase community consultation surveys were conducted in three Area Councils in three Provinces (North West Santo in Sanma Province, North Ambrym in Malampa Province and Varsu in Shefa Province). A total of eight communities participated in voluntary community consultation which included:
 - Surveys to collect and build community profiles and establish baseline data;
 - Surveys to validate key natural resources and climate impacts;
 - Consultations to confirm willingness and interest in implementing adaptation interventions and enhanced DRR;
 - Consultations to identify key CCA, DRR and CIS needs; and
 - Surveys to validate the main focus of committee/group (e.g. women's groups, environmental committees, Community Disaster and Climate Change Committees: CDCCC), and issues faced.
11. These sites were selected based on national government feedback received during the design phase. The sites also provide a representative sample of highly vulnerable communities (nationally and at a Provincial level), and a range of biophysical and socio-economic conditions. The community surveys collected data that was used to validate

the local context at these sites and the likely drivers of vulnerability. Details of community consultations are shown in Table 3. The number of male and female participants, as well as male and female youth shows effective, socially inclusive engagement took place. Quantitative data was captured using tablets with the Kobo Toolbox application to facilitate easier data analysis post-implementation.

Validation workshop

12. A validation workshop was held in Port Vila on the 10th of March 2021 with national and sub-national government to finalise the selection of Area Councils that will be targeted for VCCRP project activities. A key part of the workshop was gaining an understanding of complementary projects and avoiding duplication. An overview of the national vulnerability assessment results were presented and were well-received, with the input from participants important for validating and updating the results. Workshop attendees were broken into 5 sectors (CCA/DRR, Marine inshore fisheries, agro-forestry, livestock and water resources, sub-national governance and CIS and GESI) and given the opportunity to provide input into the site selection process of priority of target communities in the 'lower' Area Councils. Further details including a list of workshop attendees are provided in Appendix C.
13. Table 1 describes consultations that took place during the development of the project concept note and the design phase. Appendix B provides a record of consultations that have been conducted during the design phase and main discussion points.

Table 1. Stakeholder consultations in concept and design phases for VCCRP.

| Stakeholder Group | Interest and Influence Relevant to the Project | Proposed Role in Project | Engagement Strategy |
|--|--|---|--|
| Concept Phase | | | |
| NAB Secretariat, Prime Minister's office and the Department of Agriculture and Rural Development | February 2017: Project theory of change workshop | | |
| National Advisory Board | April 2017: Initial concept note presented and approved | | |
| Departments of Agriculture, Fisheries and Water | September 2017: Design workshop | | |
| Government departments, civil society organisations and private sector entities | April 2018: first Vanuatu Private Sector Climate Finance Tradeshow | | |
| National Advisory Board | November 2018: Progress update to NAB | | |
| National Advisory Board | February 2020: Formal concept note presentation to the NAB and approval (issuance of Letter of No Objection) | Provide legal approval to proceed to Funding Proposal stage with NDA providing NOL. | Continuing engagement by providing updates to the NAB Secretariat and facilitating presentations during quarterly Board meeting (as needed) |
| Design Phase | | | |
| Ministry of Climate Change (including Department of Climate Change, NDMO, VMGD) | MoCC has a critical interest in the project as the lead Ministry for all climate change projects and related activities; to ensure the CC Act, CCDRR Sector Policy and GCF | NDA / Operational Focal Point and Implementing Entity (TBC); Chair of the project Reference Group; execution of project activities, in particular those related to access | Engagement through: (i) Bilateral meetings with relevant personnel to discuss the project concept and details of design process and timelines, Nov 2020 – Feb 2021; |

