



**GREEN  
CLIMATE  
FUND**

**Meeting of the Board**  
17 – 20 October 2018  
Manama, Bahrain  
Provisional agenda item 9

**GCF/B.21/16**

**25 September 2018**

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# Workplan of the Board for 2019

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## **Summary**

This document presents the Co-Chairs' proposal for the workplan of the Board for 2019.

## I. Introduction

1. Following the practice in previous years, the Co-Chairs hereby propose a workplan of the Board for 2019, presented in annex II. The workplan collates:
  - (a) Items in the workplan of the Board for 2018 that were not concluded, hence deferred to 2019;
  - (b) Items which, as per past Board mandates, are due for consideration in 2019; and
  - (c) Standard items considered at each Board meeting, namely procedural items, regular information documents, funding approvals and accreditation approvals.
2. The items are spread over three meetings: the twenty-second meeting of the Board (B.22), the twenty-third meeting of the Board (B.23), and the twenty-fourth meeting of the Board (B.24).
3. The workplan as proposed assumes that the policy matters on the provisional agenda for the twenty-first meeting of the Board (B.21) will be brought to a close at the meeting. If this is not the case, then such items would automatically be added to the 2019 workplan, to be addressed at B.22.
4. Mandates emerging from Board decisions taken at B.21 will also be incorporated into the 2019 Board workplan in subsequent updates as appropriate.
5. As per clause 32.04 of the template accreditation master agreements adopted by decision B.12/31 (annex XXVI), policy matters that apply to accredited entities are required to be discussed in consultation with the entities prior to their being presented for the Board's consideration and adoption. These are denoted by an asterisk footnote.

## II. Recommended action by the Board

6. It is recommended that the Board adopt the workplan of the Board for 2019 as proposed in annex II.
7. It is also recommended that the Board formally defer items which, as per the relevant Board mandates, were to be considered at B.21, in 2018, or before, but which are not on the provisional agenda for B.21.
8. These recommended actions can be achieved by adopting the draft decision contained in annex I.

## Annex I: Draft decision of the Board

The Board, having considered document GCF/B.21/16 titled “Workplan of the Board for 2019”:

- (a) Approves the workplan of the Board for 2019, as set out in annex II;
- (b) Requests the Co-Chairs to update the workplan following each meeting of the Board;
- (c) Decides to defer consideration of the following matters and requests the Secretariat, in consultation with the Co-Chairs and the relevant committees, panels and groups of the Board, to progress its work on these matters and present these for consideration by the Board in 2019:
  - (i) The development of the risk management framework and its remaining components requested pursuant to decision B.17/11, paragraph (g);
  - (ii) Opportunities to engage the private sector, including local actors, in adaptation action at the national, regional and international levels, requested pursuant to decision B.17/06, paragraph (d)(ii);
  - (iii) The detailed guidelines and procedures for the Independent Redress Mechanism, requested pursuant to decision B.13/24, paragraph (b);
  - (iv) The review of the live webcasting service for formal meetings of the Board, requested pursuant to decision B.BM-2018/07, paragraph (b);
  - (v) The proposed approach to developing the GCF environmental and social safeguards standards, requested pursuant to decision B.19/10, paragraph (c); and
  - (vi) The independent evaluation of the implementation of operational guidelines and the effectiveness of the Project Preparation Facility in order to draw lessons learned no later than the end of the initial resource mobilization period, requested pursuant to decision B.13/21, paragraph (e); and
- (d) Decides to consider in 2019 a proposal in response to the guidance from the Conference of the Parties at its twenty-third session regarding privileges and immunities, requested pursuant to decision B.19/02, paragraph (d).

