

# Section1:GeneralInformation

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# Section 1: General Information

Please note that this is section 1 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

**1.1 Please indicate if information provided in this APR is disclosable outside the Green Climate Fund. \***

- Yes - The Accredited Entity agrees that the information reported is disclosable.
- No - The information reported is partly confidential. The disclosable version of the APR will be attached.

If you select the second option [No - The information reported is partly confidential. The disclosable version of the APR will be attached], please follow the below steps.

- Step 1: Fill in all the sections of the APR with disclosable information.
- Step 2: Save each section using the 'Open as PDF' function provided in the top-right corner. (Do NOT submit an APR at this step).
- Step 3: Attach all these disclosable six sections, including an additional section on COVID-19, to the attachment boxes below, which will be shown once you check the second option only.
- Step 4: Update all the sections of the APR below with non-disclosable information.
- Step 5: Submit the non-disclosable APR with an attachment of the disclosable APR in the PDF format.

**Please attach the disclosable Section 1 of the Annual Performance Report**

Section\_1\_General\_Information(2).pdf

**Please attach the disclosable Section 2 of the Annual Performance Report**

Section\_2\_Implementation\_Progress(4).pdf

**Please attach the disclosable Section 3 of the Annual Performance Report**

Section\_3\_Financial\_Information(2).pdf

**Please attach the disclosable Section 4 of the Annual Performance Report**

Section\_4\_Environmental\_and\_Social\_Safeguards\_&\_Gender(1).pdf

**Please attach the disclosable Section 5 of the Annual Performance Report**

Section\_5\_Annexes(1).pdf

Please attach multiple documents, if required.

**Please attach the disclosable Section 6 of the Annual Performance Report**

Section\_6\_Attachments(1).pdf

Please attach multiple documents, if required.

**Please attach the disclosable Additional Section on COVID-19 of the Annual Performance Report**

Additional\_Section\_COVID-19\_Impact(1).pdf

**1.2 Please indicate if this report has been shared with the relevant NDA(s) for this Funded Activity**

Yes

Once the APR is created in the PPMS, please use the 'Open as PDF' function to download the report in PDF format and to share with relevant authorities (i.e. NDAs) before submission. Select 'Yes' only if shared to ALL the relevant NDA(s).

**Please Indicate the date of submission to NDA(s)**

2021-02-26

If the APR is submitted to multiple NDAs, please indicate the latest date of submission to NDA, and provide the other dates per NDA in the further explanation box below.

**Please provide further explanation, if any:**

**1.3 Funded Activity Title (Project/Programme Title)**

Strengthening the resilience of smallholder agriculture to climate change-induced water insecurity in the Central Highlands and South-Central Coast regions of Vietnam

**1.4 Funding Proposal Reference Number**

125

**1.5 Board Meeting Number**

25

**1.6 Accredited Entity contacts for this APR****Full Name**

Mr. Yusuke Taishi

**E-mail Address**

yusuke.taishi@undp.org

**Phone Number**

+66819493997

**1.7 Executing Entity(ies)****Full Name of Executing Entity**

Ministry of Agriculture and Rural Development (MARD)

**1.8 Project Duration****From**

2020-06-09

**To**

2026-06-09

**1.9 Current Year of Implementation**

1

Indicate the year number, e.g., '2'

**1.10 Annual reporting period covered in this report****From**

2020-06-09

**To**

2020-12-31

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 1 of the Annual Performance Report (APR).

 The accredited entity hereby confirms that the information provided in section 1 is complete and ready for submission.

## Section2:ImplementationProgress

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## Section 2: Implementation Progress

Please note that this is section 2 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

### 2.1 Overall (summary) project progress

The FAA became effective on 9th June 2020, with the request for the first disbursement to have been made no later than 7 September 2020. However, in light of the COVID-19 pandemic, the issuance of a new Decree No. 56/2020/ND-CP on management and use of official development assistance (ODA) and concessional loans of foreign donors. ODA decree 56 and associated delays in the approval of the project from the Prime Minister's Office, UNDP and the NDA had made a request for extension of the deadline for first disbursement on 28th August 2020. This request for was approved by the GCF Secretariat as per to letter DMA/2020/85 dated 7th September 2020, in which an extension of 270 calendar days was granted with the new deadline for requesting the first disbursement as 4th June 2021.

During this reporting period (from June 9th 2020 [FAA effectiveness] to 31st December 2020), MARD and the 5 participating provinces have been engaged in the appraisal and approval process according to the new ODA decree No. 56 in order to seek Prime Minister Approval, which is a requirement before the Project Document can be signed.

The delay in the first disbursement and the signing of the project document is inevitably expected to cause cascading delays in the implementation of the project and other project milestones, including, most recently, the submission date for the Inception Report and undertaking of necessary baseline assessments of which a request for extension was granted by the GCF Secretariat with new deadline of 9 September 2021. The implementation plan has been adjusted to account for these delays. As of now, the project plans to fast track activities during the course of the project in order to complete the project as per the original timeline and the final completion date as now remains unchanged.

Provide a narrative report describing the overall progress on the implementation of the funded activity, focusing on implementation achievements, delays, and challenges according to the planned activities. As relevant, include references to other sections of this report (including Annexes or Attachments). Include a description of key milestones of the funded activity achieved during this reporting period including any deviations from original expectations. Also, describe challenges encountered and actions undertaken to resolve these challenges, and lessons learned during the implementation, including issues related to non-compliance with GCF standards or conditions, if any. In parallel, include positive achievements and better-than-expected results. If any issues have arisen in the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project, please provide a description of those items and how they have impacted the implementation period and final targets. Kindly make sure that this section just gives an overall summary and doesn't have overlap with other sections.

### 2.2 Performance against the GCF investment criteria (summary)

As internal project appraisal and approval by the government at national level is on-going in light of the new ODA decree, no programmatic activities have been implemented in this reporting period. No assessment of the performance against the specific investment criteria can be undertaken.

Provide a narrative report describing the progress on the funded activity's performance against the GCF investment criteria framework. The performance should be compared against the initial assessment provided in the Board-approved Funding Proposal (section E). The list of the investment criteria as per the current framework is provided below. For each investment criteria outlined below, please include an assessment of current status, changes, progress and impact of the project as well as any impact of project context on the project during this reporting period against the initial baseline scenario and planned activities as per the assessment presented in the approved Funding Proposal. This sub-section 2.2 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

#### Relevant Links

[The GCF investment criteria framework](#)

##### 2.2.1 Impact Potential ?

As internal project appraisal and approval by the government at national level is on-going in light of the new ODA decree, no programmatic activities have been implemented in this reporting period. No assessment of the performance against the specific investment criteria can be undertaken.

##### 2.2.2 Paradigm shift potential ?

As internal project appraisal and approval by the government at national level is on-going in light of the new ODA decree, no programmatic activities have been implemented in this reporting period. No assessment of the performance against the specific investment criteria can be undertaken.

##### 2.2.3 Sustainable development potential ?

As internal project appraisal and approval by the government at national level is on-going in light of the new ODA decree, no programmatic activities have been implemented in this reporting period. No assessment of the performance against the specific investment criteria can be undertaken.

##### 2.2.4 Needs of the recipient ?

The project is still relevant for the recipient country, as described in the funding proposal/design stage. For the five project provinces within the two target regions - Central Highlands and the South-Central Coast - climate change is expected to severely impact agricultural productivity, primarily through reduced water availability resulting from increasing rainfall variability and the occurrence of extreme droughts. The most vulnerable population is comprised of poor/near-poor farmers, particularly women and ethnic minority farmers, growing one or two rain-fed crops on upland farms with a second particularly vulnerable group comprising smallholders cultivating one or two crops in lowlands but with limited access to irrigation and dependent on streams or wells. In terms of institutional needs, as described in the funding proposal, there is still the need to build the capacities of MARD and other institutions to provide agro-climate and market information to smallholder entrepreneurs and train trainers in climate-resilient water management and agricultural practices and systems and subsequently train farmers across the five target provinces. In terms of economic needs, according to Viet Nam's updated NDC (2020), national resources for climate change adaptation are limited and currently, state resources can only meet about 30% of the financial need. The cost of climate change adaptation is estimated to exceed 3-5% of Viet Nam's GDP in 2030. With 1.5% of its GDP spent on climate change adaptation in the 2021-2030 period, Viet Nam has to mobilise about USD 3.5 billion each year on average or USD 35 billion for the entire 2021-2030 period in addition to state resources.

