

FP126 Increased climate resilience of rural households and communities through the rehabilitation of production landscapes in selected localities of the Republic of Cuba (IRES)

Annual Performance Report CY2020

Section 1: General Information

Section 1: General Information

Please note that this is section 1 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

1.1 Please indicate if information provided in this APR is disclosable outside the Green Climate Fund. *

- Yes - The Accredited Entity agrees that the information reported is disclosable.
- No - The information reported is partly confidential. The disclosable version of the APR will be attached.

If you select the second option [No - The information reported is partly confidential. The disclosable version of the APR will be attached], please follow the below steps.

- Step 1: Fill in all the sections of the APR with disclosable information.
- Step 2: Save each section using the 'Open as PDF' function provided in the top-right corner. (Do NOT submit an APR at this step).
- Step 3: Attach all these disclosable six sections, including an additional section on COVID-19, to the attachment boxes below, which will be shown once you check the second option only.
- Step 4: Update all the sections of the APR below with non-disclosable information.
- Step 5: Submit the non-disclosable APR with an attachment of the disclosable APR in the PDF format.

1.2 Please indicate if this report has been shared with the relevant NDA(s) for this Funded Activity

Yes

Once the APR is created in the PPMS, please use the 'Open as PDF' function to download the report in PDF format and to share with relevant authorities (i.e. NDAs) before submission. Select 'Yes' only if shared to ALL the relevant NDA(s).

Please Indicate the date of submission to NDA(s)

2021-01-31

If the APR is submitted to multiple NDAs, please indicate the latest date of submission to NDA, and provide the other dates per NDA in the further explanation box below.

Please provide further explanation, if any:

1.3 Funded Activity Title (Project/Programme Title)

Increased climate resilience of rural households and communities through the rehabilitation of production landscapes in selected localities of the Republic of Cuba (IRES)

(Information is locked for editing)

1.4 Funding Proposal Reference Number

FP126

(Information is locked for editing)

1.5 Board Meeting Number

25

(Information is locked for editing)

1.6 Accredited Entity contacts for this APR

Full Name

Elizabeth A. Bechdol Deputy Director-General

E-mail Address

DDG-Bechdol@fao.org

Phone Number

+39 06 57051800

Full Name

Maria Helena Semedo Deputy Director-General

E-mail Address

DDG-Semedo@fao.org

Phone Number

+39 06 57051800

1.7 Executing Entity(ies)

Full Name of Executing Entity

Food and Agriculture Organization of the United Nations (FAO)

Full Name of Executing Entity

Government of Cuba acting through Ministry of Agriculture of Cuba (MINAG)

1.8 Project Duration

From

2020-06-08

To

2027-06-08

1.9 Current Year of Implementation

1

Indicate the year number, e.g., '2'

1.10 Annual reporting period covered in this report

From

2020-06-08

To

2020-12-31

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 1 of the Annual Performance Report (APR).

 The accredited entity hereby confirms that the information provided in section 1 is complete and ready for submission.

Section 2: Implementation Progress

Section 2: Implementation Progress

Please note that this is section 2 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

2.1 Overall (summary) project progress

The Project was approved during Board 25 of the Green Climate Fund, in March 2020. After Board approval the FAA was signed on 13 March 2020. FAO, in line with FAA conditions, finalized the Government Cooperation Programme-Project Agreement with the Government (GCP) and the co-financing agreement with the Government of Cuba (GoC). The process was completed and the FAA become effective on 8 June 2020.

Despite the delays in project implementation caused by the COVID 19 pandemic, the Project has succeeded in completing important activities, including:

- Promotion and outreach on IRES with the participation of diverse stakeholders and partners for the implementation, including: central government, legislative assembly, provincial and local governments, partner organizations and agencies of United Nations System;
- Implementation agreements put in place;
- Appointment of the project coordinators in the government and in FAO field office in Havana;
- Signature of the Government Cooperative Programme - Project Agreement (GCP) between FAO and the Government of Cuba on June 1, 2020.
- Ratification of the Co-financing Agreement with the Ministry of Finance of Cuba including the annual disbursement plan for the seven years of the project.
- Completion of the hiring process of the project team that accompanies both the government team and the FAO team including its national coordinator.
- Completion of the hiring of government coordinators at provincial and municipal level in project area.
- A joint visit of the implementation areas of the project was organized. In areas where the pandemic has prevented physical meetings, four videoconferences with regional government officials and beneficiaries were organized.
- Approval of the project procurement plan and subsequent initiation of implementation.

During this period, the GoC completed the process of inclusion of the project within the country's National Economy Plan. This is aligned with the Government's co-financing commitments. It also establishes ownership of the project at Ministerial Level. Building on this process, FAO has organized stakeholder meetings, which have succeeded in creating spaces for dialogue and built ownership of the project. As a result, FAO has reinforced the support received from the government and co-executing entities while building project ownership.

COVID 19 has had a significant impact on implementation progress. Due to restrictions imposed during this period, the Inception Workshop organization has been postponed to the beginning of 2021 in order to allow for the possibility to hold physical meetings, ensuring greater participation and engagement. The procurement of materials and equipment required for the implementation of the adaptation measures has also been impacted by COVID-19, resulting in longer lead times than in normal circumstances.

Provide a narrative report describing the overall progress on the implementation of the funded activity, focusing on implementation achievements, delays, and challenges according to the planned activities. As relevant, include references to other sections of this report (including Annexes or Attachments). Include a description of key milestones of the funded activity achieved during this reporting period including any deviations from original expectations. Also, describe challenges encountered and actions undertaken to resolve these challenges, and lessons learned during the implementation, including issues related to non-compliance with GCF standards or conditions, if any. In parallel, include positive achievements and better-than-expected results. If any issues have arisen in the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project, please provide a description of those items and how they have impacted the implementation period and final targets. Kindly make sure that this section just gives an overall summary and doesn't have overlap with other sections.

2.2 Performance against the GCF investment criteria (summary)

During the first 6 months of the project, the project activities aimed at:

(i) completing the survey studies that would allow the preparation of the areas for the start of the first cuts of elimination of the marabou;
 (ii) the recruitment of experts to conduct water studies; and
 (iii) the completion of the organizational and coordination processes between the Ministries of Economy and Finance, Agriculture, local governments and producer cooperatives that will benefit from the project. On the Paradigm Shift potential, during this period, the project has focused on the establishment of governance structures especially at the local level (provinces and municipalities) which are key mechanisms for achieving the expected results. The project has focused on the establishment of the governance structures through the identification of stakeholders and these are essential pre-requisites to obtain the expected paradigm shift for agriculture producers and their families.

