

FP120 Chile REDD-plus results-based payments for results period 2014-2016

Annual Performance Report CY2020

Section 1: General Information

Section 1: General Information

Please note that this is section 1 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

1.1 Please indicate if information provided in this APR is disclosable outside the Green Climate Fund. *

- Yes - The Accredited Entity agrees that the information reported is disclosable.
- No - The information reported is partly confidential. The disclosable version of the APR will be attached.

If you select the second option [No - The information reported is partly confidential. The disclosable version of the APR will be attached], please follow the below steps.

- Step 1: Fill in all the sections of the APR with disclosable information.
- Step 2: Save each section using the 'Open as PDF' function provided in the top-right corner. (Do NOT submit an APR at this step).
- Step 3: Attach all these disclosable six sections, including an additional section on COVID-19, to the attachment boxes below, which will be shown once you check the second option only.
- Step 4: Update all the sections of the APR below with non-disclosable information.
- Step 5: Submit the non-disclosable APR with an attachment of the disclosable APR in the PDF format.

1.2 Please indicate if this report has been shared with the relevant NDA(s) for this Funded Activity

Yes

Once the APR is created in the PPMS, please use the 'Open as PDF' function to download the report in PDF format and to share with relevant authorities (i.e. NDAs) before submission. Select 'Yes' only if shared to ALL the relevant NDA(s).

Please Indicate the date of submission to NDA(s)

2021-02-02

If the APR is submitted to multiple NDAs, please indicate the latest date of submission to NDA, and provide the other dates per NDA in the further explanation box below.

Please provide further explanation, if any:

1.3 Funded Activity Title (Project/Programme Title)

Chile REDD-plus results-based payments for results period 2014-2016

(Information is locked for editing)

1.4 Funding Proposal Reference Number

FP120

(Information is locked for editing)

1.5 Board Meeting Number

24

(Information is locked for editing)

1.6 Accredited Entity contacts for this APR

Full Name	
Elizabeth A. Bechdol Deputy Director-General	
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Full Name	
Maria Helena Semedo Deputy Director-General	
E-mail Address	Phone Number
DDG-Semedo@fao.org	+39 06 57051800

1.7 Executing Entity(ies)

Full Name of Executing Entity
Food and Agriculture Organization of the United Nations (FAO)

1.8 Project Duration

From	To
2020-08-07	2026-08-07

1.9 Current Year of Implementation

1

Indicate the year number, e.g., '2'

1.10 Annual reporting period covered in this report

From	To
2020-08-07	2020-12-31

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 1 of the Annual Performance Report (APR).

 The accredited entity hereby confirms that the information provided in section 1 is complete and ready for submission.

Section 2: Implementation Progress

Section 2: Implementation Progress

Please note that this is section 2 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

2.1 Overall (summary) project progress

This report provides an overview of the project progress during the period August 2020 - December 2020. During this period, progress has mainly focused on project preparation and planning activities for the period January - December 2021, and there have been no execution activities yet.

Preparation activities for project implementation have produced relevant information which was validated in the first Steering Committee (SC), which was formalised on 11 December 2020 (see Annex 1).

The information that has been produced during the preparation activities included the definition of the project's Theory of Change outline (see Annex 2), and the consolidation of the project's Logical Framework Matrix (LFM) with its impact/outcome indicators (see Annex 3) and the identification of the need for information to adjust the baseline of these indicators.

The governance of the project has been discussed and approved. The organisational chart have been endorsed by CONAF and the FAO Representation. It details roles and functions of the different decision-making bodies, including the Steering Committee and the Coordinating Unit (see Annex 4).

Through an extended participatory process between the FAO team, CONAF headquarters and the CONAF regional offices of the 6 regions involved in the project (14 workshops), a detailed definition of the implementation targets for the 7 direct action measures and the 4 cross-cutting measures of the Climate Change and Natural Resources National Strategy (ENCCR - Estrategia Nacional de Cambio Climático y Recursos Vegetacionales) was drawn up for each region. Based on these targets, specific regional procurement and contracting requirements were defined based on the project budget and financing agreement.

An Annual Operational Plan (AOP) 2021 and its relevant Procurement Plan were drawn up based on the project's LFM and the detailed budget with the regional requirements. To facilitate consultation and work on these project planning instruments, an online platform was developed to monitor the execution of the project by the coordination unit.

Following the approval of the AOP by the Steering Committee, progress has been made in the formulation of Terms of Reference and contracting of the project team, the contracting of support services, consultancy services and the purchase of equipment.

Progress has also been made in terms of the 'Communication Strategy', and development of the project corporate image. As of the date of this report, the Steering Committee members have approved that the name of the project for communication purposes during implementation will be: +Bosques "Juntos contra el Cambio Climático" (More Forests: together against climate change).

As a result of the COVID-19 pandemic, in particular mobility restrictions and lockdowns, and changes of authorities in the institutions involved in the project, the Project Launching and Start-up Workshop activities have been delayed, being postponed at the beginning of March 2021.

