FP118 Building a Resilient Churia Region in Nepal (BRCRN)

Annual Performance Report CY2020

Section 1: General Information

Section 1: General Information

Please note that this is section 1 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

1.1 Please indicate if information provided in this APR is disclosable outside the Green Climate Fund. *

[X] Yes - The Accredited Entity agrees that the information reported is disclosable.

[ ] No - The information reported is partly confidential. The disclosable version of the APR will be attached.

If you select the second option [No - The information reported is partly confidential. The disclosable version of the APR will be attached], please follow the below steps.
- Step 1: Fill in all the sections of the APR with disclosable information.
- Step 2: Save each section using the 'Open as PDF' function provided in the top-right corner. (Do NOT submit an APR at this step).
- Step 3: Attach all these disclosable six sections, including an additional section on COVID-19, to the attachment boxes below, which will be shown once you check the second option only.
- Step 4: Update all the sections of the APR below with non-disclosable information.
- Step 5: Submit the non-disclosable APR with an attachment of the disclosable APR in the PDF format.

1.2 Please indicate if this report has been shared with the relevant NDA(s) for this Funded Activity

Yes

Once the APR is created in the PPMS, please use the 'Open as PDF' function to download the report in PDF format and to share with relevant authorities (i.e. NDAs) before submission. Select 'Yes' only if shared to ALL the relevant NDA(s).

Please Indicate the date of submission to NDA(s)

2021-02-22

If the APR is submitted to multiple NDAs, please indicate the latest date of submission to NDA, and provide the other dates per NDA in the further explanation box below.

Please provide further explanation, if any:

1.3 Funded Activity Title (Project/Programme Title)

Building a Resilient Churia Region in Nepal (BRCRN)

(Information is locked for editing)

1.4 Funding Proposal Reference Number

FP118

(Information is locked for editing)

1.5 Board Meeting Number

24

(Information is locked for editing)

1.6 Accredited Entity contacts for this APR

Full Name

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Full Name

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1.7 Executing Entity(ies)

Full Name of Executing Entity

Food and Agriculture Organisation of the United Nations (FAO)

Full Name of Executing Entity

Government of Nepal acting through the Ministry of Forests and Environment (MoFE)

Full Name of Executing Entity

Government of Nepal acting through the Ministry of Finance (MoF)

1.8 Project Duration

From	**To**
2020-05-12	2027-05-12

1.9 Current Year of Implementation

1

Indicate the year number, e.g., '2'

1.10 Annual reporting period covered in this report

From	**To**
2020-05-12	2020-12-31

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 1 of the Annual Performance Report (APR).

[X] The accredited entity hereby confirms that the information provided in section 1 is complete and ready for submission.

Section 2: Implementation Progress

Section 2: Implementation Progress

Please note that this is section 2 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

2.1 Overall (summary) project progress

The Project "Building a Resilient Churia Region in Nepal (BRCRN)" was approved by the Green Climate Fund (GCF) during Board 24 in November 2019. After the Board approval, the Funded Activity Agreement (FAA) was signed on 14 November 2019.

FAO and the Ministry of Finance (MoF) as National Designated Authority (NDA) of the Government of Nepal signed the Project Agreement in presence of the Ministry of Forest and Environment (MoFE) on 3 May 2020 which contains the relevant provision for the jointly execution of the Project and for the Co-financing to be provided by the Government of Nepal to assist Project execution. The FAA is effective since 12 May 2020. FAO received the first disbursement from GCF in June 2020.

