



**GREEN
CLIMATE
FUND**

Meeting of the Board
16 – 19 March 2021
Virtual meeting
Agenda item 24

GCF/B.28/21
6 April 2021

Decisions of the Board – twenty- eighth meeting of the Board, 16 – 19 March 2021

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Agenda item 1: Opening of the meeting

1. The Co-Chairs opened the meeting on Tuesday, 16 March 2021 at 9:02 PM Korea Standard Time (KST).
2. The Board adopted the following decision:

DECISION B.28/01

The Board:

Elects Mr. José de Luna as the Co-Chair of the Board being a member from a developing country Party for a term starting on 16 March 2021 and for the remainder of the term of his predecessor ending 31 December 2021, in accordance with paragraph 13 of the Governing Instrument for the Green Climate Fund and paragraphs 7 and 9 of the Rules of Procedure of the Board.

Agenda item 2: Adoption of the agenda and organization of work

3. The Board adopted the agenda as set forth below:
 1. Opening of the meeting
 2. Adoption of the agenda and organization of work
 3. Adoption of the report of the twenty-seventh meeting of the Board
 4. Decisions proposed between the twenty-seventh and twenty-eighth meetings of the Board
 5. Report on the activities of the Secretariat¹
 6. Reports from Board committees, panels and groups
 7. Reports on the activities of the independent units
 8. Selection process to recruit the Head of the Independent Evaluation Unit
 9. Report on the activities of the Co-Chairs
 - (a) Co-Chairs report
 - (b) Updated 2020 – 2023 Board workplan
 - (c) Review of committees, panels and groups: updated guidelines for committees and panels²
 10. Status of GCF resources, pipeline and portfolio performance
 - (a) Status of the REDD-plus results-based payments pilot programme
 11. Matters related to results management
 - (a) Integrated results management framework and results tracking tool
 - (b) Addressing gaps in the current portfolio for measurement

¹ Includes: Progress report on the implementation of the 2020 – 2023 Strategic Plan, including on updating allocation parameters to reflect GCF-1 portfolio targets.

² Item for information.

12. Review of the structure and operations of the independent Technical Advisory Panel
13. Updated accreditation framework²
14. Performance review of the GCF for the GCF-1 programming period
15. Consideration of funding proposals³
16. Consideration of accreditation proposals³
17. Revised Policy on Sexual Exploitation, Sexual Abuse, and Sexual Harassment and amendments to related policies and standards
18. Update of the simplified approval process⁴
19. Evaluation policy for the GCF
20. Evaluations by the Independent Evaluation Unit
21. Dates and venues of upcoming Board meetings
22. Other matters
23. Report of the meeting
24. Close of the meeting

Agenda item 3: Adoption of the report of the twenty-seventh meeting of the Board

4. Document GCF/B.27/23 and its limited distribution addendum Add.01 titled “Report of the twenty-seventh meeting of the Board, 9 – 13 November 2020” were issued to the Board for consideration under this agenda item.
5. This agenda item was not opened.

Agenda item 4: Decisions proposed between the twenty-seventh and twenty-eighth meetings of the Board

6. The Board took note of document GCF/B.28/Inf.01/Rev.01 titled “Board decisions proposed between the twenty-seventh and twenty-eighth meetings of the Board”.
7. No decision was taken under this agenda item.

Agenda item 5: Report on the activities of the Secretariat

8. Document GCF/B.28/Inf.10 titled “Report on the activities of the Secretariat”, its limited distribution addendum Add.01 titled “Status of accreditation master agreements and funded activity agreements”, and its addenda Add.02 titled “Report on the execution of the 2020 administrative budget of GCF”, Add.03 titled “Report on the implementation of the Updated Strategic Plan 2020–2023” and Add.04 titled “Issues on the disclosure of environmental and social safeguards emanating from the assessment of projects and programmes by multilateral development banks” were issued to the Board for consideration under this agenda item.

³ Item to be considered on day 2 of the Board meeting.

⁴ Item for information.

9. This agenda item was not opened.

Agenda item 6: Reports from Board committees, panels and groups

10. Document GCF/B.28/Inf.03 and its addendum Add.01 titled “Reports from committees, panels and groups of the Board of the Green Climate Fund” were issued to the Board for consideration under this agenda item.
11. This agenda item was not opened.

Agenda item 7: Reports on the activities of the independent units

12. The following documents were issued to the Board for consideration under this agenda item:
- (a) Document GCF/B.28/Inf.04 titled “Report on the activities of the Information Appeals Panel;
 - (b) Document GCF/B.28/Inf.05 titled “Report on the activities of the Independent Redress Mechanism”;
 - (c) Document GCF/B.28/Inf.06 titled “Annual Report of the Independent Evaluation Unit for 2020”;
 - (d) Document GCF/B.28/Inf.07 titled “2020 Independent Redress Mechanism Annual Report”; and
 - (e) Document GCF/B.26/Inf.11 titled “Report on the activities of the Independent Integrity Unit”.
13. This agenda item was not opened.

Agenda item 8: Selection process to recruit the Head of the Independent Evaluation Unit

14. The Board took note of document GCF/B.28/20 and its limited distribution addendum Add.01 titled “Selection process to recruit the Head of the Independent Evaluation Unit”.
15. No decision was taken under this agenda item.

Agenda item 9: Report on the activities of the Co-Chairs

(a) Co-Chairs report

16. Document GCF/B.28/Inf.14 titled “Report on the activities of the Co-Chairs” was issued to the Board for consideration under this agenda item.
17. This agenda item was not opened.

(b) Updated 2020 – 2023 Board workplan

18. Document GCF/B.28/Inf.13 titled “Updated workplan of the Board for 2020 – 2023: As updated at the twenty-eighth meeting of the Board” was issued to the Board for consideration under this agenda item.
19. This agenda item was not opened.

(c) Review of committees, panels and groups: updated guidelines for committees and panels

20. Document GCF/B.28/14 titled “Updated guidelines for the operation of Board committees” was issued to the Board for consideration under this agenda item.
21. This agenda item was not opened.

Agenda item 10: Status of GCF resources, pipeline and portfolio performance

22. The following documents were issued to the Board for consideration under this agenda item:
 - (a) Document GCF/B.28/Inf.02 titled “Status of the Green Climate Fund resources”;
 - (b) Document GCF/B.28/Inf.08 titled “Status of the GCF pipeline, including the status of Project Preparation Facility requests”, its limited distribution addenda Add.01 titled “List of funding proposals and Project Preparation Facility requests” and Add.02 titled “List of concept notes”, and its addendum Add.03 titled “Update on the Enhancing Direct Access Pilot”; and
 - (c) Document GCF/B.28/Inf.09 titled “Status of the GCF portfolio: approved projects and fulfilment of conditions”.
23. This agenda item was not opened.

(a) Status of the REDD-plus results-based payments pilot programme

24. Document GCF/B.28/Inf.08/Add.04 titled “Status of the GCF pipeline – Addendum IV: Update on the REDD-plus Results-Based Payments” was issued to the Board for consideration under this agenda item.
25. This agenda item was not opened.

Agenda item 11: Matters related to results management

(a) Integrated results management framework and results tracking tool

26. The Board took note of document GCF/B.28/09 titled “Integrated Results Management Framework” and its addendum Add.01 titled “Consultation summary note”.
27. No decision was taken under this agenda item.

(b) Addressing gaps in the current portfolio for measurement

28. The Board took note of document GCF/B.28/04 titled “Addressing gaps in the current portfolio for measurement”.
29. The Board adopted the following decision:

DECISION B.28/02

The Board, having considered document GCF/B.28/04 titled “Addressing gaps in the current portfolio for measurement”:

- (a) *Takes note of the information presented in the document;*
- (b) *Reiterates the commitment of GCF as a learning organization to iteratively improve the integration of sufficient monitoring and evaluation budget and activities for the gathering of evidence in funding proposals and funded activities to ensure the credibility of the portfolio and project/programme results reported;*
- (c) *Recognizes that participation and implementation of remediation measures by accredited entities is voluntary;*
- (d) *Requests the Secretariat to target remediation efforts covering all gaps in project/programme monitoring and evaluation or the relevant performance management frameworks’ indicator risk assessment, where the ratings are assessed by the Secretariat as moderate, elevated or high;*
- (e) *Approves the Secretariat undertaking the following actions to advance remediation efforts:*
- (i) *Phase 1: The Secretariat retaining a vendor and consulting with accredited entities to assess the willingness of the accredited entities to undertake proposed remediation activities on a project-specific basis, where the ratings are assessed by the Secretariat as moderate, elevated or high; and*
- (ii) *Phase 2: Based on feedback received from accredited entities, the Secretariat proposing a detailed action plan and funding envelope for remedial actions to be presented to the Board for consideration at the earliest possible opportunity, which may be through a decision between meetings, not later than the thirtieth meeting of the Board, to cover the costs of the remediation activities to be undertaken at the Secretariat and accredited entity level; and*
- (f) *Requests the Secretariat to consult and seek confirmation from the Budget Committee and approval by the Board on the additional administrative budget for Phase 1 of the remedial efforts as described in paragraph (e)(i) above.*

