

Annex 11 Monitoring and evaluation plans¹

Monitoring				
Data/Source	Collection Tool	Frequency	Indicator	Indicative Budget (\$ USD)
E.2. Core indicator targets				
Assessment reports	Survey/questionnaire	Yearly	E.2.4 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.) E.2.5 Number of beneficiaries relative to total population (disaggregated by sex)	313,249.72
E.3. Fund-level impacts				
PFI Financial and Programmatic reports (related to the lending facilities); and Site surveys (related to the lending facilities)	Survey/questionnaire	Mid-term and at completion	A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.)	89,499.92
Implementation reports; and Site surveys and remote sensing data	Survey/questionnaire	Mid-term and at completion	A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change	89,499.92
E.4. Fund-level outcomes				
Municipal policy documents and action plans; Key informant interviews. Policy uptake scorecard; Interviews with PMU	Government data/records	Mid-term and at completion	A5.1 Institutional and regulatory systems that improve incentives for climate resilience and their effective implementation	89,499.92
Household surveys; Digital management reports on EbA capacity index verified by PFIs	Survey/questionnaire	At completion	A7.1 Use by vulnerable households, communities, businesses and public-sector services of Fund-supported tools instruments, strategies and activities to respond to climate change and variability	44,749.96
Household surveys; Training reports; Participant surveys following training sessions	Survey/questionnaire	At completion	A8.1 Number of males and females made aware of climate threats and related appropriate responses	44,749.96
E.5. Project/programme performance indicators				
Capacity scorecard focused on independent stakeholders	Survey/questionnaire	Mid-term and at completion	Technical capacity of local government institutions to plan for and implement EbA and other adaptation measures, as measured by the capacity scorecard.	78,700.17
Household surveys; Monitoring reports from local-level M&E committees; Participant exit surveys linked to training workshops.	Survey/questionnaire	Yearly	Number of males and females implementing EbA, water/resource-efficient technologies, and/or climate-resilient livelihood options as a result of improved knowledge on how to do so.	78,700.17

¹ The monitoring and evaluation plan is based on project indicators (see E5 Section on Full Proposal). During project executing there will be additional monitoring at the activity level.

<i>Site surveys; Remote sensing data; Implementation reports</i>	<i>Field observation visits</i>	Yearly	Tons of fuelwood produced through plantations to reduce impacts of fuelwood collection on natural forests	213,527.52
<i>Site surveys; Implementation reports</i>	<i>Field observation visits</i>	Yearly	Rainwater harvesting capacity	213,527.52
<i>Site surveys; Implementation reports</i>	<i>Field observation visits</i>	Yearly	Rainwater storage capacity	213,527.52
<i>Site surveys; Implementation reports</i>	<i>Field observation visits</i>	Yearly	Use of resource- efficient technologies	213,527.52
<i>Policy uptake scorecard</i>	<i>Survey/questionnaire</i>	Mid-term and at completion Mid-term and at completion	Level of integration of climate change adaptation in municipal-level political and technical decision-making for climate resilience.	77,090.81
<i>Management reports of financial or support mechanisms; Digital management reports; Audit reports (general + programme-specific)</i>	<i>Survey/questionnaire</i>	Mid-term and at completion	Cumulative investments (US\$) into EbA through the lending facility and expected average interest rates	376,934.83
<i>Management reports of financial or support mechanisms; Digital management reports; Audit reports (general + programme-specific)</i>	<i>Survey/questionnaire</i>	Mid-term and at completion	EbA investments financed by leveraged finance only and covered by the EbA guarantee facility.	376,934.83
<i>Institutional capacity scorecard</i>	<i>Survey/questionnaire</i>	Mid-term and at completion	Institutional capacity of financial institutions to channel funds for small- and large-scale EbA investments	376,934.83

Evaluation			
Type	Timing	Independent/Self-evaluation	Indicative Budget
Outcome <i>To focus on measuring the long-term effects of each Project with emphasis on the achievement of the overall Project goal.</i>	Year 5 Mid term	Independent	\$150,000.00
Terminal Evaluation	Year 8 Terminal	Independent	\$200,000.00