

Environmental & Social Safeguards

FP122/5 – Building a Blue Future for Ecosystems and People on the East African Coast

Blue Action Fund (BAF): GCF Ecosystem Based Adaptation Programme in the Western Indian Ocean

Kreditanstalt für Wiederaufbau (KfW)

2022-05-02



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ESS disclosure report form for subprojects

Environmental and social report(s) disclosure

Accredited entity	Kreditanstalt für Wiederaufbau (KfW)
Programme	
FP number and programme title	FP122 Blue Action Fund (BAF): GCF Ecosystem Based Adaptation Programme in the Western Indian Ocean
Sector (public or private)	Public
Location (target country and, if any, specific areas)	Madagascar, Mozambique, South Africa, and Tanzania
ESS category	Category I-2
Board decision and date	Decision B.24/09, paragraph (j)
Subproject	
Subproject title	Building a Blue Future for Ecosystems and People on the East African Coast
ESS category	Category B
Location	Administrative posts of Lúrio, Mazua, Memba, Mossuril, Matibane. These are located in Memba and Mossuril Districts, Nampula Province, Mozambique
Environmental and Social Impact Assessment (ESIA) (if applicable)	
Date of disclosure on accredited entity's website	N/A
Language(s) understandable to affected peoples	N/A
Link to disclosure	N/A
Other link(s)	N/A
Remarks on compliance with GCF policies	As per the Blue Action Fund ESMS, an ESA was required to be conducted. See below in "Any other relevant ESS reports" section for further details.
Environmental and Social Management Plan (ESMP) (if applicable)	
Date of disclosure on accredited entity's website	29 April 2022
Language(s) understandable to affected peoples	English (working language of the Blue Action Fund) and Portuguese (official language of Mozambique)
Link to disclosure	English: https://www.blueactionfund.org/wp-content/uploads/2022/03/WCS_Mz_ESMP.pdf Portuguese: https://www.blueactionfund.org/wp-content/uploads/2022/04/WCS_Mz_ESMP_PT.pdf
Other link(s)	N/A
Remarks on compliance with GCF policies	N/A
Environmental and Social Management System (ESMS) (if applicable)	
Date of disclosure on accredited entity's website	N/A

Language(s) understandable to affected peoples	N/A
Link to disclosure	N/A
Other link(s)	N/A
Remarks on compliance with GCF policies	N/A
Any other relevant ESS reports and/or disclosures, e.g. IPP, IPPF, RAP, RPF, (if applicable)	
Description of report/disclosure	<p>Stakeholder Engagement Plan, including Grievance Mechanism Process Framework</p> <p>Environmental & Social Assessment (ESA): there is no separate document for the ESA; the assessment results and corresponding management measures are included in the ESMP, section 4 (p. 38ff).</p> <p>Environmental & Social Code of Practice</p> <p>Chance Find Procedure</p>
Date of disclosure on accredited entity's website	29 April 2022
Language(s) understandable to affected peoples	English (working language of the Blue Action Fund) and Portuguese (official language of Mozambique)
Link to disclosure	<p>Stakeholder Engagement Plan, incl. Grievance Mechanism</p> <p>English: https://www.blueactionfund.org/wp-content/uploads/2022/03/WCS_Mz_SEP.pdf</p> <p>Portuguese: https://www.blueactionfund.org/wp-content/uploads/2022/04/WCS_Mz_SEP-incl.-Grievance-Mechanism_PT.pdf</p> <p>Process Framework</p> <p>English: https://www.blueactionfund.org/wp-content/uploads/2022/03/WCS_Mz_Process-Framework.pdf</p> <p>Portuguese: https://www.blueactionfund.org/wp-content/uploads/2022/04/WCS_Mz_Prelim.ProcessFramework_PT.pdf</p> <p>ESA results contained within the ESMP</p> <p>English: https://www.blueactionfund.org/wp-content/uploads/2022/03/WCS_Mz_ESMP.pdf</p> <p>Portuguese: https://www.blueactionfund.org/wp-content/uploads/2022/04/WCS_Mz_ESMP_PT.pdf</p>

	<p>Environmental & Social Code of Practice</p> <p>English: https://www.blueactionfund.org/wp-content/uploads/2022/03/WCS Mz ESCOP.pdf</p> <p>Portuguese: https://www.blueactionfund.org/wp-content/uploads/2022/04/WCS Mz ESCOP PT.pdf</p> <p>Chance Find Procedure</p> <p>English https://www.blueactionfund.org/wp-content/uploads/2022/03/WCS Mz Chance-Find-Procedure.pdf</p> <p>Portuguese: https://www.blueactionfund.org/wp-content/uploads/2022/04/WCS Mz ChanceFindProcedure PT.pdf</p>
Other link(s)	N/A
Remarks on compliance with GCF policies	N/A
Disclosure in location(s) convenient to affected peoples (or, if none or not yet identified, to stakeholders)	
Date(s)	29 April to 28 May 2022
Place(s)	<p>Disclosure in each Administrative Post</p> <ul style="list-style-type: none"> - Lúrio - Mazua - Memba - Mossuril - Matibane
Provision on disclosure at the subproject level	<p>Funded Activity Agreement, clause 10.02, paragraph (m):</p> <p>Contractually require the Executing Entity to disclose, and the Accredited Entity shall monitor and enforce this requirement, in relation to each Sub-Project that is category B (pursuant to the Environmental and Social Risk Categories), the Environmental and Social Impact Assessment (ESIA) and Environmental and Social Management Plan (ESMP) and, as appropriate, inclusive of the Process Framework and Livelihood Restoration Plan and any other associated information including those relevant to indigenous peoples required to be disclosed pursuant to the Information Disclosure Policy and the Environmental and Social Policy (each, the “Sub-Project Disclosure Package”). The Accredited Entity shall also ensure that the Executing Entity disclose the Sub-Project Disclosure Package at least thirty (30) calendar days prior to the approval of the full project proposal of the relevant category B Sub-Project by the Executing Entity, in English and in local language (if not English), on the Executing Entity’s website and in locations convenient to the affected</p>