Agenda item 12: Review of the structure and operations of the independent Technical Advisory Panel

30. The Board took note of document GCF/B.28/15 titled “Update on the operations of the independent Technical Advisory Panel” and its addendum Add.01 titled “Status of consultations”.
31. The Board adopted the following decision:

DECISION B.28/03

The Board, having considered document GCF/B.28/15 titled “Update on the operations of the independent Technical Advisory Panel”:

- (a) Adopts the updated independent Technical Advisory Panel review procedure as presented in annex II;
- (b) Requests the Secretariat, in close consultation with the independent Technical Advisory Panel, to develop a transparent and consistent approach to their assessment of funding proposals;
- (c) Also requests the Secretariat, in consultation with the Budget Committee, to present to the Board, no later than its thirtieth meeting, a proposal on how to align the remuneration structure of the members of the independent Technical Advisory Panel with other panels of GCF and of comparable multilateral institutions, taking into consideration the impact of the provisions on conflict of interest contained in the Updated Terms of Reference of the Independent Technical Advisory Panel (decision B.BM-2018/09), and the workload of the independent Technical Advisory Panel; and
- (d) Further requests the Investment Committee, with the support of the Secretariat, to commission the next review of the structure of the independent Technical Advisory Panel and its effectiveness for the Board’s consideration in line with the updated Strategic Plan’s guidance as per decision B.27/06, paragraph (n), which will include an assessment of the following:
 - (i) Projected demands on the independent Technical Advisory Panel and its associated capacity to assess funding proposals in terms of time commitment and range of technical expertise;
 - (ii) Any specific gaps in the technical expertise of the independent Technical Advisory Panel that should be filled for the adequate fulfilment of their functions;
 - (iii) Effectiveness of the updated process, quality of the deliverables and options to improve these; and
 - (iv) With regard to the simplified approval process, a consideration of the capacity of the independent Technical Advisory Panel to efficiently review simplified approval process proposals on a rolling basis and in a timely manner, and corresponding recommendations.

Agenda item 13: Updated accreditation framework

- 32. Document GCF/B.28/12 titled “Updated accreditation framework” was issued to the Board for consideration under this agenda item.
- 33. This agenda item was not opened.

Agenda item 14: Performance review of the GCF for the GCF-1 programming period

- 34. Document GCF/B.28/07 titled “Schedule and Budget of the Second Performance Review of the Green Climate Fund” was issued to the Board for consideration under this agenda item.
- 35. This agenda item was not opened.

Agenda item 15: Consideration of funding proposals

36. The Board took note of document GCF/B.28/02/Rev.02 and its addenda Add.01/Rev.01, Add.02, Add.03/Rev.01, Add.04–06, Add.07/Rev.01 and Add.08–15, and its limited distribution addenda Add.16, Add.17/Rev.01 and Add.18/Rev.02 titled “Consideration of funding proposals”.

37. The Board adopted the following decision, which includes approval of funding proposal 157 pursuant to a vote and funding proposal 161 pursuant to a vote:

DECISION B.28/04

The Board, having considered document GCF/B.28/02/Rev.02 titled “Consideration of funding proposals”:

(a) *Takes note of the following funding proposals:*

- (i) *Funding proposal 154 titled “Mongolia: Aimags and Soums Green Regional Development Investment Program (ASDIP)”, by the Asian Development Bank, as contained in document GCF/B.28/02/Add.01/Rev.01 and Add.18/Rev.02;*
- (ii) *Funding proposal 155 titled “Building resilience to cope with climate change in Jordan through improving water use efficiency in the agriculture sector (BRCCJ)”, by the Food and Agriculture Organization of the United Nations, as contained in document GCF/B.28/02/Add.02 and Add.18/Rev.02;*
- (iii) *Funding proposal 156 titled “ASEAN Catalytic Green Finance Facility (ACGF): Green Recovery Program”, by the Asian Development Bank, as contained in document GCF/B.28/02/Add.03/Rev.01 and Add.18/Rev.02;*
- (iv) *Funding proposal 157 titled “Coastal Resilience to Climate Change in Cuba through Ecosystem Based Adaptation – ‘MI COSTA’”, by the United Nations Development Programme, as contained in document GCF/B.28/02/Add.04 and Add.18/Rev.02;*
- (v) *Funding proposal 158 titled “Ecosystem-Based Adaptation and Mitigation in Botswana’s Communal Rangelands”, by the Conservation International Foundation, as contained in document GCF/B.28/02/Add.05 and Add.18/Rev.02;*
- (vi) *Funding proposal 159 titled “PREFOREST CONGO - Project to reduce greenhouse gas emissions from forests in five departments in the Republic of Congo”, by the Food and Agriculture Organization of the United Nations, as contained in document GCF/B.28/02/Add.06 and Add.18/Rev.02;*
- (vii) *Funding proposal 160 titled “Monrovia Metropolitan Climate Resilience Project”, by the United Nations Development Programme, as contained in document GCF/B.28/02/Add.07/Rev.01 and Add.18/Rev.02;*
- (viii) *Funding proposal 161 titled “Building Regional Resilience through Strengthened Meteorological, Hydrological and Climate Services in the Indian Ocean Commission (IOC) Member Countries”, by the Agence Française de Développement, as contained in document GCF/B.28/02/Add.08 and Add.18/Rev.02;*
- (ix) *Funding proposal 162 titled “The Africa Integrated Climate Risk Management Programme: Building the resilience of smallholder farmers to climate change impacts in 7 Sahelian Countries of the Great Green Wall (GGW)”, by the International Fund for Agricultural Development, as contained in document GCF/B.28/02/Add.09 and Add.18/Rev.02;*

- (x) *Funding proposal 163 titled “Sustainable Renewables Risk Mitigation Initiative (SRMI) Facility”, by the World Bank, as contained in document GCF/B.28/02/Add.10 and Add.18/Rev.02;*
- (xi) *Funding proposal 164 titled “Green Growth Equity Fund”, by the Nederlandse Financierings-Maatschappij voor Ontwikkelingslanden N.V., as contained in document GCF/B.28/02/Add.16;*
- (xii) *Simplified approval process (SAP) funding proposal 020 titled “Climate resilient food security for farming households across the Federated States of Micronesia (FSM)”, by the Micronesia Conservation Trust, as contained in document GCF/B.28/02/Add.12 and Add.18/Rev.02;*
- (xiii) *Simplified approval process (SAP) funding proposal 021 titled “Community-based Landscape Management for Enhanced Climate Resilience and Reduction of Deforestation in Critical Watersheds”, by the Japan International Cooperation Agency, as contained in document GCF/B.28/02/Add.13 and Add.18/Rev.02;*
- (xiv) *Simplified approval process (SAP) funding proposal 022 titled “Enhancing Multi-Hazard Early Warning System to increase resilience of Uzbekistan communities to climate change induced hazards”, by the United Nations Development Programme, as contained in document GCF/B.28/02/Add.14 and Add.18/Rev.02; and*
- (xv) *Simplified approval process (SAP) funding proposal 023 titled “River Restoration for Climate Change Adaptation (RIOS)”, by the Fondo Mexicano para la Conservación de la Naturaleza A.C., as contained in document GCF/B.28/02/Add.15 and Add.18/Rev.02;*
- (b) *Approves funding proposal 154 for the amount of USD 175,000,000, submitted by the Asian Development Bank, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;*
- (c) *Also approves funding proposal 155 for the amount of USD 25,000,000, submitted by the Food and Agriculture Organization of the United Nations, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;*
- (d) *Further approves funding proposal 156 for the amount of USD 300,000,000, submitted by the Asian Development Bank, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;*
- (e) *Approves, pursuant to a vote in which 23 of the Board members present voted in favour and 1 of the Board members present voted against, and in respect of which none of the Board members present abstained from the vote, funding proposal 157 for the amount of USD 23,927,294, submitted by the United Nations Development Programme, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;*
- (f) *Also approves funding proposal 158 for the amount of USD 36,760,394, submitted by the Conservation International Foundation, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;*
- (g) *Further approves funding proposal 159 for the amount of USD 28,988,852, submitted by the Food and Agriculture Organization of the United Nations, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;*
- (h) *Approves funding proposal 160 for the amount of USD 17,255,755, submitted by the United Nations Development Programme, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;*

