

Vanuatu Community-based Climate Resilience Project (VCCRP)

Annex 7b: Summary of Consultations

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1. Stakeholder consultations are a key part of the Vanuatu Community-based Climate Resilience Project (VCCRP) design process. This project is founded on consultations with national and sub-national government agencies and community engagement at representative villages across three provinces.
2. This Design Stakeholder Engagement Plan documents how the relevant project stakeholders have been engaged and defines the types of engagement established in the project design. In developing this engagement plan, the goal was to identify all the people and organizations involved in or potentially affected by the project.

Stakeholder Consultation during Project Design

3. This Design Stakeholder Engagement Plan documents how the relevant project stakeholders have been engaged and defines the types of engagement established in the project design. In developing this engagement plan, the goal was to identify all the people and organizations involved in or potentially affected by the project.

Stakeholder Identification

4. All **relevant stakeholders** were identified through a mapping output provided in Appendix A that includes specific contacts for each stakeholder group. Primary stakeholders were engaged early in the design phase (late 2020) and throughout, and secondary stakeholders were engaged as the design was being finalised (early 2021). Engagement was open and extensive, and many primary stakeholders will have an executing role for implementation. This stakeholder list will be updated throughout the project to ensure all key relevant stakeholders are included and a stakeholder needs matrix will be prepared to document the expectations of all interested parties, and how these will be managed.
5. In identifying stakeholders, particular attention has been given to ensuring vulnerable and marginalised groups within civil society, including women, children and people with disabilities, are included in the engagement plan to ensure effective engagement that is socially inclusive, has taken place. Stakeholder consultations are also an important part of the environmental and social, gender and vulnerable people safeguards and inputs from engagement will be incorporated into the Environmental and Social Safeguards Plan and the Gender Equity and Social Inclusion Plan.
6. **Direct beneficiaries** include communities and civil society organisations (CSO) and networks that represent communities and are included in the engagement plan with some CSOs and networks an important focus for meaningful participation. A representative sample of direct beneficiaries have been consulted during the design phase to capture their needs and inputs to the project activities and incorporated in the design of the project. Table 2 describes the kind and extent of consultations that have been conducted with direct beneficiaries during the design phase.
7. A project **Reference Group** has been established during the design phase with representatives invited from primary stakeholders who will be involved in implementation, including government agencies, NGOs, CSOs and private sector. The Reference Group will provide general oversight of the project and guidance to ensure it aligns with government policies and initiatives, and stakeholder needs. Members of the Reference

Group are included in Appendix A. A subset of members of the Reference Group will become the implementation Steering Committee for the project.

Consultations to Date

8. The earliest consultation undertaken with government stakeholders to develop the project concept commenced in 2016, with a number of concept development workshops held over 2016-2019, including with key government staff. A range of consultations have been undertaken at the national level, including a project theory of change workshop in February 2017, with participation from the NAB Secretariat, Prime Minister's office and the Department of Agriculture and Rural Development. A design workshop was held in September 2017 with participation from the Directors of the departments of Fisheries and Water, and senior representation from the Department of Agriculture. In April 2018, Save the Children participated in the first Vanuatu Private Sector Climate Finance Tradeshow, presenting this concept and engaging with potential private sector delivery partners.
9. Continued consultation during the design phase with government and other relevant stakeholders further progressed the project intent and development (consultations are detailed in Table 1) and included:
 - Initial information correspondence about the project concept and details of design process and timelines (November 2020 – February 2021);
 - Bilateral meetings with relevant personnel to discuss the project concept and details of design process and timelines (November 2020 – February 2021);
 - Community consultation surveys (December 2020 – January 2021), including community profiling, agriculture assessments, fish catch surveys and women's focus groups;
 - Establishment and representation of the project Reference Group during design; and
 - Participation in a validation workshop to validate the project design before submission to GCF (10 March 2021) (Attendees and outcomes listed in Appendix C, Annex 7).

