

20. More than 50 per cent of the approved readiness requests have entered the implementation stage and this ratio is projected to increase to around 80 per cent by the end of the first quarter of 2017. In order to effectively manage this portfolio, the Secretariat will need to strengthen its capacity to receive and process progress reports as well as subsequent disbursements, while accelerating the processing of a growing number of new requests in 2017.

4.2 Estimated demand and projections for 2017

21. With around 60 countries having accessed readiness as of end of 2016, a similar number of countries are expected to access such support in 2017. While countries have requested readiness support so far to strengthen their NDAs, develop country programmes and support direct access, going forward, they are increasingly focusing their new requests on strategic frameworks for the identification of programmes and projects that advance national priorities and align with the results management framework of the GCF.

22. Further, at least 20 countries have expressed an interest in accessing support for NAPs or other adaptation planning processes, and other countries are still in the early stages of exploring the scope of support available in response to the B14 mandates on REDD-plus and technology. Lastly, countries from six regions or sub-regions (Africa, Asia, the Caribbean, Central Asia & Eastern Europe, Latin America, and the Pacific) are interested in hosting structured dialogues in 2017. An indicative pipeline of countries that have expressed an interest in accessing the readiness programmes are included in annex I.

23. Based on the projected needs of and emerging demand from countries, the table below presents an estimate of the for 2017.

Table 1: Indicative projections of readiness requests in 2017 by type of activity

Readiness activities	Number of requests	Estimated average value (United States dollars)	Total (United States dollars)
Adaptation planning/programming	20	3,000,000	60,000,000
National designated authority support, including the development of country programmes	50	300,000	15,000,000
Strategic frameworks for GCF programming	20	500,000	10,000,000
B14 mandates on REDD-plus and technology	10	500,000	5,000,000
Capacity-building support to accredited direct access entities	10	400,000	4,000,000
Accreditation support to potential direct access entities	40	100,000	4,000,000
Structured dialogues and other knowledge-sharing/learning activities	10	200,000	2,000,000
Total	160	625,000	100,000,000

24. As countries and direct access entities develop their respective country programmes and entity work programmes, some priorities identified by countries may require readiness support in the form of different programming, planning and scoping activities at the thematic, sectoral or ecosystem-level. Such activities under the readiness activity area emerged from the structured dialogues organized in 2016 and are

explained in further detail in document GCF/B.15/09. The provision of readiness support to such activities will contribute to strengthening the quality and impact of GCF programming in the relevant countries.

4.3 Resource needs

25. The anticipated scaling up of the Readiness and Preparatory Support Programme will require the transfer by the Trustee of amounts as projected in Table 1.

26. Adequate staffing of the Secretariat and the efficient use of external capacity will enable the Secretariat to manage both the growing portfolio as well as the processing of a higher number of readiness requests. A Readiness Programme Manager with the support of an Associate Professional would provide the core capacity needed to manage the readiness processes and develop guidance materials, closely liaise with specialists managing the relations with countries and direct access entities, as well as technical specialists. Lastly, the Secretariat is in the final stages of procuring external grant administration capacity to expedite and manage the monitoring and other post-approval processes of readiness grants particularly for those countries accessing readiness support directly.

Annex I: Readiness and preparatory support approved and under implementation as at November 2016