- (i) Also approves, pursuant to a vote in which 23 of the Board members present voted in favour and 1 of the Board members present voted against, and in respect of which none of the Board members present abstained from the vote, funding proposal 161 for the amount of USD 52,767,986, submitted by the Agence Française de Développement, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;
- (j) Further approves funding proposal 162 for the amount of USD 82,849,900, submitted by the International Fund for Agricultural Development, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;
- (k) Approves funding proposal 163 for the amount of USD 280,000,000, submitted by the World Bank, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;
- (l) Also approves funding proposal 164 for the amount of USD 137,000,000, submitted by the Nederlandse Financierings-Maatschappij voor Ontwikkelingslanden N.V., subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.16;
- (m) Further approves simplified approval process (SAP) funding proposal 020 for the amount of USD 8,583,350, submitted by the Micronesia Conservation Trust, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;
- (n) Approves simplified approval process (SAP) funding proposal 021 for the amount of USD 9,976,222, submitted by the Japan International Cooperation Agency, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;
- (o) Also approves simplified approval process (SAP) funding proposal 022 for the amount of USD 9,999,455, submitted by the United Nations Development Programme, subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;
- (p) Further approves simplified approval process (SAP) funding proposal 023 for the amount of USD 9,000,000, submitted by the Fondo Mexicano para la Conservación de la Naturaleza A.C., subject to the conditions set out in annex I and in the respective term sheet set out in document GCF/B.28/02/Add.18/Rev.02;
- (q) Reaffirms that pursuant to annex IV to decision B.17/09, the Executive Director or his designee is authorized to negotiate and enter into legal agreements on behalf of the GCF with accredited entities and other parties involved in respect of funding proposals approved by the Board, taking into account any condition approved by the Board in this decision and in the decision accrediting the relevant accredited entity; and
- (r) Authorizes the Secretariat to disburse fees for each funded project/programme approved by the Board as per the disbursement schedule to be agreed in the funded activity agreement in accordance with the policy on fees and the general principles and indicative list of eligible costs covered under GCF fees and project management costs adopted by the Board pursuant to decision B.19/09.

Agenda item 16: Consideration of accreditation proposals

38. The Board took note of document GCF/B.28/11 titled “Consideration of accreditation proposals”, its addenda Add.01 titled “Status of the fulfilment of accreditation conditions” and Add.02 titled “Report on mid-term accreditation reviews”, and its limited distribution addenda Add.03–06.
39. The Board also took note of limited distribution document GCF/B.28/10 titled “Status of accreditation master agreements: Substantive changes from template accreditation master agreements (CACIB and IDB Invest)”.
40. The Board adopted the following limited distribution decision:

DECISION B.28/05 on accreditation master agreements.

Agenda item 17: Revised Policy on Sexual Exploitation, Sexual Abuse, and Sexual Harassment and amendments to related policies and standards

41. Document GCF/B.28/03/Rev.01 titled “Revised Policy on the Prevention and Protection from Sexual Exploitation, Sexual Abuse, and Sexual Harassment” and its addendum Add.01 titled “Response matrix for comments received on the draft document ‘Revised Policy on the Prevention and Protection from Sexual Exploitation, Sexual Abuse, and Sexual Harassment’” were issued to the Board for consideration under this agenda item.
42. This agenda item was not opened.

Agenda item 18: Update of the simplified approval process

43. The Board took note of document GCF/B.28/08 titled “Update of the simplified approval process” and its addenda Add.01 titled “Written feedback received on draft document titled ‘Further development of the simplified approval process’” and Add.02 titled “Response matrix for Board comments received on the draft document ‘Further development of the SAP’”.
44. No decision was taken under this agenda item.

Agenda item 19: Evaluation policy for the GCF

45. Document GCF/B.28/05/Rev.01 titled “Evaluation Policy for the GCF” was issued to the Board for consideration under this agenda item.
46. This agenda item was not opened.

Agenda item 20: Evaluations by the Independent Evaluation Unit

47. The following documents were issued to the Board for consideration under this agenda item:
- (a) Document GCF/B.28/16 titled “Independent Synthesis of the Green Climate Fund’s Accreditation Function” and its addendum Add.01 titled “Management response to the Independent Synthesis of the Green Climate Fund’s Accreditation Function”;

- (b) Document GCF/B.28/17 titled “Independent Evaluation of the Adaptation Portfolio and Approach of the Green Climate Fund” and its addendum Add.01 titled “Management response to the Independent Evaluation of the Adaptation Portfolio and Approach of the Green Climate Fund”;
 - (c) Document GCF/B.28/18 titled “Independent Assessment of the GCF Simplified Approval Process (SAP) Pilot Scheme” and its addendum Add.01 titled “Secretariat management response to the Independent Assessment of the Green Climate Fund’s Simplified Approval Process (SAP) Pilot Scheme”;
 - (d) Document GCF/B.28/19 titled “Independent Evaluation of the Relevance and Effectiveness of the Green Climate Fund’s investments in Small Island Developing States” and its addendum Add.01 titled “Management response to the Independent Evaluation of the Relevance and Effectiveness of GCF Investments in Small Island Developing States”.
48. This agenda item was not opened.

Agenda item 21: Dates and venues of upcoming Board meetings

- 49. The Board took note of document GCF/B.28/06 titled “Dates and venues of upcoming meetings of the Board”.
- 50. The Board adopted the following decision:

DECISION B.28/06

The Board, having considered document GCF/B.28/06 titled “Dates and venues of upcoming meetings of the Board”:

- (a) *Confirms that the twenty-ninth meeting of the Board will take place from Monday, 28 June to Thursday, 1 July 2021 and that this be held as a net-meeting;*
- (b) *Decides that the thirtieth meeting of the Board will take place from Monday, 4 October to Thursday, 7 October 2021;*
- (c) *Underscores the importance of the full participation and involvement of the entire Board from all constituencies in the Board meetings and pre-Board meeting consultation process as an essential condition for holding an effective and full participatory meeting;*
- (d) *Requests the Secretariat, where applicable, to take appropriate steps to ensure the full and effective participation of all Board members, alternate members, advisers and active observers in the net-meeting, including testing the technology and providing support to developing countries in particular; and*
- (e) *Also requests the Co-Chairs to continue to monitor the impact of COVID-19 on the operations of GCF, and to report to the Board appropriately.*

Agenda item 22: Other matters

- 51. No decision was taken under this agenda item.

Agenda item 23: Report of the meeting

52. The decisions as adopted and their corresponding annexes are included in this document.

Agenda item 24: Close of the meeting

53. The meeting was closed on Saturday, 20 March 2021 at 5:06 AM KST.

Annex I: List of conditions and recommendations

1. The approval of the funding proposals approved by the Board pursuant to decision B.28/04 shall be conditional upon the satisfaction of the conditions set out in tables 1 and 2.

Table 1. General conditions applicable to all funding proposals

FP number	Conditions
All proposals	<p>(a) Signature of the funded activity agreement (“FAA”) in a form and substance satisfactory to the GCF Secretariat within 180 days from the date of Board approval, or the date the accredited entity has provided a certificate or legal opinion set out in paragraph (ii) below, or the date of effectiveness of the accreditation master agreement (“AMA”) entered into with the relevant accredited entity, whichever is later.</p> <p><u>Satisfaction of the following conditions prior to the signing of the FAA:</u></p> <p>(i) Completion of the legal due diligence to the GCF Secretariat’s satisfaction; and</p> <p>(ii) Submission of a certificate or a legal opinion in a form and substance that is satisfactory to the GCF Secretariat, within 120 days¹ after Board approval, or the date of effectiveness of the AMA entered into with the relevant accredited entity, whichever is later, confirming that the accredited entity has obtained all final internal approvals needed by it and has the capacity and authority to implement the proposed project/programme.</p>

Table 2. Conditions specific to individual funding proposals

FP number	Conditions
FP154 (ADB Mongolia)	<p><i>Relevant independent TAP conditions</i></p> <p>(a) Board approval at B.28 will cover the entire programme with the 3 tranches, following which only GCF funds for tranche 1 will be disbursed, subject to any other milestones agreed between the AE and the Secretariat in the funded activity agreement (FAA), as may be appropriate;</p> <p>(b) Satisfaction of the following conditions prior to disbursement of GCF Proceeds in relation to Tranche 2 and Tranche 3:</p> <p>(i) Delivery to the Fund by the Accredited Entity, in a form and substance acceptable to the Secretariat, of an updated climate rationale for the Aimag where Tranche 2 and Tranche 3 respectively will be implemented; and</p> <p>(ii) Delivery to the Fund by the Accredited Entity of a report, in a form and substance acceptable to the Secretariat, providing</p>

¹ For FP154 (ADB Mongolia) and FP156 (ADB ASEAN), the period shall be 180 days. For FP162 (IFAD Sahel), in case the Board approval is conditional on substantial modification of the Funding Proposal, the period shall be 180 days.