On the sustainable development potential, the project has focused on establishing implementation and coordination mechanisms required at local level. This has included the establishment of frameworks of agreements and coordination mechanisms to facilitate joint implementation between provincial and municipal governments. This process adopted a gender perspective with the objective of empowering women and youth.

On the Needs of the Beneficiaries criteria, support for increased cross-sectoral coordination has been established, in particular, between the Ministries of Agriculture, Economy and Finance with local governments. In addition, focal points from the National Association of Small Farmers (ANAP) and the Cuban Association of Agricultural and Forestry Technicians (ACTAF) at territorial level to participate in the project (especially in the areas of extension and training) have been identified.

Regarding Country Ownership criteria, the project has established an Executive Steering Committee whose role is to lead the planning and implementation phases. In addition, the following agreements to support implementation have been signed: The Government Cooperative Programme-Project Agreement (GCP) between FAO and the Government of Cuba, the Co-financing Agreement between Ministry of Agriculture and Ministry of Finance. Foreseen political changes at national level and consequent changes at territorial level is likely to affect the initiation of activities in the field. In this regard, FAO has developed and strengthened spaces and platforms for dialogue and held awareness and outreach meetings to promote ownership of the IRES Project. As a result, FAO has reinforced the support received from the government and co-executing entities.

Regarding Efficiency and Effectiveness, according to the Government Cooperation Programme-Project Agreement with the government (GCP) and the co-financing agreement, signed on 1 June 2020, the Government agreed to co-finance specific components through MINAG's public budget for USD81,707,393 comprising USD58,487,143 as an in-kind contribution and USD23,220,250 in the form of a grant.

In this reporting period, the co-financing was USD9,366.47, representing expenses incurred during the process of establishing management units at the local level (provinces and municipalities), as well as awareness and outreach activities of the project.

Provide a narrative report describing the progress on the funded activity's performance against the GCF investment criteria framework. The performance should be compared against the initial assessment provided in the Board-approved Funding Proposal (section E). The list of the investment criteria as per the current framework is provided below. For each investment criteria outlined below, please include an assessment of current status, changes, progress and impact of the project as well as any impact of project context on the project during this reporting period against the initial baseline scenario and planned activities as per the assessment presented in the approved Funding Proposal. This sub-section 2.2 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

Relevant Links

[The GCF investment criteria framework](#)

2.2.1 Impact Potential

At 6 months of IRES implementation, the progress made includes:

Adaptation and Mitigation Plan

Result Area 1 Adaptation: Overall, 51,098 people or approximately 30% of the total population in climate-vulnerable areas of Las Tunas and Villa Clara/Matanzas provinces will benefit directly from project interventions that build resilience through restoration of ecosystem services. The project will provide indirect benefits to 232,815 people in communities around the target areas through strengthened institutional capacities for training and technical assistance, enhanced agro-ecosystem management, and widespread dissemination of lessons and best practices in climate-resilient agriculture. Specifically 15,549 farmers (3,123 women-headed households) on areas averaging 2.3 ha per farmer will have the capacity to manage agroforestry, silvopastoral and forestry systems to cope with rainfall variability and drought

Progress

The agreements between MINAG and local governments for the selection of cooperatives and farms that will serve as Farmer Field Schools (FFS) have been signed. These will constitute the key basic units for the training of farmers and beneficiaries of the project.

Result Area 2 Adaptation: Restore approximately 15,544 ha of farmland, and increase CC-resilience through sustainable agroforestry (AF), CTNPFs and assisted natural regeneration. Restore approximately 20,189 ha of rangeland with compacted soils and increase CC-resilience through improved silvopastoral systems.

Progress

- The national project team of the government- met with provincial authorities to identify and define areas where the removal of marabou would begin. The project will initiate the removal from 100 ha in the Jobabo Municipality, Province of Las Tunas.
- Agricultural machinery necessary for the start of marabou removal selected and procurement process initiated.
- 30 producers in the Municipality of Jobabo de las Tunas selected and trained in the removal of Marabou. The training builds on the experience of the Ministry of Agriculture in the Province of Ciego Avila. Six local authorities in the municipality of Jobabo sensitized on the process of the Marabou removal.
- Five machinery operators selected for training on operation of cutting machinery planned for 2021.

2.2.2 Paradigm shift potential

This project will shift the prevailing paradigm of production maximization to one of production systems that enhance climate resilience of production landscapes through the promotion of agroforestry, silvopastoral and forestry systems as climate-resilient production systems, supported by stronger institutions and a purpose-built financial mechanism. The current paradigm does not consider climate vulnerability or evolving and projected climate risk, nor does it consider the importance of optimizing ecosystem services for climate resilience of vulnerable households at landscape level.

During the reporting period, the project has focused on meeting with agricultural producers in the project area to define processes and mechanisms that will permit the adoption of new technologies and practices and the transfer of new knowledge tailored to the local context. Among others, this included the identification and selection of Farmer Field Schools that will act as the main vehicle for the dissemination of knowledge and technology.

In addition, the project has initiated a dialogue with local political and financial authorities with the aim of exploring new forms of financial incentives for climate variables and environmental protection that the project plans to introduce and how to make the process more local and close to the base producers.

Consultations with the Vice-ministers of Finance and Economy have also taken place to discuss the preparation of governance mechanisms to enable the conversion of MINAG's Current Financial Funds into a Climate Change Resilience Fund. This will contribute to ensuring government approval of this process including the approval of the financial funds necessary for its operation

2.2.3 Sustainable development potential

Six months after the start of the project and taking into account the COVID-19 pandemic during 2020, the actions undertaken by the project have focused on establishing the overall implementation arrangements of the project. More specifically, the project has focused on preparing the ground for implementation and achievement of the social, economic and environmental benefits as specified in the funding proposal.

2.2.4 Needs of the recipient

This project will provide farmers with the capacities, technology and machinery required to establish and operate sustainable agroforestry and silvopastoral systems that will improve the resilience of agricultural production to climate change, as well as its productivity.

The teams of the Ministry of Agriculture, the Ministry of Economy and the Ministry of Finance have held several meetings with the aim of consolidating the agreement that will facilitate financial flows envisaged for implementation. The Ministry of Agriculture at the local level has initiated investment assessments for the construction of premises for the storage of agricultural equipment. The first meeting between the national team of the project and the beneficiaries in the province of Las Tunas was organized in order to clarify the use of agricultural machinery and its distribution at the cooperative level.