Provide a narrative report describing the overall progress on the implementation of the funded activity, focusing on implementation achievements, delays, and challenges according to the planned activities. As relevant, include references to other sections of this report (including Annexes or Attachments). Include a description of key milestones of the funded activity achieved during this reporting period including any deviations from original expectations. Also, describe challenges encountered and actions undertaken to resolve these challenges, and lessons learned during the implementation, including issues related to non-compliance with GCF standards or conditions, if any. In parallel, include positive achievements and better-than-expected results. If any issues have arisen in the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project, please provide a description of those items and how they have impacted the implementation period and final targets. Kindly make sure that this section just gives an overall summary and doesn't have overlap with other sections.

2.2 Performance against the GCF investment criteria (summary)

Not Applicable

Provide a narrative report describing the progress on the funded activity's performance against the GCF investment criteria framework. The performance should be compared against the initial assessment provided in the Board-approved Funding Proposal (section E). The list of the investment criteria as per the current framework is provided below. For each investment criteria outlined below, please include an assessment of current status, changes, progress and impact of the project as well as any impact of project context on the project during this reporting period against the initial baseline scenario and planned activities as per the assessment presented in the approved Funding Proposal. This sub-section 2.2 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

Relevant Links

[The GCF investment criteria framework](#)

2.2.1 Impact Potential ?

Not Applicable

2.2.2 Paradigm shift potential ?

Not Applicable

2.2.3 Sustainable development potential ?

Not Applicable

2.2.4 Needs of the recipient ?

Not Applicable

2.2.5 Country Ownership ?

Not Applicable

2.2.6 Efficiency and Effectiveness ?

Not Applicable

2.3 Project Outputs Implementation Status

Use 'Add Row' button to add multiple outputs and/or activities reported against one output

Project Output Name

1. Implementation and investment

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Project Activity 1.1 Forest management program. Arrangement and comprehensive management of the native forest in buildings or group of buildings that incorporate multiple forestry activities (US1); and Strengthening the wood energy program (US3)

Status	Implementation Progress
Activity not yet due	0 %

Progress for the relevant reporting period

No implementation results, only progress in defining regional targets and planning pilot actions for 2021 and 2022.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

April 2021: Piloting Design finished measure US.1/US.3 from Maule Region to Los Lagos Region

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

1 Implementation and investment

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Project Activity 1.2 Strengthening the ecological restoration program (MT5); and Program of restoration after forest fires (IF2).

Status	Implementation Progress
Activity not yet due	0 %

Progress for the relevant reporting period

No implementation results, only progress in defining regional targets and planning pilot actions for 2021 and 2022.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

May 2020: Piloting Design finished measure IF.2 / MT.5 and Plant Production from Maule Region to Los Lagos Region.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

1 Implementation and investment

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Project Activity 1.3 Preventive forestry program with emphasis on rural urban interface (IF3)

Status	Implementation Progress
Activity not yet due	0 %
Progress for the relevant reporting period	
No implementation results, only progress in defining regional targets and planning pilot actions for 2021 and 2022.	
Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.	
Key milestones and deliverables for the next reporting period	
May 2021: Piloting Design finished measure IF.3 from Maule Region to Los Lagos Region	
Please include a list of key milestones and deliverables expected to be executed in the next reporting period.	

Project Output Name	
1 Implementation and investment	
The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.	
Project Activity Name	
Project Activity 1.4 Afforestation and revegetation program (MT4)	
Status	Implementation Progress
Activity not yet due	0 %
Progress for the relevant reporting period	
No implementation results, only progress in defining regional targets and planning pilot actions for 2021 and 2022.	
Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.	
Key milestones and deliverables for the next reporting period	
May 2021: Piloting Design finished measure MT.4 and Plant Production from Maule to Los Lagos Region	
Please include a list of key milestones and deliverables expected to be executed in the next reporting period.	

Project Output Name	
2 Enabling conditions for ENCCRV implementation	
The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.	
Project Activity Name	
Project Activity 2.1 Strengthening of forest and environmental enforcement (MT7)	
Status	Implementation Progress
Activity not yet due	0 %
Progress for the relevant reporting period	
No implementation or planning results, this activity is scheduled to start in 2022.	
Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.	

Key milestones and deliverables for the next reporting period

December 2021: Completed design of the Community Monitoring Programme from Maule to Los Lagos Regions

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

2 Enabling conditions for ENCCRV implementation

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Project Activity 2.2 Environmental education and dissemination program (MT6)

Status

Activity not yet due

Implementation Progress

0

%

Progress for the relevant reporting period

No implementation results, only progress in defining specific environmental education needs in the regions.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

August 2012: Completed design of Training, dissemination and awareness-raising Programme, and implementation Year 1 from Maule to Los Lagos Regions.