	<p>evidence that the activities under earlier Tranche have resulted in significant commitment from the beneficiary households covered by that Tranche to animal reductions and destocking plans, or other relevant evidence of progress towards the reduction of animal numbers.</p> <p>(c) Provide under the Annual Performance Reports (APRs) a monitoring report at the Programme level, also covering Tranche-level activities information, as and when available, at the minimum covering the GHG emission reductions achieved throughout the duration of the programme implementation period using the monitoring protocol of the emission reduction estimation method adopted, and covering, at the minimum:</p> <ul style="list-style-type: none"> (i) Programme parameters monitored by name, value and confidence interval; (ii) Emission reduction calculation methodologies utilized; (iii) The procedure used to develop the database; and (iv) The quality assurance/quality control methods used in preparing and reporting the data and the emission reduction calculations. <p><i>Relevant Secretariat conditions</i></p> <p><u>I. Inclusion of the following covenants in the Funded Activity Agreement:</u></p> <p>(a) Undertake and/or put in place all adequate measures and dedicate the necessary financial, human and other resources in order to ensure that the Funded Activity complies at all times with the recommendations and requirements of the Gender Action Plan (“GAP”) provided by the Accredited Entity to the Fund before the Approval Decision, which shall comply with the Accredited Entity’s own gender policies, standards, and procedures that enable it to comply with the Fund’s Updated Gender Policy; and</p> <p>(b) Ensure that (i) the infrastructure Works to be implemented as part of the Funded Activity are designed, constructed, operated and decommissioned in accordance with Good International Industry Practices¹ and any other applicable standards taking into consideration local norms and standards and local conditions, and taking into consideration health and safety risks to third parties or affected communities, and (ii) the quality of such infrastructure Works is in accordance with international best practices taking into consideration local norms and standards and local conditions.</p> <p>¹ “Good International Industry Practices” means the exercise of professional skill, diligence, prudence, and foresight that would reasonably be expected from skilled and experienced professionals engaged in the same type of undertaking under the same or similar circumstances globally or regionally.</p>
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	<p><u>II. Inclusion of the following representation and warranty in the Funded Activity Agreement:</u></p> <p>(a) In the preparation of the Funding Proposal and throughout the term of the FAA, the Accredited Entity has carried out all due diligence as necessary to ensure that the Funded Activity is at all times in compliance with its own gender and indigenous peoples policies, standards, and procedures, that enable it to comply with the Fund’s Updated Gender Policy and the Indigenous Peoples Policy.</p>
<p>FP155 (FAO Jordan)</p>	<p><i>Relevant independent TAP conditions</i></p> <p><u>I. Satisfaction of the following conditions prior to first and second disbursements under the FAA:</u></p> <p>(a) Prior to first disbursement of the GCF Proceeds under the project, the Accredited Entity shall deliver to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat, a program for hygiene training of farmers on the use of reclaimed water under Activity 1.1.2.3.</p> <p>(b) Prior to second disbursement of the GCF Proceeds under the project, the Accredited Entity shall deliver to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat, a complete study describing:</p> <p>(i) technical specifications of water reservoirs and/or alternative treatment processes to be constructed and installed under Activity 1.1.2.1 and their treatment processes together with the related calculations; and</p> <p>(ii) management and maintenance arrangements to be implemented with respect to such water reservoirs and/or alternative treatment processes.</p> <p><u>II. Inclusion of the following covenants in the FAA:</u></p> <p>(a) The Accredited Entity shall ensure that the technical specifications of the water reservoirs and/or alternative treatment processes and their construction under Activity 1.1.2.1 comply at all times with (i) the Jordanian and World Health Organization standards on elimination of the presence of nematodes, and (ii) the Jordanian regulations on reuse of reclaimed water; and</p> <p>(b) The Accredited Entity shall ensure that Activity 1.1.2.1 shall be implemented in accordance with the study delivered by the Accredited Entity to the GCF Secretariat pursuant to paragraph (I)(b) above.</p>
<p>FP156 (ADB ASEAN)</p>	<p><i>Relevant Secretariat conditions</i></p> <p><u>Satisfaction of the following condition prior to execution of the FAA:</u></p> <p>(a) The Accredited Entity and the GCF Secretariat shall agree on a process to: (i) enable communication of any comments, including from GCF Board members and active observers, on Category A and B Sub-Projects relating to the Sub-Project disclosure package to the</p>

Accredited Entity and (ii) take into account such comments in the finalization of the relevant Sub-Project disclosure package (the “Communication Process”).

Inclusion of the following covenants in the FAA:

- (a) In accordance with the Communication Process, disclose in locations convenient to affected peoples and provide to the Fund, via electronic links in the Accredited Entity’s website, and/or other websites as relevant, in English, and such local language understandable to affected people if relevant, safeguard instruments for Sub-Projects classified as Category A or Category B pursuant to the Environmental and Social Management Framework submitted by the Accredited Entity to the Fund before Funding Proposal approval. The safeguard instruments shall be provided for Category A Sub-Projects at least one hundred and twenty (120) calendar days, and for Category B Sub-Projects at least thirty (30) calendar days, prior to the Accredited Entity’s Board approval of the relevant Sub-Project in order to allow the Fund to disclose and announce to the public and, via the Secretariat, to its Board and Active Observers. In connection with providing such instruments to the Fund, the Accredited Entity and the Fund shall follow the procedure set out in the Communication Process;
- (b) Undertake and/or put in place all adequate measures and dedicate the necessary financial, human and other resources in order to ensure that the Funded Activity complies at all times with the recommendations and requirements of the Gender and Social Inclusion Action Plan “GESIAP” provided by the Accredited Entity to the Fund before the Approval Decision, which shall comply with the Accredited Entity’s own gender policies, standards, and procedures that enable it to comply with the Fund’s Updated Gender Policy; and
- (c) Ensure that (i) the infrastructure Works to be implemented as part of the Funded Activity are designed, constructed, operated and decommissioned in accordance with Good International Industry Practices¹ and any other applicable standards taking into consideration local norms and standards and local conditions, and taking into consideration health and safety risks to third parties or affected communities, and (ii) the quality of such infrastructure Works is in accordance with international best practices taking into consideration local norms and standards and local conditions.

¹ “Good International Industry Practices” means the exercise of professional skill, diligence, prudence, and foresight that would reasonably be expected from skilled and experienced professionals engaged in the same type of undertaking under the same or similar circumstances globally or regionally.

Inclusion of the following representation and warranty in the FAA:

- (a) In the preparation of the Funding Proposal and throughout the term of the FAA, the Accredited Entity has carried out all due diligence as

	<p>necessary to ensure that the Funded Activity is at all times in compliance with its own gender and indigenous peoples policies, standards, and procedures, that enable it to comply with the Fund's Updated Gender Policy and the Indigenous Peoples Policy.</p>
<p>FP157 (UNDP Cuba)</p>	<p><i>Relevant Board conditions</i></p> <p><u>Satisfaction of the following condition prior to the effectiveness of the FAA:</u></p> <p>Delivery by the Accredited Entity to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat and following the template agreed between the GCF Secretariat and the Accredited Entity, of a report (the "Report") (in relation to the Project) containing complete information and outlining how:</p> <ul style="list-style-type: none"> (a) the potential risks identified in the Accredited Entity's Office of Audit and Investigations report of December 2020 regarding UNDP's management of Global Environment Facility (GEF) resources (the "OAI GEF Audit"); and (b) any additional recommendations and the additional risks identified in the "<i>Independent Assessment of UNDP management of GCF-supported projects</i>" carried out by BDO (UK) (the "GCF Assessment"), <p>have been, or will be, addressed by the Accredited Entity to the extent that these are relevant to the Project, or its implementation, and including information on the capacities of the relevant bodies of the Accredited Entity to effectively manage and monitor implementation of the Project, in each case, in accordance with the terms of the FAA and the AMA.</p> <p><u>Satisfaction of the following condition prior to each disbursement under the FAA:</u></p> <p>To the extent that any such recommendations remain outstanding, delivery by the Accredited Entity to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat, of an updated Report (in relation to the Project), as revised to take into account all relevant actions and recommendations from the OAI GEF Audit, the GCF Assessment and the UNDP-requested assessment carried out by EY which are then outstanding.</p> <p><u>Inclusion of the following covenants in the FAA which shall apply from the signing of the FAA:</u></p> <ul style="list-style-type: none"> (a) The Accredited Entity shall ensure that the Funded Activity is audited in compliance with the requirements set out in (i) the UNDP Policy on Harmonized Approach to Cash Transfers (HACT), and (ii) the Charter of the Office of Audit and Investigations. (b) The Accredited Entity shall, at its own cost, report to the GCF Secretariat, on a monthly basis for so long as any recommendations from the OAI GEF Audit and/or the GCF Assessment remain outstanding, following the template agreed between the Accredited Entity and the GCF

