



**GREEN  
CLIMATE  
FUND**

**Meeting of the Board**  
2 – 5 November 2015  
Livingstone, Republic of Zambia  
Provisional Agenda Item 8\*

**GCF/B.11/02**

19 October 2015

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# Workplan for meetings of the Board in 2016, including outstanding items from previous meetings

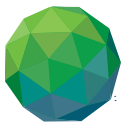
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## Summary

This document proposes a workplan for meetings of the Board in 2016. The proposed workplan is composed of tentative lists of items for consideration by the Board at its twelfth, thirteenth and fourteenth meetings. The items address previous Board decisions, including items outstanding from previous years; respond to guidance to the GCF provided by the Conference of the Parties to the United Nations Framework Convention on Climate Change; consider inputs received from Board members, and build on the Governing Instrument for the GCF.

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\* The agenda item number will be determined when the final sequence of items in the provisional agenda is confirmed by the Co-Chairs.



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## **I. Introduction**

1. The workplan for meetings of the Board for 2016 as outlined in annex II proposes tentative lists of items for consideration by the Board at its twelfth, thirteenth and fourteenth meetings. The items address previous Board decisions, including items outstanding from previous years; respond to guidance to the GCF from the Conference of the Parties to the United Nations Framework Convention on Climate Change; consider inputs received from Board members, and continue to build on the Governing Instrument for the GCF. In line with earlier Board decisions, the appended proposal also includes items proposed to be addressed between the eleventh and twelfth meetings of the Board.
2. Having such a workplan in place has benefits from both policy and operational perspectives in that it will promote objective-driven work and allow for the planning of resources well in advance in order to support of the work of the Board and the GCF for the year.
3. The items proposed for consideration by the Board at each of its meetings in 2016 are listed under the following broad categories: accreditation, country ownership and readiness, the proposal approval process and investment framework, cross-cutting matters and institutional matters.

## **II. Linkage with other Board documents**

4. Documents GCF/B.11/Inf. 07 titled “Strategic plan for the Green Climate Fund (progress report)” and GCF B.11/Inf.08 titled “Strategy on accreditation (progress report)”, also prepared for consideration by the Board at its eleventh meeting, are closely related to the proposed workplan for meetings of the Board in 2016 and should be read in conjunction with it. The workplan could serve as an instrument to operationalize such longer-term planning documents.

## **III. Context of the workplan for 2016**

5. The workplan for 2016 provides a tentative list of items, sequenced with an aim to ensure that all Board decisions and related mandates are addressed in a coordinated manner. The lists were developed in recognition of the need to keep a manageable number of agenda items per Board meeting, while achieving steady progress. Having the workplan will also assist the GCF in the better planning of resources towards the achievement of the goals of the GCF in its operative phase.

## **IV. Possible action by the Board**

6. The Board is invited to consider the proposed workplan for the meetings of the Board in 2016 as laid out in annex II, and to adopt it through the draft decision proposed in annex I, noting that the Board will, at the beginning of each meeting, adopt the agenda for the meeting, in accordance with paragraph 20 of the Rules of Procedure of the Board.

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## Annex I: Draft decision of the Board

The Board, having considered document GCF/B.11/02 titled “Workplan for meetings of the Board in 2016, including outstanding items from previous meetings”:

- (a) Approves the workplan for 2016 as set out in annex II, as a tentative list of items to be considered at the twelfth, thirteenth and fourteenth meetings of the Board, noting that the lists may be adjusted on a meeting-by-meeting basis and that the Board will, at the beginning of each meeting, adopt the agenda for the meeting, in accordance with paragraph 20 of the Rules of Procedure of the Board;
- (b) Agrees that mandates emanating from decisions taken at meetings of the Board in 2016 which require consideration by the Board in the same year shall be added to the agenda of the relevant meetings;
- (c) Also agrees that items outstanding from the eleventh meeting of the Board shall be included in the provisional agendas for subsequent meetings of the Board as deemed appropriate; and
- (d) Acknowledges the need to keep a manageable number of agenda items per meeting, in order to facilitate the proceedings of the meeting and support the decision-making process.