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

2 Enabling conditions for ENCCRV implementation

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Project Activity 2.3 Capacity Building and Technological Transfer (GA.1, IF.6)

Status

Activity not yet due

Implementation Progress

0

%

Progress for the relevant reporting period

No implementation results, only progress in defining specific training and transfer needs in the regions.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

July 2021: Construction of Nurseries, Nursery Improvements, Seed Centre and Recruitment of Personnel (Nurseries) from Maule to Los Lagos Regions

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

2 Enabling conditions for ENCCRV implementation

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name	
Project Activity 2.4 Technical Assistance to support consolidation of the Warsaw Framework	
Status	Implementation Progress
Activity not yet due	0 %
Progress for the relevant reporting period	
No implementation results, only progress in defining the Terms of Reference for REDD+ consultants and technical and administrative support professionals for the regions.	
Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.	
Key milestones and deliverables for the next reporting period	
April 2021: Recruitment of Operational Administrative Support, Recruitment of consultants for the consolidation of the Warsaw Framework, Recruitment of Technical Support from Maule to Los Lagos Re-gions.	
Please include a list of key milestones and deliverables expected to be executed in the next reporting period.	

2.4 Progress Update on the Logic Framework Indicators

Values of Baseline, mid-term target and final targets should be the same from the approved funding proposals unless calculation methodologies were revised in agreements with the GCF. Please attach a supporting document(s) describing the calculation methodology of the current value of all the indicators in Section 6; the indicators cover core, impact, outcome, and output levels. If there is a change in the methodology, you need to include clear justifications for the change and changed values as compared to the previous year.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

2.4.1 Core Indicators

Select applicable core indicators

- Mitigation Core Indicator 1 - Tonnes of carbon dioxide equivalent (tCO₂eq) reduced as a result of GCF funded project/programme
- Mitigation Core Indicator 2 - Cost per tCO₂eq decreased for GCF funded project/programme
- Mitigation Core Indicator 3 - Volume of finance leveraged by GCF funding (Disaggregated by public/private source)
- Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population

2.4.2 Impact Indicators

Select applicable impact indicators

- M1.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased low-emission energy access and power generation
- M2.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased access to low-emission transport
- M3.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of buildings, cities, industries and appliances
- M4.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of sustainable management of forests and conservation and enhancement of forest carbon stocks
- A1.1 Change in expected losses of lives and economic assets due to the impact of extreme climate-related disasters in the geographic area of the GCF intervention
- A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.)
- A1.3 Number of Fund funded projects/programmes that supports effective adaptation to fish stock migration and depletion due to climate change
- A2.1 Number of males and females benefiting from introduced health measures to respond to climate-sensitive diseases
- A2.2 Number of food secure households (in areas/periods at risk of climate change impacts)
- A2.3 Number of males and females with year round access to reliable and safe water supply despite climate shocks and stresses
- A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (reported where applicable)
- A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change
- A4.2 Value of ecosystem services generated or protected in response to climate change

2.4.3 Project/Programme-level Outcome & Output Indicators

Please provide ex-post 'Current Value' on a cumulative basis. If you have multiple outputs to be reported against one outcome, you need to write down the same outcome name for every output. Likewise, if you have multiple indicators to be reported against one output, you need to write down the same output name and corresponding outcome name for every indicator.

Use 'Add row' button to add multiple outcomes, outputs and/or indicators.

Results Area Type	Outcome Name		
<input type="text" value="Please select"/>	<input type="text" value="Not Applicable"/>		
Output Name (under the afore-mentioned outcome)			
<input type="text"/>			
<small>Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.</small>			
Indicator Name			
<input type="text"/>			
Unit			
<input type="text"/>			
Baseline	Current Value	Mid-term Target	Final Target
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Remarks (including changes, if any)			
<input type="text"/>			

2.5 Report on changes during implementation (include actual and expected changes)

During the period of this report, there have been no relevant changes that could negatively influence the overall outcome of the project. There have only been changes in authorities and professional teams in the participating institutions, but measures have been taken to empower the new authorities and teams (see 2.5).

Specific changes from the original planning:

- 1) Change of the official project launch programme and date, which will take place during March 2021.
- 2) Change of project inception workshop date held in a virtual format, which will take place through 6 regional sessions and 1 technical high-level session between the 3rd and the 12th of March 2021.
- 3) The first Steering Committee meeting was held in virtual format in December 2020.

Describe changes to the project during the reporting period. In particular, the report should cover elements such as change of beneficial ownership structure, management changes of the Accredited Entity, policies and other elements relevant for the project, and any other material change that could influence the overall outcome of the project.

2.6 Implementation challenges and lessons learned

Challenge encountered

Restrictions on inter-regional movements and capacity limits for public and private events resulting from the COVID-19 pandemic.

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

Challenge type **Impact on the project implementation**

Operational Moderate

Measures adopted

Postponement of dates, adjustment of schedules and adaptation of project start-up activities formats (virtual).

Lesson learned and other remarks

Have flexibility in planning activities and always have a plan B that considers a different scenario with respect to more extreme health restrictions.