## Annex II: Workplan of the Board for 2019

Issue	B.22	B.23	B.24
<b>1. BOARD MATTERS</b>			
<b>a. Guidance from the COP</b>			
COP guidance and reports ( <i>Governing Instrument for the GCF, paras. 6 (a-c); decisions B.17/04, paras. (b) and (d); and B.19/02</i> ) (UNFCCC decision 5/CP.19)	Incorporation of COP 24 guidance into the Board workplan	<ul style="list-style-type: none"> <li>Consideration of the Co-Chairs' proposal on privileges and immunities of the GCF (UNFCCC decision 9/CP.23, para. 14, Decision B.19/02, para. (d))</li> <li>Approve the eighth GCF report to the COP – COP report to include the report of the COP 24 annual meeting with the UNFCCC thematic bodies; and updates related to complementarity and coherence with other funds (Decisions B.13/11, para. (e); B.17/04, para. (d); and B.18/02, para. (c))</li> </ul>	Addendum to the COP report submitted to the UNFCCC by the Co-Chair with the support of the Secretariat
Complementarity and coherence ( <i>Decisions B.13/12, para. (c); and B.17/04, para. (b)</i> ) (UNFCCC decisions 7/CP.21, para. 26; and 7/CP.20, para. 16)	<ul style="list-style-type: none"> <li>Adoption of an updated operational framework on complementarity and coherence for 2019–2020 (Decision B.20/05, para. (e))</li> </ul>	Annual report presenting the outputs from the operational framework on complementarity and coherence, To also be included in the seventh GCF report to the COP ( <i>Decisions B.17/04, para. (b); and B.18/03, para. (e)</i> )	
Mobilization of private sector finance to progress GCF forestry-related results areas <sup>1</sup> ( <i>Decisions B.12/07, para. (f); B.BM-2017/02; and B.17/01, para. (b) (xxi)</i> )		Mobilization of private sector finance to progress GCF forestry-related results areas	

<sup>1</sup> PSAG

Issue	B.22	B.23	B.24
Alternative policy approaches ( <i>Decisions B.12/07, para. (e); B.14/01, para. (e); and B.17/01</i> ) ( <i>UNFCCC decisions 10/CP.22, para. 4; and 7/CP.21, para. 25</i> )			Consideration of alternative policy approaches for the integral and sustainable management of forests <b>Error! Bookmark not defined.</b>
Support for technology ( <i>Decisions B.18/03, para. (c) and B.20/03, para. (b)(ii)</i> ) ( <i>UNFCCC decision 7/CP.21, para. 22</i> )		Presentation of the TOR for a request for proposals to support climate technology incubators and accelerators ( <i>Decision B.18/03, para. (c)</i> )	
<b>b. Strategies and plans</b>			
Workplan of the Board			Adoption of the workplan for 2020
Strategic Plan of the GCF ( <i>Decisions B.11/03; B.12/20; and B.17/05, para. (d)</i> )	<ul style="list-style-type: none"> <li>• Presentation of a comprehensive 2018 annual status report on the implementation of the Strategic Plan</li> <li>• [Pending outcomes of B.21] Review of the Strategic Plan of the GCF (<i>Decision B.19/05, para. (c)</i>)</li> </ul>		
Communications strategy ( <i>Decisions B.01-13/05, para. (c); B.04/14, para. (c); B.13/25, para. (f); and B.17/01, para. (b) (ii)</i> )	Adoption of a communications strategy ( <i>Decisions B.13/25, para. (f); B.17/01, para. (b) (ii); and B.19/17, para. (b)</i> )		
<b>c. Committees, panels and groups</b>			
Review of committees and panels ( <i>Annexes XVI–XIX to decision B.05/13, and decision B.20/04, para. (b)</i> )	Presentation of findings of the review of committees and panel		
Oversight of Board-appointed officials <sup>2</sup> ( <i>Decisions B.12/08; and B.15/02, para. (a)</i> )	Board decision on oversight of the independent units ( <i>Decisions B.12/08; and B.15/02, para. (a)</i> )		

<sup>2</sup> Co-Chairs

Issue	B.22	B.23	B.24
Review of the TAP <sup>3</sup> (Decision B.19/08)		Review of the composition of the TAP upon finalization of the policies related to the proposal approval structure (Decision B.19/08, para. (k))	
Matters regarding conflicts of interest of persons engaged with the GCF <sup>4</sup> (Document GCF/B.16/23 titled "Decisions of the Board – sixteenth meeting of the Board, 4 – 6 April 2017", para. 8)		Consideration of recommendations from the Ethics and Audit Committee on various matters regarding conflicts of interest of persons engaged with the GCF (Document GCF/B.16/23, para. 8)	
A mechanism to draw on appropriate scientific and technical advice (Decisions B.04/09, para. (d); and B.14/07, para. (o))			Presentations of options for a mechanism to draw on appropriate scientific and technical advice
<b>d. Permanent Trustee selection</b>			
Competitive process for the selection of the Permanent Trustee <sup>5</sup> (Decisions B.08/22, para. (b); B.15/08, para. (a); B.16/05, annex II; and B.19/03)	[Pending outcome of B.21]		
<b>e. Observers</b>			
Participation of observers (Decisions B.01-13/03, annex XII, para. 17; B.05/23, para. (b); B.BM-2016/11; B.13/27, para. (b); and B.BM-2017/02)	Presentation of the outcomes of the review of guidelines on observer participation (Decisions B.BM-2016/11; and B.BM-2017/02)		
Policies on ethics and conflicts of interest <sup>6</sup>	Policy on ethics for active observers (Decisions B.09/03, para. (b); and B.13/27, para. (b))		

<sup>3</sup> Investment Committee.