Achievements during the period under review include:
1) The Executing Entities (EEs) developed a Project Implementation Manual (PIM) covering all activities designed in the FP. The PIM shared with the GCF as annex to the Inception Report submitted in November 2020, covers implementation arrangements, implementation process, coordination and cooperation, financial management and procurement, knowledge management and reporting, project monitoring and reporting as well as environmental and social safeguards.
2) The EEs developed a Project Reporting Guideline (PRG) for the institutionalization of systematic reporting of results achieved throughout project implementation. The document covers processes and elements of project reporting in alignment with the Operational Partner Agreement (OPA) and the FAA.
3) The MoFE established a Project Management Unit (PMU) which started its operations.
4) The MoFE appointed the National Project Director (NPD) on 15 September 2020 as well as Finance officer and Driver (on 30 September 2020) and a Monitoring and Reporting Officer (on 20 November 2020).
5) A detailed annual budget and programme was prepared and submitted to MoF for approval and included in the Line Ministry Budget Information System (LMBIS) before the establishment of PMU.
6) Project Steering Committee (PSC) under the chairpersonship of MoFE Secretary was formed on 08 July 2020 with the participation of Government and Civil Society Organizations (CSO) including FAO Representative (FAOR) as Accredited Entity.
7) MoFE conducted the Project Steering Committee meeting virtually on 2 November 2020. It endorsed the PIM, PRG, Annual Work Plan and Budget (AWPB) and supported the submission of the Inception Report.
8) FAO prepared an Inception Report together with the MoFE and submitted it to GCF on 11 November 2020. Due to COVID- 19 pandemic lockdown the Inception Workshop took the form of online consultation with stakeholders.
9) Terms of Reference (ToR) for FAO Technical Assistance (FAO-TA) and MoFE PMU have been finalized. The vacancies were announced on 23 September 2020 and recruitment processes are being finalized.
10) MoFE as Operational Partner (OP) submitted its fund request on 29 November 2020. FOA processed the first disbursement to the Operational Partner (OP) on 21 December 2020.
11) The PMU in MoFE prepared the first bi-annual progress report and submitted it to FAO on the 31 January 2021.

Provide a narrative report describing the overall progress on the implementation of the funded activity, focusing on implementation achievements, delays, and challenges according to the planned activities. As relevant, include references to other sections of this report (including Annexes or Attachments). Include a description of key milestones of the funded activity achieved during this reporting period including any deviations from original expectations. Also, describe challenges encountered and actions undertaken to resolve these challenges, and lessons learned during the implementation, including issues related to non-compliance with GCF standards or conditions, if any. In parallel, include positive achievements and better-than-expected results. If any issues have arisen in the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project, please provide a description of those items and how they have impacted the implementation period and final targets. Kindly make sure that this section just gives an overall summary and doesn't have overlap with other sections.

2.2 Performance against the GCF investment criteria (summary)

At the time of this first APR, the project is in its initial stage of implementation (Inception Phase completed in November 2020). Consequently, a comprehensive assessment of the project performance against the GCF investment criteria will be presented in the next reporting period. Preliminary assessment on progress made highlight are reported:

Impact potential: No progress during reporting period
• There were no changes in the mitigation and adaptation impact potential
• There was no impact achieved to date.
• The PIM outlines the monitoring system with disaggregation of direct and indirect beneficiaries of vulnerability reduction and adaptation actions.
• The PMU will put in place the monitoring system during project year 2.

Paradigm shift potential: No progress during reporting period
• All the stakeholders at national, provincial and local level provided feedback to the project concept for the inception report.
• No changes to the contribution to the creation of an enabling environment at watershed level. The project has not started its operations on watershed level.

Sustainable development potential: No progress during reporting period

Needs of recipient: No progress during reporting period
• The needs of the recipient indicated in the FP have not changed.

Country ownership: progress during reporting period
• The Ministry of Finance (MoF) as National Designated Authority is a member of the Project Steering Committee (PSC).
• Similarly, Federation of Community Forest User Group Nepal (FECOFUN), Association of Collaborative Forest User Group Nepal (ACOFUN), The Himalayan Grassroots Women's Natural Resource Management Association (HIMAWANTI) and Nepal Federation of Indigenous Nationalities (NEFIN) are members of the PSC.