## Annex II: Workplan for 2016

### I. Items for consideration by the Board between its eleventh and twelfth meetings

1. The items set out below are proposed for consideration by the Board between its eleventh and twelfth meetings.

#### 1.1 Institutional matters

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| 1. Performance criteria and measurement procedure for the Heads of the Accountability Units | “Requests the Appointment Committee to develop the performance criteria and measurement procedure for approval by the Board via an in-between meetings decision before the twelfth meeting of the Board”<br><i>Decision B.10/05, paragraph (j)</i>   |
| 2. Appointment of two members of the independent Technical Advisory Panel                   | “Also reaffirms that the Panel will comprise six members as per the terms of reference approved in decision B.09/10, paragraph (a), and that the remaining two Panel members will be selected before the twelfth meeting of the Board with due consideration to the United Nations Framework Convention on Climate Change (UNFCCC) roster of experts and to the geographic and specialty coverage, as appropriate”<br><i>Decision B.10/09, paragraph (c)</i> |
| 3. Accreditation of observer organizations  | “Observer organisations that wish to participate in the activities of the Fund shall apply for observer status with the Fund...”<br><i>Decision B.01-13/03, annex XII, paragraph 6 (document GCF/B.01-13/12, annex XII, para. 6)</i>   |

## II. Items for consideration by the Board at its twelfth meeting<sup>1</sup>

2. The items set out below are proposed for consideration by the Board at its twelfth meeting.

### 2.1 Accreditation

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| <p>1. Consideration of accreditation proposals</p> <p><i>See UNFCCC decision 7/CP.20, paragraph 13</i></p>                      | <p>“The Fund will accept and review [accreditation] applications on a rolling basis”</p> <p><i>Decision B.07/02, annex I, paragraph 24 (document GCF/B.07/11, annex I, para. 24)</i></p>  |
| <p>2. Strategy on accreditation</p>   | <p>“Requests the Accreditation Committee with the support of the Secretariat to work on a strategy on accreditation for consideration by the Board at its eleventh meeting. The report should examine issues including efficiency, fairness and transparency of the accreditation process, as well as the extent to which current and future accredited entities enable the Fund to fulfil its mandate”</p> <p><i>Decision B.10/06, paragraph (r)</i></p> <p>“Requests the Secretariat to prepare a document on additional guidelines of the Fund with regard to non-multilateral international entities, in particular on their role with respect to the Fund’s objectives and modalities, and following a country-driven approach, for consideration by the Board at its ninth meeting”</p> <p><i>Decision B.08/02, paragraph (f)</i></p> |
| <p>3. Report on the implementation of legal and formal arrangements with accredited entities</p> <p><i>Informational</i></p>    | <p>“Requests the Secretariat to inform the Board regularly on progress made in the implementation of this decision”</p> <p><i>Decision B.09/08, paragraph (c)</i></p>   |
| <p>4. Progress report on the pilot phase for enhancing direct access</p> <p><i>See UNFCCC decision 7/CP.20, paragraph 8</i></p> | <p>“Further requests the Secretariat to report back to the Board on the progress made on this request for proposal at the twelfth meeting of the Board”</p> <p><i>Decision B.10/04, paragraph (f)</i></p>   |

### 2.2 Country ownership and readiness

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| <p>5. Implementation of the Readiness and Preparatory Support Programme (progress report)</p> | <p>“Requests the Executive Director to report to the Board twice a year on:</p> <ul style="list-style-type: none"> <li>(i) Activities undertaken, including joint activities with existing initiatives;</li> <li>(ii) The progress of committing and disbursing available funds”</li> </ul> <p><i>Decision B.06/11, paragraph (f)</i></p> |
|---|---|

<sup>1</sup> Please see document GCF/B.11/16 titled “Date and venue of the next meeting of the Board of the Green Climate Fund”.