A1.1 Readiness and preparatory support under implementation as at November 2016

	Country	Proposal title	Readiness or PPF	Delivery partner	Access type	Amount (USD)	Duration (months)
1	Antigua and Barbuda	NDA strengthening + country programme	Readiness	Ministry of Health and Environment	Direct	300,000	12
2	Bangladesh	Country programme	Readiness	UNDP	International	150,000	12
3	Benin	Entity support	Readiness	PwC	na	37,000	-
4	Bolivia (Plurinational State of)	NDA strengthening + country programme	Readiness	Ministry of Development Planning	Direct	300,000	24
5	Brazil	Entity support	Readiness	PwC	na	37,000	-
6	Cambodia	Entity support	Readiness	PwC	na	37,000	-
7	Central African Republic	NDA strengthening + country programme	Readiness	UNDP	International	300,000	12
8	Colombia (2 entities)	Entity support	Readiness	PwC	na	74,000	-
9	Cook Islands	NDA strengthening	Readiness	Ministry of Finance and Economic Management	Direct	150,000	12
10	Democratic Republic of the Congo	NDA strengthening + country programme	Readiness	CSE	Direct	300,000	24
11	Ethiopia	NDA strengthening + country programme	Readiness	Ministry of Finance and Economic Cooperation	Direct	300,000	24
12	Gabon	NDA strengthening + country programme	Readiness	CDC-Gabon	Direct	300,000	12
13	Guinea	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
14	Guyana	NDA strengthening + country programme	Readiness	Caribbean Community Climate Change Centre	Direct	300,000	12
15	Honduras (2 entities)	Entity support	Readiness	PwC	na	74,000	-
16	India	NDA strengthening + country programme	Readiness	UNDP	International	300,000	12
17	Kenya	Entity support	Readiness	PwC	na	37,000	-
18	Liberia	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
19	Mali	country programme	Readiness	Sahel Eco	Direct	41,165	6

		NDA strengthening + country programme	Readiness	Sahel Eco	Direct	300,000	24
20	Mexico	Entity support	Readiness	PwC	na	37,000	-
21	Micronesia (Federated States of) (2 entities)	NDA strengthening + country programme	Readiness	Secretariat of the Pacific Community	Direct	300,000	24
		Entity support	Readiness	PwC	na	74,000	-
22	Niue	Entity support	Readiness	PwC	na	37,000	-
23	Pakistan	NDA strengthening + country programme	Readiness	NRSP	Direct	300,000	12
		Entity support	Readiness	PwC	na	37,000	-
24	Palau	Entity support	Readiness	PwC	na	37,000	-
25	Republic of Congo	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
26	Rwanda	NDA strengthening + country programme	Readiness	Environment and Climate Change Fund	Direct	300,000	12
		Green villages	PPF	MINIRENA	Direct	1,500,000	
27	Seychelles	Entity support	Readiness	PwC	na	37,000	-
28	Swaziland	NDA strengthening + country programme	Readiness	UNDP	International	300,000	18
29	Timor-Leste	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
30	Togo	NDA strengthening + country programme	Readiness	CSE	Direct	300,000	24
31	Vanuatu	Pipeline development	Readiness	Secretariat of the Pacific Regional Environment Programme	Direct	137,000	5
32	Zambia	NDA strengthening + country programme	Readiness	Ministry of Finance	Direct	300,000	24
Total						6,691,165	

Abbreviations: CSE = Le Centre de Suivi Ecologique, GIZ = Deutsche Gesellschaft für Internationale Zusammenarbeit GmbH, na = not applicable, NDA = national designated authority, PwC = PricewaterhouseCoopers, UNDP = United Nations Development Programme, UNEP = United Nations Environment Programme.