Efficiency and Effectiveness: No progress during reporting period
• No changes in the assumptions of the FP
• No additional reporting on leveraging private investment

Provide a narrative report describing the progress on the funded activity's performance against the GCF investment criteria framework. The performance should be compared against the initial assessment provided in the Board-approved Funding Proposal (section E). The list of the investment criteria as per the current framework is provided below. For each investment criteria outlined below, please include an assessment of current status, changes, progress and impact of the project as well as any impact of project context on the project during this reporting period against the initial baseline scenario and planned activities as per the assessment presented in the approved Funding Proposal. This sub-section 2.2 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

Relevant Links

The GCF investment criteria framework

2.2.1 Impact Potential ⍰

Adaptation/ Mitigation impact potential
Adaptation: The BRCRN project will significantly improve the resilience of ecosystems and communities in the Churia region. Through support for the adoption of climate-resilient land use practices, at least 200,681 households (963,268 people, equivalent to about 30% of the inhabitants in the project area) in the 26 targeted river systems will become more resilient to short- and medium-term climate change risks.
Mitigation: The BRCRN project will have an impact on GHG emissions from the AFOLU sector in Nepal. Importantly, the project is expected to significantly reduce deforestation and forest degradation and improve forest management in the project area.
• There were no changes in the mitigation and adaptation impact potential
• There was no impact achieved to date.

Key impact potential indicators
The methodology for calculating the mitigation impact indicators follows IPCC GPG (2006) principles for land-based accounting. The main logic is that for each BRCRN activity, which affects the mitigation indicator, a specific impact factor is derived which will be applied to calculate the overall impacts. This impact factor, measured in tCO_2/ha/year consists of several Baseline-to-Project change factors if a specific intervention is involving more than one land use practice.
• The PMU planned the study for 2021 and drafted the ToR for the baseline setting for project year 2 to monitor the reduction of tCO_2/ha/year in the project area.

Within the project districts, the average household contains 4.8 people (slightly higher than the national average of 4.5). The project aims to reach 750 CBOs. On average, this will imply ~28-29 user groups per river system, while in practice some river systems may have more groups than others based on their size.

• The PIM outlines the monitoring system with disaggregation of direct and indirect beneficiaries of vulnerability reduction and adaptation actions.
• The PMU will put in place the monitoring system during project year 2.

2.2.2 Paradigm shift potential ⍰

Potential for scaling up and replication
• No changes in assumptions from FP and no impact in reporting period.

Potential for knowledge and learning
• No changes in assumptions from FP and no impact in reporting period.

Contribution to the creation of an enabling environment
The BRCRN project contributes to the creation of an enabling environment through a step-wise approach. It establishes an institutional and planning framework conducive to implement the project activities. Ultimately, the capacity of government stakeholders and local CBOs will be built by the project to understand and assess climate risks, utilize climate information and vulnerability assessments to plan, and monitor climate resilient land use, and further monitor local risks and resources in the future. This will equip them to continue investing in climate-resilient SNRM – in a manner that coordinates upstream and downstream interventions to maximize adaptation and mitigation benefits – beyond project closure.
• All the stakeholders at national, provincial and local level provided feedback to the project concept for the inception report.
• No changes to the contribution to the creation of an enabling environment at watershed level. The project has not started its operations on watershed level.

Contribution to regulatory framework and policies
• There has been no policy contribution of the project yet.