“Decides that this interim readiness funding allocation system will be reviewed in 2016, taking into consideration the bi-annual reporting referred to in paragraph (c)”

*Decision B.08/11, paragraph (h)*

“Decides that, after reviewing the bi-annual report referred to in paragraph (c) above, the Board may authorize the allocation of additional funds for the execution of additional approved readiness and preparatory support activities”

*Decision B.08/11, paragraph (u)*

6. Country ownership guidelines

“Requests the Secretariat to prepare a proposal of guidelines and drawing upon learning experiences and best practices across NDAs/FPs in order to address the aspects outlined in paragraph (c) above for consideration by the Board at its twelfth meeting”

*Decision B.10/10, paragraph (d)*

## 2.3 Proposal approval process and investment framework

7. Consideration of funding proposals

*See UNFCCC decision 7/CP.20, paragraph 7*

“Decides that the Board will aim to start taking decisions on programme and project proposals no later than its third meeting [in] 2015”

*Decision B.08/07, paragraph (k)*

8. Further development of the initial post-approval items for programme and project funding

“Takes note of the initial post-approval items contained in Annex VIII and requests the Secretariat to further develop them for further consideration by the Board at its ninth meeting”

*Decision B.07/03, paragraph (c)*

9. Further development of some indicators in the performance measurement frameworks

“Requests the Secretariat to further develop those indicators that need refinement for Board consideration; Takes note that the Secretariat will further develop methodologies for the indicators in consultation with the relevant experts and thematic bodies and will refine them as necessary based on experience gained and lessons learned over time;

Takes note that the Secretariat will further develop the PMF’s gender-sensitive approach;”

*Decision B.08/07, paragraphs (b–d)*

## 2.4 Cross-cutting matters

10. Strategic plan for the GCF

“The Board...invites members of the Board to send inputs to the Secretariat by 31 July 2015, in order for the Secretariat to produce a progress report on the strategic plan for consideration by the Board at its eleventh meeting”

*Decision B.10/14*

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11. Level of concessional terms for the public sector  
 “Requests the Risk Management Committee, with the support of the Secretariat, to present a revised document on this matter for the Board’s consideration at its twelfth meeting”  
*Decision B.10/03*
12. Setting the initial risk appetite of the GCF  
*See UNFCCC decision 7/CP.20, paragraph 10*  
 “Also requests the Secretariat, in consultation with the Risk Management Committee, to develop and run scenarios, for the eleventh Board meeting, based on the adopted risk categories and subcategories, for consideration by the Board in the establishment of priorities, targets, tolerances and limits for the different risk categories and subcategories, as outlined in Annex XXIV to this document and to specify the risks to be addressed by the Fund and other actors such as accredited entities”  
*Decision B.10/08, paragraph (d)*
13. Private Sector Facility: terms of reference for a request for proposals for entities to:  
 (a) Manage the micro-, small- and medium-sized enterprise pilot programmes; and  
 (b) Mobilize resources at scale  
*See UNFCCC decision 7/CP.20, paragraph 9*  
 “Requests the Secretariat to submit for the Board’s consideration at its eleventh meeting the request for proposals for the SME programme and an outline of activities that could be undertaken to mobilize resources at scale”  
*Decision B.09/09, paragraph (h)*  
 “Requests the Secretariat, in the implementation of decision B.09/09, paragraph (h), taking note of the Private Sector Advisory Group (PSAG) recommendations as contained in document GCF/B.10/16, to present for the Board’s consideration by no later than the twelfth meeting of the Board the terms of reference for a request for proposal (RFP) for entities to manage the MSME pilot programmes, and the terms of reference for an RFP for entities to mobilize resources at scale, with an aim of launching both RFPs in 2016”  
*Decision B.10/11, paragraph (d)*
14. Review of the gender policy and action plan of the GCF  
 “Also requests the Secretariat’s Gender and Social Development Specialist to conduct a review of the gender policy and action plan, in consultation with the civil society organizations accredited with the Fund, and to submit an updated version of both by the twelfth meeting of the Board. Members of the Board are invited to submit their comments or additional proposals regarding the current policy and action plan by the tenth meeting of the Board”  
*Decision B.09/11, paragraph (d)*