##### 2.2.5 Country Ownership ?

The internal project appraisal and approval by the government at national level is on-going in light of the new ODA decree. Given that the issuance of the new ODA decree is applicable for all investments and funds channelled through Government, the resulting changes in the investment modalities have had an impact on the ongoing project appraisal. The project is now being appraised to ensure that the implementation arrangements are in compliance with the newly issued ODA decree as well as UNDP and donor requirements.

##### 2.2.6 Efficiency and Effectiveness ?

As internal project appraisal and approval by the government at national level is on-going in light of the new ODA decree, no programmatic activities have been implemented in this reporting period with GCF grant resources. Under the co-financing agreement with the ADB-Government of Viet Nam WEIDAP, co-financing was applied by the Government of Viet Nam through the WEIDAP project. This co-financing of US\$ 2,378,965 was applied to Output 1 and PMC No additional co-financing has been applied during the reporting period.

## 2.3 Project Outputs Implementation Status

## Use 'Add Row' button to add multiple outputs and/or activities reported against one output

**Project Output Name**

1. Enhanced water security for agricultural production for vulnerable smallholder farmers in the face of climate-induced rainfall variability and droughts

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 1.1 Establish large-scale irrigation infrastructure to bring irrigation water to eight farming areas across the target regions

**Status**

Activity started - progress delayed

**Implementation Progress**

2

%

**Progress for the relevant reporting period**

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Financing mechanisms and modalities have been finalized. According to the revised implementation schedule, construction work might begin in Q4 of 2021.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- 1) TOR's and Procurement process for Materials and Goods, irrigation equipment will commence
- 2) TOR's and Recruitment will commence for Construction supervision consultants;
- 3) Land acquisition will commence
- 4) Detailed engineering designs will be completed
- 5) Commencement of Construction works planned for Q4, 2021
- 6) Installation of irrigation equipment will commence

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

1. Enhanced water security for agricultural production for vulnerable smallholder farmers in the face of climate-induced rainfall variability and droughts

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 1.2 Establish last-mile connections between WEIDAP irrigation infrastructure and the poor and near poor farmer lands to help cope with increasing rainfall variability and drought

**Status**

Activity not yet due

**Implementation Progress**

0

%

**Progress for the relevant reporting period**

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Although the project implementation has started, the internal government appraisal and approval process is on-going and progressing well. It is expected that actual project activities will commence in June 2021 after receiving the first disbursement. Programmatic progress will be updated in the next APR.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- 1) Final of TORs will be available for consultancy/professional services for i) Survey and Final design of 4,765 last mile connections and distribution systems; ii) Recommendation on construction schedule; and iv) Formulation of sub-contracts for design specification, construction, installation and training;
- 2) Procurement of consultancy/professional services of a firm will be completed.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.



**Project Activity Name**

Activity 2.1 Investments in inputs and capacities to scale up climate-resilient cropping systems and practices (soil, crop, land management) among smallholders through Farmer Field Schools

**Status** Activity not yet due **Implementation Progress** 0 %

**Progress for the relevant reporting period**

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Although the project implementation has started, the internal government appraisal and approval process in on-going and progressing well. It is expected that actual project activities will commence in June 2021 after receiving the first disbursement. Programmatic progress will be updated in the next APR.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- 1) TORs will be available and procurement for trainers/technical specialists for Training of Trainers will be completed i.e. i) Provincial level DARD extension staff on the CRA package; and ii) District level training for 30 Lead farmers; Commune level training for 30 Lead farmers
- 2) TORs will be available and procurement process will commence for consultancy services of a Technical expert/NGO for mapping [vetting] and networking of water efficiency technology providers. This expert will be common for sub-activities 1.4 and 2.1 at 50% each
- 3) TORs will be available and procurement process will commence for consultancy services for conducting the Risk and vulnerability assessments in the five representative provinces
- 4) Impact assessment baseline survey (Output 2) will be completed

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

2. Increased resilience of smallholder farmer livelihoods through climate-resilient agriculture and access to climate information, finance, and markets

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 2.2 Technical assistance for enhancing access to markets and credit for sustained climate-resilient agricultural investments by smallholders and value chain actors

**Status** Activity not yet due **Implementation Progress** 0 %

**Progress for the relevant reporting period**

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Although the project implementation has started, the internal government appraisal and approval process in on-going and progressing well. It is expected that actual project activities will commence in June 2021 after receiving the first disbursement. Programmatic progress will be updated in the next APR.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- 1) TORs will be available and procurement process will commence for consultancy services of a resource expert for i) develop CIP manuals/guidebooks; ii) analyze climate resilient value chains and develop CIP strategy and workplans and iii) Facilitating the CIPs at provincial and sub-project district level; iv) Develop and design workshop materials and workshop/fair format
- 2) Contractual services will commence for i) organizing the provincial CIP meetings, once per year across the 5 provinces; ii) the 14 district CIPs over 6-year period and iii) organizing district farmer trade fairs biennially
- 3) TORs will be available and procurement process will commence for Contractual services of an NGO or service provider(s) for organizing of 120 workshops for small holder farmers in the 60 communes, with the participation of key government credit providers in each of the 14 CIP sub-projects.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

**2. Increased resilience of smallholder farmer livelihoods through climate-resilient agriculture and access to climate information, finance, and markets**

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 2.3. Co-development and use of localized agro-climate advisories by smallholders to enhance climate-resilient agricultural production

**Status**

Activity not yet due

**Implementation Progress**

0

%

**Progress for the relevant reporting period**

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Although the project implementation has started, the internal government appraisal and approval process is on-going and progressing well. It is expected that actual project activities will commence in June 2021 after receiving the first disbursement. Programmatic progress will be updated in the next APR.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

1) TORs will be available and procurement process will commence for Technical expert for i) training 50 provincial Hydromet/DARD staff on generating and interpreting down-scaled forecasts for use in agricultural planning; ii) Providing technical assistance for the formation ACIS technical groups and training of 420 participants at district level; Agro-climate Information service training for 420 participants at district level; iii) Co-develop, through Participatory, Scenario Planning (PSP) of seasonal and 10-day/15-day agro-climate advisories with smallholder farmers;

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

2.4 Progress Update on the Logic Framework Indicators

Values of Baseline, mid-term target and final targets should be the same from the approved funding proposals unless calculation methodologies were revised in agreements with the GCF. Please attach a supporting document(s) describing the calculation methodology of the current value of all the indicators in Section 6; the indicators cover core, impact, outcome, and output levels. If there is a change in the methodology, you need to include clear justifications for the change and changed values as compared to the previous year.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

**2.4.1 Core Indicators**

**Select applicable core indicators**

- Mitigation Core Indicator 1 - Tonnes of carbon dioxide equivalent (tCO2eq) reduced as a result of GCF funded project/programme
- Mitigation Core Indicator 2 - Cost per tCO2eq decreased for GCF funded project/programme
- Mitigation Core Indicator 3 - Volume of finance leveraged by GCF funding (Disaggregated by public/private source)
- Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population

**Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)**

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

|   |                                      |
|---|--------------------------------------|
| <b>Baseline</b>                                   | <b>Baseline (% of female)</b>        |
| <input type="text" value="0"/>                    | <input type="text" value=""/>        |
| <b>Current Value</b>                              | <b>Current Value (% of female)</b>   |
| <input type="text" value="0"/>                    | <input type="text" value=""/>        |
| <b>Mid-term Target</b>                            | <b>Mid-term Target (% of female)</b> |
| <input type="text" value="84912"/>                | <input type="text" value="50"/>      |
| <b>Final Target</b>                               | <b>Final Target (% of female)</b>    |
| <input type="text" value="222412"/>               | <input type="text" value="50"/>      |
| <b>Remarks (including changes, if any)</b>        |                                      |
| <input type="text" value="No progress to date."/> |                                      |

**Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)**

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

|   |                                      |
|---|--------------------------------------|
| <b>Baseline</b>                                   | <b>Baseline (% of female)</b>        |
| <input type="text" value="0"/>                    | <input type="text" value=""/>        |
| <b>Current Value</b>                              | <b>Current Value (% of female)</b>   |
| <input type="text" value="0"/>                    | <input type="text" value=""/>        |
| <b>Mid-term Target</b>                            | <b>Mid-term Target (% of female)</b> |
| <input type="text" value="13852"/>                | <input type="text" value="50"/>      |
| <b>Final Target</b>                               | <b>Final Target (% of female)</b>    |
| <input type="text" value="335252"/>               | <input type="text" value="50"/>      |
| <b>Remarks (including changes, if any)</b>        |                                      |
| <input type="text" value="No progress to date."/> |                                      |

**Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population (Units: percentage %)**

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

**Share of direct beneficiaries relative to total population**

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0 %      | 0 %           | 4 %             | 10.1 %       |

**Share of female direct beneficiaries relative to total population**

| Baseline (female) | Current Value (female) | Mid-term Target (female) | Final Target (female) |
|-------------------|------------------------|--------------------------|-----------------------|
|                   |                        |                          |                       |

**Share of indirect beneficiaries relative to total population**

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0 %      | 0 %           | 4 %             | 10.1 %       |

**Share of female indirect beneficiaries relative to total population**

| Baseline (female) | Current Value (female) | Mid-term Target (female) | Final Target (female) |
|-------------------|------------------------|--------------------------|-----------------------|
|                   |                        |                          |                       |

**Remarks (including changes, if any)**

% of total population for 5 provinces

No progress to date.