Community consultation surveys

10. During the design phase community consultation surveys were conducted in three Area Councils in three Provinces (North West Santo in Sanma Province, North Ambrym in Malampa Province and Varsu in Shefa Province). A total of eight communities participated in voluntary community consultation which included:
 - Surveys to collect and build community profiles and establish baseline data;
 - Surveys to validate key natural resources and climate impacts;
 - Consultations to confirm willingness and interest in implementing adaptation interventions and enhanced DRR;
 - Consultations to identify key CCA, DRR and CIS needs; and
 - Surveys to validate the main focus of committee/group (e.g. women's groups, environmental committees, Community Disaster and Climate Change Committees: CDCCC), and issues faced.
11. These sites were selected based on national government feedback received during the design phase. The sites also provide a representative sample of highly vulnerable communities (nationally and at a Provincial level), and a range of biophysical and socio-economic conditions. The community surveys collected data that was used to validate

the local context at these sites and the likely drivers of vulnerability. Details of community consultations are shown in Table 3. The number of male and female participants, as well as male and female youth shows effective, socially inclusive engagement took place. Quantitative data was captured using tablets with the Kobo Toolbox application to facilitate easier data analysis post-implementation.

Validation workshop

12. A validation workshop was held in Port Vila on the 10th of March 2021 with national and sub-national government to finalise the selection of Area Councils that will be targeted for VCCRP project activities. A key part of the workshop was gaining an understanding of complementary projects and avoiding duplication. An overview of the national vulnerability assessment results were presented and were well-received, with the input from participants important for validating and updating the results. Workshop attendees were broken into 5 sectors (CCA/DRR, Marine inshore fisheries, agro-forestry, livestock and water resources, sub-national governance and CIS and GESI) and given the opportunity to provide input into the site selection process of priority of target communities in the 'lower' Area Councils. Further details including a list of workshop attendees are provided in Appendix C.
13. Table 1 describes consultations that took place during the development of the project concept note and the design phase. Appendix B provides a record of consultations that have been conducted during the design phase and main discussion points.

Table 1. *Stakeholder consultations in concept and design phases for VCCRP.*

Stakeholder Group	Interest and Influence Relevant to the Project	Proposed Role in Project	Engagement Strategy
Concept Phase			
NAB Secretariat, Prime Minister's office and the Department of Agriculture and Rural Development	February 2017: Project theory of change workshop		
National Advisory Board	April 2017: Initial concept note presented and approved		
Departments of Agriculture, Fisheries and Water	September 2017: Design workshop		
Government departments, civil society organisations and private sector entities	April 2018: first Vanuatu Private Sector Climate Finance Tradeshow		
National Advisory Board	November 2018: Progress update to NAB		
National Advisory Board	February 2020: Formal concept note presentation to the NAB and approval (issuance of Letter of No Objection)	Provide legal approval to proceed to Funding Proposal stage with NDA providing NOL.	Continuing engagement by providing updates to the NAB Secretariat and facilitating presentations during quarterly Board meeting (as needed)
Design Phase			
Ministry of Climate Change (including Department of Climate Change, NDMO, VMGD)	MoCC has a critical interest in the project as the lead Ministry for all climate change projects and related activities; to ensure the CC Act, CCDRR Sector Policy and GCF	NDA / Operational Focal Point and Implementing Entity (TBC); Chair of the project Reference Group; execution of project activities, in particular those related to access	Engagement through: (i) Bilateral meetings with relevant personnel to discuss the project concept and details of design process and timelines, Nov 2020 – Feb 2021;