## 2.5 Institutional matters

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| 15. Opening of the meeting   | <i>Procedural matter</i>   |
| 16. Adoption of the agenda and organization of work  | <i>Procedural matter</i>   |
| 17. Adoption of the report of the previous meeting   | <i>Procedural matter</i>   |
| 18. Report on activities of the Co-Chairs  | <i>Written report</i>  |
| <i>Informational</i>   |  |
| 19. Report on activities of the Secretariat  | <i>Written report</i>  |
| <i>Informational</i>   | <p><i>Also to address among others the following:</i></p> <p>“Requests the Secretariat to report at each meeting of the Board as part of the Secretariat’s activities on any agreements or other appropriate arrangements [on privileges and immunities] which have been concluded or implemented“</p> <p><i>Decision B.10/12, paragraph (c)</i></p> <p><i>The initial financial risk management framework entails production of quarterly financial risk management summaries (See decision B.07/05, annex X, paragraph 3 (document GCF/B.07/11, annex XI, section II))</i></p> |
| 20. Reports from committees and panels   | <i>Written report</i>  |
| <i>Informational</i>   |  |
| 21. Appointment of the Heads of the Accountability Units   | <p>“Endorses the selection process, as set out in Annex II to this document;</p> <p>Takes note of the indicative timeline encompassing the entire selection process, as set out in Annex III to this document”</p> <p><i>Decision B.10/05, paragraphs (a) and (b)</i></p>  |
| 22. Term of Board membership   | <p>“Requests the Secretariat to further consider the possibility of aligning the term of Board membership to the calendar year and to report back to the Board at its twelfth session”</p> <p><i>Decision B.10/16, paragraph (b)</i></p>   |
| 23. Trustee arrangements, including the review of the Interim Trustee and the initiation of a process to select the Trustee of the GCF | <p>“Decides to invite the World Bank to continue serving as the Interim Trustee until a permanent Trustee is appointed. The process to appoint the permanent Trustee should end no later than the end of 2017, to enable the permanent Trustee to commence its contractual agreement with the Fund no later than April 2018”</p> <p><i>Decision B.08/22, paragraph (a)</i></p>   |
| 24. Information disclosure: review of the webcasting approach of recordings of meetings of the Board                                   | <p>“Decided that full, non-executive sessions of the Board will be subject to recording, and those recordings will be made available on the website of the Fund, through</p>   |

registration only, within three weeks of each Board meeting, and to review the matter in light of experience gained and the usage statistics of the service”

*Decision B.04/10, paragraph (d)*

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| 25. Status of the Initial Resource Mobilization process                | <i>Informational matter</i> |
| <i>UNFCCC decision 7/CP.20, paragraph 5</i>                            |                             |
| 26. Status of resources and the execution of the administrative budget | <i>Informational matter</i> |
| 27. Date of the following meeting of the Board                         | <i>Procedural matter</i>    |
| 28. Other matters  | <i>Procedural matter</i>    |
| 29. Report of the meeting  | <i>Procedural matter</i>    |
| 30. Closure of the meeting   | <i>Procedural matter</i>    |

### III. Thirteenth meeting of the Board<sup>2</sup>

3. The items set out below are proposed for consideration at the thirteenth meeting of the Board.

#### 3.1 Accreditation

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| 1. Consideration of accreditation proposals<br><i>See UNFCCC decision 7/CP.20, paragraph 13</i>                            | “The Fund will accept and review [accreditation] applications on a rolling basis”<br><i>Decision B.07/02, annex I, paragraph 24</i>  |
| 2. Recommendations for further accrediting national, regional and private sector entities                                  | “Requests the Secretariat, in consultation with the Accreditation Panel, to provide recommendations on their [those institutions with a track record of engaging with the private sector, in particular those in areas that are relevant to the Fund’s objectives] potential accreditation or fast-tracking for decision by the Board at its first meeting in 2015”<br><i>Decision B.08/05, paragraph (d)</i><br><br>“Requests the Accreditation Committee and the PSAG to present further recommendations on possible measures to support the accreditation of entities with solid track records in supporting MSMEs and in mobilizing resources at scale for the Board’s consideration by no later than its twelfth meeting”<br><i>Decision B.10/11, paragraph (h)</i> |
| 3. Report on the implementation of legal and formal arrangements with accredited entities<br><br><i>Informational item</i> | “Requests the Secretariat to inform the Board regularly on progress made in the implementation of this decision.”<br><br><i>Decision B.09/08, paragraph (c)</i>  |

#### 3.2 Country ownership and readiness

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| 4. Implementation of the Readiness and Preparatory Support Programme (progress report)<br><br><i>Informational</i> | “Requests the Executive Director to report to the Board twice a year on:<br><br>(i) Activities undertaken, including joint activities with existing initiatives;<br><br>(ii) The progress of committing and disbursing available funds”<br><br><i>Decision B.06/11, paragraph (f)</i> |
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<sup>2</sup> As footnote 1 above.