Challenge encountered

Changes in authorities and technical teams since the formal start of the project, including: Minister of Agriculture; Undersecretary of Finance; Executive Director of CONAF; CONAF GEDEFF Manager; Head of UCSSA/CONAF and REDD+ focal point; UCSSA/CONAF professionals.

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

Challenge type **Impact on the project implementation**

Operational Moderate

Measures adopted

Bridging meetings, workshops for new authorities and project teams and dissemination of relevant information.

Lesson learned and other remarks

Ensure that minutes, technical documents and signed committed document are stored and available for future reference (digital store platform).

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 2 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 2 is complete and ready for submission.

Section 3: Financial Information

Section 3: Financial Information

Please note that this is section 3 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

3.1 Approved Budget for entire project period as per FAA

Currency

USD

(Information is locked for editing)

GCF Funding (Equity)

GCF Funding (Grants)

GCF Funding (Guarantees)

GCF Funding (In-kind)

GCF Funding (Loans)

GCF Funding (Results-Based Payment)

63 607 552

(Information is locked for editing)

3.1.1 Total GCF Funding

63 607 552

(Information is locked for editing)

Please confirm if the afore-mentioned values are different as per your knowledge.

No differences to be reported.

3.2 Co-financing

Currency

(Information is locked for editing)

Co-financing (Equity)

Co-financing (Grants)

Co-financing (Guarantees)

Co-financing (In-kind)

Co-financing (Loans)

Co-financing (Results-Based Payment)

3.2.1 Total Co-financing

Please confirm the afore-mentioned values are different as per your knowledge.

3.3 Disbursements Details (Cumulative to this reporting period)

3.3.1 Total GCF Disbursement

(Information is locked for editing)

Currency

(Information is locked for editing)

GCF Equity Disbursement

GCF Grants Disbursement

GCF Guarantees Disbursement

GCF In-kind Disbursement

GCF Loans Disbursement

GCF Results-Based Payment Disbursement

(Information is locked for editing)

Please confirm the afore-mentioned values are different as per your knowledge.

3.3.2 Co-Financing Disbursement

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

Choose currency

3.3.3 Total Project Disbursement

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

Choose currency

Please provide comments on sub-section 3.3, if any.

3.4 Expenditure details (Cumulative to this reporting period)

Choose currency

Please select

GCF Equity Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Grants Expenditures

110 706.53

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Guarantees Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Loans Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.1 GCF Expenditures

110 706.53

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.2 Co-financing Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.3 Total Project Expenditures

110

Please provide comments on sub-section 3.4, if any.

Expenditure figures are provisional

3.5 Investment & Other Income (Cumulative to this reporting period)

Reporting Level for investment

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

 Project Level Accredited Entity Portfolio Level

Choose currency

USD

Project Level Investment & Other Income

68 906.73

Please provide comments on sub-section 3.5, if any.

Investment Income (bank interest) for CY 2020

3.6 Report on AE fees (Cumulative to this reporting period)

Reporting Level for AE fees

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

 Project Level Accredited Entity Portfolio Level

Choose currency

USD

Project Level AE Fees

Please provide comments on sub-section 3.6, if any.

3.7 Annual Financial Performance Report

Please download the Financial Performance Report Template in Excel.

[Financial Performance Report Template](#)

This sub-section 3.7 is not applicable for REDD+ Results-Based Payments Projects. Please provide a separate 'Financial Progress Details' in Section 6.

Please attach the Annual Financial Performance Report here.

Please provide comments on the attachment.

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 3 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 3 is complete and ready for submission.

Section 4: Environmental and Social Safeguards & Gender

Section 4: Environmental and Social Safeguards & Gender

Please note that this is section 4 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

4.1 Implementation of environmental and social safeguards and gender elements

Please provide information on the project or programme on the following: (1) key risks and impacts as identified; (ii) compliance with applicable laws and regulations including FAA conditions and covenants; and (3) progress in the implementation of environmental and social management plans and programs including monitoring activities undertaken during the implementation of the funded activity.

4.1.1 The information includes description on any changes in the key environmental and social risks and impacts as identified and arising from the implementation including any unanticipated risks and impacts (ex. from changes in laws and regulations) and, based on these if any change in the project's environmental and social risk category. In case of a change in the E&S risk category for the project, please provide an explanation.

Given that the project is in the activities planning phase, during the reporting period, there was no implementation of REDD+ activities and investments in the territories, therefore no additional environmental and social changes or risks were identified besides those identified and assessed in the project's Environmental and Social Management Framework (see table 12 of the ESMF). It should be noted that the definition of the activities to be executed and the specific intervention sites is in process, information that will allow the integration of environmental and social management in the planning, implementation and follow-up and monitoring of the project.