<sup>4</sup> Ethics and Audit Committee

<sup>5</sup> Ad-hoc Committee on Trustee Selection.

<sup>6</sup> Ethics and Audit Committee.

Issue	B.22	B.23	B.24
<i>(Decisions B.09/03, para. (b); and B.13/27, para. (b)) (Document GCF/B.16/23, para. 8)</i>			
<b>2. RESOURCE MOBILIZATION</b>			
First formal replenishment process of the GCF <sup>7</sup> <i>(Decisions B.12/09; and B.19/05)</i>	[Pending outcomes of B.21]	[Pending outcomes of B.22]	[Pending outcomes of B.23]
Policies and procedures for contributions from philanthropic foundations and other non-public and alternative sources <i>(Decisions B.08/13, annex XIX, paras. 5–7; B.11/05, para. (d); B.14/01, para. (i); and B.17/01, para. (b) (viii))</i>	Policies and procedures for contributions from philanthropic foundations and other non-public and alternative sources		
<b>3. FUND POLICIES</b>			
<b>a. Investment framework - matters related to the approval of funding proposals</b>			
Initial proposal approval process <i>(Decisions B.07/03; B.11/11; and B.17/09, paras. (g), (m), (o) and (p))</i>		<ul style="list-style-type: none"> <li>Defining the nature, scope and extent of second-level due diligence by the Secretariat <b>Error! Bookmark not defined.</b> <i>(Decisions B.17/09, para. (o), and B.20/03, para. (b)(iv))</i></li> </ul>	
Simplified approval process <i>(Decision B.18/06)</i>	Review of the Simplified Approval Process Pilot Scheme <i>(Decision B.18/06, para. (b))</i>		
Annual review of the financial terms and conditions of the GCF financial instruments <sup>8</sup> <i>(Decisions B.09/04, para. (h))</i>			Annual review of the financial terms and conditions

<sup>7</sup> Co-Chairs

<sup>8</sup> Investment Committee

Issue	B.22	B.23	B.24
Annual review of the scaling pilot <sup>8</sup> (Decisions B.09/05, para. (f); and B.10/17, para (e))			Annual review of the scaling pilot (Decision B.10/17, para (e))
<b>b. Risk management framework</b>			
Development of the risk management framework <sup>9</sup>	<ul style="list-style-type: none"> <li>Adoption of risk rating models <b>Error! Bookmark not defined.</b> (Decision B.17/11, para (f); and B.20/03, para. (b)(v))</li> <li>Adoption of the legal risk policy (Decision B.17/11, para. (g))</li> </ul>		
<b>c. Results management framework</b>			
Results management framework (Decisions B.08/07, para. (b); B.13/34; B.17/01 para. (b) (x); and B.19/06, para. (e) (iv)), B.19/21, annex XXI, para. 5(c) [independent evaluation of the RMF/PMF]	Finalization of indicators in the results management framework and performance measurement framework <b>Error! Bookmark not defined.</b>		
<b>d. Fund-wide policies</b>			
Information disclosure policy (Decisions B.12/35, paras. (b) and (g); annex XXIX, para. 28; B.17/01, para. (b) (xvii); and B.18/01, para. (g))		Review of the live webcasting service for formal meetings of the Board (Decision B.BM-2018/07, para. (b))	Presentation of recommendations on the review of the relevant disclosure requirements once the environmental and social management system is developed (Decision B.12/35, para. (b))
Travel policy for the GCF <sup>10</sup> (Decisions B.12/13, and B.15/02)			Adoption of a travel policy for the GCF
<b>4. ACCREDITATION FRAMEWORK</b>			
Initial fiduciary standards and integrity policies (Decisions B.07/02; B.12/31, para. (i);	Adoption of fiduciary compliance and integrity policies/policies relating to prohibited practices, anti-money		Integration of policies relating to prohibited practices, anti-money laundering and countering the

<sup>9</sup> Risk Management Committee.