### 3.3 Proposal approval process and investment framework

5. Consideration of funding proposals  
*See UNFCCC decision 7/CP.20, paragraph 7*
- “Decides that the Board will aim to start taking decisions on programme and project proposals no later than its third meeting 2015”  
*Decision B.08/07, paragraph (k)*
6. Consideration of the pilot phase enhancing direct access funding proposals  
*See UNFCCC decision 7/CP.20, paragraph 8*
- “Requests the Secretariat to publish the pilot proposals on the Fund’s website at least 21 calendar days before the first day of the meeting at which they will be presented to the Board for approval;  
Also requests the Secretariat and the independent Technical Advisory Panel to undertake the assessment of pilot proposals received in response to a request for proposal from countries in accordance with the Fund’s initial proposal approval process, and the initial investment framework and to provide recommendations on pilots to be approved with the initial aim of providing up to US\$ 200 million for at least 10 pilots, including at least four pilots to be implemented in small island developing States, the least developed countries and African States”  
*Decision B.10/04, paragraphs (d) and (e)*
7. Initial investment framework: indicative minimum benchmarks
- “Requests the Secretariat to present for consideration by the Board at its thirteenth meeting indicative minimum benchmarks in order to:
- (i) Encourage ambition; and
  - (ii) Take into account the needs of those developing countries particularly vulnerable to the adverse effects of climate change, in particular the least developed countries (LDCs), small island developing States (SIDS), and African States, according to project size, mitigation/adaptation, and local and sector circumstances”
- Decision B.09/05, paragraph (d)*
8. Further development of the initial proposal approval process:
- (a) Proposal development funds;
  - (b) Early endorsement prior to full proposal development
- “Notes convergence on the need to consider: proposal development funds; early endorsement prior to full proposal development; the initial proposal approval process for regional programmes and projects; and a policy for cancellation and termination”  
*Decision B.07/03, paragraph (e)*

### 3.4 Cross-cutting matters

9. Understanding and defining the alternative sources of financial inputs to the GCF
- “Decides that the Fund will receive grants from public and private sources, and paid-in capital contributions and concessional loans from public sources, and may receive additional types of inputs at a later stage to be decided by the Board

...

- Requests the Secretariat to prepare a document for understanding and defining alternative sources of financial inputs to the Fund for consideration by the Board at its second meeting in 2014”
- Decision B.05/04, paragraphs (d) and (f)*
10. Policies and procedures for contributions from philanthropic foundations, and other non-public and alternative sources
- “The policies and procedures for contributions from philanthropic foundations, and other non-public and alternative sources should be developed by the Secretariat for consideration by the Board as part of its work program in early 2015”
- See UNFCCC decision 6/CP.18, paragraph 7, and UNFCCC decision 7/CP.20, paragraph 10*
- Decision B.08/13, annex XIX, paragraph 7 (document GCF/B.08/45, annex XIX, para, 7)*

### 3.5 Institutional matters

11. Opening of the meeting *Procedural matter*
12. Adoption of the agenda and organization of work *Procedural matter*
13. Adoption of the report of the previous meeting *Procedural matter*
14. Report on activities of the Co-Chairs *Written report*
- Informational*
15. Report on activities of the Secretariat *Written report*
- Informational*
- Also to address among others the following:*
- “Requests the Secretariat to report at each meeting of the Board as part of the Secretariat’s activities on any agreements or other appropriate arrangements [on privileges and immunities] which have been concluded or implemented”
- Decision B.10/12, paragraph (c)*
- The initial financial risk management framework entails production of quarterly financial risk management summaries (decision B.07/05 (b), annex XI, section II))*
16. Reports from committees and panels *Written report*
- Informational*
17. Policies addressing fraud, corruption and other prohibited practices, and policies addressing anti-money laundering and countering the financing of terrorism
- “Also requests the Secretariat to present relevant policies addressing fraud, corruption and other prohibited practices, and policies addressing anti-money laundering and countering the financing of terrorism issues, taking into account relevant Board decisions and international best practices and standards for inclusion in the Fund’s fiduciary principles and standards adopted in decision B.07/02, for consideration by the Board no later than its eleventh meeting”