2.2.5 Country Ownership

During this reporting period, the project has accomplished the following:

- 12 Awareness and outreach activities on IRES with the participation of diverse stakeholders and partners for the implementation, including: central government, legislative assembly, provincial and local governments cooperation organizations and agencies of United Nations System;
- 3 Implementation agreements have been put in place;
- Appointment of the coordinators of the project in the government and in FAO field office in Havana;
- Signature of the Government Cooperative Programme - Project Agreement (GCP) between FAO and the Government of Cuba on June 1, 2020.
- Ratification of the Co-financing Agreement with the Ministry of Finance including the project's seven-year annual delivery plan.

2.2.6 Efficiency and Effectiveness

The government of Cuba has defined that this project is key to its short-, medium- and long-term economic and environmental agenda. On this basis they have prioritized the allocation of resources to ensure the implementation of the project. The Ministries of Finance and the Economy have been instructed to provide foreseen funding to the Ministry of Agriculture, ensuring economic soundness and resource capacity for all tasks supported through co-financing.

During the reporting period only a portion of the government co-financing has been disbursed, specifically \$ 9,366.47 USD contributed by the Ministry of Agriculture.

Co-financer Description

MINAG Travel of the project team in the provinces coordination activities with Management Units, awareness and outreach actions 1540,4
 MINAG Specialized Truck Technical Task for Agricultural Machine Repair, High Pruning Chainsaw, High Altitude Pruning Chainsaw with Battery 5,280.24
 MINAG SCT03.1 120 hp Agricultural Tractor Technical Task (4x4) 2,545.83
 TOTAL 9,366.47

2.3 Project Outputs Implementation Status

Use 'Add Row' button to add multiple outputs and/or activities reported against one output

Project Output Name

1 Increased CC-resilient production landscapes through investment in innovative agroforestry and silvopastoral systems, reforestation with close-to-nature planted forests (CTNPFs) and assisted natural forest regeneration

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 1.1 Restore approximately 15,544 ha of farmland, and increase CC-resilience through sustainable agroforestry (AF), CTNPFs and assisted natural regeneration (mitigation co-benefit 833,950.60 million tCO₂-eq. in 7 years of implementation)

| Status | Implementation Progress |
|--------------------------------------|-------------------------|
| Activity started - progress on track | 5 % |

Progress for the relevant reporting period

- The expected result is related to the area of farmland implementing restoration measures.
- At this early stage of implementation, the project is in the process of defining the local implementation areas where marabou removal would begin, once technologies and equipment is acquired and delivered to the areas of the project.
- The first meeting between the national team of the project and the beneficiaries in the province of Las Tunas has been held in order to clarify the uses of agricultural machinery and its distribution at the cooperative level.
- The agricultural machinery necessary for the initiation of marabou removals was defined, which includes clearing and soil preparation. The process of acquisition is already underway.
- Trained 30 farmers (2 are women) in the municipality Jobabo of Las Tunas on the peculiarities of the marabou cuts according to the experience of the Ministry of Agriculture of Cuba in the province of Ciego Avila.
- Trained six local authorities of the municipality Jobabo on the complexities and the necessary organization that marabou cuts must have in their beginnings.
- The first 5 cutting machinery operators to be trained from 2021 were selected.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

1. Procurement and delivery of equipment (May 2021)
2. Develop training material for operation and maintenance (August 2021)
3. Initiate studies for the capture and management of water as part of the agroforestry modules. (August 2021)
4. Initiate training of 5 machinery operators, considering gender equality (September 2021)
5. Eradicate marabou on 100 ha (October 2021)
6. Initiate provision of technical assistance. (October 2021)

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

1 Increased CC-resilient production landscapes through investment in innovative agroforestry and silvopastoral systems, reforestation with close-to-nature planted forests (CTNPFs) and assisted natural forest regeneration

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 1.2 Restore approximately 20,189 ha of rangeland with compacted soils and increase CC-resilience through improved silvopastoral systems (mitigation net co-benefit 381,311.51 million t CO₂eq in 7 years of implementation).

| Status | Implementation Progress |
|-------------------------------------|-------------------------|
| Activity started - progress delayed | 2 % |

Progress for the relevant reporting period

At this early stage of implementation, the project is in the process of selecting areas for the implementation of the silvopastoral modules. This process also includes the definition of technologies and equipment, procurement and delivery to the project areas.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- 7. Procurement and delivery of identified technologies and equipment (August 2021)
- 8. Develop training materials (August 2021)
- 9. Initiate training of machinery operators on the following topics: sub-soiling of compacted rangeland for livestock, silvopastoral modules, including improved grazing systems with the aim to improve the climate resilience of agricultural production, as well as its productivity. The training will adopt a gender-sensitive approach. (October 2021)

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

2 Strengthened institutional and farmer capacities to improve ecosystem services through agroforestry, silvopastoral and forestry systems and enhance the climate-resilience of production landscapes

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 2.1 Increase institutional capacities to support farmers and producers' organizations to establish and maintain agroforestry, silvopastoral and forestry systems for improved ecosystem services

Status

Activity started - progress delayed

Implementation Progress

| | |
|---|---|
| 2 | % |
|---|---|

Progress for the relevant reporting period

The expected result is related to the strengthening of local extension service capacities to improve climate risk planning/management and service delivery.
The project has initiated a process of identification and coordination of knowledge management mechanism involving local extension services in the project intervention area.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- 10. Develop training materials for trainers of extensionists. (July 2021)
- 11. Initiate development of supplementary learning materials and information on CC impacts, ecosystem function and services, agroecology, agroforestry and forestry systems. (August 2021)
- 12. Training with a gender perspective for extension service technicians, agricultural technicians, and cooperative leaders/administrators (50 participants) (September 2021)

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

2 Strengthened institutional and farmer capacities to improve ecosystem services through agroforestry, silvopastoral and forestry systems and enhance the climate-resilience of production landscapes

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 2.2 Train agricultural producers to collectively revitalize and manage landscape resources through climate resilience-enhancing agroforestry, silvopastoral and forestry systems for gender-equitable agricultural production and ecosystem services

Status

Activity started - progress delayed

Implementation Progress

| | |
|---|---|
| 2 | % |
|---|---|

Progress for the relevant reporting period

The expected result is related to the establishment of Farmer Field Schools in the seven municipalities based on type of agroforestry, silvopastoral or forestry system to be implemented and logistical and other considerations.
The Project team has established agreements for the creation and/or strengthening of Farmer Field Schools at the local level (provinces and municipalities) identifying the good practices from previous projects.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- 13. 1 new Farmer Field School established (June 2021)
- 14. Develop a gender analysis and Gender action plan. (September 2021)
- 15. 150 farmers trained in restoration and management of production landscapes for climate-resilient agriculture and ecosystem services; considering gender equality. (December 2021)

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

3 Effective governance to support climate resilience-enhancing production systems and ecosystem services

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 3.1 Develop, discuss and analyze options for policy reforms to support implementation of agroforestry, silvopastoral and forestry systems for landscape resilience through improved ecosystem services

Status

Activity not yet due

Implementation Progress

0 %

Progress for the relevant reporting period

The expected result is related to the delivery of workshops with expert assistance and input (international and national experts) to facilitate inter-institutional analyses and discussions regarding policy objectives, needs and options for the modification or reform of agricultural and land-use policy.