On the other hand, according to the project's environmental and social assessment guideline, the overall project classification remains at moderate risk (Category 'B') and it is expected to trigger five of the nine FAO safeguard policies: a) ESS2 Biodiversity, Ecosystems and Natural Habitats; b) ESS3 Plant Genetic Resources for Food and Agriculture; c) ESS7 Decent Work; d) ESS8 Gender Equality; and e) ESS9 Indigenous Peoples and Cultural Heritage.

Based on the analysis of the applicable legal framework and safeguards policies developed in the Environmental and Social Management Framework (ESMF), and considering the level of project progress for the reporting period, the status of compliance with applicable national regulatory framework at the preparation and planning stage is described in section below.

During the reporting period, the implementation of the ESMF has been geared towards preparedness and planning actions, with the objective of preventing some social risks identified in the ESMF such as: i) Strengthening and/or re-emergence of conflicts related to weak and non-transparent internal governance structures regarding access to benefits; ii) Inadequate and/or unfair distribution of benefits, particularly for vulnerable groups as women; and iii) Exclusion or limited participation of relevant local stakeholders, including women in forest-related activities linked to ESS7, ESS8 and ESS9 policies.

In keeping with the same, a process of updating the key stakeholders map was carried out, based on the identification already carried out for the ENCCRV and the project formulation phase, in order to reconfirm or incorporate other potentially relevant stakeholders; together with the Implementing Entity (FAO) and the Safeguards team, in order to identify, mitigate and avoid negative impacts that could affect livelihoods, governability, agricultural systems and indigenous peoples.

In addition, a series of informative meetings were held to raise awareness among key stakeholders and bodies involved in the governance of the ENCCRV project, such as MINAGRI's Inter-Ministerial Technical Committee on Climate Change (CTICC), the Inter-Ministerial Technical Team on Climate Change (ETICC) and the Civil Society Council of CONAF (COSOC). In addition, joint working sessions were held between the regional CONAF and FAO teams, which led to the definition of action measures to be implemented in each territory and, therefore, an orientation regarding the activities to be carried out. This information, added to the socio-environmental context described in the ESMF, will allow the environmental and social assessment and the subsequent categorisation of the subprojects risks.

Finally, during the months of November and December 2020, 13 participatory virtual workshops were held in the 6 regions of the project, with the aim of strengthening governance, risk management and decision-making aspects of the ENCCRV Benefit Distribution System. These sessions were carried out through a process of dialogue in 'Focus Groups[1]', made up of: CONAF, small and medium landowners, consultants and extensionists, indigenous women and small landowners, institutional, academic, private sector, NGO's and indigenous peoples. A total of 328 people participated, of which 45.6% (149) were women and 24.7% (68) belonged to Indigenous Peoples.

4.1.2 The information should include status of compliance with applicable laws and regulations of the country as well as the relevant conditions or covenants under the FAA. This can be captured in the table below:

Status of compliance with applicable laws and regulations and the conditions and covenants specifically addressing ESS & Gender under FAA
Compliance Type

Law / Regulation

Compliance Title & Description

Law No 20.500, on Associations and Citizen Participation in Public Administration.

Status of compliance

Accomplished. A series of workshops and participatory meetings were held during the reporting period as part of the activities foreseen in the project's preparation and planning phase and its instruments, with the aim of reporting and receiving feedback. At the central level, the presentation to the Civil Society Council (COSOC) of CONAF, the CETICC, the ETICC and others, and at the regional level, 13 participatory workshops on the ENCCRV Benefits Distribution System, in the six regions where the project will be implemented.

Compliance Type

Law / Regulation

Compliance Title & Description

Law No. 19.300, General Provision on the Environment and Decree No 40, 2013, of the Ministry of the Environment.

Status of compliance

Accomplished. Considering that the project is currently in the planning phase, and that no Environmental and Social Assessment of subprojects has been carried out so far, where potential impacts are identified that imply an entry into the Environmental Impact Assessment System (SEIA).

4.1.3 Provide a report on the progress made in implementing environmental and social management plans (ESMPs) and frameworks (ESMFs) describing achievements, and specifying details outlined in the tables below.

Implementation of ESMPs and ESMFs

Activities implemented during the reporting period, including monitoring

Participatory process of the Benefits Distribution System 2020.

Outputs during the reporting period

Mapping update of the regional stakeholders participating in the project.

A total of 328 people participated, of whom 45.6% (149) were women and 24.7% (68) belonged to Indigenous Peoples.

Among the main outcomes are the identification of measures aimed at preventing project implementation risks and the SDB (acronym in Spanish), the strengthening of REDD+ groups with the incorporation of new institutional actors and the validation of the macro variables that will govern the decision-making process.

Key environmental, social and gender issues, risks and impacts addressed during implementation

i) Strengthening and/or re-emergence of conflicts related to weak and non-transparent internal governance structures regarding access to benefits; ii) Inadequate and/or unfair distribution of benefits, particularly for vulnerable groups such as women; and iii) Exclusion or limited participation of relevant local stakeholders, including women in forest-related activities.

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

Disseminate the results of the SDB improvement proposals in the project inception workshops.

