
Environmental & Social Safeguards

**FP122/1 – uThukela MPA Ecosystem Based Management Project
Blue Action Fund (BAF): GCF Ecosystem Based Adaptation Programme in
the Western Indian Ocean**

Kreditanstalt für Wiederaufbau (KfW)

2022-02-09



**GREEN
CLIMATE
FUND**

ESS disclosure report form for subprojects

Environmental and social report(s) disclosure

Accredited entity	Kreditanstalt für Wiederaufbau (KfW)
Programme	
FP number and programme title	FP122 Blue Action Fund (BAF): GCF Ecosystem Based Adaptation Programme in the Western Indian Ocean
Sector (public or private)	Public
Location (target country and, if any, specific areas)	Madagascar, Mozambique, South Africa, and Tanzania
ESS category	Category I-2
Board decision and date	Decision B.24/09, paragraph (j)
Subproject	
Subproject title	uThukela MPA Ecosystem Based Management Project: Protection and restoration of important ecosystems in new uThukela Banks Marine Protected Area, and associated estuarine functional zones, reducing climate-related socio-ecological risk
ESS category	Category B+ (KfW/BAF ESS Category B+ Projects would be a Project as of risk classification GCF Category B)
Location	uThukela MPA, KwaZulu-Natal Province, South Africa
Environmental and Social Impact Assessment (ESIA) (if applicable)	
Date of disclosure on accredited entity's website	N/A
Language(s) understandable to affected peoples	N/A
Link to disclosure	N/A
Other link(s)	N/A
Remarks on compliance with GCF policies	As per the Blue Action Fund ESMS, an ESA was required to be conducted. See below in "Any other relevant ESS reports" section for further details.
Environmental and Social Management Plan (ESMP) (if applicable)	
Date of disclosure on accredited entity's website	09 February 2022
Language(s) understandable to affected peoples	English and isiZulu are both official languages of South Africa. In order to ensure sufficient access to information for project-affected people in the local communities – where isiZulu is mainly spoken – a non-technical summary has been prepared and translated into isiZulu.
Link to disclosure	ESMP (English): https://www.blueactionfund.org/wp-content/uploads/2022/01/WILDTRUST_ESMP.pdf Non-technical summary (isiZulu): https://www.blueactionfund.org/wp-content/uploads/2022/02/Non-Technical-Safeguard-Summary.pdf

Other link(s)	N/A
Remarks on compliance with GCF policies	N/A
Environmental and Social Management System (ESMS) (if applicable)	
Date of disclosure on accredited entity's website	N/A
Language(s) understandable to affected peoples	N/A
Link to disclosure	N/A
Other link(s)	N/A
Remarks on compliance with GCF policies	N/A
Any other relevant ESS reports and/or disclosures, e.g. IPP, IPPF, RAP, RPF, (if applicable)	
Description of report/disclosure	Grievance Mechanism Process Framework Stakeholder Engagement Plan Environmental & Social Assessment (ESA) [Please note: there is no separate document for the ESA; the assessment results of the Environmental and Social Risks and Impacts Analysis and corresponding management measures are included in the ESMP, section 4 (p. 24-36).]
Date of disclosure on accredited entity's website	09 February 2022
Language(s) understandable to affected peoples	English (Grievance Mechanism, Process Framework, Stakeholder Engagement Plan, ESA results contained within ESMP) and isiZulu (non-technical summary). English and isiZulu are both official languages of South Africa. In order to ensure sufficient access to information for project-affected people in the local communities – where isiZulu is mainly spoken – a non-technical summary has been prepared and translated into isiZulu.
Link to disclosure	Grievance Mechanism: https://www.blueactionfund.org/wp-content/uploads/2022/01/WILDTRUST_Grievance-Mechanism.pdf Process Framework: https://www.blueactionfund.org/wp-content/uploads/2022/01/WILDTRUST_Process-Framework.pdf Stakeholder Engagement Plan: https://www.blueactionfund.org/wp-content/uploads/2022/02/Wildtrust_SEP_for_public_disclosure.pdf ESA results: https://www.blueactionfund.org/wp-content/uploads/2022/01/WILDTRUST_ESMP.pdf Non-technical summary: https://www.blueactionfund.org/wp-content/uploads/2022/02/Non-Technical-Safeguard-Summary.pdf