<sup>10</sup> Co-Chairs



Issue	B.22	B.23	B.24
<i>B.14/01, paras. (e) and (f); B.14/08, para. (f); and B.20/03, para. (b)(i)</i>	laundering and countering the financing of terrorism <sup>11</sup> <i>Error! Bookmark not defined.</i> (Decisions B.12/31, para. (i); B.14/01, para. (e); and B.15/13)		financing of terrorism in the interim fiduciary standards <i>Error! Bookmark not defined.</i> (Decision B.14/01, para. (f))
Environmental and social management system <sup>12</sup> (Decisions B.07/02, para. (n); and B.19/10)	Consideration of a proposed approach to developing the GCF environmental and social safeguards standards (Decision B.19/10, para. (c))		
Monitoring and accountability framework (Decision B.11/10, para. (a), annex II)			Presentation of the annual portfolio performance report (Decision B.11/10, para. (a), and annex II, para. 9)
<b>5. COUNTRY PROGRAMMING AND READINESS</b>			
Implementation of the Readiness and Preparatory Support Programme (Decisions B.06/11, para. (f); and B.19/15, para. (f))	Consideration of a proposal for improving the Readiness Programme based on the outcome of the conclusions of the Secretariat's initial review and of the independent evaluation of the Readiness Programme (Decision B.19/15, para. (f))		
Country ownership guidelines (Decision B.17/21)	Presentation of the annual assessment of the application of the country ownership guidelines (Decision B.17/21, para. (c))		
Project preparation facility (PPF) Decision B.13/21			<ul style="list-style-type: none"> <li>Independent review of the implementation of operational guidelines and the effectiveness of the PPF (Decision B.13/21, para (e))</li> <li>Review of funding for the PPF</li> </ul>

<sup>11</sup> Ethics and Audit Committee

<sup>12</sup> Accreditation Committee.

Issue	B.22	B.23	B.24
			<i>(Decision B.13/21, para (f))</i>
<b>6. PRIVATE SECTOR MATTERS</b>			
Private sector in LDCs and SIDS <sup>13</sup> <i>(Decisions B.19/18, para. (b); and B.20/03, para. (b)(vi); and UNFCCC decision 10/CP.22, para. 11)</i>	Consideration of modalities to support activities to enable domestic and international private sector actors to engage in GCF activities in LDCs and SIDS <i>(Decision B.19/18, para. (b), and B.20/03, para. (b)(vi))</i>		
Private sector in adaptation <sup>13</sup> <i>(Decisions B.15/03, para. (i)(ii); and B.17/06, para (d)(ii))</i>		Consideration of PSAG recommendations to engage the private sector, including local actors, in adaptation action at the national, regional and international levels	
Private sector MSME pilot programme <i>(Decisions B.09/09, para. (h); B.10/11, para. (b)(i); and B.13/22)</i>			Presentation of TOR for request(s) for proposals for the remainder of the allocation for the MSME pilot programme <i>(Decision B.13/22, para. (f))</i>
<b>7. INDEPENDENT UNITS</b>			
Independent Integrity Unit <sup>14</sup>			<ul style="list-style-type: none"> <li>• Presentation of the annual report of the Unit for 2019</li> <li>• Approval of the work programme and budget of the Unit for 2020</li> </ul>
Independent Evaluation Unit	<ul style="list-style-type: none"> <li>• Approval of the independent evaluation policy <b>Error! Bookmark not defined.</b> <i>(Decision B.06/09, para. (a), annex III, para. (5); and B.16/07, para. (c))</i></li> </ul>		<ul style="list-style-type: none"> <li>• Report from the learning oriented real-time impact assessment (LORTA) programme for GCF approved investments</li> <li>• Presentation of the annual report of the Unit for 2019</li> </ul>

