

**GREEN
CLIMATE
FUND**

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21 September 2017

Report on the activities of the Secretariat – Addendum I:

Enhancing cooperation and coherence of engagement with the Technology Executive Committee and the Climate Technology Centre and Network

Summary

In response to decision B.14/02, paragraph (d), this document informs the Board of further steps the Secretariat of the Green Climate Fund will undertake to continue enhancing the Fund's cooperation and coherence of engagement with the Technology Executive Committee and the Climate Technology Centre and Network. It also takes into account the GCF Operational Framework on complementarity and coherence and the annual event with the thematic bodies of the United Nations Framework Convention on Climate Change. With regards to the Climate Technology Centre and Network, the arrangement of cooperation is intended to be formalized by the Executive Director through an Exchange of Letters covering the elements in section IV of this document.

I. Introduction

1. In line with decision B.14/02, paragraph (d), the Board decided to continue enhancing cooperation and coherence of engagement with the Technology Executive Committee (TEC) and the Climate Technology Centre and Network (CTCN), pursuant to decisions B.13/10 to B.13/12.
2. The Board also requested that the Secretariat provides recommendations on further steps to enhance cooperation and coherence for consideration by the Board in the context of the Fund's Operational Framework on complementarity and coherence and the annual event with the thematic bodies of the United Nations Framework Convention on Climate Change (UNFCCC).
3. This document has been prepared to inform the Board about the related activities the Secretariat will undertake in response to the above-mentioned request.
4. Section II provides an overview of the overall mandate of the Technology Mechanism. Section III presents ongoing activities with the TEC and CTCN in order to build on these and enhance existing areas of collaboration.
5. Based on section II and III, section IV identifies elements that the Secretariat of the Green Climate Fund (GCF) will undertake to further enhance the Fund's collaboration and coherence with the TEC and the CTCN.

II. Mandates

6. To facilitate enhancing climate technology development and transfer to developing countries, the Conference of the Parties (COP) established the Technology Mechanism in 2010 consisting of two bodies: the Technology Executive Committee (TEC) and the Climate Technology Centre and Network (CTCN). Their functions are complementary and support developing country efforts to address both policy and implementation aspects of climate technology development and transfer.
7. The TEC is the Technology Mechanism's policy body. It analyses issues and provides policy recommendations that support country efforts to enhance climate technology development and transfer. The TEC consists of 20 technology experts representing both developed and developing countries. It meets several times a year and holds climate technology events that support efforts to address key technology policy issues.
8. The CTCN is the implementation body of the Technology Mechanism. It facilitates the transfer of technologies through three core services:
 - (a) Providing technical assistance at the request of developing countries to accelerate the transfer of climate technologies;
 - (b) Creating access to information and knowledge on climate technologies, particularly through its knowledge management system;
 - (c) Fostering collaboration among climate technology stakeholders via its network of regional and sectoral experts.
9. The CTCN is hosted by the United Nations Environment Programme in collaboration with the United Nations Industrial Development Organization, and is supported by 11 partner institutions. The Centre facilitates a network of national, regional, sectoral and international technology centres, networks, organizations and private sector entities. The CTCN is accountable to and under the guidance of the COP through the CTCN Advisory Board. Developing countries may send a request to the CTCN via their nationally selected focal point, called a national designated entity.

III. Overview of ongoing activities with the Technology Mechanism

3.1 Overall collaboration with the Technology Mechanism

10. The Board in decision B.13/11, paragraph (f), requested the Secretariat to strengthen its current approach to engaging with thematic bodies, such as the Technology Mechanism, including through: (i) exchanging relevant information, (ii) participation in relevant meetings, (iii) identifying components of programmes and workplans of thematic bodies and incorporating them, where appropriate, into the relevant parts of the work programme of the Secretariat, and (iv) including the Secretariat's engagement with the thematic bodies in the reporting to the Board.

11. In line with this request, the GCF Secretariat has continued to participate in the annual meetings and provided information and input into the activities of the TEC and the CTCN.