**2.4.2 Impact Indicators**

**Select applicable impact indicators**

- M1.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased low-emission energy access and power generation
- M2.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased access to low-emission transport
- M3.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of buildings, cities, industries and appliances
- M4.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of sustainable management of forests and conservation and enhancement of forest carbon stocks
- A1.1 Change in expected losses of lives and economic assets due to the impact of extreme climate-related disasters in the geographic area of the GCF intervention
- A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.)
- A1.3 Number of Fund funded projects/programmes that supports effective adaptation to fish stock migration and depletion due to climate change
- A2.1 Number of males and females benefiting from introduced health measures to respond to climate-sensitive diseases
- A2.2 Number of food secure households (in areas/periods at risk of climate change impacts)
- A2.3 Number of males and females with year round access to reliable and safe water supply despite climate shocks and stresses
- A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (reported where applicable)
- A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change
- A4.2 Value of ecosystem services generated or protected in response to climate change

**A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.) (Units: number of individuals and percentage %)**

Please provide ex-post 'Current Value' on a cumulative basis.

|  |                                      |
|--|--------------------------------------|
| <b>Baseline</b>                            | <b>Baseline (% of female)</b>        |
| 0  | %                                    |
| <b>Current Value</b>                       | <b>Current Value (% of female)</b>   |
| 0  | %                                    |
| <b>Mid-term Target</b>                     | <b>Mid-term Target (% of female)</b> |
| 20372                                      | 50 %                                 |
| <b>Final Target</b>                        | <b>Final Target (% of female)</b>    |
| 50946                                      | 50 %                                 |
| <b>Remarks (including changes, if any)</b> |                                      |
| No progress to date.                       |                                      |

**A2.3 Number of males and females with year round access to reliable and safe water supply despite climate shocks and stresses (Units: number of individuals and percentage %)**

Please provide ex-post 'Current Value' on a cumulative basis.

|  |                                      |
|--|--------------------------------------|
| <b>Baseline</b>                            | <b>Baseline (% of female)</b>        |
| 0  | %                                    |
| <b>Current Value</b>                       | <b>Current Value (% of female)</b>   |
| 0  | %                                    |
| <b>Mid-term Target</b>                     | <b>Mid-term Target (% of female)</b> |
| 84912                                      | 50 %                                 |
| <b>Final Target</b>                        | <b>Final Target (% of female)</b>    |
| 222412                                     | 50 %                                 |
| <b>Remarks (including changes, if any)</b> |                                      |
| No progress to date.                       |                                      |

### 2.4.3 Project/Programme-level Outcome & Output Indicators

Please provide ex-post 'Current Value' on a cumulative basis. If you have multiple outputs to be reported against one outcome, you need to write down the same outcome name for every output. Likewise, if you have multiple indicators to be reported against one output, you need to write down the same output name and corresponding outcome name for every indicator.

Use 'Add row' button to add multiple outcomes, outputs and/or indicators.

|   |  |                        |                     |
|---|--|------------------------|---------------------|
| <b>Results Area Type</b>  | <b>Outcome Name</b>  |                        |                     |
| Adaptation  | A6.0 Increased generation and use of climate information in decision- making |                        |                     |
| <b>Output Name (under the afore-mentioned outcome)</b>  |  |                        |                     |
|   |  |                        |                     |
| Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.   |  |                        |                     |
| <b>Indicator Name</b>   |  |                        |                     |
| 6.2 Use of climate information products/servi ces in decision- making in climate- sensitive sectors   |  |                        |                     |
| <b>Unit</b>   |  |                        |                     |
| #   |  |                        |                     |
| <b>Baseline</b>   | <b>Current Value</b>   | <b>Mid-term Target</b> | <b>Final Target</b> |
| 0   | 0  |                        |                     |
| <b>Remarks (including changes, if any)</b>  |  |                        |                     |
| No progress to date.<br><br>Baseline: 0<br>Current value: 0<br>Midterm targets:<br>All ACIS technical working groups meet criteria 1<br>10 ACIS technical working groups meet criteria 2 for all training events organized<br><br>Final targets:<br>All ACIS technical working groups meet criteria 3<br>Members of ACIS technical working groups score 80% for criteria 4<br>Members of ACIS technical working groups score 80% for criteria 5 |  |                        |                     |

|  |   |                        |                     |
|--|---|------------------------|---------------------|
| <b>Results Area Type</b>   | <b>Outcome Name</b>   |                        |                     |
| Adaptation   | A7.0 Strengthened adaptive capacity and reduced exposure to climate risks |                        |                     |
| <b>Output Name (under the afore-mentioned outcome)</b>   |   |                        |                     |
|  |   |                        |                     |
| Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.  |   |                        |                     |
| <b>Indicator Name</b>  |   |                        |                     |
| 7.1 Use by vulnerable households, communities, business and public-sector services of Fund supported tools, instruments, strategies and activities to respond to climate change and variability                |   |                        |                     |
| <b>Unit</b>  |   |                        |                     |
| On average, % increase from the baseline in crop productivity for both WEIDAP and GCF beneficiary farmers trained through the FFs  |   |                        |                     |
| <b>Baseline</b>  | <b>Current Value</b>  | <b>Mid-term Target</b> | <b>Final Target</b> |
|  |   | 6                      | 20                  |
| <b>Remarks (including changes, if any)</b>   |   |                        |                     |
| Baseline to be established during inception through baseline survey (sampling) and reported/agreed to the satisfaction of the GCF in the project inception report, which is due no later than 9 September 2021 |   |                        |                     |

|   |  |  |  |
|---|--|--|--|
| <b>Results Area Type</b>  | <b>Outcome Name</b>  |  |  |
| Adaptation  | A8.0 Strengthened awareness of climate threats and risk- reduction processes |  |  |
| <b>Output Name (under the afore-mentioned outcome)</b>  |  |  |  |
|   |  |  |  |
| Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level. |  |  |  |

**Indicator Name**  
8.1 Number of males and females made aware of climate threats and related appropriate responses

**Unit**  
# males and # females

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0        | 0             | 5094            | 16980        |

**Remarks (including changes, if any)**  
No progress to date.  
Midterm targets: Female: 2,547 Male: 2,547 Total: 5,094  
Final targets: Female: 8,490 Male: 8,490 Total: 16,980

**Results Area Type**  
Adaptation

**Outcome Name**

**Output Name (under the afore-mentioned outcome)**  
Output 1. Enhanced water security for agricultural production for vulnerable smallholder farmers in the face of climate- induced rainfall variability and droughts

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
1.1 Number of irrigated hectares of farmland served by modernized irrigation systems

**Unit**  
hectares

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0        | 0             | 4800            | 19200        |

**Remarks (including changes, if any)**  
Initial work has started by WEIDAP project. On track.

**Results Area Type**  
Adaptation

**Outcome Name**

**Output Name (under the afore-mentioned outcome)**  
Output 1. Enhanced water security for agricultural production for vulnerable smallholder farmers in the face of climate- induced rainfall variability and droughts

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
1.2 Number of hectares of farmland climate- proofed through last mile connections

**Unit**  
hectares

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0        | 0             | 572             | 1430         |