| Stakeholder Group | Interest and Influence Relevant to the Project | Proposed Role in Project | Engagement Strategy |
|--|--|---|--|
| | Country Programme are adhered to and implemented effectively; Under this Ministry, the DG is also Chair of the NAB and responsible for delivering CCA, DRR and CIS throughout Vanuatu. | to CIS (output 2.2) and enhanced local-provincial-national linkages (output 3.2). | (ii) Chair of the project Reference Group during design and implementation phases, Feb/March 2021 meeting to validate the design before submission to GCF. |
| Ministry of Finance and Economic Management (MFEM) | MFEM has an interest in the project as a delivery mechanism for reaching a substantial portion of Vanuatu's rural and remote communities to promote CCA, DRR and as a result, greater community well-being. Also has interest in enhanced institutional and governance systems and linkages between all levels of government. MoF has also been nominated by GoV to seek accreditation as a national Direct Access Entity (DAE) with the GCF. Engagement in this project will assist them to further develop the systems and processes required for AE status. | Member of the project Reference Group; oversight and management of government funds for project activities. | Engagement through: (i) Bilateral meetings with relevant personnel to discuss the project concept and details of design process and timelines, 2020 & 2021; (ii) Representation on the project Reference Group during design and implementation phases, March 2021 meeting to validate the design before submission to GCF. |
| Ministry of Agriculture, Livestock, Forestry, Fisheries & Bio-security (MALFFB)) | Interest in project activities that promote greater food security and increase community well-being (output 1.1) and their sector priorities (e.g. National Agriculture Sector Policy, National Fruits and Vegetable Policy). National legislation and policy include provisions for community management plans that address agriculture and fisheries issues and have extension officers in all Provinces with established | Implementing Entity; member of the project Reference Group; execution of project activities, in particular those related to climate-resilient food systems (output 1.2, 1.3 & 1.4), sector-specific and institutional support (3.1) and enhanced local-provincial-national linkages (output 3.2). | Engagement through: (i) Bilateral meetings with relevant personnel to discuss the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Representation on the project Reference Group during design and implementation phases, March 2021 meeting to validate the design before submission to GCF. |

| Stakeholder Group | Interest and Influence Relevant to the Project | Proposed Role in Project | Engagement Strategy |
|---|--|--|---|
| | relationships with local communities to provide sector-specific support (output 2.1). | | |
| Department of Women's Affairs | Interest in project activities that relate to national priorities for gender equity (including the recently updated National Gender Equality Policy) and inclusion of marginalised groups. | General interest in project activities related to each Ministry's portfolio. | Engagement through: (i) Initial information correspondence about the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Participation in the project Design meeting in March 2021 to validate the design before submission to GCF. |
| Other National Government Agencies (e.g. Oceans Office) | Interest in project activities that relate to national priorities for ocean governance and sustainable management. | General interest in project activities related to each Ministry's portfolio. | Engagement through: (i) Initial information correspondence about the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Participation in the project Design meeting in March 2021 to validate the design before submission to GCF. |
| Local authorities (e.g. DLA, Provincial governments, Area Councils) | Interest in project activities that promote decentralisation of Provincial development and increase community food security and DRR. Local authorities in selected Area Councils will be consulted during the design of the project. They have established networks into communities, can influence management interventions and benefit from development activities in their areas. | Direct and indirect beneficiaries. Execution of specific climate-resilient agriculture and fisheries interventions (to be decided at project onset for each site)(output 1.2 and 1.3), DRR activities (output 2.1), sector-specific and institutional support (output 3.1) and enhanced local-provincial-national linkages (output 3.2). | Engagement through: (i) Bilateral meetings with relevant personnel to discuss the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Representation on the project Reference Group [i.e. inclusive of MoA/DLA (national) and SGs (provincial), but not Area Council level (local)], during design and implementation phases, March 2021 meeting to validate the design before submission to GCF. |
| Local NGOs (including coordination via VCAN – CCDRR) | Interest in project activities that promote local development and increase community food security and DRR. Many local non-governmental | Execution of specific climate-resilient agriculture and fisheries interventions (to be decided at project onset for each site) and | Engagement through: (i) Initial information correspondence about the project concept and details of design process and timelines, Nov-Dec 2020; |

| Stakeholder Group | Interest and Influence Relevant to the Project | Proposed Role in Project | Engagement Strategy |
|-----------------------------|---|--|---|
| arm of VANGO, Wan Smol Bag) | organizations work on agriculture and fisheries issues, DRR awareness and training, and are well-known and trusted by local communities. | training/awareness-raising for communities. | (ii) Participation in the project Design meeting in March 2021 to validate the design before submission to GCF. |
| CSOs and community networks | CSOs and community networks will be consulted during the design of the project. They have established networks into communities, particularly working on agriculture and fisheries issues, DRR awareness and training, and are well-known and trusted by local communities. | Execution of specific climate-resilient agriculture and fisheries interventions will be formalised at project onset for each site. CSOs and community networks are also indirect beneficiaries who will collaborate with communities to support implementation of management plans and conduct awareness raising activities. | Engagement through: (i) Initial information correspondence about the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Participation in the project Design meeting in March 2021 to validate the design before submission to GCF. |

* The Vanuatu government is proposing to establish a Ministry of Oceans to absorb the Ministry of Justice and Community Services (MoJCS) and the engagement plan with the Vanuatu Fisheries Department, Department of Women's Affairs and Ministry of Internal Affairs will be adjusted accordingly if this occurs.