Other link(s)	N/A																				
Remarks on compliance with GCF policies	N/A																				
Disclosure in location(s) convenient to affected peoples (or, if none or not yet identified, to stakeholders)																					
Date(s)	Starting date of disclosure in location(s) convenient to affected peoples: 24 January 2022																				
Place(s)	<p>Municipal disclosure:</p> <ul style="list-style-type: none"> - iLembe District Municipality - King Cetshwayo District Municipality - Mandeni Local Municipality - uMhlathuze Local Municipality - KwaDukuza Local Municipality - uMlalazi Local Municipality <p>Community disclosure:</p> <table border="1"> <thead> <tr> <th>Coastal Ward</th> <th>Nearest Town/Community</th> </tr> </thead> <tbody> <tr> <td>uMhlathuze Ward 18</td> <td>Port Dunford, Nyembe</td> </tr> <tr> <td>uMhlathuze Ward 11</td> <td>Ongoye</td> </tr> <tr> <td>uMlalazi ward 18</td> <td>Obanjeni</td> </tr> <tr> <td>Mandeni Ward 8</td> <td>Mhlubulweni</td> </tr> <tr> <td>Mandeni Ward 2</td> <td>Mangeza</td> </tr> <tr> <td>Mandeni Ward 9</td> <td>Nyathini</td> </tr> <tr> <td>Mandeni Ward 7</td> <td>Sundumbili</td> </tr> <tr> <td>KwaDukuza Ward 11</td> <td>Nonoti / Blythdale</td> </tr> <tr> <td>KwaDukuza Waed 12</td> <td>Umvoti / Tinley Manor</td> </tr> </tbody> </table>	Coastal Ward	Nearest Town/Community	uMhlathuze Ward 18	Port Dunford, Nyembe	uMhlathuze Ward 11	Ongoye	uMlalazi ward 18	Obanjeni	Mandeni Ward 8	Mhlubulweni	Mandeni Ward 2	Mangeza	Mandeni Ward 9	Nyathini	Mandeni Ward 7	Sundumbili	KwaDukuza Ward 11	Nonoti / Blythdale	KwaDukuza Waed 12	Umvoti / Tinley Manor
Coastal Ward	Nearest Town/Community																				
uMhlathuze Ward 18	Port Dunford, Nyembe																				
uMhlathuze Ward 11	Ongoye																				
uMlalazi ward 18	Obanjeni																				
Mandeni Ward 8	Mhlubulweni																				
Mandeni Ward 2	Mangeza																				
Mandeni Ward 9	Nyathini																				
Mandeni Ward 7	Sundumbili																				
KwaDukuza Ward 11	Nonoti / Blythdale																				
KwaDukuza Waed 12	Umvoti / Tinley Manor																				
Provision on disclosure at the subproject level	<p>Funded Activity Agreement (FAA), clause 10.02, paragraph (m):</p> <p>“Contractually require the Executing Entity to disclose, and the Accredited Entity shall monitor and enforce this requirement, in relation to each Sub-Project that is category B (pursuant to the Environmental and Social Risk Categories), the Environmental and Social Impact Assessment (ESIA) and Environmental and Social Management Plan (ESMP) and, as appropriate, inclusive of the Process Framework and Livelihood Restoration Plan and any other associated information including those relevant to indigenous peoples required to be disclosed pursuant to the Information Disclosure Policy and the Environmental and Social Policy (each, the “Sub-Project Disclosure Package”). The Accredited Entity shall also ensure that the Executing Entity disclose the Sub-Project Disclosure Package at least thirty (30) calendar days prior to the approval of the full project proposal of the relevant category B Sub-Project by the Executing Entity, in English and in local language (if not English), on the Executing Entity’s website and in locations convenient to the affected peoples, and submit the Sub Project Disclosure Package to the Fund for subsequent distribution to the</p>																				

	GCF Board and the Fund's active observers and for publishing on the GCF website".
Date and place of AE/EE meeting	The Supervisory Board of Blue Action Fund, which takes the final funding decision on the proposed project, will take a decision in written procedures after the 30-day no-objection period has ended. The exact date of the SB BAF decision is yet to be determined but it is planned approximately for the period 14th March to 25th March 2022, in order to comply to the GCF IDP. With the SB BAF decision planned not earlier than 14th - 25th March, we understand the GCF IDP requirements for the AE/EE and the requirements as FAA clause 10.02, paragraph (m) are fulfilled.