**Remarks (including changes, if any)**  
No progress to date

**Results Area Type**  
Adaptation

**Outcome Name**

**Output Name (under the afore-mentioned outcome)**  
 Output 1. Enhanced water security for agricultural production for vulnerable smallholder farmers in the face of climate- induced rainfall variability and droughts

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
 1.3 Number of rain-fed hectares exhibiting water harvesting and conservation measures

**Unit**  
 hectares

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0        | 0             | 1975            | 4938         |

**Remarks (including changes, if any)**  
 No progress to date.

**Results Area Type**  
 Adaptation

**Outcome Name**

**Output Name (under the afore-mentioned outcome)**  
 Output 1. Enhanced water security for agricultural production for vulnerable smallholder farmers in the face of climate- induced rainfall variability and droughts

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
 1.4 Application of water efficient techniques and practices by farmers

**Unit**  
 % smallholder farmers trained

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0        | 0             | 25              | 60           |

**Remarks (including changes, if any)**  
 No progress to date.  
 Midterm target:  
 25% of smallholder farmers trained through FFSs report switching to Micro- irrigation techniques (Drip or sprinkler systems)  
 25% of rain- fed smallholder farmers trained through FFSs report switching to scheduling technique, cover crops and mulches.  
 Final target:  
 60% of smallholder farmers trained through FFSs report switching to Micro- irrigation techniques (Drip or sprinkler systems)  
 60% of rain-fed smallholder farmers trained through FFSs report switching to scheduling technique, cover crops and mulches.

**Results Area Type**  
 Adaptation

**Outcome Name**

**Output Name (under the afore-mentioned outcome)**  
 Output 2. Increased resilience of smallholder farmer livelihoods through climate- resilient agriculture and access to climate information, finance, and markets

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
 2.1 % smallholder farmers adjusting their planting times based on climate advisories

**Unit**  
 % smallholder farmers

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0        | 0             | 25              | 60           |

**Remarks (including changes, if any)**

No progress to date.  
 Midterm target: 25% smallholder farmers adjusting their planting times based on climate advisories  
 Final target: 60% smallholder farmers adjusting their planting times based on climate advisories

**Results Area Type**  
Adaptation

**Outcome Name**

**Output Name (under the afore-mentioned outcome)**  
Output 2. Increased resilience of smallholder farmer livelihoods through climate- resilient agriculture and access to climate information, finance, and markets

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
2.2 % smallholder farmers switching to climate resilient crop varieties and/or diversifying crop portfolio based on climate advisories

**Unit**  
% smallholder farmers

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0        | 0             | 25              | 60           |

**Remarks (including changes, if any)**

No progress to date.  
 Midterm target: 25% smallholder farmers switching to climate resilient crop varieties and/or diversifying crop portfolio based on climate advisories  
 Final Target: 60% smallholder farmers switching to climate resilient crop varieties and/or diversifying crop portfolio based on climate advisories

**Results Area Type**  
Adaptation

**Outcome Name**

**Output Name (under the afore-mentioned outcome)**  
Output 2. Increased resilience of smallholder farmer livelihoods through climate- resilient agriculture and access to climate information, finance, and markets

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**  
2.3 % Women participation and decision- making in CIPs

**Unit**  
%

| Baseline | Current Value | Mid-term Target | Final Target |
|----------|---------------|-----------------|--------------|
| 0        | 0             | 20              | 50           |

**Remarks (including changes, if any)**

No progress to date.

2.5 Report on changes during implementation (include actual and expected changes)

After the approval of the project by the Board of GCF, the government internal approval process started as per ODA Decrees No. 16, based upon which the GCF funding proposal was developed. However, on 25th May, a new decree (No. 56) on ODA management was issued with immediate effect, requiring a full integration of ODA projects into state budget both at central and provincial levels. The internal government appraisal and approval process is continuing based on the current ODA decree as of end December 2020 and is expected to be final-ized no later than end of May 2021.

Describe changes to the project during the reporting period. In particular, the report should cover elements such as change of beneficial ownership structure, management changes of the Accredited Entity, policies and other elements relevant for the project, and any other material change that could influence the overall outcome of the project.

## 2.6 Implementation challenges and lessons learned

**Challenge encountered**

After the approval of the project by the Board of GCF, UNDP started facilitating the government internal approval process as per ODA Decrees No. 16, based upon which the GCF funding proposal was developed. However, on 25th May, a new decree (No. 56) on ODA management was issued with immediate effect, requiring a full integration of ODA projects into state budget both at central and provincial levels. The new provisions on project design and approval of Decree 56 are significantly different and the guidelines for the implementation are not issued as of 28 August 2020; leaving vague a number of areas e.g., Alignment of ODA funding to the state budget, medium-term investment framework and the next five-year plans for 2021-2025.

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

| Challenge type | Impact on the project implementation |
|----------------|--------------------------------------|
| Legal          | High                                 |

**Measures adopted**

On-going discussions have taken place between the Executing Entity, Accredited Entity, and the Ministry of Planning and Investment, to ensure alignment with the new ODA decree.

**Lesson learned and other remarks**

The AE is continuing to actively discuss with the Minister of MARD (EE) and the Prime Minister's Office to accelerate internal project approval by the government based on the new ODA decree which is required for Project Document signature for submission as one of the conditions precedent to 1st disbursement revised deadline no later than 4 June 2021.

**Challenge encountered**

COVID19 induced delays: Since the GCF approval of the project on 11 March 2020, the COVID-19 situation has been posing significant challenges in Viet Nam. The sudden resurgence of COVID-19 in July 2020 added further delays. At the moment, containment of the COVID spread is of paramount priority for all levels of government. There continues to be a threat of national spread and the government is addressing it as its most important priority at all levels.

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

| Challenge type | Impact on the project implementation |
|----------------|--------------------------------------|
| Operational    | Moderate                             |

**Measures adopted**

Virtual meetings have been adopted to ensure discussion between AE and EE continue with little impact.

**Lesson learned and other remarks**

The Government of Viet Nam continues to actively respond to COVID-19. Containing the threat of resurgence of COVI-19 community transmission is of paramount importance, and with effective management, there has been no large-scale community transmission since September 2020. Social distancing policies are no more in place. The internal government appraisal and approval process as per the new ODA decree is on-going with little to no impacts.

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 2 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 2 is complete and ready for submission.

## Section3:FinancialInformation

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# Section 3: Financial Information

Please note that this is section 3 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

## 3.1 Approved Budget for entire project period as per FAA

Currency

### GCF Funding (Equity)

### GCF Funding (Grants)

### GCF Funding (Guarantees)

### GCF Funding (In-kind)

### GCF Funding (Loans)

### GCF Funding (Results-Based Payment)

### 3.1.1 Total GCF Funding

Please confirm if the afore-mentioned values are different as per your knowledge.

## 3.2 Co-financing

Currency

### Co-financing (Equity)

### Co-financing (Grants)

### Co-financing (Guarantees)

### Co-financing (In-kind)

### Co-financing (Loans)

### Co-financing (Results-Based Payment)

### 3.2.1 Total Co-financing

Please confirm the afore-mentioned values are different as per your knowledge.

**3.3 Disbursements Details (Cumulative to this reporting period)**

**3.3.1 Total GCF Disbursement**

**Currency**



**GCF Equity Disbursement**

**GCF Grants Disbursement**

**GCF Guarantees Disbursement**

**GCF In-kind Disbursement**

**GCF Loans Disbursement**

**GCF Results-Based Payment Disbursement**

**Please confirm the afore-mentioned values are different as per your knowledge.**

**3.3.2 Co-Financing Disbursement**

**Choose currency**



Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**3.3.3 Total Project Disbursement**

**Choose currency**



Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

**Please provide comments on sub-section 3.3, if any.**

### 3.4 Expenditure details (Cumulative to this reporting period)

Choose currency

USD

#### GCF Equity Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

#### GCF Grants Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

#### GCF Guarantees Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

#### GCF Loans Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

#### 3.4.1 GCF Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

#### 3.4.2 Co-financing Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

#### 3.4.3 Total Project Expenditures

Please provide comments on sub-section 3.4, if any.

### 3.5 Investment & Other Income (Cumulative to this reporting period)

#### Reporting Level for investment

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

Choose currency

Please select

- Project Level
- Accredited Entity Portfolio Level

#### Accredited Entity Portfolio Level Investment & Other Income

Please provide comments on sub-section 3.5, if any.