	<p>Secretariat, on the actions taken or to be taken by the Accredited Entity to address any outstanding recommendations of the OAI GEF Audit and the GCF Assessment, in each case, to the extent that is relevant to the portfolio of GCF funded activities managed by the Accredited Entity (the “UNDP Portfolio”).</p> <p>With respect to the UNDP Portfolio, the Accredited Entity shall, in each case, in accordance with the terms set forth in Clause 5.03(a) of the AMA, address all relevant actions and recommendations to the extent possible with respect to monitoring concerns raised by the GCF (with respect to e.g. annual performance reports, interim evaluations and ad hoc checks), where assessed relevant by UNDP.</p>
<p>FP158 (CI Botswana)</p>	<p><i>Relevant independent TAP conditions</i></p> <p><u>Satisfaction of the following condition prior to the second disbursement under the FAA:</u></p> <p>(a) Delivery by the Accredited Entity to the Fund of a comprehensive plan for managing and mitigating emergent conflict over grazing, water or other resources between cattle herders and/or their Associations, and between herding communities and those involved in the wildlife economy, in form and substance satisfactory to the GCF Secretariat.</p> <p><u>Inclusion of the following covenants in the FAA:</u></p> <p>(b) In the event that a climate information and early warning system project, endorsed by the Host Country’s NDA, is being proposed for Botswana by another accredited entity to the GCF, which includes building capacity to collect hydro-meteorological data in the Host Country, the Accredited Entity shall use its best efforts to coordinate, to the extent commercially reasonable and practicable, with the Secretariat and the other accredited entity in the development and implementation of such other GCF project, to ensure that both projects are aligned and to strength the ability to achieve the adaptation outcomes of both projects. The costs of such coordination are eligible expenditures under component 1 of the Funded Activity.</p> <p>(c) The Accredited Entity shall include as part of the mid-term independent evaluation to be submitted to the Fund, an assessment of the impact on the Funded Activity’s objectives of and other relevant findings on:</p> <p>(i) the regulatory and market developments in the meat industry of the Host Country with a particular focus on the role of the Botswana Meat Commission;</p> <p>(ii) behavioural changes based on the value chain activities, in particular changes to rangeland management approaches, that are being implemented under component 3 of the Funded Activity;</p>

	<p>(iii) the development of the Botswana market for private abattoir services; and</p> <p>(iv) the number of purchasing private-sector entities and volume of sales of livestock and livestock products concluded between the targeted villages/communities and private sector meat companies and exporters.</p> <p><u>Inclusion of the following provision in the FAA:</u></p> <p>(d) If, based on the mid-term independent evaluation and/or relevant APRs submitted by the Accredited Entity, the GCF determines that an average achievement of the Funded Activity across all mid-term indicators for Outputs 3.1 and 3.2 is less than seventy percent (70%) of the overall targets under all mid-term indicators for Outputs 3.1 and 3.2 set out in the logical framework and is not justified or addressed in a manner acceptable to the GCF, this may be deemed to constitute a Major Change and shall be addressed in accordance with the terms of the funded activity agreement and the GCF's Policy on Restructuring and Cancellation as may then be in force.</p>
<p>FP159 (FAO Congo)</p>	<p><i>Relevant Board conditions</i></p> <p><u>Inclusion of the following covenants in the FAA:</u></p> <p>(a) The Accredited Entity shall ensure that the coordination between the activities to be implemented under the Project and any REDD+ initiatives (including those related to Total's investments in plantations) in the Host Country be done by the national steering committee and reported in the annual performance review, to develop synergies and cost reductions; and</p> <p>(b) The Accredited Entity shall ensure that the most cost-effective practices are employed for the establishment and maintenance of plantations, natural regeneration and agroforestry systems under the Project.</p> <p><i>Relevant independent TAP conditions</i></p> <p><u>Satisfaction of the following conditions prior to second disbursement under the FAA:</u></p> <p>(a) Delivery by the Accredited Entity to the GCF Secretariat, in a form and substance satisfactory to the GCF Secretariat, of a landscape restoration and regeneration strategy explaining the rationale of the aggregated project interventions. The strategy shall provide an overview of existing and projected forest and farm areas and detail the approach to implement improved forestry and agroforestry practices for sustainable land use management.</p> <p>(b) Delivery by the Accredited Entity to the GCF Secretariat, in a form and substance satisfactory to the GCF Secretariat, of a sustainability and market strategy including (i) an updated economic and financial analysis for the implementation, based on agreed interventions, (ii) a supply and demand analysis of key forest and agricultural</p>

	<p>products, including market access and logistic factors, (iii) an outline of the governance arrangements with farmer associations, (iv) the identification of buyers and details of the long term contract agreements discussed with private sector companies, and (v) an updated assessment of the financial options, conditions, and resources available from financial institutions and the assessment of demand by communities; and</p> <p>(c) Delivery by the Accredited Entity to the GCF Secretariat, in a form and substance satisfactory to the GCF Secretariat, of a capacity building plan to engage local institutions and extension agencies to deliver technology transfer to communities, which shall include (i) a capacity development plan to build capacity of national institutions for the implementation of relevant Activities; and (ii) a plan detailing the gradual transfer of roles from the PMU to such national institutions.</p>
<p>FP160 (UNDP Liberia)</p>	<p><i>Relevant Board conditions</i></p> <p><u>Satisfaction of the following condition prior to the effectiveness of the FAA:</u></p> <p>Delivery by the Accredited Entity to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat and following the template agreed between the GCF Secretariat and the Accredited Entity, of a report (the “Report”) (in relation to the Project) containing complete information and outlining how:</p> <p>(a) the potential risks identified in the Accredited Entity’s Office of Audit and Investigations report of December 2020 regarding UNDP’s management of Global Environment Facility (GEF) resources (the “OAI GEF Audit”); and</p> <p>(b) any additional recommendations and the additional risks identified in the “<i>Independent Assessment of UNDP management of GCF-supported projects</i>” carried out by BDO (UK) (the “GCF Assessment”),</p> <p>have been, or will be, addressed by the Accredited Entity to the extent that these are relevant to the Project, or its implementation, and including information on the capacities of the relevant bodies of the Accredited Entity to effectively manage and monitor implementation of the Project, in each case, in accordance with the terms of the FAA and the AMA.</p> <p><u>Satisfaction of the following condition prior to each disbursement under the FAA:</u></p> <p>To the extent that any such recommendations remain outstanding, delivery by the Accredited Entity to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat, of an updated Report (in relation to the Project), as revised to take into account all relevant actions and recommendations from the OAI GEF Audit, the GCF Assessment and the UNDP-requested assessment carried out by EY which are then outstanding.</p>

	<p><u>Inclusion of the following covenants in the FAA which shall apply from the signing of the FAA:</u></p> <p>(a) The Accredited Entity shall ensure that the Funded Activity is audited in compliance with the requirements set out in (i) the UNDP Policy on Harmonized Approach to Cash Transfers (HACT), and (ii) the Charter of the Office of Audit and Investigations.</p> <p>(b) The Accredited Entity shall, at its own cost, report to the GCF Secretariat, on a monthly basis for so long as any recommendations from the OAI GEF Audit and/or the GCF Assessment remain outstanding, following the template agreed between the Accredited Entity and the GCF Secretariat, on the actions taken or to be taken by the Accredited Entity to address any outstanding recommendations of the OAI GEF Audit and the GCF Assessment, in each case, to the extent that is relevant to the portfolio of GCF funded activities managed by the Accredited Entity (the “UNDP Portfolio”).</p> <p>(c) With respect to the UNDP Portfolio, the Accredited Entity shall, in each case, in accordance with the terms set forth in Clause 5.03(a) of the AMA, address all relevant actions and recommendations to the extent possible with respect to monitoring concerns raised by the GCF (with respect to e.g. annual performance reports, interim evaluations and ad hoc checks), where assessed relevant by UNDP.</p> <p><i>Relevant independent TAP conditions</i></p> <p>Prior to the first disbursement of the project, the Accredited Entity shall submit to the Secretariat an additional report, in a form and substance satisfactory to the Secretariat, which further strengthens the project’s climate rationale by summarizing clearly the observed and predicted changes along Monrovia’s coastline in relation to the following parameters: sea level rise, sediment transport and exchange, wave conditions, and high-intensity storms; also showing how these parameters are being affected by climate and non-climate drivers, and how they interact to produce shoreline regression – both historical and anticipated.</p>
<p>FP161 (AFD Indian Ocean)</p>	<p><i>None</i></p>
<p>FP162 (IFAD Sahel)</p>	<p><i>Relevant independent TAP conditions</i></p> <p><u>Condition precedent to the second disbursement to the AE:</u></p> <p>(a) The AE shall deliver to the Fund the final version of the Programme Implementation Manual (PIM) duly approved by the Accredited Entity and including the content referred to in paragraph (b) below, in a form and substance satisfactory to the GCF Secretariat.</p> <p><u>Covenants for inclusion in the FAA:</u></p> <p>(b) The AE shall approve the final PIM only if, among others, it includes the following content:</p>