** The Vanuatu government is proposing to establish a new Department of Urban Development under the MoIA which will be responsible for at least the three municipalities (Port Vila, Luganville, Lenekal)

Table 2. Direct beneficiaries consultation in design phase for VCCRP.

| Stakeholder Group | Interest and Influence Relevant to the Project | Proposed Role in Project | Engagement Strategy |
|-------------------------|--|---|--|
| Design Phase | | | |
| Smallholder communities | Communities have been identified as extremely vulnerable to climate variability and extremes, which is impacting their food security and DRR effectiveness and demonstrating a deficit | A representative sub-set of smallholder communities were involved in the project design, particularly identifying community needs and willingness to participate. | Engagement through: (i) surveys to validate key natural resources and climate impacts; (ii) consultations to confirm willingness and interest in implementing adaptation |

| Stakeholder Group | Interest and Influence Relevant to the Project | Proposed Role in Project | Engagement Strategy |
|--|---|--|--|
| | in adaptive capacity. Remote communities in particular receive limited training and support. Interest is aligned with the project's output 1.2 and 1.3 to improve food security, output 2.1 enhanced DRR | This included communities in three Area Councils that are rural and remote, considered to be amongst the most vulnerable communities in Vanuatu. | interventions and enhanced DRR; (iii) consultations to identify key CCA, DRR and CIS needs. |
| Traditional Chiefs | Provide a voice for their community and kastom laws. Interest is aligned with the project's output 1.2 and 1.3 to improve food security, output 2.1 enhanced DRR, and output 3.1 adaptive local governance. | The Chiefs in the representative sub-set of communities were involved in the project design, particularly identifying community needs, local laws and willingness to participate. This included communities in three Area Councils that are rural and remote, considered to be the most vulnerable communities in Vanuatu. | Engagement through: (i) surveys to validate key natural resources and climate impacts; (ii) consultations to confirm willingness and interest in implementing adaptation interventions and enhanced DRR; (iii) consultations to identify key CCA, DRR and CIS needs. |
| Heads of community committees (e.g. women's groups, environmental committees, Community Disaster and Climate Change Committees: CDCCC) | Provide a voice for their group. Interest is aligned with the project's output 1.2 and 1.3 to improve food security and output 2.1 enhanced DRR. | Heads of community committees and groups in the representative sub-set of communities will be involved in the project design, particularly identifying community needs, local laws and willingness to participate. This is likely to be communities in three Area Councils that are rural and remote, considered to be the most vulnerable communities in Vanuatu. | Engagement through: (i) surveys to validate main focus of committee/group and issues faced; (ii) consultations to confirm willingness and interest in implementing adaptation interventions and enhanced DRR; (iii) consultations to identify key CCA, DRR, CIS, and other needs. |
| Development partners | Interest in synergies between relevant projects and ensuring project design considers existing and future activities and outputs. Potential opportunities for value-adding activities and co-financing. | Development partners will provide information on current and future planned projects that are relevant to the design, to avoid duplication and maximise collaboration. | Engagement through: (i) Initial information correspondence about the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Work with DSPPAC on donor/development partner coordination; (iii) Targeted meetings as required; |

| Stakeholder Group | Interest and Influence Relevant to the Project | Proposed Role in Project | Engagement Strategy |
|--|---|---|--|
| | | | (iv) Participation in the project Design meeting in Feb/March 2021 to validate the design before submission to GCF |
| Private sector (e.g. Vanuatu Chamber of Commerce and Industry, Vanuatu Business Resilience Council, individual retailers for adaptation technologies including Solar PV) | Interest in promoting the development of skills and businesses in Vanuatu. Provide a platform for the private sector to both manage impacts to themselves, and support government, civil society and development partners in building climate resilience and preparing for and responding to disasters and emergencies. | Private sector organisations and industry will help to identify relevant national and local skills and capacity building mechanisms and provide access to cooperatives in the project's target sites. | Engagement through: (i) Initial information correspondence about the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Targeted meetings as required; (iii) Participation in the project Design meeting in Feb/March 2021 to validate the design before submission to GCF |