Stakeholder Group	Interest and Influence Relevant to the Project	Proposed Role in Project	Engagement Strategy
	Country Programme are adhered to and implemented effectively; Under this Ministry, the DG is also Chair of the NAB and responsible for delivering CCA, DRR and CIS throughout Vanuatu.	to CIS (output 2.2) and enhanced local-provincial-national linkages (output 3.2).	(ii) Chair of the project Reference Group during design and implementation phases, Feb/March 2021 meeting to validate the design before submission to GCF.
Ministry of Finance and Economic Management (MFEM)	MFEM has an interest in the project as a delivery mechanism for reaching a substantial portion of Vanuatu's rural and remote communities to promote CCA, DRR and as a result, greater community well-being. Also has interest in enhanced institutional and governance systems and linkages between all levels of government. MoF has also been nominated by GoV to seek accreditation as a national Direct Access Entity (DAE) with the GCF. Engagement in this project will assist them to further develop the systems and processes required for AE status.	Member of the project Reference Group; oversight and management of government funds for project activities.	Engagement through: (i) Bilateral meetings with relevant personnel to discuss the project concept and details of design process and timelines, 2020 & 2021; (ii) Representation on the project Reference Group during design and implementation phases, March 2021 meeting to validate the design before submission to GCF.
Ministry of Agriculture, Livestock, Forestry, Fisheries & Bio-security (MALFFB))	Interest in project activities that promote greater food security and increase community well-being (output 1.1) and their sector priorities (e.g. National Agriculture Sector Policy, National Fruits and Vegetable Policy). National legislation and policy include provisions for community management plans that address agriculture and fisheries issues and have extension officers in all Provinces with established	Implementing Entity; member of the project Reference Group; execution of project activities, in particular those related to climate-resilient food systems (output 1.2, 1.3 & 1.4), sector-specific and institutional support (3.1) and enhanced local-provincial-national linkages (output 3.2).	Engagement through: (i) Bilateral meetings with relevant personnel to discuss the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Representation on the project Reference Group during design and implementation phases, March 2021 meeting to validate the design before submission to GCF.

Stakeholder Group	Interest and Influence Relevant to the Project	Proposed Role in Project	Engagement Strategy
	relationships with local communities to provide sector-specific support (output 2.1).		
Department of Women's Affairs	Interest in project activities that relate to national priorities for gender equity (including the recently updated National Gender Equality Policy) and inclusion of marginalised groups.	General interest in project activities related to each Ministry's portfolio.	Engagement through: (i) Initial information correspondence about the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Participation in the project Design meeting in March 2021 to validate the design before submission to GCF.
Other National Government Agencies (e.g. Oceans Office)	Interest in project activities that relate to national priorities for ocean governance and sustainable management.	General interest in project activities related to each Ministry's portfolio.	Engagement through: (i) Initial information correspondence about the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Participation in the project Design meeting in March 2021 to validate the design before submission to GCF.
Local authorities (e.g. DLA, Provincial governments, Area Councils)	Interest in project activities that promote decentralisation of Provincial development and increase community food security and DRR. Local authorities in selected Area Councils will be consulted during the design of the project. They have established networks into communities, can influence management interventions and benefit from development activities in their areas.	Direct and indirect beneficiaries. Execution of specific climate-resilient agriculture and fisheries interventions (to be decided at project onset for each site)(output 1.2 and 1.3), DRR activities (output 2.1), sector-specific and institutional support (output 3.1) and enhanced local-provincial-national linkages (output 3.2).	Engagement through: (i) Bilateral meetings with relevant personnel to discuss the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Representation on the project Reference Group [i.e. inclusive of MolA/DLA (national) and SGs (provincial), but not Area Council level (local)], during design and implementation phases, March 2021 meeting to validate the design before submission to GCF.
Local NGOs (including coordination via VCAN – CCDRR)	Interest in project activities that promote local development and increase community food security and DRR. Many local non-governmental	Execution of specific climate-resilient agriculture and fisheries interventions (to be decided at project onset for each site) and	Engagement through: (i) Initial information correspondence about the project concept and details of design process and timelines, Nov-Dec 2020;

Stakeholder Group	Interest and Influence Relevant to the Project	Proposed Role in Project	Engagement Strategy
arm of VANGO, Wan Smol Bag)	organizations work on agriculture and fisheries issues, DRR awareness and training, and are well-known and trusted by local communities.	training/awareness-raising for communities.	(ii) Participation in the project Design meeting in March 2021 to validate the design before submission to GCF.
CSOs and community networks	CSOs and community networks will be consulted during the design of the project. They have established networks into communities, particularly working on agriculture and fisheries issues, DRR awareness and training, and are well-known and trusted by local communities.	Execution of specific climate-resilient agriculture and fisheries interventions will be formalised at project onset for each site. CSOs and community networks are also indirect beneficiaries who will collaborate with communities to support implementation of management plans and conduct awareness raising activities.	Engagement through: (i) Initial information correspondence about the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Participation in the project Design meeting in March 2021 to validate the design before submission to GCF.