12. In UNFCCC decision 14/CP.22, paragraph 3, the COP encouraged the Board to invite the Chairs of the TEC and the Advisory Board of the CTCN to future meetings of the Board of the GCF on issues of common interest, with the aim of strengthening existing linkages between the Technology Mechanism and the Financial Mechanism. The Board, in accordance with paragraph 38 of Rules of Procedure of the Board, decided through decision B.13/11 to invite the Chairs of the TEC and the Advisory Board of the CTCN to B.14 to present to the Board during consideration of technology matters. Respectively, at B.14 the Chairs of the TEC and the Advisory Board of the CTCN provided an input relating to options for supporting collaborative research and development. Agenda item "Options for GCF support for collaborative research and development in developing countries" scheduled for B.18 will again invite the Chairs of the TEC and the Advisory Board of the CTCN in accordance with decision B.15/03 to present to the Board when this agenda item is considered.

13. By decision B.13/06, the Board agreed to strengthen the relationship with the thematic bodies of the Convention through holding an annual meeting between the Co-Chairs of the Board and the Chairs of the thematic bodies. The first annual meeting was held during COP22 in Marrakech, Morocco, on 9 November 2016. The meeting was attended by the Co-Chair of the TEC and the Co-Chair of the Advisory Board of the CTCN. In November 2017, the second annual meeting between the Co-Chairs of the Board of the GCF and the Chairs of the thematic bodies will be held at COP23 in Bonn to continue conversations on how to deepen linkages and joint work between the GCF and the thematic bodies.

14. At B.17, the Board adopted the Operational Framework on complementarity and coherence in line with decision B.17/04. With regards to technology, the Operational Framework aims to enhance complementarity at the activity and programming levels and gives special consideration to strengthening complementarity with the Technology Mechanism of the UNFCCC, as indicated in document GCF/B.17/08.

15. In line with UNFCCC decision 14/CP.22, paragraph 9, and decision B.15/03, paragraph (f), the Secretariat also includes information relating to all ongoing actions taken to strengthen the linkages between the GCF and the Technology Mechanism in the report to the Board and the annual report from the GCF to the COP.

3.2 Collaboration with the TEC

16. In addition to the activities outlined in section 3.1, the GCF engaged with the TEC in 2017 in the context of the "Special event on innovation and climate change" during the forty-sixth session of the Subsidiary Bodies in Bonn. A member of the Private Sector Advisory Group

of the GCF also provided technical input in March 2017 to the “Thematic dialogue on industrial energy efficiency and material substitution” organized by the TEC and presented on innovative financing in promoting and upscaling industrial energy efficiency.

17. Linkages between the TEC and the GCF have also been maintained through TEC’s role in providing input to the draft guidance to the operating entities of the Financial Mechanism prepared by the Standing Committee on Finance.

3.3 Collaboration with the CTCN

18. In the case of the CTCN and complementing the listed aspects of section 3.1, the GCF has collaborated in the organization of events to enhance coordination between the Fund’s national designated authorities (NDAs) and the national designated entities (NDEs) of CTCN.

19. The GCF Structured Dialogue with Asia in Indonesia and the GCF Regional Workshop with Eastern Europe and Central Asia held in Georgia, demonstrated such collaboration in action between the GCF and the CTCN. The CTCN Regional Forums for Asia, and Central Asia and Eastern Europe, were held in parallel to the GCF meetings, allowing the Fund’s NDAs and the CTCN’s National Designated Entities (NDEs) to benefit from a mutual exchange of information and knowledge. Topics covered included the Readiness programme and how it can help advance countries’ planning and technical assistance needs, and the scope of technical support the CTCN provided to countries to meet their low-emission and climate-resilient development aspirations. In addition, outreach material was produced going to the NDAs with information on collaboration between the CTCN and the GCF. Furthermore, the GCF participated in CTCN’s regional meeting in the Pacific Islands in July 2017 to provide an update on technology-related matters at the GCF. At sub-national level, three focal-point meetings, including NDAs and NDEs, have been scheduled in Asia, East Africa and West Africa in 2017.

20. As of August 2017, CTCN has submitted four requests for Technical Assistance to the GCF Readiness and Preparatory Support Programme. Three of them have been approved, including Tonga (Energy Efficiency Master Plan), Ghana (Drought Early Warning and Forecasting System) and Myanmar (Drought and flood management).