In the past 6 months, consultations have been organized with the Ministry of Agriculture, to compile information on the new policies that are directly related to sustainable food production, including the National Food and Nutrition Security Plan and the progress of “Tarea Vida”, the Cuban State Plan to address climate change. The objective is to ensure that the project is able to benefit from the updated regulations and planning instruments.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- 16. Develop a socio-environmental assessment. (September 2021)
- 17. Training of project personnel on safeguards. (October 2021)
- 18. Report with review of relevant national policies (December 2021)

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

3 Effective governance to support climate resilience-enhancing production systems and ecosystem services

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 3.2 Establish a Landscape Resilience Fund to support adoption and implementation of agroforestry, silvopastoral and forestry systems in support of landscape resilience through ecosystem service enhancement

Status

Activity not yet due

Implementation Progress

0 %

Progress for the relevant reporting period

The expected result is related to Establish a Landscape Resilience Fund. During the reporting period, the project team has initiated discussions with local political and financial authorities to explore new forms of financial incentives that the project plans to introduce and to evaluate how to make the process more accessible for local producers. Consultations with the Ministries of Finance and Economy have also taken place with a view to the establishment of a governance mechanisms to enable the conversion of MINAG’s Current Financial Funds into a Climate Change Resilience Fund.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

19. 1 workshop to analyze and develop options for a Landscape Resilience Fund to support implementation of landscape resilience policies on the ground (establish an expert group). (October 2021)

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

3 Effective governance to support climate resilience-enhancing production systems and ecosystem services

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 3.3 Strengthen planning, governance and coordination at the landscape level in support of landscape resilience through enhancement of ecosystem services

Status

Activity started - progress delayed

Implementation Progress

2

%

Progress for the relevant reporting period

The expected result is related to Strengthening of coordinated planning and management of landscape resources from farm to landscape level. The project has initiated actions to concert local extension services, in accordance with established mechanisms and other alternatives involving the Cuban Association of Agricultural and Forestry Technicians - ACTAF, Cuban Animal Production Association - ACPA, National Agriculture Association - ANAP, and Federation of Cuban Women - FMC to participate effectively in local planning and decision-making processes.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

- 20. Training of the leaders of local organizations to participate in local planning/decision making processes. (October 2021)
- 21. Local Institutional staff trained in improving negotiating skills. (November 2021)
- 22. 1 workshop to strengthen coordination between local landscape governance structures for climate change adaptation: Comisión de Reforestación, Grupo de Bahía, Comisión de Cuencas Hidrográficas, Comisión de Asuntos Agrarios; Grupos Provinciales y Municipales de Tarea Vida. (December 2021)

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

2.4 Progress Update on the Logic Framework Indicators

Values of Baseline, mid-term target and final targets should be the same from the approved funding proposals unless calculation methodologies were revised in agreements with the GCF. Please attach a supporting document(s) describing the calculation methodology of the current value of all the indicators in Section 6; the indicators cover core, impact, outcome, and output levels. If there is a change in the methodology, you need to include clear justifications for the change and changed values as compared to the previous year.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

2.4.1 Core Indicators

Select applicable core indicators

- Mitigation Core Indicator 1 - Tonnes of carbon dioxide equivalent (tCO2eq) reduced as a result of GCF funded project/programme
- Mitigation Core Indicator 2 - Cost per tCO2eq decreased for GCF funded project/programme
- Mitigation Core Indicator 3 - Volume of finance leveraged by GCF funding (Disaggregated by public/private source)
- Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population

Mitigation Core Indicator 1 - Tonnes of carbon dioxide equivalent (tCO2eq) reduced as a result of GCF funded project/programme (Unit: tCO2eq)

Please provide the ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing). The 'Final Target' is the amount of emission reduced up to the end of implementation. The 'Expected lifetime emission reductions overtime' is an estimate of emission reductions over the lifetime. If the end of lifetime coincides with the end of implementation then please provide the same value for 'Final Target' and 'Expected lifetime emission reductions over time'.

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|----------------|
| 0 tCO2eq | 0 tCO2eq | 468252 tCO2eq | 2675727 tCO2eq |

Expected lifetime emission reductions overtime

 tCO2eq

Remarks (including changes, if any)

No changes in practices have occurred during this reporting period. Consequently, no progress on achievement of impact indicators can be reported

Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

| Baseline | Baseline (% of female) |
|-----------------|-------------------------------|
| 0 | 0 % |
| Current Value | Current Value (% of female) |
| 0 | 0 % |
| Mid-term Target | Mid-term Target (% of female) |
| 25549 | 46 % |
| Final Target | Final Target (% of female) |
| 51098 | 46 % |

Remarks (including changes, if any)

No changes in practices have occurred during this reporting period.

2.4.2 Impact Indicators

Select applicable impact indicators

- M1.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased low-emission energy access and power generation
- M2.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased access to low-emission transport
- M3.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of buildings, cities, industries and appliances
- M4.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of sustainable management of forests and conservation and enhancement of forest carbon stocks
- A1.1 Change in expected losses of lives and economic assets due to the impact of extreme climate-related disasters in the geographic area of the GCF intervention
- A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.)
- A1.3 Number of Fund funded projects/programmes that supports effective adaptation to fish stock migration and depletion due to climate change
- A2.1 Number of males and females benefiting from introduced health measures to respond to climate-sensitive diseases
- A2.2 Number of food secure households (in areas/periods at risk of climate change impacts)
- A2.3 Number of males and females with year round access to reliable and safe water supply despite climate shocks and stresses
- A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (reported where applicable)
- A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change
- A4.2 Value of ecosystem services generated or protected in response to climate change

M1.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased low-emission energy access and power generation (Unit: tCO2eq)

Please provide the ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing). The 'Final Target' is the amount of emission reduced up to the end of implementation. The 'Expected lifetime emission reductions overtime' is an estimate of emission reductions over the lifetime. If the end of lifetime coincides with the end of implementation then please provide the same value for 'Final Target' and 'Expected lifetime emission reductions over time'.

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|----------------|
| 0 tCO2eq | 0 tCO2eq | 468252 tCO2eq | 2675727 tCO2eq |

Expected lifetime emission reductions overtime

Remarks (including changes, if any)

No changes in practices have occurred during this reporting period. Consequently, no progress on achievement of impact indicators can be reported.