A1.2 Readiness support approved as at November 2016

	Country	Proposal title	Readiness or PPF	Delivery partner	Access type	Amount (USD)	Duration (months)
1	Albania	NDA strengthening + country programme	Readiness	UNEP	International	300,000	24
2	Bangladesh	NDA strengthening	Readiness	GIZ	International	150,000	12
3	Benin	NDA strengthening	Readiness	UNEP	International	150,000	24
4	Chad	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
5	Chile	NDA strengthening + country programme	Readiness	Chilean International Cooperation Agency (AGCI)	Direct	300,000	12
6	Comoros	NDA strengthening + country programme	Readiness	UNEP	International	300,000	24
7	Costa Rica	NDA strengthening + country programme	Readiness	UNDP	International	300,000	12
8	Côte d'Ivoire	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
9	Djibouti	NDA strengthening + country programme	Readiness	CSE	Direct	300,000	24
10	Dominican Republic	NDA strengthening + country programme	Readiness	Fundación Reservas del País	Direct	300,000	12
11	El Salvador	NDA strengthening + country programme	Readiness	Deputy Ministry for Development Cooperation, Ministry of Foreign Affairs	Direct	300,000	18
12	Gambia	NDA strengthening + country programme	Readiness	Ministry of Finance and Economic Affairs	Direct	300,000	12
13	Georgia	NDA strengthening + country programme	Readiness	GIZ	International	300,000	24
14	Guatemala	NDA strengthening + country programme	Readiness	FAO	International	300,000	18
15	Honduras	NDA strengthening + country programme	Readiness	MiAmbiente	Direct	300,000	12
16	Kenya	NDA strengthening	Readiness	Kenya National Treasury	Direct	150,000	12
17	Kiribati	NDA strengthening + country programme	Readiness	New Zealand Ministry of Foreign Affairs and Trade	International	585,935	24

18	Kyrgyz Republic	NDA strengthening + country programme	Readiness	FAO	International	300,000	12
19	Liberia	National Adaptation Planning (NAP)	Readiness	UNDP	International	2,263,467	24
20	Mauritius	NDA strengthening + country programme	Readiness	Ministry of Finance and Economic Development	Direct	300,000	12
21	Mongolia	NDA strengthening + country programme	Readiness	Xac Bank	International	300,000	12
22	Montenegro	NDA strengthening + country programme	Readiness	UNEP	International	300,000	12
23	Morocco	NDA strengthening + country programme	Readiness	Beya Capital	Direct	300,000	12
24	Namibia	Entity Support	Readiness	EIF	Direct	391,009	12
25	Nepal	National Adaptation Planning (NAP)	Readiness	UNEP	International	2,935,350	36
26	Philippines	NDA strengthening + country programme	Readiness	Climate Change Commission	Direct	300,000	12
27	Peru	NDA strengthening + country programme	Readiness	GIZ	International	300,000	6
		Entity Support	Readiness	COFIDE	Direct	33,415	6
28	Senegal	NDA strengthening + country programme	Readiness	CSE	Direct	300,000	18
		Pipeline development	Readiness	IFC	International	600,000	12
29	Serbia	NDA strengthening + country programme	Readiness	UNEP	International	300,000	24
30	Thailand	NDA strengthening	Readiness	GIZ	International	102,000	6
31	Tonga	NDA strengthening + country programme	Readiness	Ministry of Finance and National Planning	Direct	300,000	12
32	Tunisia	NDA strengthening + country programme	Readiness	The Sahara and Sahel Observatory	Direct	300,000	24
33	United Republic of Tanzania	NDA strengthening + country programme	Readiness	Ministry of Finance and Economic Planning	Direct	300,000	12
34	Vanuatu	NDA strengthening + country programme	Readiness	GIZ	International	300,000	24
35	Zimbabwe	NDA strengthening + country programme	Readiness	UNEP	International	300,000	24
Total						15,161,171	

A1.3 Readiness and preparatory support pipeline as at November 2016

	Country	Proposal title	Readiness or PPF	Delivery partner	Access type	Amount (USD)	Duration (months)
1	Argentina	NDA strengthening + country programme	Readiness	TBD	TBD		
		Entity support	Readiness	UCAR	Direct		
2	Armenia	NDA strengthening + country programme	Readiness	Environmental Projects Implementation Unit State Institution, Ministry of Nature Protection	Direct	300,000	24
3	Bahamas	NDA strengthening + country programme	Readiness	Caribbean Community Climate Change Centre	Direct	300,000	12
4	Barbados	NDA strengthening + country programme	Readiness	Ministry of Finance and Economic Affairs	Direct	300,000	
5	Belize	NDA strengthening + country programme	Readiness	Ministry Economic Development, Petroleum, Investment, Trade and Commerce	Direct	300,000	12
6	Brazil	NDA strengthening + country programme	Readiness	IDB	International	300,000	tbc
7	Burundi	Adaptation planning - NAP	Readiness	UNDP	International		
8	Cambodia	NDA strengthening + country programme	Readiness	Ministry of Environment	Direct	275,620	20
9	Colombia	NDA strengthening + country programme	Readiness	APC	Direct	380,000	12
10	Colombia	Adaptation planning	Readiness	TBD	TBD	2,679,040	12
11	Colombia	Strategic Frameworks	Readiness	CCAP	International		
12	Cook Islands	Entity support	Readiness	TBD	TBD	340,626	6
13	Côte d'Ivoire	Entity support	Readiness	PWC	Direct	31,000	6
14	Cuba	NDA strengthening + country programme	Readiness	UNDP	International	300,000	12
15	Democratic Republic of the Congo	Adaptation planning - NAP	Readiness	UNDP	International		
16	Dominica	NDA strengthening + country programme	Readiness	UNDP	International		