* The Vanuatu government is proposing to establish a Ministry of Oceans to absorb the Ministry of Justice and Community Services (MoJCS) and the engagement plan with the Vanuatu Fisheries Department, Department of Women's Affairs and Ministry of Internal Affairs will be adjusted accordingly if this occurs.

** The Vanuatu government is proposing to establish a new Department of Urban Development under the MoIA which will be responsible for at least the three municipalities (Port Vila, Luganville, Lenekal)

Table 2. Direct beneficiaries consultation in design phase for VCCRP.

Stakeholder Group	Interest and Influence Relevant to the Project	Proposed Role in Project	Engagement Strategy
Design Phase			
Smallholder communities	Communities have been identified as extremely vulnerable to climate variability and extremes, which is impacting their food security and DRR effectiveness and demonstrating a deficit	A representative sub-set of smallholder communities were involved in the project design, particularly identifying community needs and willingness to participate.	Engagement through: (i) surveys to validate key natural resources and climate impacts; (ii) consultations to confirm willingness and interest in implementing adaptation

Stakeholder Group	Interest and Influence Relevant to the Project	Proposed Role in Project	Engagement Strategy
	in adaptive capacity. Remote communities in particular receive limited training and support. Interest is aligned with the project's output 1.2 and 1.3 to improve food security, output 2.1 enhanced DRR	This included communities in three Area Councils that are rural and remote, considered to be amongst the most vulnerable communities in Vanuatu.	interventions and enhanced DRR; (iii) consultations to identify key CCA, DRR and CIS needs.
Traditional Chiefs	Provide a voice for their community and kastom laws. Interest is aligned with the project's output 1.2 and 1.3 to improve food security, output 2.1 enhanced DRR, and output 3.1 adaptive local governance.	The Chiefs in the representative sub-set of communities were involved in the project design, particularly identifying community needs, local laws and willingness to participate. This included communities in three Area Councils that are rural and remote, considered to be the most vulnerable communities in Vanuatu.	Engagement through: (i) surveys to validate key natural resources and climate impacts; (ii) consultations to confirm willingness and interest in implementing adaptation interventions and enhanced DRR; (iii) consultations to identify key CCA, DRR and CIS needs.
Heads of community committees (e.g. women's groups, environmental committees, Community Disaster and Climate Change Committees: CDCCC)	Provide a voice for their group. Interest is aligned with the project's output 1.2 and 1.3 to improve food security and output 2.1 enhanced DRR.	Heads of community committees and groups in the representative sub-set of communities will be involved in the project design, particularly identifying community needs, local laws and willingness to participate. This is likely to be communities in three Area Councils that are rural and remote, considered to be the most vulnerable communities in Vanuatu.	Engagement through: (i) surveys to validate main focus of committee/group and issues faced; (ii) consultations to confirm willingness and interest in implementing adaptation interventions and enhanced DRR; (iii) consultations to identify key CCA, DRR, CIS, and other needs.
Development partners	Interest in synergies between relevant projects and ensuring project design considers existing and future activities and outputs. Potential opportunities for value-adding activities and co-financing.	Development partners will provide information on current and future planned projects that are relevant to the design, to avoid duplication and maximise collaboration.	Engagement through: (i) Initial information correspondence about the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Work with DSPPAC on donor/development partner coordination; (iii) Targeted meetings as required;