A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change (Units: multiple, as applicable)

Please provide ex-post 'Current Value' on a cumulative basis.

Use 'Add row' button to add multiple units/sub-indicators

Unit

hectares

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0 | 0 | 17867 | 35734 |

Remarks (including changes, if any)

No changes in practices have occurred during this reporting period.

2.4.3 Project/Programme-level Outcome & Output Indicators

Please provide ex-post 'Current Value' on a cumulative basis. If you have multiple outputs to be reported against one outcome, you need to write down the same outcome name for every output. Likewise, if you have multiple indicators to be reported against one output, you need to write down the same output name and corresponding outcome name for every indicator.

Use 'Add row' button to add multiple outcomes, outputs and/or indicators.

| | | | |
|--|---|------------------------|---------------------|
| Results Area Type | Outcome Name | | |
| Adaptation | A5.1 Institutional and regulatory systems that improve incentives for climate resilience and their effective implementation | | |
| Output Name (under the afore-mentioned outcome) | | | |
| Increased resilient production landscapes through investment in innovative agroforestry and silvopastoral systems, reforestation with close-to-nature planted forests (CTNPFs) and assisted natural forest regeneration | | | |
| Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level. | | | |
| Indicator Name | | | |
| Hectares of land implementing agroforestry, silvopastoral and forestry modules | | | |
| Unit | | | |
| hectares | | | |
| Baseline | Current Value | Mid-term Target | Final Target |
| 0 | 0 | 17867 | 35734 |
| Remarks (including changes, if any) | | | |
| Given that the report covers the first 6 months of project activities, and that most of the time has been devoted to preparing for startup of operations as established on the implementation plan, no meaningful report against indicators can be provided in this first APR. | | | |

| | | | |
|--|---|------------------------|---------------------|
| Results Area Type | Outcome Name | | |
| Adaptation | A5.1 Institutional and regulatory systems that improve incentives for climate resilience and their effective implementation | | |
| Output Name (under the afore-mentioned outcome) | | | |
| Increased CC-resilient production landscapes through investment in innovative agroforestry and silvopastoral systems, reforestation with close-to-nature planted forests (CTNPFs) and assisted natural forest regeneration | | | |
| Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level. | | | |
| Indicator Name | | | |
| % of farmers perception about the advantage of including new methods to improve resilience to CC. | | | |
| Unit | | | |
| % of positive response by trained farmers | | | |
| Baseline | Current Value | Mid-term Target | Final Target |
| 0 | 0 | 90 | 100 |
| Remarks (including changes, if any) | | | |
| Given that the report covers the first 6 months of project activities, and that most of the time has been devoted to preparing for startup of operations as established on the implementation plan, no meaningful report against indicators can be provided in this first APR. | | | |

| | | | |
|--|---|--|--|
| Results Area Type | Outcome Name | | |
| Adaptation | A5.1 Institutional and regulatory systems that improve incentives for climate resilience and their effective implementation | | |
| Output Name (under the afore-mentioned outcome) | | | |
| Increased CC-resilient production landscapes through investment in innovative agroforestry and silvopastoral systems, reforestation with close-to-nature planted forests (CTNPFs) and assisted natural forest regeneration | | | |
| Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level. | | | |
| Indicator Name | | | |
| Population of target municipalities indicating they perceive improved ecosystem services | | | |

Unit
 population%

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0 | 0 | 10 | 35 |

Remarks (including changes, if any)
 Given that the report covers the first 6 months of project activities, and that most of the time has been devoted to preparing for startup of operations as established on the implementation plan, no meaningful report against indicators can be provided in this first APR

Results Area Type
 Adaptation

Outcome Name
 A5.1 Institutional and regulatory systems that improve incentives for climate resilience and their effective implementation

Output Name (under the afore-mentioned outcome)
 Increased CC-resilient production landscapes through investment in innovative agroforestry and silvopastoral systems, reforestation with close-to-nature planted forests (CTNPFs) and assisted natural forest regeneration

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name
 % of Resilience of production as perceived by farmers

Unit
 Low (0) - Medium (50) - High (80)

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0 | 0 | 50 | 80 |

Remarks (including changes, if any)
 Given that the report covers the first 6 months of project activities, and that most of the time has been devoted to preparing for startup of operations as established on the implementation plan, no meaningful report against indicators can be provided in this first APR.

Results Area Type
 Adaptation

Outcome Name
 A7.0 Strengthened adaptive capacity and reduced exposure to climate risks

Output Name (under the afore-mentioned outcome)
 2: Strengthened institutional and farmer capacities to improve ecosystem services through agroforestry and forestry systems and enhance the climate-resilience of production landscapes

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name
 Numbers of institutional staff trained

Unit
 number of institution staff trained

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0 | 0 | 300 | 585 |

Remarks (including changes, if any)
 Given that the report covers the first 6 months of project activities, and that most of the time has been devoted to preparing for startup of operations as established on the implementation plan, no meaningful report against indicators can be provided in this first APR.

Results Area Type
 Adaptation

Outcome Name

A7.0 Strengthened adaptive capacity and reduced exposure to climate risks

Output Name (under the afore-mentioned outcome)

2: Strengthened institutional and farmer capacities to improve ecosystem services through agroforestry and forestry systems and enhance the climate-resilience of production landscapes

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

Numbers of farmers trained

Unit

number of farmers trained

Baseline

0

Current Value

0

Mid-term Target

9882

Final Target

15549

Remarks (including changes, if any)

Given that the report covers the first 6 months of project activities, and that most of the time has been devoted to preparing for startup of operations as established on the implementation plan, no meaningful report against indicators can be provided in this first APR.

Results Area Type

Adaptation

Outcome Name

A7.0 Strengthened adaptive capacity and reduced exposure to climate risks

Output Name (under the afore-mentioned outcome)

2: Strengthened institutional and farmer capacities to improve ecosystem services through agroforestry and forestry systems and enhance the climate-resilience of production landscapes

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

Percentage of trained farmers who, indicate they have acquired new knowledge on Climate resilience of production landscape

Unit

%

Baseline

0

Current Value

0

Mid-term Target

90

Final Target

100

Remarks (including changes, if any)

Given that the report covers the first 6 months of project activities, and that most of the time has been devoted to preparing for startup of operations as established on the implementation plan, no meaningful report against indicators can be provided in this first APR.