<sup>13</sup> PSAG

<sup>14</sup> Ethics and Audit Committee

Issue	B.22	B.23	B.24
			<ul style="list-style-type: none"> <li>Approval of the work programme and budget of the Unit for 2020</li> </ul>
Independent Redress Mechanism <sup>14</sup>	<ul style="list-style-type: none"> <li>Adoption of detailed guidelines and procedures of the independent Redress Mechanism (<i>Decision B.13/24, para. (b)</i>)</li> <li>Presentation of the annual report of the Unit for 2018</li> </ul>		Approval of the work programme and budget of the Unit for 2020
<b>8. ADMINISTRATIVE MATTERS</b>			
Administrative guidelines ( <i>Decision B.06/03, annex I</i> )	Revised administrative guidelines on human resources	<ul style="list-style-type: none"> <li>Presentation of reviewed administrative guidelines on procurement (<i>Decisions B.12/39, para. (a); and B.17/01, para. (c)</i>);</li> </ul>	<ul style="list-style-type: none"> <li>Administrative guidelines on information communication and technology</li> </ul>
Workplan and administrative budgets <sup>15</sup>	<ul style="list-style-type: none"> <li>A report on the execution of the administrative budgets for 2019</li> <li>Presentation of the draft unaudited financial statements for 2018</li> </ul>	<ul style="list-style-type: none"> <li>A report on the execution of the administrative budgets for 2019</li> <li>Approval of the audited financial statements for 2018</li> </ul>	<ul style="list-style-type: none"> <li>A report on the execution of the administrative budgets for 2019</li> <li>Approval of the work programme and administrative budget for 2020</li> </ul>

<b>9. MATTERS TO BE ADDRESSED AT EACH BOARD MEETING</b>	
Approvals	<ul style="list-style-type: none"> <li>Consideration of funding proposals</li> <li>Consideration of proposals to accredit entities</li> </ul>
Co-Chairs' report, consultations and standing matters	<p>The Co-Chairs will report to each meeting on the status of consultations and will bring those matters to the attention of the Board as appropriate.</p> <p>The report on the activities of the Co-Chairs will include status updates on:</p> <ul style="list-style-type: none"> <li>The revised workplan following B.22 and B.23;</li> <li>Board decisions proposed <b>Error! Bookmark not defined.</b> and approved between meetings; and</li> <li>Election of Co-Chairs (last Board meeting of the year)</li> </ul>

<sup>15</sup> Budget Committee.

Secretariat matters	<ul style="list-style-type: none"> <li>• Report on the activities of the Secretariat, outlining the status of implementation of the Secretariat’s work programme for 2018;</li> <li>• Actions taken to include gender considerations in the activities of the GCF (<i>Decision B.12/20, para. (d)</i>);</li> <li>• Legal and formal arrangements with accredited entities;</li> <li>• Status of the GCF portfolio and pipeline (<i>Decisions B.11/11; and B.13/21, para. (d)(ix)</i>); and status report on the PPF requests received (<i>Decisions B.13/21, para. (d)(ix); B.13/21, para. (f); and B.17/01, para. (b)(xiv)</i>);</li> <li>• Status of the GCF portfolio: approved projects and fulfilment of conditions (<i>Decision B.14/07, paras. (i) and (j)</i>);</li> <li>• Status of the initial resource mobilization process;</li> <li>• Progress report on the implementation of the Readiness work programme, including the status of NAPs (<i>Decision B.06/11, para. (f)</i>); and</li> <li>• Consolidated Board document on all information reports (<i>Decision B.18/12, para. (b)</i>)</li> </ul>
Independent unit reports	<ul style="list-style-type: none"> <li>• Reports of the independent units (<i>Independent Evaluation Unit report – decision B.19/21, para. (d)</i>)</li> </ul>
Other procedural agenda items	<ul style="list-style-type: none"> <li>• Adoption of the agenda;</li> <li>• Adoption of the report of the previous meeting;</li> <li>• Reports from Board committees, panels and groups;</li> <li>• Dates and venues of the following meetings of the Board (<i>Decision B.17/24, para. (c)</i>); and</li> <li>• Report of the meeting</li> </ul>

*Abbreviations:* B.18–24 = eighteenth to twenty-fourth meetings of the Board, COP = Conference of the Parties to the United Nations Framework Convention on Climate Change, LDCs = least developed countries, MSME = micro, small and medium-sized enterprise, NAP = national adaptation plan, PMFs = Performance Measurement Frameworks, PPF = Project Preparation Facility, PSAG = Private Sector Advisory Group, SIDS = small island developing States, RMF = Results management framework, TAP = independent Technical Advisory Panel, TOR = terms of reference, UNFCCC = United Nations Framework Convention on Climate Change.

\*Denotes matters required to be consulted with Accredited Entities prior to their being presented to the Board for adoption (Clause 32.04 of tgw template AMA adopted by decision B.12/31 (Annex XXVI))