2.2.3 Sustainable development potential ⍰

No progress during reporting period

2.2.4 Needs of the recipient ⍰

Vulnerability of country and beneficiary groups (Adaptation only)
Nepal is highly vulnerable to climate change. The vulnerability of already fragile ecosystems in the Churia-Terai Madhesh region is further aggravated by numerous anthropogenic interventions. These include settlement through the clearing of forests for cultivation, over exploitation of timber and other forest products through illegal logging and unsustainable harvesting of timber and NTFPs, uncontrolled grazing of livestock. Thereby the activities are rapidly altering ecosystem function and the overall resilience of the region. Local people´s resilience is negatively impacted through the loss of key ecosystem services and resilience that increase their exposure to climate change.
Poor and vulnerable households and communities suffer most from climate change, because of their higher exposure and sensitivity (of assets and livelihoods) to hazards, and their low capacity to manage risks and avoid/ mitigate negative impacts. To cope with these pressures, and for their survival and subsistence, poor people rely on their surrounding natural resources, resulting in their further degradation and, ultimately pushing them into a perpetual cycle of poverty and environmental degradation. Thus, the impending impacts of climate change will have significant negative impacts on their basic survival and subsistence needs, for example quality and availability of water resources, agricultural productivity, animal husbandry and ecosystem integrity. This will in turn have an adverse impact on the overall social development of Nepal.

Financial, economic, social and institutional needs
Many CSOs are undergoing restructuring due to the changing political structure. Before the transition, CSOs often had national representation with district-level chapters. Many CSOs will now have to adapt to provincial level chapters, as well as increasing local level representation to match the new roles that local and provincial level governments have adopted. The project can support local and provincial CSOs to build capacities and improve coordination with local and provincial government authorities and other stakeholders.

The needs of the recipient indicated in the FP have not changed.

2.2.5 Country Ownership ❓

Existence of a national climate strategy and coherence with existing plans and policies, including NA-PAs, LAPAs and NAPs
• All the policies/strategies mentioned in FP have not changed.
• There has been an NDC update on the 08th of December 2020 with the publication of the second nationally determined contribution. The relevant part on forestry changed marginally: "By 2030, maintain 45% of the total area of the country under forest cover (including other wooded land limited to less than
4%). By 2030, manage 50% of Tarai and Inner Tarai forests and 25%of middle hills and mountain forests sustainably, including through the use of funding from REDD+ initiatives."
• Nepal has recognized the project (BRCRN) as priority 1 (P1) project and co-executing agency/implementing agency is Government of Nepal (MoFE) shows the ownership of the Government of Nepal.

Capacity of accredited entities and executing entities to deliver
• A combined team of FAO and MoFE in the Project Management Unit (PMU) is envisaged, the FAO recruitment process has been initiated. Similarly, MoFE has appointed a National Project Director (NPD), a Monitoring and Evaluation (M&E) Officer, Finance Officer (FO).
• Recruitment for the entire PMU team is behind schedule.

Engagement with NDAs, civil society organizations and other relevant stakeholders
• The Ministry of Finance (MoF) as National Designated Authority is a member of the Project Steering Committee (PSC).
• Similarly, Federation of Community Forest User Group Nepal (FECOFUN), Association of Collaborative Forest User Group Nepal (ACOFUN), The Himalayan Grassroots Women's Natural Resource Management Association (HIMAWANTI) and Nepal Federation of Indigenous Nationalities (NEFIN) are members of the PSC.
• All the PSC members including NDA and Civil Society Organization (CSO) have full engagement in the policy process.
• The Project Implementation Manual (PIM) lays out the engagement of CSO/CBOs in the local level planning, implementation and monitoring (Community Based Forestry and Agriculture Committee-CBFAC)

2.2.6 Efficiency and Effectiveness ❓

Cost-effectiveness and efficiency
• No changes in the assumptions of the FP

Co-financing, leveraging and mobilized long-term investments (mitigation only)
• No changes in the assumptions of the FP
• No additional reporting on leveraging private investment

Financial viability
• No changes in the assumptions of the FP

Application of best practices
• No changes in the assumptions of the FP

Key efficiency and effectiveness indicators
• No changes in the assumptions of the FP

2.3 Project Outputs Implementation Status

Use 'Add Row' button to add multiple outputs and/or activities reported against one output

Project Output Name

1.1. Farmers are skilled in using climate-resilient land use practices

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 1.1.1 Establish Farmer Field Schools to promote climate-resilient land use