Stakeholder Group	Interest and Influence Relevant to the Project	Proposed Role in Project	Engagement Strategy
			(iv) Participation in the project Design meeting in Feb/March 2021 to validate the design before submission to GCF
Private sector (e.g. Vanuatu Chamber of Commerce and Industry, Vanuatu Business Resilience Council, individual retailers for adaptation technologies including Solar PV)	Interest in promoting the development of skills and businesses in Vanuatu. Provide a platform for the private sector to both manage impacts to themselves, and support government, civil society and development partners in building climate resilience and preparing for and responding to disasters and emergencies.	Private sector organisations and industry will help to identify relevant national and local skills and capacity building mechanisms and provide access to cooperatives in the project's target sites.	Engagement through: (i) Initial information correspondence about the project concept and details of design process and timelines, Nov-Dec 2020; (ii) Targeted meetings as required; (iii) Participation in the project Design meeting in Feb/March 2021 to validate the design before submission to GCF

Table 3: Community consultation surveys undertaken as part of the design phase

Community	Consultation date	Number of females	Number of males	Number of female youth	Number of male youth	Total number of youth	Total number of attendees
Ranon	8 December 2020	24	13	9**	3	12	37
Magam	9 December 2020	12	31	3	16	19	43
Fonmur*	10 December 2020	25	12	7	5	12	37
Mate Wan	12 January 2020	41	37	16	14	30	78
Ngala	13 January 2020	22	28	8	3	11	50
Nikaura	14 January 2020	48	47	9	19	28	95
Olpo	21 January 2021	36	34	26	18	44	70
Penarou	22 January 2021	13	10	5	4	9	23

*estimates (based on Ranon numbers) as community sign in sheets misplaced

**including one female in secondary school

Appendix A: List of Project Stakeholders and Contact Details

Primary Stakeholders

Stakeholder Group	Organization	Position	Name	Proposed Reference Group (TBC)
Ministry of Climate Change	Dept of Climate Change	Director	Mike Waiwai	Yes
	Dept of Climate Change	Project Manager	Jackson Tambe	
	Dept of Climate Change	CCA / DRR officer	Paulianne Basil	
	Dept of Climate Change	Technical Assistant	Malcolm Dalesa	
	NAB / Ministry of Climate Change	NAB Strategic Manager	Florence Iautu	
	National Disaster Management Office (NDMO)	Director	Abraham Nasak	
	National Disaster Management Office (NDMO)	Manager	Alice Iarem	
	Vanuatu Meteorological & Geo-Hazards Department (VMGD)	Director	Montin Romone	
	Vanuatu Meteorological & Geo-Hazards Department (VMGD)	Manager Weather Forecasting and Services	Jerry Timothy	
	Vanuatu Meteorological & Geo-Hazards Department (VMGD)	Senior Forecaster	Iuma Bani	
	Ministry of Climate Change	Director General	Esline Garaebiti	Yes (Chair)
Ministry of Finance	Department of Finance and Treasury (DoFT)	Director	Dorothy Ericson	
	Ministry of Finance and Economic Management (MFEM)	Director General	Letlet August	Yes
National government	Department of Agricultural and Rural Development	Director	Antoine Ravo	Yes
	Department of Agricultural and Rural Development	Deputy Director	Mark Vurobaravu	
	Vanuatu Fisheries Department*	Director	William Naiviti	Yes
	Vanuatu Fisheries Department*	Deputy Director	Sompert Gereva	
	Ministry of Agriculture, Livestock, Forestry, Fisheries, & Bio-security (MALFFB)	Director General	Moses Amos	
	Ministry of Internal Affairs (MoIA)*	Director General	Cherol Ala	
	Department of Women's Affairs*	Director	Rothina Noka	Yes
	Department of Strategic Policy, Planning & Aid Coordination (DSPPAC)	Policy Sector Analyst - Climate Change	Wycliff Bakeo	
	Department of Strategic Policy, Planning & Aid Coordination (DSPPAC)	Aid Coordination and Negotiations Unit (ACNU) Officer	Nikita Soltzer	
	Department of Strategic Policy, Planning & Aid Coordination (DSPPAC)	Head of ACNU	Anna Bule	Yes
	Department of Strategic Policy, Planning & Aid Coordination (DSPPAC)	Team Leader / GIZ EDF 11	Nik Soni	
Local	Department of Local Authorities**	Director	Leith Veremaito	Yes