	<p>(i) The eligibility criteria for the selection of the targeted beneficiary organizations that will participate in the relevant Activities of the Programme, as appropriate, including for farmer-based organizations, cooperatives and associations, which shall include among others:</p> <ol style="list-style-type: none"> (1) a requirement for the targeted beneficiary organization to show proof (e.g. registered members, area of coverage) on the number and profile of the farmers being represented by the organization; (2) a requirement for the targeted beneficiary organizations to meet the ‘context of vulnerability’ (as defined in the funding proposal) which the proposed activities will address; and (3) the submission by the targeted beneficiary organizations of a written ‘statement of intent’ showing the intent and ownership of the relevant beneficiaries to implement the proposed activities being requested in order to address the specific vulnerability(ies) and their commitment to participate in the activities until their finalization; <p>(ii) The templates of the respective contractual agreements to be entered into with the final beneficiaries of, or other counterparties involved in, the implementation of the Activities of the Programme included in the table below, which shall at least include adequate provisions to cover the following aspects:</p> <ol style="list-style-type: none"> (4) the performance target indicators in respect of the specific activity(ies) to be carried out under such relevant agreements; and (5) the specific provisions set out in the table below for the specific activity(ies) to be carried out under such relevant agreements. <p>(c) The Accredited Entity shall ensure that no funds are disbursed to the targeted beneficiary organizations, or other relevant counterparties, unless they have entered into the relevant contractual agreements in accordance with the respective templates and other requirements contained in the PIM;</p> <p>(d) The Accredited Entity shall deliver to the Fund, promptly upon its request, the copies of the relevant contractual agreements that have been put in place, in accordance with the PIM, with the targeted beneficiary organizations, or other relevant counterparties, for the Activities of the Programme set out in the table below.</p>						
	<table border="1" style="width: 100%;"> <thead> <tr> <th style="width: 15%;">Activity</th> <th style="width: 20%;">Beneficiary/ Counterparty</th> <th style="width: 65%;">Key items to be included in the contractual agreements</th> </tr> </thead> <tbody> <tr> <td>1.1.2.</td> <td>7 Hydromet Meteorological Agencies</td> <td>Maintenance and Operation of project assets by the relevant National Hydromet Agencies (e.g. AWS, rain gauges, automatic stage recorders, acoustic doppler current profiler, bathymetric instruments).</td> </tr> </tbody> </table>	Activity	Beneficiary/ Counterparty	Key items to be included in the contractual agreements	1.1.2.	7 Hydromet Meteorological Agencies	Maintenance and Operation of project assets by the relevant National Hydromet Agencies (e.g. AWS, rain gauges, automatic stage recorders, acoustic doppler current profiler, bathymetric instruments).
Activity	Beneficiary/ Counterparty	Key items to be included in the contractual agreements					
1.1.2.	7 Hydromet Meteorological Agencies	Maintenance and Operation of project assets by the relevant National Hydromet Agencies (e.g. AWS, rain gauges, automatic stage recorders, acoustic doppler current profiler, bathymetric instruments).					

		Agreement on “sharing climate information data and information between Hydromet stations, between and amongst the 7 LDCs, will be undertaken during the implementation before the second disbursement” as per FP 1.1.12 on South South Triangular Cooperation (SSTC).
1.1.3	350 Meteorologists	Minimum # of years of service required to stay at the NHA after training on impact-based forecasting methodologies, data collection and interpretation
1.1.6	LDC HMA Regional Centers of Excellence (ACMAD, Aghyrmnet, or ASECNA)	Continuous sharing of climate data and information and services between and amongst LDCS and regional centers of excellence Establishing policies and protocols on information sharing
1.2.1	50,000 Smallholder Farmers	Targeted beneficiary organization’s proof of membership of each of the 6000 smallholder farmers to be trained from Mali, Gambia, Mauritania and 8000 from Burkina Chad, Niger Senegal farmers Context of Vulnerability for each of the LDCs farmers which the early warning products (including agro-climatic information) will address
1.2.2	1.5M Smallholder farmers	Targeted beneficiary Organization’s proof of membership of each of the 200,000 smallholder farmers in Burkina, Mali, Gambia , Mauritania, Senegal and 250,000 smallholder farmers from Chad and Niger Context of Vulnerability of the farmers per country that can be addressed by best applicable climate adaptation/mitigation practices/technologies and weather index insurance
1.2.4	100,000 women and 100,000 youth	Targeted beneficiary Organization’s proof of membership of each of the 100,000 women and 100,000 youth in Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal, The Gambia Context of Vulnerability of the women and youth in each country which the trainings will address.
1.2.5	2000 cooperatives, SMEs, or farmers organizations	Proof of membership of small farmholders for each of the 285 cooperatives, SMEs or farmers organizations trained on financial literacy and integrated climate risk management in each country (Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal, The Gambia)

		Context of Vulnerability for each country the training on financial literacy and integrated climate risk management
1.2.6	LDCs Planning Agencies	<p>Targeted beneficiary organization's official representation and participation by 200 local government officials per country.</p> <p>Statement of Intent by each of the LDCs representatives or counterpart Local government entity on the integration of integrated climate risk management into 5 local development plans in each of the 7 countries.</p> <p>Expected workshops/seminars outputs and its application in LDC government agencies.</p>
1.2.8	1400 Local Government officials and 20,000 Farmers	<p>Targeted beneficiary organization's official representation and participation by 200 local government officials per country.</p> <p>Proof of membership in Targeted beneficiary organization of 2858 farmers.</p> <p>Local financial institutions (FIs) official representation and participation on the training on climate information and use per country (Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal, The Gambia)</p> <p>Expected outputs or application of capacity building of the LDC representatives and Local FIs</p>
2.1.1-2.1.8	<p>Farmer organizations, Carbon Project Consultant</p> <p>NDC Representatives</p>	<p>Presentation of carbon project (MRV plan and estimated GHG reductions) to national stakeholders during workshops (i.e., NDCs)</p> <p>Assignment to specific counterparty the task to prepare and implement the full Monitoring-Reporting-Verification (MRV) plan for a) Solar Energy Project b) CSN, LUM, and SFM project.</p>
2.1.1	Farmer organizations CSO/NGOs Service providers	<p>Targeted beneficiary organizations per country tasked to maintain and operate each of the 72 Agro-Pastoral/Farmer Field Schools (AP/FFS) in Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal, and 68 AP/FFS for The Gambia</p> <p>Context of Vulnerability of the farmers per country that can be addressed by AP/FFS</p> <p>Proof of registered enrollees of small farmholders for each of the AP/FFS</p>



			Geo referencing of each of the project areas per country for monitoring-reporting-verification.
	2.1.2	Farmer organizations for 1000 nurseries Ministry of Environment	<p>Targeted beneficiary organization's proof of membership and number of hectares owned by farmers participating in the 1000 nurseries program</p> <p>Targeted beneficiary organizations per country tasked to implement and maintain each of the 145 nurseries tasked to grow selected climate-adapted varieties established in each of the countries (Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal) and 130 nurseries for the Gambia</p> <p>Identification and assessment of heat-tolerant, submergence, drought, and salinity tolerant, pest resistant and prevention of alien / invasive species being propagated in nurseries that may cause harm to the ecosystem.</p> <p>Geo referencing of each of the project areas per country for monitoring-reporting-verification.</p>
	2.1.3	Local Government Communal Land Owners Small Farmholders Pastoralists	<p>Targeted beneficiary organization's proof of authority or control and capacity to implement and maintain CSA, dune stabilization, degraded land restoration, and SFM activities on 29,000 has of land in each of the countries (Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal) and 26,000 ha for the Gambia to sustain project activities.</p> <p>Targeted beneficiary organization's proof of membership and number of hectares owned by farmers and entrepreneurs participating in the dune stabilization, degraded land restoration, and SFM activities</p> <p>Identification, assessment of climate resilient species and prevention of alien / invasive species being propagated that may cause harm to the ecosystem.</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
	2.1.4	Local Government Communal Land Owners Small Farmholders Pastoralists	<p>Targeted beneficiary organization's proof of authority or control and capacity to Operate and Maintain 15,000 has of restored pasture lands via mechanical/biological pasture restoration management in Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal each and 10,000 has for the Gambia.</p> <p>Targeted beneficiary organization's proof of membership and number of hectares owned by farmers and entrepreneurs participating in the</p>