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- Decision B.10/06, paragraph (n)*
18. Engagement with thematic bodies under the Convention, climate funds and other relevant bodies
- See UNFCCC decision 6/CP.18, paragraph 7(f), and decision 3/CP.17, paragraph 17*
- “The Board will develop methods to enhance complementarity between the activities of the Fund and the activities of other relevant bilateral, regional and global funding mechanisms and institutions, to better mobilize the full range of financial and technical capacities. The Fund will promote coherence in programming at the national level through appropriate mechanisms. The Fund will also initiate discussions on coherence in climate finance delivery with other relevant multilateral entities”
- Governing Instrument for the GCF, paragraph 34*
- “In carrying out its functions the Board will develop mechanisms to draw on appropriate expert and technical advice, including from the relevant thematic bodies established under the Convention, as appropriate”
- Governing Instrument, paragraph 70*
- “Agreed to consider, at its first meeting in 2014, mechanisms to draw on appropriate expert and technical advice, including independent scientific and technical advice and from the other relevant thematic bodies, for the Board”
- Decision B.04/09, paragraph (d)*
19. Guidelines for decisions taken between meetings, including regarding funding proposals
- “The Board will adopt guidelines to determine in which cases decisions without a Board meeting may be requested”
- Rules of Procedure of the Board, paragraph 42*
- “Guidelines for decision-making regarding funding proposals, including for decisions in-between meetings, will be defined by the Board”
- Decision B.07/03, annex VII, footnote 3 (document GCF/B.07/11, annex VII, footnote 3)*
20. Decision-making procedures for the Board in the absence of consensus
- “Requests the Secretariat to develop options for procedures for adopting decisions in the event all efforts at reaching consensus have been exhausted for consideration by the Board at its first meeting in 2015”
- Decision B.08/14, paragraph (b)*
21. Participation of observers
- See decision 7/CP.20, paragraph 17, and decision 9/CP.20, annex, paragraph 14*
- “Requests the Secretariat to prepare a document on the participation of observers in Board meetings for the consideration by the Board at its first meeting in 2014.”
- Decision B.05/23, paragraph (b)*
22. Report to the Conference of the Parties to the United Nations Framework Convention on Climate Change
- “The Board will...submit annual reports to the COP for its consideration and receive further guidance”
- Governing Instrument, paragraph 6(c)*

	<i>Annually</i>
	<i>See decision 6/CP.18, paragraph 15, and decision 7/CP.20, paragraph 23</i>
23. Administrative guidelines on information and communications technology	<i>The Board adopted the Administrative policies of the GCF, to be supplemented by administrative guidelines, those on information and communications technology (decision B.06/03 and annex I to decision B.06/03 (annex I to document GCF/B.06/18))</i>
24. Travel policy	<p>“Requested the Interim Secretariat to present to it at its September 2013 meeting a working document setting out a draft travel policy for the Fund, for approval by the Board, to be applied for funding the travel of Board members, alternate members, advisers, active observers and staff of the independent Secretariat”</p> <p><i>Decision B.01-13/02, paragraph (e)</i></p>
25. Status of the Initial Resource Mobilization process	<i>Informational matter</i>
	<i>UNFCCC decision 7/CP.20, paragraph 15</i>
26. Status of resources and the execution of the administrative budget	<i>Informational matter</i>
27. Annual report and audited financial statements	<i>Annually</i>
28. Date and venue of the following meeting of the Board	<i>Procedural matter</i>
29. Other matters	<i>Procedural matter</i>
30. Report of the meeting	<i>Procedural matter</i>
31. Closure of the meeting	<i>Procedural matter</i>