17	Ecuador	NDA strengthening + country programme	Readiness	Corporación Andina de Fomento	Direct	300,000	12
		Strategic Frameworks	Readiness	TBD	TBD		
18	Eritrea	NDA strengthening + country programme	Readiness	Ministry of Land, Water and Environment	Direct	300,000	24
19	Fiji	Country programme	Readiness			150,000	tbc
20	Gabon	Adaptation planning - NAP	Readiness	Caisse des Dépôts et Consignations-Gabon	Direct		
21	Ghana	NDA strengthening + country programme	Readiness	Ministry of Finance	Direct	300,000	12
		Strategic Frameworks	Readiness	CTCN	International	233,000	14
22	Grenada	NDA strengthening + country programme	Readiness	Ministry of Economic Development, Trade, Planning, Cooperatives and International Business	Direct	300,000	9
23	Guatemala	NDA strengthening + country programme	Readiness	IUCN	International	300,000	12
		Entity support	Readiness	FCG	Direct	190,800	12
24	Haiti	NDA strengthening + country programme	Readiness	Ministry of Environment	Direct	300,000	12
25	Indonesia	NDA strengthening + country programme	Readiness	LEAD pakistan		300,000	12
26	Jamaica	NDA strengthening + country programme	Readiness	Ministry of Economic Growth and Job Creation	Direct	300,000	21
27	Jordan	NDA strengthening + country programme	Readiness			300,000	
28	Kazakhstan	NDA strengthening + country programme	Readiness	Green Academy Scientific Research and Education Center	Direct	300,000	12
29	Lao PDR	NDA strengthening + country programme	Readiness	Ministry of Natural Resources and Environment	Direct	300,000	12
30	Lesotho	Adaptation planning - NAP	Readiness	UNEP	International		
31	Liberia	Adaptation planning - NAP	Readiness	UNDP	International	2,263,467	24
32	Madagascar	NDA strengthening + country programme	Readiness	UNEP	International	300,000	12

33	Malawi	NDA strengthening + country programme	Readiness	World Bank	International	300,000	24
34	Maldives	NDA strengthening + country programme	Readiness	Ministry of Environment and Energy	Direct	300,000	12
		Adaptation planning - NAP	Readiness	UNEP	International		
35	Mauritania	NDA strengthening + country programme	Readiness	CSE	Direct	300,000	24
		Adaptation planning - NAP	Readiness	UNEP	International		
36	Mongolia	Adaptation planning - NAP	Readiness	UNEP	International		
37	Morocco	Entity Support	Readiness	ADA	Direct		
38	Mozambique	NDA strengthening + country programme	Readiness	Ministry of Land, Environment and Rural Development	Direct	300,000	18
39	Myanmar	Adaptation planning - NAP	Readiness	UNEP	International		
40	Nauru	Adaptation planning - NAP	Readiness	UNEP	International		
41	Nepal	Adaptation planning - NAP	Readiness	UNEP	International	2,935,350	36
42	Niger	Adaptation planning - NAP	Readiness	UNDP	International	2,108,346	24
43	Nigeria	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
44	Oman	NDA strengthening + country programme	Readiness			600,000	24
45	Pakistan	Adaptation planning - NAP	Readiness	UNEP	International		
46	Palau	NDA strengthening + country programme	Readiness	Ministry of Finance	Direct	300,000	12
47	Papua New Guinea	Adaptation planning - NAP	Readiness	UNEP	International		
48	Paraguay	NDA strengthening + country programme	Readiness	Corporación Andina de Fomento	Direct	300,000	12