Table 3: Community consultation surveys undertaken as part of the design phase

| Community | Consultation date | Number of females | Number of males | Number of female youth | Number of male youth | Total number of youth | Total number of attendees |
|-----------|-------------------|-------------------|-----------------|------------------------|----------------------|-----------------------|---------------------------|
| Ranon | 8 December 2020 | 24 | 13 | 9** | 3 | 12 | 37 |
| Magam | 9 December 2020 | 12 | 31 | 3 | 16 | 19 | 43 |
| Fonmur* | 10 December 2020 | 25 | 12 | 7 | 5 | 12 | 37 |
| Mate Wan | 12 January 2020 | 41 | 37 | 16 | 14 | 30 | 78 |
| Ngala | 13 January 2020 | 22 | 28 | 8 | 3 | 11 | 50 |
| Nikaura | 14 January 2020 | 48 | 47 | 9 | 19 | 28 | 95 |
| Olpoe | 21 January 2021 | 36 | 34 | 26 | 18 | 44 | 70 |
| Penarou | 22 January 2021 | 13 | 10 | 5 | 4 | 9 | 23 |

*estimates (based on Ranon numbers) as community sign in sheets misplaced

**including one female in secondary school

Appendix A: List of Project Stakeholders and Contact Details

Primary Stakeholders

| Stakeholder Group | Organization | Position | Name | Proposed Reference Group (TBC) |
|--|--|---|------------------|--------------------------------|
| Ministry of Climate Change | Dept of Climate Change | Director | Mike Waiwai | Yes |
| | Dept of Climate Change | Project Manager | Jackson Tambe | |
| | Dept of Climate Change | CCA / DRR officer | Paulianne Basil | |
| | Dept of Climate Change | Technical Assistant | Malcolm Dalesa | |
| | NAB / Ministry of Climate Change | NAB Strategic Manager | Florence lautu | |
| | National Disaster Management Office (NDMO) | Director | Abraham Nasak | |
| | National Disaster Management Office (NDMO) | Manager | Alice Iarem | |
| | Vanuatu Meteorological & Geo-Hazards Department (VMGD) | Director | Montin Romone | |
| | Vanuatu Meteorological & Geo-Hazards Department (VMGD) | Manager Weather Forecasting and Services | Jerry Timothy | |
| | Vanuatu Meteorological & Geo-Hazards Department (VMGD) | Senior Forecaster | Iuma Bani | |
| | Ministry of Climate Change | Director General | Esline Garaebiti | Yes (Chair) |
| | Ministry of Finance | Department of Finance and Treasury (DoFT) | Director | Dorothy Ericson |
| Ministry of Finance and Economic Management (MFEM) | | Director General | Letlet August | Yes |
| National government | Department of Agricultural and Rural Development | Director | Antoine Ravo | Yes |
| | Department of Agricultural and Rural Development | Deputy Director | Mark Vurobaravu | |
| | Vanuatu Fisheries Department* | Director | William Naiviti | Yes |
| | Vanuatu Fisheries Department* | Deputy Director | Sompert Gereva | |
| | Ministry of Agriculture, Livestock, Forestry, Fisheries, & Bio-security (MALFFB) | Director General | Moses Amos | |
| | Ministry of Internal Affairs (MoIA)* | Director General | Cherol Ala | |
| | Department of Women's Affairs* | Director | Rothina Noka | Yes |
| | Department of Strategic Policy, Planning & Aid Coordination (DSPPAC) | Policy Sector Analyst - Climate Change | Wycliff Bakeo | |
| | Department of Strategic Policy, Planning & Aid Coordination (DSPPAC) | Aid Coordination and Negotiations Unit (ACNU) Officer | Nikita Soltzer | |
| | Department of Strategic Policy, Planning & Aid Coordination (DSPPAC) | Head of ACNU | Anna Bule | Yes |
| | Department of Strategic Policy, Planning & Aid Coordination (DSPPAC) | Team Leader / GIZ EDF 11 | Nik Soni | |
| Local | Department of Local Authorities** | Director | Leith Veremaito | Yes |