## IV. Fourteenth meeting of the Board<sup>3</sup>

4. The items set out below are proposed for consideration at the fourteenth meeting of the Board.

### 4.1 Accreditation

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| <p>1. Consideration of accreditation proposals</p> <p><i>See UNFCCC decision 7/CP.20, paragraph 13</i></p>                        | <p>“The Fund will accept and review [accreditation] applications on a rolling basis”</p> <p><i>Decision B.07/02, annex I, paragraph 24</i></p> <p>“Requests the Accreditation Panel, in collaboration with the Accreditation Committee and the Secretariat, to report annually to the Board on the status of applications for accreditation, identifying and analyzing barriers faced by applicants in meeting the requirements”</p> <p><i>Decision B.07/02, paragraph (o)</i></p>                  |
| <p>2. Report on the implementation of legal and formal arrangements with accredited entities</p> <p><i>Informational item</i></p> | <p>“Requests the Secretariat to inform the Board regularly on progress made in the implementation of this decision”</p> <p><i>Decision B.09/08, paragraph (c)</i></p>   |
| <p>3. The environmental and social management system of the GCF</p>   | <p>“Further requests the Secretariat, in consultation with the Accreditation Committee and the Accreditation Panel as needed, to develop an environmental and social management system for the Fund, based on the outline contained in Annex VI, which will include guidelines on the categorization of projects by IEs and intermediaries according to the level of environmental and social risk and in accordance with the Fund’s interim ESS”</p> <p><i>Decision B.07/02, paragraph (n)</i></p> |

### 4.2 Country ownership and readiness

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|---|---|
| <p>4. Implementation of the Readiness and Preparatory Support Programme (progress report)</p> <p><i>Informational</i></p> | <p>“Requests the Executive Director to report to the Board twice a year on:</p> <p>Activities undertaken, including joint activities with existing initiatives;</p> <p>The progress of committing and disbursing available funds”</p> <p><i>Decision B.06/11, paragraph (f)</i></p> |
| <p>5. Terms of reference for the independent evaluation of the Readiness and Preparatory Support Programme</p>            | <p>“Decides that progress in meeting these objectives will be subject to an independent evaluation after two years to assess lessons learned”</p>   |

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<sup>3</sup> As footnote 1 above.



*Decision B.08/11, paragraph (j)*

6. Recommendations for the deployment of readiness funding aimed at fostering private sector engagement
- “Requests the Private Sector Advisory Group to provide recommendations for the deployment of readiness funding aimed at fostering private sector engagement in climate change financing and activities”

*Decision B.08/11, paragraph (n)*

### 4.3 Proposal approval process and investment framework

7. Consideration of funding proposals
- See UNFCCC decision 7/CP.20, paragraph 7*
- “Decides that the Board will aim to start taking decisions on programme and project proposals no later than its third meeting 2015”
- Decision B.08/07, paragraph (k)*
8. Consideration of the pilot phase enhancing direct access funding proposals
- See UNFCCC decision 7/CP.20, paragraph 8*
- “Requests the Secretariat to publish the pilot proposals on the Fund’s website at least 21 calendar days before the first day of the meeting at which they will be presented to the Board for approval;
- Also requests the Secretariat and the independent Technical Advisory Panel to undertake the assessment of pilot proposals received in response to a request for proposal from countries in accordance with the Fund’s initial proposal approval process, and the initial investment framework and to provide recommendations on pilots to be approved with the initial aim of providing up to US\$ 200 million for at least 10 pilots, including at least four pilots to be implemented in small island developing States, the least developed countries and African States”
- Decision B.10/04, paragraphs (d) and (e)*
9. Further development of the initial proposal approval process:
- (a) The initial proposal approval process for regional programmes and projects; and
- (b) Policy for cancellation and termination
- “Notes convergence on the need to consider: proposal development funds; early endorsement prior to full proposal development; the initial proposal approval process for regional programmes and projects; and a policy for cancellation and termination”
- Decision B.07/03, paragraph (e)*
10. Annual review of the scaling pilot
- “Requests the Secretariat to review the scaling pilot on an annual basis and to communicate its findings to the Investment Committee and to the Board”
- Decision B.10/17, paragraph (e)*
11. Review of the effectiveness of the independent Technical Advisory Panel
- “Decides that the Secretariat, in consultation with the Investment Committee, will conduct a review of the independent Technical Advisory Panel’s effectiveness to be provided to the Board for consideration at its fourteenth meeting”
- Decision B.10/09, paragraph (d)*
- “The Secretariat should, in line with the Panel’s terms of reference, establish a dedicated roster of experts for the Panel in major mitigation and adaptation areas