IV. Further enhancing cooperation and coherence with the TEC and the CTCN

21. Based on respective mandates and existing activities outlined in section II and III, this section outlines elements to further enhance cooperation and coherence with the TEC and the CTCN. Relating to the CTCN, the arrangement of cooperation is to be formalized by the Executive Director through an Exchange of Letters covering, in particular, the elements defined in section 4.2.

22. Generally, the cooperation aims to contribute to supporting the implementation of enhanced action on technology development and transfer in developing countries in the context of closer linkages between the Technology Mechanism and Financial Mechanism of the Convention, and to strengthen the exchange of information and experience at policy and implementation levels to enhance feedback loops and joint learning.

23. In line with the principles for engagement, as presented in document GCF/B.13/07, and consistent with Board decision B.13/11 relating to the relationships with the UNFCCC thematic bodies, the following are some of the elements to help orient the engagement:

(a) Results-oriented and time-specific;

- (b) Mutually-beneficial and practical;
- (c) Help to achieve the objective of the GCF;
- (d) Fit-for-purpose and in accordance with respective mandates and functions;
- (e) In line with the GCF business model, strategic plan, and other relevant decisions; and
- (f) Subject to the availability of resources.

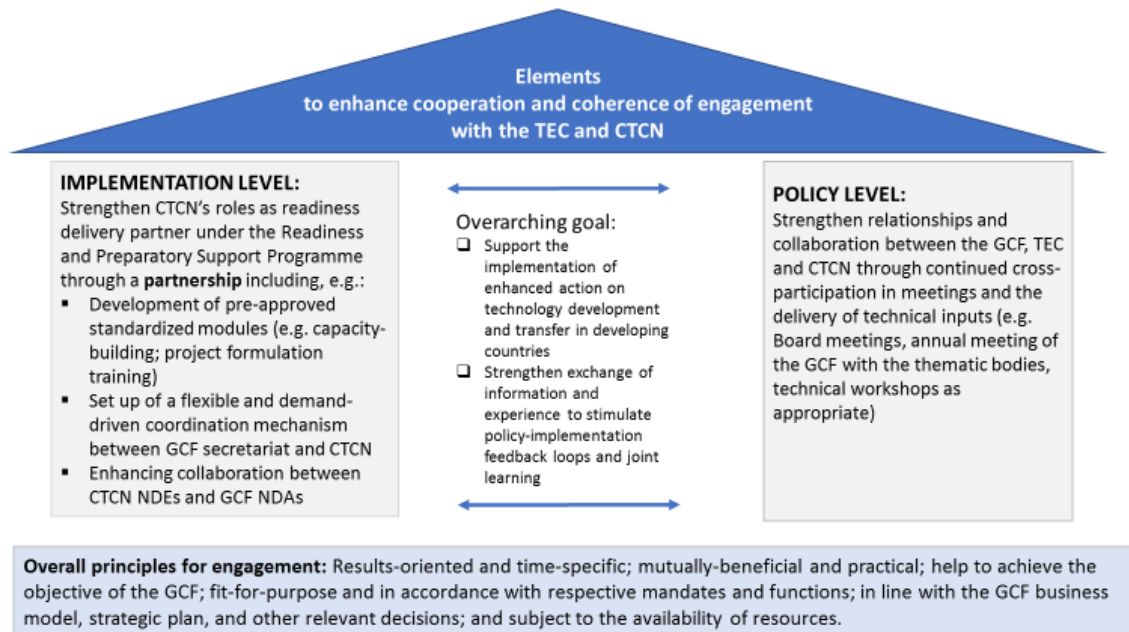


Figure 1: Overview of elements to enhance cooperation and coherence of engagement with the TEC and the CTCN

24. Finally, the Operational Framework on Complementarity and Coherence adopted at B.17 takes technology into account and aims to enhance complementarity at the activity and programming levels. It also gives special consideration to strengthening complementarity with the Technology Mechanism. These two aspects will be further developed in the action plan of the Operational Framework currently under preparation.

4.1 Enhanced collaboration with the TEC

25. Based on past practice, the GCF will continue its engagement with the TEC through cross-participation in meetings and provide technical inputs, inter alia, to Board meetings, the annual dialogue of the GCF with the thematic bodies and technical workshops as deemed relevant.