50	Saint Vincent and the Grenadines	NDA strengthening + country programme	Readiness	Ministry of Economic Planning, Sustainable Development, Industry, Labour and Information	Direct	300,000	24
51	Samoa	Climate-resilient agricultural livelihoods	Readiness	Secretariat of the Pacific Regional Environment Programme	Direct	77,743	6
52	Seychelles	Entity support	Readiness	TBD	TBD	700,000	
		Adaptation planning - NAP	Readiness	UNEP	International		
53	Sierra Leone	NDA strengthening + country programme	Readiness	Environment Protection Agency	Direct	300,000	24
54	Solomon Islands	NDA strengthening + country programme	Readiness	Ministry of Environment, Climate Change, Disaster Management and Meteorology	Direct	300,000	24
55	Sri Lanka	Adaptation planning - NAP	Readiness	UNEP	International		
56	Swaziland	Adaptation planning - NAP	Readiness	UNEP	International		
57	Uganda	NDA strengthening	Readiness	Ministry of Finance, Planning and Economic Development	Direct	150,000	24
58	Vanuatu	Strategic Frameworks	Readiness	GGGI	International		
		Adaptation planning - NAP	Readiness	UNEP	International		
59	Vietnam	NDA strengthening + country programme	Readiness	UNDP	International	300,000	12
60	Zimbabwe	Adaptation planning - NAP	Readiness	UNEP	International		
Total						21,814,992	

Abbreviations: CSE = Le Centre de Suivi Ecologique, GIZ = Deutsche Gesellschaft für Internationale Zusammenarbeit GmbH, na = not applicable, NDA = national designated authority, PwC = PricewaterhouseCoopers, UNDP = United Nations Development Programme, UNEP = United Nations Environment Programme.

Annex II: Expected results from the readiness resources disbursed under activity areas 1 and 2

Country (USD disbursed)	List of Selected Outputs	Due
Antigua and Barbuda Ministry of Health and Environment (USD 120,000)	<ul style="list-style-type: none"> Internal processes for project management, reporting and participatory project identification improved; Knowledge management, communication and public awareness improved Country programme developed 	May 2017
Bangladesh UNDP (USD 150,000)	<ul style="list-style-type: none"> Country programme developed through a stakeholder engagement process, and including programming priorities and programme/project concepts. 	October 2017
Bolivia Ministry of Development Planning (USD 60,000)	<ul style="list-style-type: none"> Strengthening of the NDA capacity on knowledge management, procedures and systems regarding GCF operations Country Programme prepared 	October 2017
Central African Republic UNDP (USD 150,000)	<ul style="list-style-type: none"> Multi-party training, workshops and consultations; Assessment of mitigation and adaptation priorities; Identification of roles of prospective public and private sector entities that may be accredited by the Fund. Country programme prepared. 	Apr 2018
Cook Islands Ministry of Finance and Economic Management (USD 75,000)	<ul style="list-style-type: none"> Online materials on the nomination of direct access entities and a no-objection procedure developed; and Assessment of the country's priorities in line with the principles of the GCF, identified through stakeholder consultations 	Jan 2017 (Mid-term report received)
Democratic Republic of Congo Le Centre de Suivi Ecologique (USD 120,000)	<ul style="list-style-type: none"> Coordination process among stakeholders improved; and Country programme prepared 	February 2018
Ethiopia Ministry of Finance and Economic Cooperation (USD 120,000)	<ul style="list-style-type: none"> Coordination process among stakeholders established; Training provided to NDA on the operations of the GCF; Communication plan prepared; and Country programme prepared 	September 2017
Guinea UNDP (USD 300,000)	<ul style="list-style-type: none"> Improved capacity to coordinate and consult with stakeholders; Assessment of country's mitigation and adaptation priorities; Identification of roles of prospective public and private sector entities that may be nominated for accreditation by the GCF; Country programme prepared. 	October 2017
Guyana Caribbean Community Climate Change Centre (USD 120,000)	<ul style="list-style-type: none"> Capacity to coordinate across stakeholders and facilitate effective consultation and communication Establishment of system for reviewing proposals and issuing no-objections 	March 2017