Stakeholder Group	Organization	Position	Name	Proposed Reference Group (TBC)
authorities	Department of Local Authorities**	Deputy Director	Ian Abbil	
	Department of Local Authorities**	Head of Decentralization	Ben Tabi	
	Penama Province	Secretary General		
	Sanma Province	Secretary General	Albert Ruddley	
	Malampa Province	Secretary General	Kalwajin Skepha	
	Tafea Province	Secretary General	Joe Iautim	
	Torba Province	Secretary General	Reynold Surmat	
	Shefa province	Secretary General	Morris Kaloran	
	Shefa Province	CCA/DRR officer	Eddy Malaliu	
CSOs & community networks	VanuaTai Monitor Program (WSB)	Chair	Donald Aromalo James	
Local NGOs	VCAN / PACCCIL / Oxfam	Chair	George Koran	Yes
	VCAN / PACCCIL / Oxfam	PACCCIL Officer	Stephanie Stephens	

** The Vanuatu government is proposing to establish a Ministry of Oceans to absorb the Ministry of Justice and the engagement plan with the Vanuatu Fisheries Department, Department of Women's Affairs and Ministry of Internal Affairs will be adjusted accordingly if this occurs.*

*** The Vanuatu government is proposing to establish a new Department of Urban Development under the MoIA which will be responsible for at least the three municipalities (Port Vila, Luganville, Lenekal)*

Note that role of VCAN is changing and there are potential implications as they transition.

Secondary Stakeholders

Stakeholder Group	Organization	Position	Name
Other national Ministries	Oceans & Maritime Borders	Head of Ocean's Division	Tony Tevi
	Vanuatu Society for People with Disabilities (VSPD)	Director	Judith Iakavai
	Department of Environmental Protection & Conservation (DEPC)	Director	Donna Kalfatak
	Department of Environmental Protection & Conservation	Senior Conservation officer	Rolenas Baereleo
	Department of Energy	Director	Anthony Garae
Development partners	IUCN / DEPC	Project Dev Specialist-National	Vatu Molisa
	UNDP	Program Analyst - Vanuatu	Donald Wouloseje
	UNDP	Small Grants Coordinator	Leah Nimoho
	SPC	SPC Fisheries Division	Jason Raubani
	SPC	SPC Regional Director for Melanesia	Mia Rimon
	FAO / GEF 5 - Vanuatu		Hanington Tate
	FAO / GEF 5 - Vanuatu		Ricardo Dominguez
	UNDP VCAP2 Design Team	VCAP2 Design Chief of Party	Bernard O'Callaghan
	SPREP (PEBACC project)	SPREP Vanuatu project officer	Bani
	New Zealand MFAT		
	Australian DFAT		
Private sector	Vanuatu Skills Partnership	Manager	Fremden Shadrack
	Vanuatu Business Resilience Council	Chair	Glen Craig
	VCCI	General Manager	Astrid Boulekone
	VCCI	Development Advisor	Joanna Spencer
CSOs & community networks	Farm Support Association (FSA)	Chair	
	Fishers' Association	Chair	
Local NGOs	Live & Learn Australia (MFAT Pacific Nature-Based Solutions program)	Design Chief of Party	Christian Nielson
	Live & Learn Australia (MFAT Pacific Nature-Based Solutions program)	Live & Learn Vanuatu	Glarinda Andre
	Care International	Country Director Vanuatu	Megan Chisholm
	Oxfam	Country Director Vanuatu	
	VANGO	CEO	William Nasak

Appendix B: Stakeholder consultation record during design phase

This portion has been redacted in accordance with the GCF Information Disclosure Policy, as the portion is confidential under the disclosure policy of the Accredited Entity

Appendix C: Validation workshop attendance list and outcomes

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