		<p>mechanical/biological pasture restoration management</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
2.1.5	<p>Local Government Communal Land Owners Small Farmholders Pastoralist</p>	<p>Targeted beneficiary organization's proof of authority or control and capacity to implement and maintain the Sustainable Forest Management program for each country.</p> <p>Targeted beneficiary organization's proof of membership and number of hectares owned by farmers or farmer entrepreneurs participating in the Sustainable Forest Management program covering 5,600 has of forests in Faso, Chad, Mali, Mauritania, Niger and 6000 has of forests in Senegal and Burkina.</p> <p>Identification, assessment, and prevention of alien / invasive species being propagated that may cause harm to the ecosystem.</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
2.1.6	<p>Local Government Communal Land Owners Small Farmholders Pastoralists</p>	<p>Targeted beneficiary organization's proof of authority or control and capacity to implement and maintain the integration of agroforestry into farming systems on selected watersheds covering 4000 has in Burkina and Chad and 3600 has in Gambia, Mali, Mauritania, Niger, Senegal.</p> <p>Targeted beneficiary organization's proof of membership and number of hectares owned by farmers or farmer entrepreneurs participating in the agroforestry program.</p> <p>Identification, assessment, and prevention of alien / invasive species being propagated that may cause harm to the ecosystem.</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
2.1.7	<p>Local Government Communal Land Owners Small Farmholders Pastoralist</p>	<p>Targeted beneficiary organization's proof of membership and number hectares owned by farmers or farmer entrepreneurs participating in the Integration of Assisted Natural Regeneration of trees (ANR) in their rain fed production systems, crop rotation and association, ecosystems covering 10,000 has each in Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal and the Gambia</p> <p>Identification, assessment, and prevention of alien / invasive species being propagated that may cause harm to the ecosystem.</p>

			Geo referencing of each the project areas per country for monitoring-reporting-verification.
2.1.8	Local Government Communal Land Owners Small Farmholders Pastoralists		<p>Targeted beneficiary organization's proof of membership and number of hectares owned by farmers or farmer entrepreneurs directly participating in the 9,000 has Zai and half-moon farming techniques in Burkina and Niger and 8500 has in Mauritania, , Senegal, Chad and the Gambia</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
2.1.9	Local Government Communal Land Owners Small Farmholders Pastoralists		<p>Targeted beneficiary organization's proof of authority or control and capacity to implement and maintain the 25 constructed/rehabilitated water points (i.e., reservoirs, ponds, wells, boreholes) for farming and covering 14,285 km of transhumance pathways cutting across Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal, The Gambia.</p> <p>Targeted beneficiary organization's proof of membership and number of farmers or farmer entrepreneurs directly and indirectly using the water points along the 14,285 km transhumance pathways</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
2.1.10	Local Government Communal Land Owners Small Farmholders Pastoralists		<p>Targeted beneficiary organization's proof of authority or control and capacity to implement and maintain the constructed 1 rainwater harvesting infrastructure in 1 transhumance corridors per country.</p> <p>Targeted beneficiary organization's proof of membership and number of farmers or farmer entrepreneurs directly and indirectly using the rainwater harvesting infrastructure in 1 transhumance corridors per country</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
2.1.11	Farmer and rural community organizations, National and/or Local Government		<p>Targeted beneficiary organization's proof of membership and number of farmers and community members having electricity access to the solar mini grids</p> <p>Agreement on the Maintenance and Operation of the 56 Solar mini grids set up in each country with</p>

		Electric Distribution Utilities	<p>an identified national agency (as mentioned in Para 181 of the FP).</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
	3.2.4.	Farmer and rural community organizations	<p>Targeted beneficiary organization’s proof of membership of farmers belonging to different areas or regions, or type of crop and/or livestock produced</p> <p>This will enable a diversified coverage of risk per area, crop, livestock, livelihood which can help identify triggering thresholds for climate extreme events.</p>
<p>FP163 (WB SRMI)</p>	<p><i>Relevant independent TAP conditions</i></p> <p><u>The inclusion of the following reporting requirements in the funded activity agreement to be signed between GCF and the AE:</u></p> <p>(a) The AE shall provide under each APR a programme monitoring report at the Facility level, also covering Project-level activities information, as and when available, at the minimum covering the following:</p> <ul style="list-style-type: none"> (i) report detailing the success and challenges of the gender mainstreaming process presented in the Gender Action Plan; (ii) report of the lessons learned, and successes and challenges, of stakeholder engagement at Project level as well as reflecting process and measures undertaken or to be undertaken in the future ensure that pitfalls are corrected in a timely way; and (iii) the GHG emission reductions achieved using the monitoring protocol of the emission reduction estimation method adopted, and covering, at the minimum: <ul style="list-style-type: none"> (1) Project parameters monitored by name, value and confidence interval; (2) Emission reduction calculation methodologies utilized; (3) The procedure used to develop the database; and (4) The quality assurance/quality control methods used in preparing and reporting the data and the emission reduction calculations. 		
<p>FP164 (FMO India)</p>	<p><i>None</i></p>		
<p>SAP020 (MCT Micronesia)</p>	<p><i>Relevant independent TAP conditions</i></p>		

	<p>The AE shall provide, before the second disbursement of GCF proceeds, to the satisfaction of the Secretariat:</p> <ul style="list-style-type: none"> (i) an operational manual including: (A) a detailed assessment of the impact of climate change on the agriculture sector nationwide and for each state in the FSM; (B) criteria for selection of climate resilient agriculture (“CRA”) packages, which shall take into consideration site- and crop-specific impact of climate change; and (C) a comprehensive baseline study of all monitoring indicators provided in the funding proposal section D.2 (“Impacts measured by GCF indicators”); and (ii) Three CRA packages developed for three different agricultural activities (one for each of sustainable land management, agroforestry, and climate change impact resistant crops) which will include information on location, observed site-specific climate change and related impact, climate change adverse impact mitigation measures planned in the activities, risk assessment, mechanisms for ensuring the long-term sustainability of results, indicators for results monitoring and monitoring modality.
<p>SAP021 (JICA Timor-Leste)</p>	<p><i>None</i></p>
<p>SAP022 (UNDP Uzbekistan)</p>	<p><i>Relevant Board conditions</i></p> <p><u>Satisfaction of the following condition prior to the effectiveness of the FAA:</u></p> <p>Delivery by the Accredited Entity to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat and following the template agreed between the GCF Secretariat and the Accredited Entity, of a report (the “Report”) (in relation to the Project) containing complete information and outlining how:</p> <ul style="list-style-type: none"> (a) the potential risks identified in the Accredited Entity’s Office of Audit and Investigations report of December 2020 regarding UNDP’s management of Global Environment Facility (GEF) resources (the “OAI GEF Audit”); and (b) any additional recommendations and the additional risks identified in the “<i>Independent Assessment of UNDP management of GCF-supported projects</i>” carried out by BDO (UK) (the “GCF Assessment”), <p>have been, or will be, addressed by the Accredited Entity to the extent that these are relevant to the Project, or its implementation, and including information on the capacities of the relevant bodies of the Accredited Entity to effectively manage and monitor implementation of the Project, in each case, in accordance with the terms of the FAA and the AMA.</p> <p><u>Satisfaction of the following condition prior to each disbursement under the FAA:</u></p> <p>To the extent that any such recommendations remain outstanding, delivery by the Accredited Entity to the GCF Secretariat, in form and</p>

	<p>substance satisfactory to the GCF Secretariat, of an updated Report (in relation to the Project), as revised to take into account all relevant actions and recommendations from the OAI GEF Audit, the GCF Assessment and the UNDP-requested assessment carried out by EY which are then outstanding.</p> <p><u>Inclusion of the following covenants in the FAA which shall apply from the signing of the FAA:</u></p> <p>(a) The Accredited Entity shall ensure that the Funded Activity is audited in compliance with the requirements set out in (i) the UNDP Policy on Harmonized Approach to Cash Transfers (HACT), and (ii) the Charter of the Office of Audit and Investigations.</p> <p>(b) The Accredited Entity shall, at its own cost, report to the GCF Secretariat, on a monthly basis for so long as any recommendations from the OAI GEF Audit and/or the GCF Assessment remain outstanding, following the template agreed between the Accredited Entity and the GCF Secretariat, on the actions taken or to be taken by the Accredited Entity to address any outstanding recommendations of the OAI GEF Audit and the GCF Assessment, in each case, to the extent that is relevant to the portfolio of GCF funded activities managed by the Accredited Entity (the “UNDP Portfolio”).</p> <p>(c) With respect to the UNDP Portfolio, the Accredited Entity shall, in each case, in accordance with the terms set forth in Clause 5.03(a) of the AMA, address all relevant actions and recommendations to the extent possible with respect to monitoring concerns raised by the GCF (with respect to e.g. annual performance reports, interim evaluations and ad hoc checks), where assessed relevant by UNDP.</p>
<p>SAP023 (FMCN Mexico)</p>	<p><i>None</i></p>

2. In addition, it is recommended that, for all approved funding proposals, disbursements by the GCF should be made only after the GCF has obtained satisfactory protection against litigation and expropriation in the country where the project/programme will be implemented, or has been provided with appropriate privileges and immunities in that country.

3. It is also recommended that the accredited entity implements the following recommendations during the implementation of the relevant project or programme.