Status	**Implementation Progress**	
Activity started - ahead of schedule	5	%

Progress for the relevant reporting period

• Draft TOR prepared of Farmer Field School (FFS) Expert.
• Assessment of CCLUP expert (international) completed
• Advertised for CCLUP expert (national) and under short listing process

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Milestone: FFS/CCLUP/expert hire until Apr, Packages preparation until Dec

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

1.1. Farmers are skilled in using climate-resilient land use practices

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 1.1.2 Train farmers to adopt and apply climate-resilient land use practices

Status	**Implementation Progress**	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

1.1. Farmers are skilled in using climate-resilient land use practices

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 1.1.3. Train farmers to adopt agroforestry and livestock management practices

Status	**Implementation Progress**	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

1.1. Farmers are skilled in using climate-resilient land use practices

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 1.1.4. Construct check dams, gully stabilization measures and other local infrastructure to enhance resilience against climate change-induced erosion, sedimentation and flooding risks

Status	Implementation Progress	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

1.2: Natural for-est ecosystems are better maintained and protected

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 1.2.1. Support CBOs to develop/strengthen and deliver forest management operational plans

Status	Implementation Progress	
Activity not yet due	0	%

Progress for the relevant reporting period

Milestone: Forestry Specialist hired until Apr, Preliminary work for nursery establishment until Dec

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

1.2: Natural for-est ecosystems are better maintained and protected

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 1.2.2 Train CBOs and land owners to enable more sustainable management of forest ecosystems

Status	**Implementation Progress**	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

1.3: Forests and tree cover are re-stored and maintained in the river system landscapes

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 1.3.1. Train farmers to adopt agroforestry and livestock management practices

Status	**Implementation Progress**	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

1.3: Forests and tree cover are re-stored and maintained in the river system landscapes

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 1.3.2. Establish and maintain 7,300 ha of forest plantations to enhance resilience

Status	**Implementation Progress**	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

1.3: Forests and tree cover are re-stored and maintained in the river system landscapes

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 1.3.3. Train government field staff and CBOs on Forest Landscape Restoration, and support CBOs to implement Assisted Natural Regeneration on 15,990 ha

Status	Implementation Progress	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

1.3: Forests and tree cover are re-stored and maintained in the river system landscapes

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 1.3.4. Provide technical guidance and seedlings to establish tree cover on 16,500 ha of woodlots to enhance ecosystem resilience, and improve fuelwood and timber availability in downstream communities

Status	Implementation Progress	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

2.1: Planning for climate-resilient SNRM is enhanced

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 2.1.1. Strengthen institutions on climate change impacts and ecosystem mapping

Status	Implementation Progress	
Activity started - ahead of schedule	5	%

Progress for the relevant reporting period

- TOR prepared of Forestry Specialist and in the process of advertisement
- FAO-TA team recruitment is in the process.
- Project office (PMU) establishment is in progress

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Milestones: FAO TA Staff hire until Mar, Procurement advisory service hired until Mar, Training design until Jul, CERP preparation/validation until Dec, ESMP update until Dec

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

2.1: Planning for climate-resilient SNRM is enhanced

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 2.1.2. Develop and validate Critical Ecosystem Restoration Plans (CERPs) for 26 river systems

Status	Implementation Progress	
Activity started - ahead of schedule	0	%

Progress for the relevant reporting period

- Critical Ecosystem Restoration Plans (CERPs) preparation and validation guideline first draft prepared

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

2.1: Planning for climate-resilient SNRM is enhanced

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 2.1.3. Support provincial governments to plan and increase resilience to projected climate change-related extreme events

Status	Implementation Progress	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

2.2: Community-based organizations (CBOs) are equipped to scale up climate-resilient SNRM

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 2.2.1. Establish, formalize and register CBOs to enable climate-resilient SNRM

Status	Implementation Progress	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Milestones: CBO profile until Sep, Registration of CBOs until Dec, Networking until Dec 2020, PLF status update until Sep