Results Area Type

Adaptation

Outcome Name

A7.0 Strengthened adaptive capacity and reduced exposure to climate risks

Output Name (under the afore-mentioned outcome)

2: Strengthened institutional and farmer capacities to improve ecosystem services through agroforestry and forestry systems and enhance the climate-resilience of production landscapes

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

number of institutional staff trained who indicate they use or apply information received

Unit

number of institutional staff

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0 | 0 | 100 | 468 |

Remarks (including changes, if any)

Given that the report covers the first 6 months of project activities, and that most of the time has been devoted to preparing for startup of operations as established on the implementation plan, no meaningful report against indicators can be provided in this first APR.

Results Area Type
Adaptation

Outcome Name
A7.0 Strengthened adaptive capacity and reduced exposure to climate risks

Output Name (under the afore-mentioned outcome)
2: Strengthened institutional and farmer capacities to improve ecosystem services through agroforestry and forestry systems and enhance the climate-resilience of production landscapes

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name
% of farmers trained who indicate they use at least one of the practices learned in FFS on their own farms

Unit
% farmer trained

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0 | 0 | 30 | 80 |

Remarks (including changes, if any)

Given that the report covers the first 6 months of project activities, and that most of the time has been devoted to preparing for startup of operations as established on the implementation plan, no meaningful report against indicators can be provided in this first APR.

Results Area Type
Mitigation

Outcome Name
M9.0 Improved management of land or forest areas contributing to emissions reductions

Output Name (under the afore-mentioned outcome)
3: Effective governance to support climate resilience-enhancing production systems and ecosystem services

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name
Proposals for policies, plans, financial incentives to motivate and sustain CC adaptation by farmers formally approved

Unit

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0 | 0 | 50 | 100 |

Remarks (including changes, if any)

Baseline and Target value:
 0 - Currently no relevant financial incentives exist
 50 - Analyses and discussions ongoing
 100 - Proposals for policy, planning reforms; Landscape Resilience Fund established and operational

Results Area Type
Mitigation

Outcome Name
M9.0 Improved management of land or forest areas contributing to emissions reductions

Output Name (under the afore-mentioned outcome)
 3: Effective governance to support climate resilience-enhancing production systems and ecosystem services

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name
 % of perception of government authorities that new policies, plans, financial incentives are including CC adaptation elements

Unit
 % of the surveyed individuals have a good perception that new policies, plans, financial incentives are including CC adaptation elements

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0 | 0 | 60 | 100 |

Remarks (including changes, if any)
 Given that the report covers the first 6 months of project activities, and that most of the time has been devoted to preparing for startup of operations as established on the implementation plan, no meaningful report against indicators can be provided in this first APR.

Results Area Type
 Mitigation

Outcome Name
 M9.0 Improved management of land or forest areas contributing to emissions reductions

Output Name (under the afore-mentioned outcome)
 3: Effective governance to support climate resilience-enhancing production systems and ecosystem services

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name
 Representatives of key organizations in seven target municipalities that indicate they have improved capacity to participate effectively and collaboratively in local, regional and national planning and decision-making processes related to management of the target landscapes

Unit
 number of representative

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0 | 0 | 10 | 20 |

Remarks (including changes, if any)
 Given that the report covers the first 6 months of project activities, and that most of the time has been devoted to preparing for startup of operations as established on the implementation plan, no meaningful report against indicators can be provided in this first APR.

Results Area Type
 Mitigation

Outcome Name
 M9.0 Improved management of land or forest areas contributing to emissions reductions

Output Name (under the afore-mentioned outcome)
 3: Effective governance to support climate resilience-enhancing production systems and ecosystem services

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name
 Women and youth organizations targeted and involved in trainings

Unit
 number of women and youth organization

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0 | 0 | 2 | 5 |

Remarks (including changes, if any)

Given that the report covers the first 6 months of project activities, and that most of the time has been devoted to preparing for startup of operations as established on the implementation plan, no meaningful report against indicators can be provided in this first APR.

2.5 Report on changes during implementation (include actual and expected changes)

The Project has no change from the approved version and planned activities are expected to be carried out and the objectives and outputs are expected to be met with the expected quality.

Due to COVID 19 Pandemic and the restrictions imposed by government, there has been a change in the implementation modalities and planning for certain activities. These mainly relate to meetings, workshops, some inter-provincial movements and visits to municipalities. To address this, virtual meetings and events, wherever possible due to connectivity availability, will be prioritized.

Describe changes to the project during the reporting period. In particular, the report should cover elements such as change of beneficial ownership structure, management changes of the Accredited Entity, policies and other elements relevant for the project, and any other material change that could influence the overall outcome of the project.

2.6 Implementation challenges and lessons learned

Challenge encountered

Restrictions imposed as a consequence of the Covid 19 pandemic has resulted in delays in project implementation. E.g. Delays in procurement due to difficulties with suppliers under current conditions, delays in organization of inception workshop and changes in modalities for meetings, workshops.

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

Challenge type

Operational

Impact on the project implementation

Minor/Solved

Measures adopted

Planned inception workshop will take place virtually, and communication within the coordination teams and project stakeholders is maintained virtually.

Lesson learned and other remarks

Need to incorporate COVID impact in planning and implementation of project activities

Challenge encountered

The political change of the authorities at territorial level affected the development of actions in the field due to a potential impact in terms of the project's ownership and support that could be related to foreseen changes on the government cabinet.

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

Challenge type

Political

Impact on the project implementation

Minor/Solved

Measures adopted

FAO has developed and strengthened spaces for dialogue and held awareness and outreach meetings to ensure and promote ownership of the IRES Project. FAO has reinforced the support received from the government and co-executing entities, whilst it continues to strengthen these dialogues throughout the Project implementation phases.

Lesson learned and other remarks

Monitor changes (political and economical) and ensure implementation of necessary activities to ensure ownership.

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 2 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 2 is complete and ready for submission.

Section 3: Financial Information

Section 3: Financial Information

Please note that this is section 3 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

3.1 Approved Budget for entire project period as per FAA

Currency

USD

(Information is locked for editing)

GCF Funding (Equity)

GCF Funding (Grants)

(Information is locked for editing)

GCF Funding (Guarantees)

GCF Funding (In-kind)

GCF Funding (Loans)

GCF Funding (Results-Based Payment)

3.1.1 Total GCF Funding

(Information is locked for editing)

Please confirm if the afore-mentioned values are different as per your knowledge.

3.2 Co-financing

Currency

USD

(Information is locked for editing)

Co-financing (Equity)

Co-financing (Grants)

23 220 250

(Information is locked for editing)

Co-financing (Guarantees)

Co-financing (In-kind)

58 487 143

(Information is locked for editing)

Co-financing (Loans)

Co-financing (Results-Based Payment)

3.2.1 Total Co-financing

81 707 393

*(Information is locked for editing)***Please confirm the afore-mentioned values are different as per your knowledge.**

No differences to be reported.