| Stakeholder Group | Organization | Position | Name | Proposed Reference Group (TBC) |
|---------------------------|-----------------------------------|--------------------------|----------------------|--------------------------------|
| authorities | Department of Local Authorities** | Deputy Director | Ian Abbil | |
| | Department of Local Authorities** | Head of Decentralization | Ben Tabi | |
| | Penama Province | Secretary General | | |
| | Sanma Province | Secretary General | Albert Ruddley | |
| | Malampa Province | Secretary General | Kalwajin Skepha | |
| | Tafea Province | Secretary General | Joe Iautim | |
| | Torba Province | Secretary General | Reynold Surmat | |
| | Shefa province | Secretary General | Morris Kaloran | |
| | Shefa Province | CCA/DRR officer | Eddy Malaliu | |
| CSOs & community networks | VanuaTai Monitor Program (WSB) | Chair | Donald Aromalo James | |
| Local NGOs | VCAN / PACCCIL / Oxfam | Chair | George Koran | Yes |
| | VCAN / PACCCIL / Oxfam | PACCCIL Officer | Stephanie Stephens | |

* The Vanuatu government is proposing to establish a Ministry of Oceans to absorb the Ministry of Justice and the engagement plan with the Vanuatu Fisheries Department, Department of Women's Affairs and Ministry of Internal Affairs will be adjusted accordingly if this occurs.

** The Vanuatu government is proposing to establish a new Department of Urban Development under the MoIA which will be responsible for at least the three municipalities (Port Vila, Luganville, Lenekal)

Note that role of VCAN is changing and there are potential implications as they transition.

Secondary Stakeholders

| Stakeholder Group | Organization | Position | Name |
|---------------------------|--|-------------------------------------|---------------------|
| Other national Ministries | Oceans & Maritime Borders | Head of Ocean's Division | Tony Tevi |
| | Vanuatu Society for People with Disabilities (VSPD) | Director | Judith Iakavai |
| | Department of Environmental Protection & Conservation (DEPC) | Director | Donna Kalfatak |
| | Department of Environmental Protection & Conservation | Senior Conservation officer | Rolenas Baereleo |
| | Department of Energy | Director | Anthony Garae |
| Development partners | IUCN / DEPC | Project Dev Specialist-National | Vatu Molisa |
| | UNDP | Program Analyst - Vanuatu | Donald Wouloseje |
| | UNDP | Small Grants Coordinator | Leah Nimoho |
| | SPC | SPC Fisheries Division | Jason Raubani |
| | SPC | SPC Regional Director for Melanesia | Mia Rimon |
| | FAO / GEF 5 - Vanuatu | | Hanington Tate |
| | FAO / GEF 5 - Vanuatu | | Ricardo Dominguez |
| | UNDP VCAP2 Design Team | VCAP2 Design Chief of Party | Bernard O'Callaghan |
| | SPREP (PEBACC project) | SPREP Vanuatu project officer | Bani |
| | New Zealand MFAT Australian DFAT | | |
| Private sector | Vanuatu Skills Partnership | Manager | Fremden Shadrack |
| | Vanuatu Business Resilience Council | Chair | Glen Craig |
| | VCCI | General Manager | Astrid Boulekone |
| | VCCI | Development Advisor | Joanna Spencer |
| CSOs & community networks | Farm Support Association (FSA) | Chair | |
| | Fishers' Association | Chair | |
| Local NGOs | Live & Learn Australia (MFAT Pacific Nature-Based Solutions program) | Design Chief of Party | Christian Nielson |
| | Live & Learn Australia (MFAT Pacific Nature-Based Solutions program) | Live & Learn Vanuatu | Glarinda Andre |
| | Care International | Country Director Vanuatu | Megan Chisholm |
| | Oxfam | Country Director Vanuatu | |
| | VANGO | CEO | William Nasak |

Appendix B: Stakeholder consultation record during design phase

This portion has been redacted in accordance with the GCF Information Disclosure Policy, as the portion is confidential under the disclosure policy of the Accredited Entity

Appendix C: Validation workshop attendance list and outcomes

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