- over time, and to report on progress at the fourteenth meeting of the Board“
- Decision B.10/09, paragraph (e)(ii)*
12. Status of portfolio versus allocation parameters
- “Requests the Secretariat to report annually on the status of resources in respect of the allocation parameters”
- Decision B.06/06, paragraph (b)*
- See UNFCCC decisions  
5/CP.19, annex, paragraph 14,  
4/CP.19, paragraph 9(a),  
6/CP.18, paragraph 7(b),  
3/CP.17, paragraph 8*

*Informational*

#### 4.4 Cross-cutting matters

13. Annual review of the financial terms and conditions of the instruments of the GCF
- “Decides to review the financial terms and conditions of the Fund’s instruments on an annual basis”
- Decision B.09/04, paragraph (h)*
14. Annual risk report and review of the risk dashboard
- “Adopts the Fund’s initial financial risk management framework as contained in Annex XI and Annex XIII to this document”
- Decision B.07/05, paragraph (b)*
- The annual risk report will inform the Board on the implementation of the initial risk management framework referred to in the above-mentioned decision*
- “Further requests the Secretariat, in consultation with the Risk Management Committee, to undertake a review of the risk dashboard by the third Board meeting of 2016“
- Decision B.10/08, paragraph (e)*

#### 4.5 Institutional matters

15. Opening of the meeting
- Procedural matter*
16. Adoption of the agenda and organization of the work
- Procedural matter*
17. Adoption of the report of the previous meeting
- Procedural matter*
18. Report on activities of the Co-Chairs
- Written report*
- Informational*
19. Report on activities of the Secretariat
- Written report*
- Also to address among others the following:*
- “Requests the Secretariat to report at each meeting of the Board as part of the Secretariat’s activities on any agreements or other appropriate arrangements [on

	privileges and immunities] which have been concluded or implemented“
	<i>Decision B.10/12, paragraph (c):</i>
	<i>The initial financial risk management framework entails production of quarterly financial risk management summaries (decision B.07/05, annex XI, section II)</i>
	<i>“Requests the Secretariat to produce a regular update report to the Board on PSF activities“</i>
	<i>Decision B.09/09, paragraph (e)</i>
20. Reports from committees and panels	<i>Written report</i>
	<i>Informational</i>
21. Guidelines and procedures governing the work of the independent Redress Mechanism	<i>“The detailed guidelines and procedures governing the work of the IRM will be approved by the Board“</i>
	<i>Decision B.06/09, annex V, paragraph 14 (document GCF/B.06/18, annex V, para. 14)</i>
22. Adoption of the evaluation policy	<i>“The Board will review and approve the evaluation policy, three year-rolling evaluation work plans, the annual work programme and budget. It will also review, on an annual basis, the progress in the implementation of IEU recommendations“</i>
	<i>Decision B.06/09, annex III, paragraph 5</i>
23. Status of the Initial Resource Mobilization process	<i>Informational matter</i>
	<i>UNFCCC decision 7/CP.20, paragraph 5</i>
24. Status of resources and the execution of the administrative budget	<i>Informational matter</i>
25. Annual update of the structure and staffing of the Secretariat	<i>Informational matter</i>
26. Administrative budget for 2017–2019	<i>Annually</i>
	<i>The budget for the accountability units is to be considered under this item as it forms part of the administrative budget of the GCF</i>
27. Workplan for 2017	<i>Annually</i>
28. Election of the Co-Chairs	<i>Governing Instrument, paragraph 13</i>
	<i>Annually</i>
29. Date and venue of the following meeting of the Board	<i>Procedural matter</i>
30. Other matters	<i>Procedural matter</i>
31. Report of the meeting	<i>Procedural matter</i>
32. Closure of the meeting	<i>Procedural matter</i>