### 3.6 Report on AE fees (Cumulative to this reporting period)

#### Reporting Level for AE fees

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

Choose currency

Please select

- Project Level
- Accredited Entity Portfolio Level

#### Accredited Entity Portfolio Level AE Fees

**Please provide comments on sub-section 3.6, if any.**

Please see AE portfolio report

### 3.7 Annual Financial Performance Report

**Please download the Financial Performance Report Template in Excel.**

[Financial Performance Report Template](#)

This sub-section 3.7 is not applicable for REDD+ Results-Based Payments Projects. Please provide a separate 'Financial Progress Details' in Section 6.

**Please attach the Annual Financial Performance Report here.**

Viet Nam\_6117\_APR\_2020\_SECTION-3\_cleared by \_DP\_ MPSA 19Feb2021.xlsx

**Please provide comments on the attachment.**

#### **Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 3 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 3 is complete and ready for submission.

## Section 4: Environmental and Social Safeguards & Gender

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## Section 4: Environmental and Social Safeguards & Gender

Please note that this is section 4 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

### 4.1 Implementation of environmental and social safeguards and gender elements

Please provide information on the project or programme on the following: (1) key risks and impacts as identified; (ii) compliance with applicable laws and regulations including FAA conditions and covenants; and (3) progress in the implementation of environmental and social management plans and programs including monitoring activities undertaken during the implementation of the funded activity.

**4.1.1 The information includes description on any changes in the key environmental and social risks and impacts as identified and arising from the implementation including any unanticipated risks and impacts (ex. from changes in laws and regulations) and, based on these if any change in the project's environmental and social risk category. In case of a change in the E&S risk category for the project, please provide an explanation.**

Currently, there are no changes to report in the key environmental and social risks and impacts as identified and arising from the implementation. Any future changes will be reported in the next APR.

**4.1.2 The information should include status of compliance with applicable laws and regulations of the country as well as the relevant conditions or covenants under the FAA. This can be captured in the table below:**

**Status of compliance with applicable laws and regulations and the conditions and covenants specifically addressing ESS & Gender under FAA**

|   |
|---|
| <b>Compliance Type</b>  |
| Condition   |
| <b>Compliance Title &amp; Description</b>   |
| <p>FAA Clause 9.01</p> <p>(b) Conditions precedent to first Disbursement:</p> <p>(iv) Delivery to the Fund by the Accredited Entity of an Indigenous Peoples/Ethnic Minorities Plan/Planning Framework.</p> |
| <b>Status of compliance</b>   |
| UNDP is preparing the Indigenous Peoples Plan and will submit to the GCF Secretariat in advance of the 1st disbursement request submission.   |

|  |
|--|
| <b>Compliance Type</b>   |
| Covenant   |
| <b>Compliance Title &amp; Description</b>  |
| <p>FAA Clause 10.02</p> <p>[ . . . ]</p> <p>(e) Ensure that the infrastructure to be implemented as part of the Funded Activity (i) are designed, constructed, operated and decommissioned in accordance with good industry practices and any other applicable standards which, in each case, shall be consistent with the practices of other international organizations working in the region, and taking into consideration health and safety risks to third parties or affected communities, and (ii) the quality of such infrastructure is in accordance with best practices followed by international organizations in the region;</p> <p>(f) Undertake and/or put in place any adequate measures in order to ensure that the management of the environmental and social risks and impacts arising from the Funded Activity complies at all times the recommendations, requirements and procedures set forth in the Environmental and Social Management Framework, which was provided by the Accredited Entity to the Fund before the Approval Decision and which shall not be amended, abrogated or waived without prior written approval of the Fund;</p> <p>(g) Ensure that the GCF Proceeds will not support or finance, directly or indirectly, any activities with potential environmental and social risks that are equivalent to category A pursuant to the Environmental and Social Risks Categories to be conducted as part of the Project;</p> <p>(h) Obtain, or ensure that the Executing Entity shall acquire, all land and rights in respect of land that are required to carry out the Funded Activity and shall promptly furnish to the GCF, upon its request, evidence that such land and rights in respect of the land are available for the purposes of the Funded Activity;</p> <p>(i) Prior to commencing any construction works or activities for the implementation of the Project, the Accredited Entity shall submit to the Fund the detailed Environmental and Social Management Plan related to the relevant construction works or activities to be executed, in a form and substance satisfactory to the GCF;</p> <p>(j) Prior to commencing any activities that have potential application of the Accredited Entity's environmental and social standards on indigenous peoples, furnish to the GCF, the Indigenous Peoples Plan and evidence that free, prior and informed consent from the indigenous peoples and communities has been obtained for the purposes of the relevant activities;</p> <p>(k) Undertake and/or put in place any adequate measures and dedicate the necessary financial, human and other resources in order to ensure that the Funded Activity complies at all times with the recommendations and requirements of the Gender Action Plan, which shall comply with the Accredited Entity's own gender policy, which is equivalent to the Updated Gender Policy, and the Gender Action Plan shall not be amended, abrogated or waived without prior written approval of the Fund;</p> |
| <b>Status of compliance</b>  |
| <p>FAA Clause 10.02</p> <p>(e) UNDP will ensure this throughout the implementation of the project.</p> <p>(f) No relevant activities that required ESMF measures began in 2020. In future, adequate measures will be undertaken during the implementation of the project.</p> <p>(g) UNDP will continue to ensure that, during the implementation of the project, GCF Proceeds will not support or finance any activities with category A risk.</p> <p>(h) All land in Vietnam belongs to the population as a whole. The state administers the land on its behalf, and citizens and organizations rely upon land-use rights but do not own land. None of the GCF grant funded interventions will require the resettlement of people i.e. there will be no loss of homes or similar infrastructure. Land for installation of the WEIDAP major pipelines, pump stations and hydrants will be required and households are likely to benefit overall from better access to water for irrigation. However, in the less likely event that the households are potentially affected, they will be compensated for the loss of land in accordance to the WEIDAP "Resettlement Plan" in case of significant unanticipated loss of productivity or loss of land occurs as a result of the project. In the case of individual ponds and shared household ponds, the contributing household will retain ownership of the ponds, and the project will facilitate the reaching of an agreement that will be signed among the households pertaining exclusively to usage of water from the ponds and the terms and conditions on how households share the water in the shared ponds.</p> <p>(i) The detailed ESMF will be submitted to the GCF, prior to commencing any construction works or activities for the implementation of the Project.</p> <p>(j) UNDP will submit the indigenous peoples plan and evidence of FPIC (if/when required) prior to commencing any activities that have potential application of UNDP's SES Standard 6 on indigenous peoples.</p> <p>(k) Adequate measures will be undertaken and necessary financial, human and other resources are being dedicated to ensure that the Funded Activity complies at all times with the recommendations and requirements of the Gender Action Plan.</p>  |

|                        |
|------------------------|
| <b>Compliance Type</b> |
| Law / Regulation       |

**Compliance Title & Description**

Decree No. 56/2020/ND-CP of the Government on management and use of official development assistance and concessional loans of foreign donors (2020)

**Status of compliance**

The EE and the 5 participating provinces have been engaged in the appraisal and approval process according to the new ODA decree No. 56 in order to seek Prime Minister Ap-proval, which is a requirement before the Project Document can be signed.

**4.1.3 Provide a report on the progress made in implementing environmental and social management plans (ESMPs) and frameworks (ESMFs) describing achievements, and specifying details outlined in the tables below.**

**Implementation of ESMPs and ESMFs**

**Activities implemented during the reporting period, including monitoring**

The AE and EE drafted the IPPF as per condition precedent to the request for the first disbursement. The finalization of the IPPF is on-going and will be submitted to GCF by end of Q1/beginning of Q2. No programmatic activities implemented during the reporting period.

**Outputs during the reporting period**

n/a

**Key environmental, social and gender issues, risks and impacts addressed during implementation**

n/a

**Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention**

n/a

**4.1.4 Provide information on how the GCF Independent Redress Mechanism, as well as the AE's GRM (e.g. contact details, accessibility, and basic procedures of such mechanisms), is brought to the attention of executing entities, people, and beneficiaries in the project target area and the public in accordance with the relevant ESMS/ESIA.**

The ESMF provides a Grievance Redress Mechanism (GRM) for those that may be impacted by the projects that do not consider their views have been heard. The Safeguards officer in the Project Management Unit (PMU) will be designated as the key officer in charge of the GRM. The GRM will be established at the time of establishing of the PMU, which will occur after Prime Minister approval.