3.3 Disbursements Details (Cumulative to this reporting period)

3.3.1 Total GCF Disbursement

(Information is locked for editing)

Currency

(Information is locked for editing)

GCF Equity Disbursement

GCF Grants Disbursement

(Information is locked for editing)

GCF Guarantees Disbursement

GCF In-kind Disbursement

GCF Loans Disbursement

GCF Results-Based Payment Disbursement

Please confirm the afore-mentioned values are different as per your knowledge.

3.3.2 Co-Financing Disbursement

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

Choose currency

3.3.3 Total Project Disbursement

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

Choose currency

Please provide comments on sub-section 3.3, if any.

3.4 Expenditure details (Cumulative to this reporting period)

Choose currency

Please select

GCF Equity Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Grants Expenditures

5 392.86

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Guarantees Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Loans Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.1 GCF Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.2 Co-financing Expenditures

9 366.47

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.3 Total Project Expenditures

9366

Please provide comments on sub-section 3.4, if any.

Figure automatically inserted under 3.4.3 does not mach - GCF Grant Expenditure are not considered.
 Total Project Expenditure: 14 759.33 USD
 Figure are provisional

3.5 Investment & Other Income (Cumulative to this reporting period)

Reporting Level for investment

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

 Project Level Accredited Entity Portfolio Level

Choose currency

USD

Project Level Investment & Other Income

26 637.42

Please provide comments on sub-section 3.5, if any.

Investment Income (Bank Interest) as for CY2020

3.6 Report on AE fees (Cumulative to this reporting period)

Reporting Level for AE fees

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

 Project Level Accredited Entity Portfolio Level

Choose currency

USD

Project Level AE Fees

Please provide comments on sub-section 3.6, if any.

3.7 Annual Financial Performance Report

Please download the Financial Performance Report Template in Excel.

[Financial Performance Report Template](#)

This sub-section 3.7 is not applicable for REDD+ Results-Based Payments Projects. Please provide a separate 'Financial Progress Details' in Section 6.

Please attach the Annual Financial Performance Report here.

Please provide comments on the attachment.

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 3 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 3 is complete and ready for submission.

Section 4: Environmental and Social Safeguards & Gender

Section 4: Environmental and Social Safeguards & Gender

Please note that this is section 4 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

4.1 Implementation of environmental and social safeguards and gender elements

Please provide information on the project or programme on the following: (1) key risks and impacts as identified; (ii) compliance with applicable laws and regulations including FAA conditions and covenants; and (3) progress in the implementation of environmental and social management plans and programs including monitoring activities undertaken during the implementation of the funded activity.

4.1.1 The information includes description on any changes in the key environmental and social risks and impacts as identified and arising from the implementation including any unanticipated risks and impacts (ex. from changes in laws and regulations) and, based on these if any change in the project’s environmental and social risk category. In case of a change in the E&S risk category for the project, please provide an explanation.

The project team, through the meetings, encounters and visits carried out at the different levels, has emphasized the need to strengthen the actions aimed at minimizing the impacts on agrobiodiversity and natural biodiversity due to the intervention actions of the project, regarding the species invasives, the use of chemical fertilizers, water management, among others. Particularly in the modules dedicated to silvopastoralism, stress the availability, quality and adequate management of water and the species that are introduced. To this end, the Project Management Units have identified the specialists who will attend to these issues and the preparation of a “Plan of Socio-Environmental Commitments of the Project (PCAS)” is expected, as well as “Implementation of the socio-environmental monitoring and verification subprogram ”during the first year of implementation, while the planned training actions will be developed.

With reference to the Status of Compliance with applicable law and regulation, since the project is still in early phase of implementation, there are still no experiences to report

Similarly, with reference to the implementation of management and programs, the initial/preparatory activities including ESMFs in the project will be reported on in the next APR.

4.1.2 The information should include status of compliance with applicable laws and regulations of the country as well as the relevant conditions or covenants under the FAA. This can be captured in the table below:

Status of compliance with applicable laws and regulations and the conditions and covenants specifically addressing ESS & Gender under FAA

| |
|---|
| Compliance Type |
| Please select |
| Compliance Title & Description |
| |
| Status of compliance |
| |

4.1.3 Provide a report on the progress made in implementing environmental and social management plans (ESMPs) and frameworks (ESMFs) describing achievements, and specifying details outlined in the tables below.

Implementation of ESMPs and ESMFs

Activities implemented during the reporting period, including monitoring

Outputs during the reporting period

Key environmental, social and gender issues, risks and impacts addressed during implementation

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

4.1.4 Provide information on how the GCF Independent Redress Mechanism, as well as the AE's GRM (e.g. contact details, accessibility, and basic procedures of such mechanisms), is brought to the attention of executing entities, people, and beneficiaries in the project target area and the public in accordance with the relevant ESMS/ESIA.

the need to create the condition for the implementation of the Grievance Redress Mechanism has been shared with the PMU. it will be established in accordance with the approved ESMF, it's an independent mechanism that follows both the GCF's EES standards and FAO's social and environmental guidelines.

4.1.5 Include a description of the actions undertaken towards increasing the relevant stakeholders' engagement in the project environmental, social and gender elements.

The information in this subsection should be provided for all projects regardless of the E&S risk category for the project.

Implementation of the stakeholder engagement plan

Activities implemented during the reporting period

Initiation of stakeholders mapping process (determination of specific roles and interests and how they can collaborate and / or benefit from the project's activities).

Dates and venues of engagement activities

The dedicated personnel of the PMU will coordinate the mapping (on-going/in progress process)

Information shared with stakeholders

Information shared with stakeholders: project documents, characteristics of in-ervention areas, ESMF, gender plan, etc. Update on features, possible roles, potentialities and their expectations with the project.

Outputs including issues addressed during the reporting period

The results of this activity will be reported on in the next APR

4.1.6 Implementation of the grievance redress mechanism - list on the grievances received in the reporting period with the description of the grievance, the date the grievance was received, and the resolution of the grievance.

| | |
|--|---|
| Description of issues/complaints received during the reporting period | Date of receipt |
| No grievances were received in the reporting period. | |
| Description of resolution | Status of addressing issues/complaints |
| | |

4.2 Gender Action Plan

The Gender Plan was updated however the activities still did not show results in the reporting period. Progress will be reported in the next report. The project team (national, provincial and municipal) has however engaged in awareness and outreach activities at different levels of government, with a view to mainstreaming the gender perspective through dynamic structures such as gender committees, coordinators, Federación de Mujeres Cubanas y Asociación Nacional de Agricultores Pequeños (FMC - ANAP) brigades, in order to promote gender equality-oriented management processes, in the project areas

As part of the Communication plan was filmed a video with 4 life history (3 of them correspond to rural women) in the intervention area of project, about their experiences and the expectations with the project. These elements demonstrate the gender perspective in the project's communication strategy.