Activities implemented during the reporting period, including monitoring

Information meetings of Civil Society Council of CONAF (COSOC), Inter-Ministerial Technical Committee on Climate Change (CTICC), Inter-Ministerial Technical Team on Climate Change (ETICC).

Outputs during the reporting period

Strengthening project governance by raising awareness of the relevant institutions and actors involved.

COSOC has 11 permanent members, namely:

- University of Concepcion
- "Así Conserva Chile" Foundation
- Chilean Timber Corporation
- Small and medium-sized timber enterprises
- Greenlab NGO
- National Forestry Sciences and Wood Students' Corporation
- Protected Areas of Chile Foundation
- Peasant Unitary Movement and Ethnic Groups of Chile
- Chilean Biomass Association
- Chilean Ecological Restoration Network
- Adapt Chile Association

CTICC, members are appointed by each of the MINAGRI services: led by ODEPA, CIREN, INDAP, CIREN, FIA, SAG, SEGRA, AGROSEGUROS, CONAF, among others. ETICC is made up of representatives from each of the State ministries.

As regards CTICC, a presentation was made in August 2020 on the RBP and SDB projects, and the SDB document was also submitted for review. The CTICC is a key entity as its members will validate and approve the national technical proposals.

COSOC will be an integral part of the SDB, as it should be informed about CTICC approval of the National Technical Proposal.

Key environmental, social and gender issues, risks and impacts addressed during implementation

i) Strengthening and/or re-emergence of conflicts related to weak and non-transparent internal governance structures regarding access to benefits.

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

Not identified

Activities implemented during the reporting period, including monitoring

Workshops with regional teams and FAO.

Outputs during the reporting period

Two types of workshops (2 per region, 12 in total): Informative workshops on the project overview, goals, log frame, among others. In addition, a basis for the determination of the targets per measure and per region was proposed.

Workshop and review of regional proposals for targets compliance.

CONAF accounting professionals from all regions participated (Native Forest, ecosystems and society, wood energy, etc.).

Key environmental, social and gender issues, risks and impacts addressed during implementation

i) Strengthening and/or re-emergence of conflicts associated with weak and non-transparent internal governance structures regarding access to benefits.

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

Convene regional meetings to discuss the overall results of the SC meeting held in December.

Advance with the development of pilot projects and territorial implementation.

4.1.4 Provide information on how the GCF Independent Redress Mechanism, as well as the AE's GRM (e.g. contact details, accessibility, and basic procedures of such mechanisms), is brought to the attention of executing entities, people, and beneficiaries in the project target area and the public in accordance with the relevant ESMS/ESIA.

The Grievance and Redress Mechanism of the ENCCRV is structured based on the Comprehensive Information and Citizen Service System (SIAC) of the State of Chile, through which possible claims and/or suggestions related to the project implementation are submitted through the Information, Claims and Suggestions Office (OIRS) of CONAF.

4.1.5 Include a description of the actions undertaken towards increasing the relevant stakeholders' engagement in the project environmental, social and gender elements.

The information in this subsection should be provided for all projects regardless of the E&S risk category for the project.

Implementation of the stakeholder engagement plan

Activities implemented during the reporting period

Participatory process of the Benefits Distribution System 2020.

Dates and venues of engagement activities

Mapping update of the regional stakeholders participating in the project.

A total of 328 people participated, of whom 45.6% (149) were women and 24.7% (68) belonged to Indigenous Peoples.

Among the main outcomes are the identification of measures aimed at preventing project implementation risks and the SDB (acronym in Spanish), the strengthening of REDD+ groups with the incorporation of new institutional actors and the validation of the macro variables that will govern the decision-making process

Information shared with stakeholders

i) Strengthening and/or re-emergence of conflicts related to weak and non-transparent internal governance structures regarding access to benefits; ii) Inadequate and/or unfair distribution of benefits, particularly for vulnerable groups such as women; and iii) Exclusion or limited participation of relevant local stakeholders, including women in forest-related activities.

Outputs including issues addressed during the reporting period

Disseminate the results of the SDB improvement proposals in the project inception workshops.

4.1.6 Implementation of the grievance redress mechanism - list on the grievances received in the reporting period with the description of the grievance, the date the grievance was received, and the resolution of the grievance.