	<ul style="list-style-type: none"> • Capacity and systems to monitor, evaluate and report on the activities of the Fund • Priorities for project and concepts determined • Country programme prepared 	
India UNDP (USD 150,000)	<ul style="list-style-type: none"> • Designated NDA and institutional arrangements strengthened and supported for engagement with the Fund; • Country programme developed. 	April 2018
Liberia UNDP (USD 150,000)	<ul style="list-style-type: none"> • Strengthened capacities of NDA; • Country programme developed. 	April 2018
Federated States of Micronesia Secretariat of the Pacific Community (USD 120,000)	<ul style="list-style-type: none"> • Coordination process among stakeholders improved; • Country programme prepared 	December 2017
Mali Sahel Eco (USD 141,000)	<ul style="list-style-type: none"> • Workshop on country programming priorities 	Completed April 2015
	<ul style="list-style-type: none"> • Country programme prepared; and • NDA capacity for project prioritization improved 	Interim report expected by end Nov 2016
Republic of Congo UNDP (USD 150,000)	<ul style="list-style-type: none"> • Strengthened capacities of the NDA; • Increased awareness of relevant stakeholders about the GCF's opportunities and procedures; • Country programme developed. 	April 2018
Rwanda Environment and Climate Change Fund (USD 120,000)	<ul style="list-style-type: none"> • Country programme prepared; • Process for supporting coordination across stakeholders established; and • A no-objection procedure established 	Due December 2016
Swaziland UNDP (USD 150,000)	<ul style="list-style-type: none"> • Establishment of an articulated framework for engagement with the Fund; • Integration of a gender perspective in the identification of transformational investment opportunities; • Country programme developed. 	April 2018
Timor Leste UNDP (USD 150,000)	<ul style="list-style-type: none"> • Strengthened institutional capacities of the NDA; • Country programme developed. 	April 2018
Togo CSE (USD 100,000)	<ul style="list-style-type: none"> • Outreach and information tools on the GCF developed; • Potential implementing entities and project sponsors encouraged and prepared to submit projects to the GCF; • Establishment of a no objection procedure. • Country programme developed. 	August 2018
Zambia Ministry of Finance (USD 60,000)	<ul style="list-style-type: none"> • Country programme developed; and • NDA capacity to assess projects or programmes for GCF financing improved 	October 2017

Abbreviation: NDA = national designated authority.

Annex III: Countries whose national designated authorities/focal points nominated entities that are receiving in-kind accreditation support as at November 2016

Asia and the Pacific	Africa	Latin America and the Caribbean
Bangladesh (4)	Benin	Argentina (2)
Cambodia	Ethiopia	Belize
China	Kenya (3)	Brazil (2)
Fiji (2)	Mauritius	Bolivia (Plurinational State of)
India (4)	Morocco (3)	Colombia (3)
Indonesia (4)	Namibia (2)	El Salvador
Jordan	Rwanda	Guatemala
Marshall Islands	Seychelles (2)	Haiti
Micronesia (Federated States of) (2)	Senegal	Honduras (2)
Mongolia	South Africa (2)	Mexico
Republic of Palau	Tunisia (4)	Panama
Niue	Mali	Peru (2)
Pakistan (4)		
Viet Nam		
Philippines		
Bhutan		

Note: Numbers in parentheses are the number of entities.