**4.1.5 Include a description of the actions undertaken towards increasing the relevant stakeholders' engagement in the project environmental, social and gender elements.**

The information in this subsection should be provided for all projects regardless of the E&S risk category for the project.

**Implementation of the stakeholder engagement plan**

|   |
|---|
| <p><b>Activities implemented during the reporting period</b></p> <p>No programmatic activities implemented during the reporting period.</p> |
| <p><b>Dates and venues of engagement activities</b></p> <p>n/a</p>  |
| <p><b>Information shared with stakeholders</b></p> <p>n/a</p>   |
| <p><b>Outputs including issues addressed during the reporting period</b></p> <p>n/a</p>   |

**4.1.6 Implementation of the grievance redress mechanism - list on the grievances received in the reporting period with the description of the grievance, the date the grievance was received, and the resolution of the grievance.**

|   |  |
|---|--|
| <p><b>Description of issues/complaints received during the reporting period</b></p> <p>Nothing to report.</p> | <p><b>Date of receipt</b></p> <p></p>                        |
| <p><b>Description of resolution</b></p> <p></p>   | <p><b>Status of addressing issues/complaints</b></p> <p></p> |

**4.2 Gender Action Plan**

No programmatic activities have been undertaken in the reporting, therefore no activities in the gender action plan have commenced. Progress on the indicators and targets will be reported in next APR.

Provide a progress report on the gender action plan developed during project preparation stage for the reporting period. This will primarily be a report on activities undertaken and results achieved as a result of completion of an activity. Further it should also indicate if the project is on track to achieving the intended outcome(s). The reporting should be done for activities, targets and indicators already set in the action plan including on vulnerable groups (youth, poor, female heads of households, etc.) as would have been identified in the gender analysis and action plan. If activities or targets are not achieved as per plan, reasons should be provided, and recourse action should be proposed. Please include a reporting on any changes or deviations. Include a Report on implementation challenges and lessons learnt and how these will inform on-going actions and what action will be taken by when to address the challenges faced. Incorporate both quantitative data and qualitative report of the performance of such actions, and on progress on actions identified.

#### 4.2.1 Progress on implementing the project-level gender action plan submitted with the funding proposal

|  |  |
|--|--|
| <b>Activity / Action</b>   |  |
| Output 1: Enhanced water security for agricultural production for vulnerable smallholder farmers in the face of climate-induced rainfall variability and droughts  |  |
| 1.1. Establish large-scale irrigation infrastructure to bring irrigation water to eight farming areas across the target regions in the five provinces  |  |
| Each commune in the subproject area will be trained with two collaborators of whom at least 1 will be female, with particular attention to ethnic minority women. Invite and enable local Women's Union representatives to participate in stakeholder consultation meetings on the water fee collection framework. |  |
| <b>Indicator</b>   |  |
| a) Indicator: % of female participants and % of ethnic minority participants<br>b) Indicator: % of female participants and % of ethnic minority participants<br>Baseline: 0<br>c) Indicator: % of newly recruited female staff in the project command areas  |  |
| <b>Baseline</b>  | <b>Target, including sex-disaggregation</b>  |
| 0  | a) Target: At least 50% of female participants and 20% of ethnic minority participants from within the project area<br>b) At least 35% female participants and 20% of ethnic minority participants from within the project area.<br>c) At least 35% of newly recruited irrigation staff for the project command areas will be women. |
| <b>Budget</b>  | <b>Currency</b>  |
| 0  | USD  |
| <b>Report on annual progress</b>   |  |
| no progress to date  |  |

|   |  |
|---|--|
| <b>Activity / Action</b>  |  |
| Output 1: Enhanced water security for agricultural production for vulnerable smallholder farmers in the face of climate-induced rainfall variability and droughts   |  |
| 1.3. Enhance supplementary irrigation for rain fed smallholders to cope with rainfall variability and drought   |  |
| DARDs organise public consultation with local women and ethnic minorities on their needs in the context of rainfed agriculture. Develop information leaflets in ethnic languages to guide women and ethnic groups on the selection criteria of project beneficiaries, including prioritisation for all poor and nearly poor female-headed households and poor ethnic households to benefit from the project support to improve water storage. |  |
| The training will be designed with inputs from poor, minority groups and VWU, FU and CEM; training by DARDs will be accompanied by representatives from VWU, FU and CEM to provide additional support to women and minority groups.   |  |
| Support women-led and ethnic-led initiatives in establishing and managing the local financing schemes for climate resilient water resource investment and O&M; (link to 1.2.3).   |  |
| Women will be encouraged to assume leadership of Pond Management Groups, and PMGs will ensure participation of female-headed households.  |  |
| <b>Indicator</b>  |  |
| a) Indicator: % of woman-headed households and % of ethnic minority-headed households receiving support to access climate resilient ponds<br>b) Indicator: % of female participants and % of ethnic minority participants in climate-resilient water resource management training<br>c) Indicator: % of female and % of ethnic minority members in pond management groups   |  |
| <b>Baseline</b>   | <b>Target, including sex-disaggregation</b>  |
| a) Baseline: 55% of households headed by women among 16,463 target households from within the project area.<br>b) Baseline: 0<br>c) Baseline: 0   | a) Target: At least 30% of the eligible poor and near poor households headed by women and 20% of the poor ethnic households will receive essential support to access to climate change-resilient ponds<br>b) Target: At least 50% of female participants and 20% of ethnic minority participants are trained on climate change resilient water resource management<br>c) Target: Ensure at least 50% of female participants and 20% of ethnic minority members in pond management groups |

|                                  |                 |
|----------------------------------|-----------------|
| <b>Budget</b>                    | <b>Currency</b> |
| 0                                | USD             |
| <b>Report on annual progress</b> |                 |
| no progress to date              |                 |

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| <b>Activity / Action</b>   |
| <p>Output 2. Increased resilience of smallholder farmer livelihoods through climate-resilient agriculture and access to climate information, finance, and markets</p> <p>2.1. Investments in inputs and capacities to scale up climate-resilient cropping systems and practices (soil, crop, land management) among smallholders through Farmer Field Schools 2.1.1 Sensitize smallholders to establish/re-activate 900 Farmer Field Schools</p> <p>Identify specific training needs for women and ethnic groups in application of innovative climate smart agriculture practices with specific focus on agricultural commodities that they typically grow (i.e. bananas, cashews, chili, onion, garlic, etc) and other livelihood adaptation alternatives</p> <p>Tailor the training packages to address these needs via hands- on training in Farmer Field Schools</p> <p>DARD to work with VWU, village elderly, CEM, DOLISA, etc. to ensure maximum participation of women and ethnic groups in the farmer field schools</p> <p>The training includes a module on gender- and EM-differentiated needs of water resources as well as a module on gender sensitive and inclusive facilitation skills.</p> <p>Increase the number of women and ethnic trainers as the resource persons for the provinces</p> <p>Women and ethnic minority trainers (from 2.1.2) will receive additional coaching from agriculture extension trainers (DARD), VWU and CEM in the early part of the rollout of the training; the training will target specifically crops that are commonly grown by ethnic minorities.</p> <p>Introduce voucher incentive schemes for poor and near poor ethnic women and household-headed women participation in applying innovative technologies and climate resilient farming practices</p> <p>The timing of meetings will be determined by inputs from women and ethnic minorities so that they do not interfere with their other economic and social commitments</p> |

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| <b>Indicator</b>   |
| <p>a) Indicator: Availability of gender sensitive guidelines</p> <p>b) Indicator: % of female participants and % of ethnic minority participants in FFS</p> <p>c) Indicator: % of female participants and % of ethnic minority participants in training</p> <p>d) Indicator: % of female participants and % of ethnic minority participants in training</p> <p>e) Indicator: % of woman-headed household and % of ethnic minority household beneficiaries among investment support beneficiaries</p> <p>f) Indicator: % of female participants and % of ethnic minority participants in participatory auditing</p> |

|                 |   |
|-----------------|---|
| <b>Baseline</b> | <b>Target, including sex-disaggregation</b>   |
| 0               | <p>a) Target: All guideline and training materials on FFS are gender sensitive.</p> <p>b) Target: At least 35% of participants will be women and at least 20% of participants are ethnic minority.</p> <p>c) Target: At least 35% of participants are women and at least 20% of participants are ethnic minority. Target: At least 50% of participants are women and 20% of participants are ethnic minority.</p> <p>d) At least 50% of participants are women and 20% of participants are ethnic minority.</p> <p>e) Target: At least 30% and 20% of the recipient of investment support are woman-headed households and ethnic minority households, respectively</p> <p>f) Target: At least 30% and 20% of the participants in auditing exercises are women and ethnic minority members, respectively</p> |

|               |                 |
|---------------|-----------------|
| <b>Budget</b> | <b>Currency</b> |
| 90,000        | USD             |