<p>Description of issues/complaints received during the reporting period</p> <p>From August to December 2020, 11 applications under the 'climate change' category were received, which are related to the ENCCRV.</p> <p>Of the total number of records, 3 (27%) relate to the GCF and the Results-Based Payment Phase (2 enquiries and 1 application), which refer to requests for information regarding the process of obtaining benefits.</p> <p>The requests were made by individuals (67% men and 33% women), through digital means, such as the OIRS - CONAF platform and e-mail.</p>	<p>Date of receipt</p> <p>2020-08-31</p>
<p>Description of resolution</p> <p>In accordance with the ESMF provisions, requests for complaints and/or suggestions will be channelled in a complementary manner to the OIRS through the FAO office in Chile. It should be noted that no complaints or requests were received through this mechanism during the reporting period.</p>	<p>Status of addressing issues/complaints</p> <p>100% of the requirements previously described have been answered within the legal deadlines established for the OIRS - CONAF system (6.6 days in average). In addition, there is no record of subsequent reiteration and/or expression of disagreement from the applicants regarding the response provided.</p> <p>It should be reiterated that the requirements refer to requests for information. No complaints or problems have been reported.</p>

4.2 Gender Action Plan

Regarding the progress in the implementation of the gender action plan and considering the project preparation phase, it should be noted that during the reporting period, and as part of the participatory process of the Benefit Distribution System in which the representation of women reached 45.6%, focus groups of only women were carried out with the participation of rural and indigenous women, women's organisations and small forest owners, with the aim of gathering opinions, perceptions and proposals on the system, through a constructive dialogue and with a gender approach.

This gender-sensitive action allowed these women's focus groups to advance in the definition of the gender variable, which will guide all decision-making processes in the ENCCRV results-based payment phase, where the main outcome was the relevance of including, in addition to the benefit of projects presented by women and the number of beneficiaries, a criterion to prioritise those projects that benefit the production of non-wood forest products, technical and environmental education and growth of women's income.

It was also possible to gather women's views and proposals on prevention measures related to project risks and SDB implementation, as well as the incorporation of women's organisations and leaders in the territory to decision-making bodies such as the REDD+ group.

The progress described is in line with Output 2 'Enabling conditions and institutional strengthening for ENCCRV implementation' of the Gender Action Plan and, considering that for the reporting period the project was in the preparation and planning phase, no additional progress to those already described above was identified.

Provide a progress report on the gender action plan developed during project preparation stage for the reporting period. This will primarily be a report on activities undertaken and results achieved as a result of completion of an activity. Further it should also indicate if the project is on track to achieving the intended outcome(s). The reporting should be done for activities, targets and indicators already set in the action plan including on vulnerable groups (youth, poor, female heads of households, etc.) as would have been identified in the gender analysis and action plan. If activities or targets are not achieved as per plan, reasons should be provided, and recourse action should be proposed. Please include a reporting on any changes or deviations. Include a Report on implementation challenges and lessons learnt and how these will inform on-going actions and what action will be taken by when to address the challenges faced. Incorporate both quantitative data and qualitative report of the performance of such actions, and on progress on actions identified.

4.2.1 Progress on implementing the project-level gender action plan submitted with the funding proposal

Activity / Action	
Development of the participatory SDB process including women-only focus groups on the following 'Gender-responsive actions': 1.3 Develop project selection criteria mainstreaming women as direct beneficiaries. - Implementation phase. 1.1 Ensure the participation of women beneficiaries, including indigenous women, in the development of projects. 2.5 Promote women's participation in decision-making processes.	
Indicator	
% or # of indigenous people and women participating in SDB governance activities and outputs, strengthening their organisational capacities and promoting their involvement in community discussion and decision making on land legalisation in economic solutions related to forestry. % or # of participating indigenous people and women. % or # of indigenous people and women participating in SDB governance activities and outputs, strengthening their organisational capacities and promoting their involvement in community discussion and decision making on land legalisation in economic solutions related to forestry	
Baseline	Target, including sex-disaggregation
0	40% of the people in-volved in SDB governance activities are women.
Budget	Currency
	Please select
Report on annual progress	
328 people participated, in the SDB workshops, of which 45.6% (149) were women.	

4.3 Planned activities on environmental and social safeguards for the next reporting period

Considering the Annual Operational Plan 2021 and the commitments set out in the ESMF, the planned activities on environmental and social safeguards are listed below:

1. The implementation of the Pilots at the regional level should consider respect and compliance with safeguards for each of the initiatives to be developed in the regions. This process begins with the design and planning, preparation of the environmental and social evaluation and the environmental and social management plan, as well as monitoring and follow-up, and subsequent reporting on the safeguards.
2. Advance with the dissemination of the Benefit Distribution System's participatory workshop results in the project inception workshops, considering the updated stakeholder's map.
3. Socialise the risks and prevention measures established and the MRS procedures set out in the ESMF in the inception workshops with the relevant stakeholders identified.
4. To strengthen technical competencies in safeguard matters, training activities and technical support will be provided to regional teams on aspects of environmental and social risk management, gender approach, among others, established in the ESMF.

Provide a list of activities in the ESMP to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products. Please include the monitoring schedule concerning ESS (including other potential vulnerable groups and indigenous people) for the next annual reporting period.

4.4 Planned activities on gender elements for the next reporting period

Considering the Annual Operational Plan 2021 and the commitments set out in the ESMF, the planned environmental and social safeguards activities are listed below:

1. Ensure gender mainstreaming approach in the subprojects planning phase through a checklist.
2. Disseminate the results of the Benefit Distribution System participatory workshops at the project inception workshops, considering the updated stakeholder map, and in particular a representation of women of no less than 40% of the attendees.
3. Socialise the actions, indicators and goals established in the Gender Action Plan in the inception workshops with the relevant actors identified.
4. To strengthen technical competencies in safeguard matters, training activities and technical support will be provided to regional teams on aspects of environmental and social risk management, gender approach, among others, established in the ESMF.