26. Relationships between the GCF and the TEC are also maintained through TEC's role in providing input to the draft guidance to the operating entities of the Financial Mechanism prepared by the Standing Committee on Finance.

27. In addition, the GCF will provide relevant information on technology in a stand-alone section in the annual report to the COP.

28. The GCF will also provide briefings on progress related to technology in the operations of the fund during TEC meetings.

4.2 Enhanced collaboration with the CTCN

29. To help enhance common understanding of collaboration, the following guiding objectives are defined:

- (a) To strengthen country ownership while supporting the acceleration of deployment of climate adaptation and mitigation technologies in developing countries;
- (b) To identify and prioritize appropriate climate technologies in accordance with national strategies for climate adaptation and mitigation, including development of roadmaps for prioritized technologies;
- (c) To build on already existing activities and initiatives in countries to enhance efficiency and impact including contributing to an effective coordination mechanism between national designated entities and national designated authorities;
- (d) To strengthen enabling environments to support climate technology deployment, including through market analysis and strategy development and by assessing feasibility and piloting of identified climate technology;
- (e) To provide a combination of standardized and tailored assistance to meet countries' specific needs.

30. As indicated in section 3.3, the CTCN has already submitted requests for Technical Assistance to the GCF Readiness and Preparatory Support Programme with three of them being approved as of August 2017. Building on these initial collaborative steps already undertaken between the CTCN and the GCF, it is intended to strengthen role as a readiness delivery partner under the Readiness and Preparatory Support Programme through a partnership.¹ To further advance this relationship, and in response to the mandate from the Conference of the Parties and the request from the Board, the aim is to develop a **partnership** recognizing CTCN's added value, knowledge and expertise in following gaps and trends of climate technologies, its network of high-quality implementers and proven track record in supporting developing countries with their technology needs.

31. In that regard, a delivery partner work programme for CTCN under the Readiness and Preparatory Support Programme will be developed in the context of the readiness workplan and will include, among others, the following elements as part of a partnership with the CTCN:

- (a) Development of standardized modules responding to trends that can enable the prompt provision of technical support in line with country requests, including activities such as capacity-building, project formulation trainings, and other technical assistance. Such modules could target direct access entities, support for the preparation of concept notes, the provision of technical input to GCF proposals, and support to countries in defining technology needs and priorities;
- (b) Set-up of a flexible and demand-driven coordination mechanism between the GCF Secretariat and the CTCN as appropriate to:
 1. Ensure sharing of information, data and expertise;
 2. Support expedition of approval and disbursement processes, as applicable;
 3. Foster relationships and collaboration between the GCF and the Technology Mechanism through joint participation of GCF and CTCN staff and focal

¹ Delivery partners are institutions selected by the NDA or focal point to implement activities approved by the Readiness and Preparatory Support Programme. Delivery partners are to provide services such as: development of readiness request proposals; implementation and supervision; fiduciary management; progress reporting; and project completion and evaluation.

- points at meetings hosted by national, regional and intergovernmental bodies to raise awareness of collaborative opportunities between country-level focal points and implementing partners; and
4. Use the annual event with the thematic bodies of the United Nations Framework Convention on Climate Change to identify concrete opportunities for collaboration that will advance the deployment of climate technologies in support of developing country priorities;
- (c) Enhancing collaboration between CTCN national designated entities and GCF national designated authorities. The importance of country-level collaboration is acknowledged in B.14/02. Decision 14/CP.22, paragraphs 6 and 7, invite NDAs to use Readiness funding to conduct technology needs assessments and develop technology action plans, and developing country Parties to submit technology-related projects to the Financial Mechanism for implementation. This approach to strengthen country-level collaboration was initiated in December 2016 and NDAs and NDEs have since participated in four other joint regional meetings. Meetings of this type should continue as appropriate, and should be strengthened by, inter alia:
1. Stronger collaboration in the planning and execution of joint meetings;
 2. Development of joint focal point training modules on CTCN services and GCF modalities, specifically targeting the guidance in 14/CP.22 paragraphs 6 and 7 to start; and
 3. Encouraging NDAs in collaboration with NDEs to access GCF Readiness funds for capacity-building that will strengthen in-country institutions, lead to stronger GCF project proposals and more-targeted requests to the CTCN.
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