Note: This form was prepared by the accredited entity stated above.

Environmental & Social Safeguards

FP122

Blue Action Fund (BAF): GCF Ecosystem Based Adaptation Programme in the Western Indian Ocean

Kreditanstalt für Wiederaufbau (KfW)

2019-10-10



**GREEN
CLIMATE
FUND**

Environmental and social safeguards report form pursuant to para. 17 of the IDP

Basic project or programme information	
Project or programme title	Blue Action Fund (BAF): GCF Ecosystem Based Adaptation Programme in the Western Indian Ocean
Existence of subproject(s) to be identified after GCF Board approval	Yes
Sector (public or private)	Public
Accredited entity	Kreditanstalt für Wiederaufbau (KfW)
Environmental and social safeguards (ESS) category	Category I-2
Location – specific location(s) of project or target country or location(s) of programme	Madagascar, Mozambique, South Africa, and Tanzania
Environmental and Social Impact Assessment (ESIA) (if applicable)	
Date of disclosure on accredited entity's website	N/A
Language(s) of disclosure	N/A
Explanation on language	N/A
Link to disclosure	N/A
Other link(s)	N/A
Remarks	N/A
Environmental and Social Management Plan (ESMP) (if applicable)	
Date of disclosure on accredited entity's website	N/A
Language(s) of disclosure	N/A
Explanation on language	N/A
Link to disclosure	N/A
Other link(s)	N/A
Remarks	N/A
Environmental and Social Management (ESMS) (if applicable)	
Date of disclosure on accredited entity's website	Thursday, October 10, 2019
Language(s) of disclosure	English, French, and Portuguese
Explanation on language	English is one of the official languages of South Africa and Tanzania. French is one of the official languages of Madagascar. Portuguese is the official language of Mozambique.
Link to disclosure	English: https://www.kfw-entwicklungsbank.de/PDF/Entwicklungsfinanzierung/Themen-NEU/BlueActionFund_ESMS_Eng_2019.pdf French: https://www.kfw-entwicklungsbank.de/PDF/Entwicklungsfinanzierung/Themen-NEU/BlueActionFund_ESMS_Fra_2019.pdf

	entwicklungsbank.de/PDF/Entwicklungsfinanzierung/Themen-NEU/BlueActionFund_ESMS_French_2019.pdf Portuguese: https://www.kfw-entwicklungsbank.de/PDF/Entwicklungsfinanzierung/Themen-NEU/BlueActionFund_ESMS_Portuguese_2019.pdf
Other link(s)	KfW website: www.kfw-entwicklungsbank.de/international-financing/KfW-Development-Bank/Topics/Environment-and-sustainability/ BAF website: https://www.blueactionfund.org/documents-and-publications/
Remarks	An ESMS consistent with the requirements for a Category I-2 programme is contained in the 'Environmental & Social Management System (ESMS)'. ESMS version is September 2019.
Any other relevant ESS reports, e.g. Resettlement Action Plan (RAP), Resettlement Policy Framework (RPF), Indigenous Peoples Plan (IPP), IPP Framework (if applicable)	
Description of report/disclosure on accredited entity's website	N/A
Language(s) of disclosure	N/A
Explanation on language	N/A
Link to disclosure	N/A
Other link(s)	N/A
Remarks	N/A
Disclosure in locations convenient to affected peoples (stakeholders)	
Date	Thursday, October 10, 2019
Place	Ministry of Environment, Ecology, Sea and Forests B P 3948, Rue Toto Radola - Antsahavola Antananarivo, 101 Madagascar KfW Office Maputo Avenida Francisco Orlando Magumbwe No. 976 CP 2766 Maputo Mozambique KfW Office Pretoria Hatfield Gardens, BLOCK E P.O. Box 13732 333 Grosvenor St Hatfield 0028 Pretoria South Africa KfW Office Dar es Salaam Rufiji Street Plot 1668 House No. 20 Masaki Peninsula Dar es Salaam Tanzania

Date of Board meeting in which the FP is intended to be considered	
Date of accredited entity's Board meeting	Wednesday, November 20, 2019
Date of GCF's Board meeting	Tuesday, November 12, 2019

Note: This form was prepared by the accredited entity stated above.