	peoples, and submit the Sub Project Disclosure Package to the Fund for subsequent distribution to the GCF Board and the Fund's active observers and for publishing on the GCF website
Date and place of AE/EE meeting	The Supervisory Board of Blue Action Fund, which is responsible for the final funding decision on the proposed project, will take a decision on 30 May 2022.

Note: This form was prepared by the accredited entity stated above. For reference, the ESS disclosure report form for the programme is attached.

Environmental & Social Safeguards

FP122

Blue Action Fund (BAF): GCF Ecosystem Based Adaptation Programme in the Western Indian Ocean

Kreditanstalt für Wiederaufbau (KfW)

2019-10-10



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Environmental and social safeguards report form pursuant to para. 17 of the IDP

Basic project or programme information	
Project or programme title	Blue Action Fund (BAF): GCF Ecosystem Based Adaptation Programme in the Western Indian Ocean
Existence of subproject(s) to be identified after GCF Board approval	Yes
Sector (public or private)	Public
Accredited entity	Kreditanstalt für Wiederaufbau (KfW)
Environmental and social safeguards (ESS) category	Category I-2
Location – specific location(s) of project or target country or location(s) of programme	Madagascar, Mozambique, South Africa, and Tanzania
Environmental and Social Impact Assessment (ESIA) (if applicable)	
Date of disclosure on accredited entity's website	N/A
Language(s) of disclosure	N/A
Explanation on language	N/A
Link to disclosure	N/A
Other link(s)	N/A
Remarks	N/A
Environmental and Social Management Plan (ESMP) (if applicable)	
Date of disclosure on accredited entity's website	N/A
Language(s) of disclosure	N/A
Explanation on language	N/A
Link to disclosure	N/A
Other link(s)	N/A
Remarks	N/A
Environmental and Social Management (ESMS) (if applicable)	
Date of disclosure on accredited entity's website	Thursday, October 10, 2019
Language(s) of disclosure	English, French, and Portuguese
Explanation on language	English is one of the official languages of South Africa and Tanzania. French is one of the official languages of Madagascar. Portuguese is the official language of Mozambique.
Link to disclosure	English: https://www.kfw-entwicklungsbank.de/PDF/Entwicklungsfinanzierung/Themen-NEU/BlueActionFund_ESMS_Eng_2019.pdf French: https://www.kfw-entwicklungsbank.de/PDF/Entwicklungsfinanzierung/Themen-NEU/BlueActionFund_ESMS_Fra_2019.pdf

	entwicklungsbank.de/PDF/Entwicklungsfinanzierung/Themen-NEU/BlueActionFund_ESMS_French_2019.pdf Portuguese: https://www.kfw-entwicklungsbank.de/PDF/Entwicklungsfinanzierung/Themen-NEU/BlueActionFund_ESMS_Portuguese_2019.pdf
Other link(s)	KfW website: www.kfw-entwicklungsbank.de/international-financing/KfW-Development-Bank/Topics/Environment-and-sustainability/ BAF website: https://www.blueactionfund.org/documents-and-publications/
Remarks	An ESMS consistent with the requirements for a Category I-2 programme is contained in the 'Environmental & Social Management System (ESMS)'. ESMS version is September 2019.
Any other relevant ESS reports, e.g. Resettlement Action Plan (RAP), Resettlement Policy Framework (RPF), Indigenous Peoples Plan (IPP), IPP Framework (if applicable)	
Description of report/disclosure on accredited entity's website	N/A
Language(s) of disclosure	N/A
Explanation on language	N/A
Link to disclosure	N/A
Other link(s)	N/A
Remarks	N/A
Disclosure in locations convenient to affected peoples (stakeholders)	
Date	Thursday, October 10, 2019
Place	Ministry of Environment, Ecology, Sea and Forests B P 3948, Rue Toto Radola - Antsahavola Antananarivo, 101 Madagascar KfW Office Maputo Avenida Francisco Orlando Magumbwe No. 976 CP 2766 Maputo Mozambique KfW Office Pretoria Hatfield Gardens, BLOCK E P.O. Box 13732 333 Grosvenor St Hatfield 0028 Pretoria South Africa KfW Office Dar es Salaam Rufiji Street Plot 1668 House No. 20 Masaki Peninsula Dar es Salaam Tanzania

Date of Board meeting in which the FP is intended to be considered	
Date of accredited entity's Board meeting	Wednesday, November 20, 2019
Date of GCF's Board meeting	Tuesday, November 12, 2019

Note: This form was prepared by the accredited entity stated above.