**Report on annual progress**

no progress to date

**Activity / Action**

Output 2. Increased resilience of smallholder farmer livelihoods through climate-resilient agriculture and access to climate information, finance, and markets

2.2 Technical assistance for enhancing access to markets and credit for sustained climate- resilient agricultural investments by smallholders and value chain actors

Operational documents that guide the functioning of the CIPs will include a reference and requirement for the CIP to pay attention to the special needs of women and ethnic minorities;

The project team provides DARD chairpersons and a representative number of district CIP members a training session on gender- and EM- responsive agriculture and value chains at least twice in the course of the project

VWU to be part of the training to ensure that women's and ethnic minorities' needs are reflected in the training

LienVietPostBank, CEM and VWU to present their 'Vi Viet' e-wallet services for improved access to small credit for poor and near-poor women in the target areas, as well as ethnic minorities;

VWU in the provinces will organise investment forums for women and ethnic minority entrepreneurs

**Indicator**

a) Indicator: % of female participants and % of ethnic minority participants in CIPs

b) Indicator: % of female participants and % of ethnic minority participants in training on market linkages

c) Indicator: % of female participants and % of ethnic minority participants in training on credit access

**Baseline**

0

**Target, including sex-disaggregation**

a) Target: At least 50% and 20% of the participants in CIPs are women and ethnic minority members, respectively

b) Target: At least 50% and 20% of the participants in training events are women and ethnic minority members, respectively

c) Target: At least 50% and 20% of the participants in training events are women and ethnic minority members, respectively

**Budget**

25,000

**Currency**

USD

**Report on annual progress**

no progress to date

**Activity / Action**

Output 2. Increased resilience of smallholder farmer livelihoods through climate-resilient agriculture and access to climate information, finance, and markets

2.3 Co- development and use of localized agro-climate advisories by smallholders to enhance climate- resilient agricultural production

Provide gender sensitive training for hydromet and agriculture extension cadres

Develop gender- sensitive and user- friendly training tools to develop local agro- climate advisories for the hydromet and agriculture extension staff

Training of ACIS also includes a module on gender- and EM- sensitive agricultural activities

DARDs to work with VWU and FU to provide support to local women and ethnic champions in producing agro- climate advisory services in the regions (for both seasonal scenario planning and medium range advisories)

DARDs to undertake periodic monitoring of the receipts of advisories especially focusing on women and ethnic minority beneficiaries and apply adaptive management based on the results

|   |   |
|---|---|
| <b>Indicator</b>  |   |
| <p>a) Indicator: % of female participants in technical training</p> <p>b) Indicator: % of female participants in technical training</p> <p>c) Indicator: % of female participants and % of ethnic minority participants in scenario planning events</p> <p>d) Indicator: % of female and % of ethnic minority members among the recipient of climate advisories</p> |   |
| <b>Baseline</b>   | <b>Target, including sex-disaggregation</b>   |
| 0   | <p>a) Target: At least 35% of participants in ACIS-related training are women.</p> <p>b) Target: At least 40% of hydromet/DARD staff to complete this training courses are women</p> <p>c) Target: At least 50% and 20% of the participants in scenario planning events are women and ethnic minority members, respectively</p> <p>d) Target: At least 50% and 20% of the recipient of climate advisories are women and ethnic minority members, respectively</p> |
| <b>Budget</b>   | <b>Currency</b>   |
| 75,000  | USD   |
| <b>Report on annual progress</b>  |   |
| no progress to date   |   |

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|---|--|
| <b>Activity / Action</b>  |  |
| <p>Project management and capacity building</p> <p>CPO, DARDs, and UNDP to work together to apply a strict screening process to make sure that 30% of PMUs and CPMU staff positions are women.</p> <p>Conduct annual in depth consultation with stakeholders and Viet Nam Women's Union, Farmer Union and Council for Ethnic Minorities in the provinces to identify strategic interventions to improve women's roles in climate actions and related NDC and NAP policies in Vietnam Nam</p> <p>Document good practices to share at the relevant national and regional policy dialogues on climate change, gender and ethnic inclusivity, and integrated water management</p> |  |
| <b>Indicator</b>  |  |
| Indicator(s): % of female in PMUs   |  |
| <b>Baseline</b>   | <b>Target, including sex-disaggregation</b>  |
| Baseline: 0   | Target: 30% of PMUs and CPMU staff are women |
| <b>Budget</b>   | <b>Currency</b>                              |
| 50,000  | USD  |
| <b>Report on annual progress</b>  |  |
| no progress to date   |  |

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|--------------------------|
| <b>Activity / Action</b> |
|                          |

Output 1: Enhanced water security for agricultural production for vulnerable smallholder farmers in the face of climate-induced rainfall variability and droughts

1.2. Establish last-mile connections between WEIDAP irrigation infrastructure and the poor and near poor farmer lands to help cope with increasing rainfall variability and drought

DARDs invite local women's and ethnic minorities' participation in public consultations on design of last-mile connections

Develop information leaflets in ethnic languages to guide women and ethnic groups on the selection criteria of project beneficiaries, including the inclusive prioritisation for all female-headed households to receive last-mile connection

DARDs, in collaboration with Irrigation Department and IMC's technical staff, will work closely with FU, Committee for Ethnic Minority (CEM) and VWU to design training modules to ensure that the materials are accessible to women and ethnic minorities; ensure bi-lingual materials and other support for the user groups

The training will be designed with inputs from poor, minority groups and VWU; training by DARDs will be accompanied by representatives from VWU and CEM to provide additional support to women and minority groups.

Women will be encouraged to assume leadership of Water Users Groups, and WUGs will ensure participation of female-headed households

#### Indicator

- a) % of female participants and % of ethnic minority participants in public consultations
- b) % of eligible women-headed households having access to irrigation.
- c) % of female participants and % of ethnic minority participants in O&M training events
- d) % of female participants and % of ethnic minority participants in water user groups

#### Baseline

- a) 0
- b) 55% of household headed by women in 60 project communes from within the project area.
- c) 0
- d) 0

#### Target, including sex-disaggregation

- a) At least 50% of female participants and 20% of ethnic minority participants in public consultation on design of last-mile connections
- b) 100% of eligible female-headed households receive essential support and are able to connect with an irrigation system to cope with climate variability.
- c) At least 50% of female participants and 20% of ethnic minority participants receive clear guidance on O&M of water efficient technologies
- d) At least 35% of female participants and 20% of ethnic minority participants in the water users' groups

#### Budget

90,000

#### Currency

USD

#### Report on annual progress

no progress to date

#### Activity / Action

Output 1: Enhanced water security for agricultural production for vulnerable smallholder farmers in the face of climate-induced rainfall variability and droughts

1.4. Increase smallholder capacities to apply on-farm water efficient practices and technologies to maximize water productivity in coping with rainfall variability and drought

The training includes a module on gender- and EM-differentiated needs of water resources as well as a module on gender sensitive and inclusive facilitation skills. Increase the percentage of female and ethnic minority among number of facilitators selected by the project to facilitate the farmer field school trainings

Linked to Activity 2.1, the performance of women and ethnic minority beneficiaries in the FFS is closely monitored to make sure they are able to complete the training; depending on the results, adaptive management will be introduced.

The training on climate risks and water efficiency technology will be designed with inputs from poor, minority groups and VWU and CEM; training by DARDs will be accompanied by representatives from VWU to provide additional support to women and minority groups.