Provide a list of activities in the gender action plan to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products including processes that will be involved to implement the activities effectively. Please include the monitoring schedule concerning gender activities for the next annual reporting period. Report on actions taken on any of the recommendations made by the secretariat (if applicable) to improve the level of integration of gender issues in the project.

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 4 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 4 is complete and ready for submission.

Section 5: Annexes

Section 5: Annexes

Please note that this is section 5 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

Annex 1: Updated implementation timetable for the Funded Activity

Submit only if there are any changes from implementation plan submitted in the FAA.

Annex 2: Accredited Entity compliance reports

Self-assessment reports, Report on Actions pursuant to Clause 18.02, if applicable. Self-assessment reports: In accordance with the AMA requirement in Clause 13.01 of the Accreditation Master Agreement, with the Fiduciary Principles and Standards, ESS and Gender Policy. Report on Actions pursuant to Clause 18.02: Only applicable to International Accredited Entities. In accordance with the Monitoring and Accountability Framework, a report on its actions carried out or planned to be carried out pursuant to Clause 18.02 of the Accreditation Master Agreement.

Please provide comments on the annexes attached above if any.

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 5 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 5 is complete and ready for submission.

Section 6: Attachments

Section 6: Attachments

Please note that this is section 6 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

Click on '+ Add row' to attach more than one document.

Annex 1. First Steering Committee FP120 Chile.pdf

Annex 2. Theory of Change FP120 Chile.pdf

Annex 3. Logical Framework FP120 Chile.pdf

Annex 4. Project Organization FP120 Chile.pdf

APRCY2020 - financial information - GCP CHI 048 GCF - Final Feb 25.docx

APRCY2020 - implementation timetable - GCP CHI 048 GCF - Final Feb 25.docx

Submit the Unaudited/Audited financial statement and Interim/Final evaluation report (as required by FAA). Submit a supporting document for Section 2.4. (Update Progress on the Logic Framework Indicators), describing the calculation methodology for the current values provided.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects.

Other Attachments (if any). Such as additional budget-related information, loan repayment schedules to GCF (interest/principal), equity investment schedules, other related reports relevant to the Funded Activity, statements of capital account, valuation reports, credit guarantee agreements, investor reports, and others, as specified in the relevant legal agreements (e.g. Funded Activity Agreement, Shareholders Agreement)

For the Annual Performance Report of REDD+ Results-Based Payments projects, provide 'Implementation Timetable/Milestones for the next reporting period' and 'Financial Progress Details' as an attachment in this section.

Comments from AE (if any)

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 6 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 6 is complete and ready for submission.

Additional Section: COVID-19 Impact

Additional Section: COVID-19 Impact

In this additional section of the Annual Performance Report (APR), please provide an update of COVID-19 impact on your project/programme. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

Please indicate if your project/programme is adversely impacted by the COVID-19 pandemic.

Yes

Please choose the severity of overall impact.

On track with no or minor impact

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.
2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.
3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.
4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

[GCF Policy on Restructuring and Cancellation](#)

Please describe an overall impact on your project/programme by the COVID-19 pandemic (100-word limit).

As reported the main impact of COVID-19 pandemic is the delay in project start-up.

Implementation plans have been re-adjust based on the lesson learned, considering the changing context and the most likely continued impact of COVID-19 in field operations.

Provide a short description of the adverse impact on your project/programme and provide references or supporting materials in the Annexes and Attachments sections as relevant.

Please describe details of challenges encountered and corrective/mitigation measures taken.**Select a type of the challenges encountered.****Describe details of the challenge encountered.**

The project is in its inception phase. Project activities have not started yet. Progress has have been made on project preparation and planning for the CY2021. Virtual meetings and a virtual project Steering Committee have been regularly carried out.

The current conditions of the COVID-19 pandemic and tighter imposed mobility restrictions in many of the project's regions have delayed the organization of the Inception workshop as initially planned to ensure the participation of local stakeholders and indigenous communities. In fact in rural areas, dissemination and convening activities need to be done in-person, since connectivity and digital literacy is very limited.

Sample challenges for Field Activities:

- Delays in travels, planned training, workshops, conferences, events, and awareness-raising events
- Limited access to project sites especially outer islands
- Postponed field missions for collecting/validating information, and conducting consultations with local stakeholders
- Measures required to ensure the security and safety of workers
- Delays in pilot projects, feasibility/baseline studies

Describe details of the corrective/mitigation measures taken as much as you can.**Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.****Confirmation and Acknowledgement of Information ***

* This is a required question to submit the additional section of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in the additional section on COVID-19 is complete and ready for submission.