- The Project has begun the process of hiring a gender expert.

Provide a progress report on the gender action plan developed during project preparation stage for the reporting period. This will primarily be a report on activities undertaken and results achieved as a result of completion of an activity. Further it should also indicate if the project is on track to achieving the intended outcome(s). The reporting should be done for activities, targets and indicators already set in the action plan including on vulnerable groups (youth, poor, female heads of households, etc.) as would have been identified in the gender analysis and action plan. If activities or targets are not achieved as per plan, reasons should be provided, and recourse action should be proposed. Please include a reporting on any changes or deviations. Include a Report on implementation challenges and lessons learnt and how these will inform on-going actions and what action will be taken by when to address the challenges faced. Incorporate both quantitative data and qualitative report of the performance of such actions, and on progress on actions identified.

4.2.1 Progress on implementing the project-level gender action plan submitted with the funding proposal

| | |
|---|---|
| Activity / Action | |
| no activities to report in this reporting period. | |
| Indicator | |
| | |
| Baseline | Target, including sex-disaggregation |
| | |
| Budget | Currency |
| | Please select |
| Report on annual progress | |
| | |

4.3 Planned activities on environmental and social safeguards for the next reporting period

In the next reporting period, the activities planned for the first year will be implemented, including monitoring and reporting. In the first year, the bases for the presentation of a Socio-Environmental Monitoring Sub-Program will be created. The Project Management Units (national, provincial and municipal) are composed of experts who are directly responsible for environmental and social safeguards. The activities to be implemented in the next reporting period are outlined in the activity plan (ESMF) - See attachment 1

Provide a list of activities in the ESMP to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products. Please include the monitoring schedule concerning ESS (including other potential vulnerable groups and indigenous people) for the next annual reporting period.

4.4 Planned activities on gender elements for the next reporting period

Please see attachment 2 on planned activities for next reporting period (CY2021).

Provide a list of activities in the gender action plan to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products including processes that will be involved to implement the activities effectively. Please include the monitoring schedule concerning gender activities for the next annual reporting period. Report on actions taken on any of the recommendations made by the secretariat (if applicable) to improve the level of integration of gender issues in the project.

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 4 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 4 is complete and ready for submission.

Section 5: Annexes

Section 5: Annexes

Please note that this is section 5 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

Annex 1: Updated implementation timetable for the Funded Activity

[APRCY2020 - GCP CUB 021 GCF - implementation plan.docx](#)

Submit only if there are any changes from implementation plan submitted in the FAA.

Annex 2: Accredited Entity compliance reports

Self-assessment reports, Report on Actions pursuant to Clause 18.02, if applicable. Self-assessment reports: In accordance with the AMA requirement in Clause 13.01 of the Accreditation Master Agreement, with the Fiduciary Principles and Standards, ESS and Gender Policy. Report on Actions pursuant to Clause 18.02: Only applicable to International Accredited Entities. In accordance with the Monitoring and Accountability Framework, a report on its actions carried out or planned to be carried out pursuant to Clause 18.02 of the Accreditation Master Agreement.

Please provide comments on the annexes attached above if any.

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 5 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 5 is complete and ready for submission.

Section 6: Attachments

Section 6: Attachments

Please note that this is section 6 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

Click on '+ Add row' to attach more than one document.

[FP126-IRES-attachment 1 - section 4.3.docx](#)

[FP126-IRES-attachment 2 - section 4.4.docx](#)

Submit the Unaudited/Audited financial statement and Interim/Final evaluation report (as required by FAA). Submit a supporting document for Section 2.4. (Update Progress on the Logic Framework Indicators), describing the calculation methodology for the current values provided.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects.

Other Attachments (if any). Such as additional budget-related information, loan repayment schedules to GCF (interest/principal), equity investment schedules, other related reports relevant to the Funded Activity, statements of capital account, valuation reports, credit guarantee agreements, investor reports, and others, as specified in the relevant legal agreements (e.g. Funded Activity Agreement, Shareholders Agreement)

For the Annual Performance Report of REDD+ Results-Based Payments projects, provide 'Implementation Timetable/Milestones for the next reporting period' and 'Financial Progress Details' as an attachment in this section.

Comments from AE (if any)

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 6 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 6 is complete and ready for submission.

Additional Section: COVID-19 Impact

Additional Section: COVID-19 Impact

In this additional section of the Annual Performance Report (APR), please provide an update of COVID-19 impact on your project/programme. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

Please indicate if your project/programme is adversely impacted by the COVID-19 pandemic.

Yes

Please choose the severity of overall impact.

Facing delays

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.
2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.
3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.
4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

[GCF Policy on Restructuring and Cancellation](#)

Please describe an overall impact on your project/programme by the COVID-19 pandemic (100-word limit).

COVID-19 pandemic has affected projects' implementation causing disruption in on-going processes and delaying inception of GCF-financed projects. The restrictions measures taken by governments to contain the spread of the disease have impacted projects execution posing new challenges at project start-up phase.

As reported, the main impact of COVID-19 pandemic is the delay in project start-up (Inception workshop) and consequently the low financial delivery as most of the field activities have been re-scheduled for CY2021.

Provide a short description of the adverse impact on your project/programme and provide references or supporting materials in the Annexes and Attachments sections as relevant.

Please describe details of challenges encountered and corrective/mitigation measures taken.

Select a type of the challenges encountered.

Field Activities

Describe details of the challenge encountered.

Restrictions imposed as a consequence of the COVID-19 pandemic delayed some important processes for the Project. E.g. Delay in procurement due to difficulties with suppliers under current conditions, delay in the completion of the Inception Workshop and changes in modalities for meetings, workshops, etc.

Sample challenges for Field Activities:

- Delays in travels, planned training, workshops, conferences, events, and awareness-raising events
- Limited access to project sites especially outer islands
- Postponed field missions for collecting/validating information, and conducting consultations with local stakeholders
- Measures required to ensure the security and safety of workers
- Delays in pilot projects, feasibility/baseline studies

Describe details of the corrective/mitigation measures taken as much as you can.

Modalities have been sought to overcome the difficulties and be able to carry out the planned activities. The implementation plan have been adjusted accordingly.

Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.

Confirmation and Acknowledgement of Information *

* This is a required question to submit the additional section of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in the additional section on COVID-19 is complete and ready for submission.