Table 3. Project-specific recommendations

FP number	Recommendations
<p>FP154 (ADB Mongolia)</p>	<p><i>None.</i></p>
<p>FP155 (FAO Jordan)</p>	<p><i>None.</i></p>

FP156 (ADB ASEAN)	<i>None.</i>
FP157 (UNDP Cuba)	The project management unit, guided by the national project steering committee, should engage more with local people by strengthening its participatory processes, including through the project's planned provincial coordination and municipal coordination structures, so that the communities realize the value of mangroves and eventually cease unsustainable practices that damage them. The project must pay additional attention to social engagement so that business-as-usual degradation or destruction of mangroves is reversed, with active involvement of community peers and a behavioral change orchestrated through greater community engagement.
FP158 (CI Botswana)	<i>None.</i>
FP159 (FAO Congo)	<i>None.</i>
FP160 (UNDP Liberia)	<i>None.</i>
FP161 (AFD Indian Ocean)	The independent TAP recommends for the AE to actively engage with a global centre of excellence on hydrology and give adequate emphasis on the inclusion of hydrological data collection, monitoring and subsequent modelling in each of the four target countries, so that effective forecasting for multiple hazards becomes a reality within the lifetime of the project.
FP162 (IFAD Sahel)	<i>None.</i>
FP163 (WB SRMI)	<i>None.</i>
FP164 (FMO India)	<i>None.</i>
SAP020 (MCT Micronesia)	To ensure the sustainability of implemented measures and to decrease the impact of extreme events typical for FSM States, the independent TAP recommends to the AE to explore more options for disaster impact mitigation. In particular, the development of a domestic insurance industry in collaboration with private insurers, including housing and food and agriculture insurance.
SAP021 (JICA Timor-Leste)	<i>None.</i>
SAP022 (UNDP Uzbekistan)	<i>None.</i>
SAP023 (FMCN Mexico)	<i>None.</i>

Annex II: Updated independent Technical Advisory Panel review procedure

KEY STAGES AND INDIVIDUAL STEPS	ACTOR(S)	RESPONSIBILITIES AND TASKS
I. Assignment of lead reviewer, secondary reviewer and peer reviewers		
1.1	Notification from the Secretariat upon clearance for TAP submission <i>Indicative time: one month before submission</i>	Secretariat, TAP secretary
		<ol style="list-style-type: none"> 1. Upon receiving clearance by the Climate Investment Committee for TAP consideration, the task team will notify the TAP, through its secretary, of the upcoming proposal. 2. The task team will prepare a summary note with key facts of the project to facilitate the assignment of a review team. 3. The secretary will forward this information to the chair of the TAP.
1.2	Assignment of roles <i>Indicative time: 1 week</i>	Head of the TAP, TAP members
		<ol style="list-style-type: none"> 1. The chair of the TAP, in consultations with TAP members, will assign the roles of lead reviewer, secondary reviewer (TAP review team) and two to three peer reviewers (peer review team), based on the overall workload and sectoral and geographical expertise. The chair of the TAP will also designate a back-up reviewer to ensure continuity in case a member of the TAP review team becomes unable to fulfil their assignment. If, at a later stage, the TAP review team considers that the complexity of the funding proposal warrants an additional peer reviewer, the chair of the TAP may add said peer reviewer to the team. 1b. For SAP proposals, the head of the TAP will assign the roles of lead reviewer, assign a member of the roster of experts for the relevant result area, and assign one to two peer reviewers. 2. The chair of the TAP will share with TAP members the summary note prepared by the Secretariat. 3. TAP members will confirm their availability for the review. 4. In case of non-availability, the chair of the TAP will seek an alternative reviewer as per point 1. 5. The chair of the TAP and/or the TAP review team may seek support from one or more consultants from the roster of experts if so required because of the need for specific sectoral expertise or overall capacity limitations.
1.3	Tracking	Secretariat task team TAP review team
		<ol style="list-style-type: none"> 1. The Secretariat task team will update the IPMS status of funding proposals as they progress through the stages of the Proposal Approval Process. 2. TAP members will be able to track the progress of the funding proposal through the final steps before submission through their IPMS module in order to better predict whether and when the submission will materialize.
II. Review by lead and secondary reviewers		

KEY STAGES AND INDIVIDUAL STEPS		ACTOR(S)	RESPONSIBILITIES AND TASKS
2.1	Submission	Secretariat task team TAP secretary	<ol style="list-style-type: none"> 1. Upon endorsement of the final funding proposal package at CIC-3, the Secretariat task team will forward to the TAP the full funding proposal package in a final form for review. This will take place on a fortnightly basis for funding proposals following the PAP. SAP funding proposals and their annexes can be submitted on a rolling basis. 2. The TAP secretary will notify TAP members indicating the timeline for the review. 3. The designated reviewers will acknowledge receipt and coordinate with a view to ensuring timely delivery of their review.
2.2	Desk review <i>Indicative time: 2 weeks</i>	TAP review team AE, Secretariat task team	<ol style="list-style-type: none"> 1. The reviewers will conduct the review of the funding proposal package and issue the comment matrices already in use through the Secretariat's task team to the AE, with an estimated timeline of two to three weeks, with the exact timing being subject to chair's confirmation.
2.3	Convening peer review	TAP review team Peer review team	<ol style="list-style-type: none"> 1. Upon completion of the initial review, the TAP review team will notify and coordinate with the peer review team to establish the initial assessment of the TAP and conclusion about the funding proposal. 2. The meeting will conclude with an agreement by the TAP review team and peer reviewers as to the endorsement or non-endorsement of the funding proposal and the reasons for it.
III. Peer review and finalization of assessment through virtual meetings			
3.1	Virtual meeting(s) <i>Indicative time: 1 week</i>	TAP review team Peer review team Secretariat task team AE	<ol style="list-style-type: none"> 1. Virtual meetings will take place after the completion of the TAP initial review by the TAP review team, with the participation of the TAP review team, the peer reviewers, the Secretariat task team and the AE.
3.2.1	Endorsement: assessment finalization and clearance <i>Indicative time: 1 week</i>	Lead reviewer TAP secretary Secretariat task team, editing team, project lawyers	<ol style="list-style-type: none"> 1. Based on the interactions and feedback with the peer review team, the Secretariat, and AE, if applicable, the lead reviewer, in consultation with the second reviewer (for regular funding proposals), will finalize the draft assessment. For regular funding proposals, the final draft assessment will subsequently be shared with the peer review team for endorsement. 2. The lead reviewer will share the final draft assessment with the TAP secretary for editing and finalization. 3. The TAP secretary will share the draft assessment with (i) the task team, who will liaise with the AE to solicit the AE response to the TAP assessment; (ii) the editing team, who will undertake the editorial review of the draft assessment; and (iii) the project/programme's lawyers from the Office of the General Counsel, who will cross-check the conditions and recommendations for clarity and implementability. 4. Edits will be shared with the lead reviewer for confirmation and finalization.

KEY STAGES AND INDIVIDUAL STEPS		ACTOR(S)	RESPONSIBILITIES AND TASKS
			5. The Secretariat will include the TAP assessment in the funding proposal package for submission to the Board or delegated authority, as applicable according to the respective approval process (e.g. SAP).
3.2.2.1	Non-endorsement	Lead reviewer TAP secretary Secretariat task team, editing team	<ol style="list-style-type: none"> 1. Based on the interactions and feedback with the peer review team and the AE, if applicable, the lead reviewer will finalize an assessment detailing the reasons for the non-endorsement and the outstanding issues. 2. The lead reviewer will share the draft final assessment with Secretariat task team through the TAP secretary. 3. If requested by the Secretariat, a meeting will be convened with the Secretariat task team and AE to clarify the reasons for the non-endorsement. 4. The TAP secretary will share the draft final assessment with the editing team, which will undertake the editorial review of the draft assessment. 5. The Secretariat will share the edited assessment in confidence with (i) the AE; (ii) the NDAs of the target countries; and (iii) the Board, through a confidential document to be submitted prior to each Board meeting.
3.2.2.2	Re-submission by the AE <i>Indicative time: Open</i>	AE Secretariat task team TAP review team	<ol style="list-style-type: none"> 1. The AE can re-submit the funding proposal any time after addressing the feedback provided by the TAP. 2. Upon receipt, the Secretariat will give notice to the TAP that the re-submission is being evaluated by the Secretariat three to four weeks in advance. 3. The Secretariat task team will evaluate whether the AE response addresses the issues raised by the TAP in their assessment. If so, the task team will seek internal clearance and forward the funding proposal package to the TAP. 4. Re-submissions will be handled by the TAP in the same way as regular submissions.
IV. Board meeting support			
4.1	Board consideration of funding proposals	TAP review team	<ol style="list-style-type: none"> 1. The TAP review team will be available before and during the Board meeting, virtually or in person, to respond to the questions of Board members and advisers on the assessments of endorsed and non-endorsed funding proposals.