#### Indicator

- a) % of female and % of ethnic minority participants in training
- b) % of women-headed households and % of ethnic households among the recipients of water efficient technology
- c) % of woman-headed households and % of ethnic minority households in training

|  |  |
|--|--|
| <b>Baseline</b><br>a) 0<br>b) 0<br>c) 55% of women- headed house-holds among 21,228 house-holds in total from within the project area. | <b>Target, including sex-disaggregation</b><br>a) At least 35% and 20% of partici-pants in training on design, costing and O&M of cli-mate-resilient, water efficient technologies are female and ethnic minority members, respectively<br>b) At least 30% of the eligible woman-headed house-holds and 20% of the poor ethnic households re-ceive information on water efficient technology<br>c) At least 30% and 20% of the recipi-ent of training on O&M for water efficient technol-ogies are woman- headed house-holds and ethnic minority house-holds, respectively |
| <b>Budget</b><br>30,000  | <b>Currency</b><br>USD   |
| <b>Report on annual progress</b><br>no progress to date  |  |

#### 4.3 Planned activities on environmental and social safeguards for the next reporting period

The following list of activities will be implemented in the next reporting period:

- Monitoring schedule concerning ESS will be developed at time of inception (tentatively scheduled for July 2021) that shall include all actions to be completed within the next reporting period i.e. 2021.
- The IPPF will be finalized, submitted to GCF for approval, and be implemented.
- Preparations for IPP will commence prior to commencing any activities that have potential application of the Accredited Entity's environmental and social standards on indigenous peoples.
- The ESMF and its procedures will be reviewed at the time of project inception and at least every two months thereafter by UNDP staff, PMU and Responsible parties.
- Implementation of the Stakeholder Engagement Plan, specifically the holding of the National Inception Workshop and the 5 Provincial Inception Workshops will be carried out.
- The GRM will be established at PMU.
- At the inception of the project and based on the implementation schedule (which will also be updated at the inception workshop), preparations for the ESMP will commence, which will include initial assessment of each sub-project/sub-activity prior to commencing any construction works or activities for the implementation of the Project,
- Collaborate with WEIDAP project on the compliance of safeguard instruments already prepared under the WEIDAP project for implementation of activity 1.1., specifically the updating of WEIDAP's Environmental Management Plans (EMP) and resettlement and ethnic minority development plan (REMDPs) for sub-projects. Land acquisition and construction under Activity 1.1 will be carried out based on the EMP and REMDPs

Provide a list of activities in the ESMP to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products. Please include the monitoring schedule concerning ESS (including other potential vulnerable groups and indigenous people) for the next annual reporting period.

#### 4.4 Planned activities on gender elements for the next reporting period

The list of activities in the gender action that will be implemented in the next reporting period will be confirmed and validated at time of the inception work and reported in the 2021 APR.

Provide a list of activities in the gender action plan to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products including processes that will be involved to implement the activities effectively. Please include the monitoring schedule concerning gender activities for the next annual reporting period. Report on actions taken on any of the recommendations made by the secretariat (if applicable) to improve the level of integration of gender issues in the project.

#### Confirmation and Acknowledgement of Information \*

\* This is a required question to submit section 4 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 4 is complete and ready for submission.

## Section5:Annexes

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## Section 5: Annexes

Please note that this is section 5 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

### Annex 1: Updated implementation timetable for the Funded Activity

Annex 1. Implementation Schedule\_Revised\_290121.docx

Submit only if there are any changes from implementation plan submitted in the FAA.

### Annex 2: Accredited Entity compliance reports

Self-assessment reports, Report on Actions pursuant to Clause 18.02, if applicable. Self-assessment reports: In accordance with the AMA requirement in Clause 13.01 of the Accreditation Master Agreement, with the Fiduciary Principles and Standards, ESS and Gender Policy. Report on Actions pursuant to Clause 18.02: Only applicable to International Accredited Entities. In accordance with the Monitoring and Accountability Framework, a report on its actions carried out or planned to be carried out pursuant to Clause 18.02 of the Accreditation Master Agreement.

**Please provide comments on the annexes attached above if any.**

### Confirmation and Acknowledgement of Information \*

\* This is a required question to submit section 5 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 5 is complete and ready for submission.

## Section6:Attachments

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## Section 6: Attachments

Please note that this is section 6 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

Click on '+ Add row' to attach more than one document.

FP125 UNDP Viet Nam 2020 APR.docx

Submit the Unaudited/Audited financial statement and Interim/Final evaluation report (as required by FAA). Submit a supporting document for Section 2.4. (Update Progress on the Logic Framework Indicators), describing the calculation methodology for the current values provided.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects.

Other Attachments (if any). Such as additional budget-related information, loan repayment schedules to GCF (interest/principal), equity investment schedules, other related reports relevant to the Funded Activity, statements of capital account, valuation reports, credit guarantee agreements, investor reports, and others, as specified in the relevant legal agreements (e.g. Funded Activity Agreement, Shareholders Agreement)

For the Annual Performance Report of REDD+ Results-Based Payments projects, provide 'Implementation Timetable/Milestones for the next reporting period' and 'Financial Progress Details' as an attachment in this section.

Comments from AE (if any)

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 6 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 6 is complete and ready for submission.

# AdditionalSection:COVID-19Impact

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## Additional Section: COVID-19 Impact

In this additional section of the Annual Performance Report (APR), please provide an update of COVID-19 impact on your project/programme. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

**Please indicate if your project/programme is adversely impacted by the COVID-19 pandemic.**

Yes

**Please choose the severity of overall impact.**

On track with no or minor impact

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.
2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.
3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.
4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

[GCF Policy on Restructuring and Cancellation](#)

**Please describe an overall impact on your project/programme by the COVID-19 pandemic (100-word limit).**

Provide a short description of the adverse impact on your project/programme and provide references or supporting materials in the Annexes and Attachments sections as relevant.

**Please describe details of challenges encountered and corrective/mitigation measures taken.**

**Select a type of the challenges encountered.**

Field Activities

**Describe details of the challenge encountered.**

For GCF-funded activities, no programmatic activities have been implemented in the field to date and are not planned as the government internal appraisal and approval process is on-going.

For Activity 1.1, co-financed through the ADB-GOV WEIDAP project, the ADB Quality Assurance and M&E mission from the ADB HQ (The Philippines) to Viet Nam could not take place due to the Government's directive that effectively closed Viet Nam's external border (travel restriction). Furthermore, the project is currently conducting the process for selecting of consultants for some packages (bidding and selection). The commenced date for the first package will be in April 2021.

Sample challenges for Field Activities:

- Delays in travels, planned training, workshops, conferences, events, and awareness-raising events
- Limited access to project sites especially outer islands
- Postponed field missions for collecting/validating information, and conducting consultations with local stakeholders
- Measures required to ensure the security and safety of workers
- Delays in pilot projects, feasibility/baseline studies

**Describe details of the corrective/mitigation measures taken as much as you can.**

For Activity 1.1, co-financed through the ADB-GOV WEIDAP project, the ADB Quality Assurance and M&E mission from the ADB HQ (The Philippines) to Viet Nam could not take place due to the Government's directive that effectively closed Viet Nam's external border (travel restriction). Furthermore, the project is currently conducting the process for selecting of consultants for some packages (bidding and selection). The commenced date for the first package will be in April 2021.

**Select a type of the challenges encountered.**

Others

**Describe details of the challenge encountered.**

The FAA became effective on 9 June 2020, with the request for the first disbursement to have been made no later than 7 September 2020. However, in light of the COVID-19 pandemic, as well as the issuance of a new ODA decree and associated delays in the approval of the project from the Prime Minister's Office, UNDP and the NDA had made a request for extension of the deadline for first disbursement on 28th August 2020. This request for was approved by the GCF Secretariat as per to letter DMA/2020/85 dated 7th September 2020, in which an extension of 270 calendar days was granted with the new deadline for requesting the first disbursement as 4th June 2021. The internal approval and appraisal process is progressing well.

**Describe details of the corrective/mitigation measures taken as much as you can.**

With the delay in the first disbursement for the above reasons, this inevitably caused cascading delays in the implementation of the project and other project milestones, such as the inception report and baseline assessment. Therefore, a request for extension for the submission of the Inception Report and Baseline Assessments was submitted to GCF and was approved by the GCF Secretariat as per to letter DMA/2020/121 dated 15th December 2020, with a new deadline of 9 September 2021 for their submission to GCF Secretariat.

These delays will be reviewed at the time of inception report and mid-term evaluation.

**Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.**

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit the additional section of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in the additional section on COVID-19 is complete and ready for submission.