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

2.2: Community-based organizations (CBOs) are equipped to scale up climate-resilient SNRM

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 2.2.2. Train CBOs on climate-resilient land use planning, and assist them to mainstream SNRM into their CBO management plans

Status	Implementation Progress	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Milestone: Training of trainers handbook finalized until Jul

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

3.1: Local knowledge on climate-resilient SNRM is enhanced

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 3.1.1. Equip Local Resource Persons (LRPs) with best practices on climate-resilient SNRM from local experience, including indigenous knowledge

Status	**Implementation Progress**	
Activity not yet due	0	%

Progress for the relevant reporting period

• TOR Developed of Knowledge Management Specialist and in process of advertisement

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Milestone: LRP Training planning until Jun

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

3.1: Local knowledge on climate-resilient SNRM is enhanced

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 3.1.2. Raise awareness on climate-resilient SNRM through local schools, media and intra-regional exchange

Status	**Implementation Progress**	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Milestone: Module development (School Programme) until Dec

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

3.2: The extension system is equipped to pro-mote climate-resilient SNRM

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 3.2.1. Develop 10 modules on SNRM to be used by extension workers, including in the Farmer Field Schools

Status	**Implementation Progress**	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Milestones: Communication and Knowledge management in place until Jun, FFS Module development until Dec

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

3.2: The extension system is equipped to pro-mote climate-resilient SNRM

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 3.2.2. Enhance and deliver quality climate-informed extension services on SNRM to households

Status	**Implementation Progress**	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Milestones: Package preparation for quality extension until Dec

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

Project Output Name

3.2: The extension system is equipped to pro-mote climate-resilient SNRM

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

Project Activity Name

Activity 3.2.3. Establish and operate a Churia Knowledge Centre (CKC) in each province to enable continuous delivery of climate-informed extension services and planning, and to monitor implementation and results of CERPs and CBO management plans

Status	**Implementation Progress**	
Activity not yet due	0	%

Progress for the relevant reporting period

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

Key milestones and deliverables for the next reporting period

Milestone: Preparatory work for Churia Knowledge Centre until Sep

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

2.4 Progress Update on the Logic Framework Indicators

Values of Baseline, mid-term target and final targets should be the same from the approved funding proposals unless calculation methodologies were revised in agreements with the GCF. Please attach a supporting document(s) describing the calculation methodology of the current value of all the indicators in Section 6; the indicators cover core, impact, outcome, and output levels. If there is a change in the methodology, you need to include clear justifications for the change and changed values as compared to the previous year.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

2.4.1 Core Indicators

Select applicable core indicators

[X] Mitigation Core Indicator 1 - Tonnes of carbon dioxide equivalent (tCO2eq) reduced as a result of GCF funded project/programme

[X] Mitigation Core Indicator 2 - Cost per tCO2eq decreased for GCF funded project/programme

[ ] Mitigation Core Indicator 3 - Volume of finance leveraged by GCF funding (Disaggregated by public/private source)

[X] Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme

[X] Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme

[ ] Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population

Mitigation Core Indicator 1 - Tonnes of carbon dioxide equivalent (tCO2eq) reduced as a result of GCF funded project/programme (Unit: tCO2eq)

Please provide the ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing). The 'Final Target' is the amount of emission reduced up to the end of implementation. The 'Expected lifetime emission reductions overtime' is an estimate of emission reductions over the lifetime. If the end of lifetime coincides with the end of implementation then please provide the same value for 'Final Target' and 'Expected lifetime emission reductions over time'.

Baseline		Current Value		Mid-term Target		Final Target	
0	tCO2eq	0	tCO2eq	743764	tCO2eq	2366485	tCO2eq

Expected lifetime emission reductions overtime

	tCO2eq

Remarks (including changes, if any)

Mitigation Core Indicator 2 - Cost per tCO2eq decreased for GCF funded project/programme (Unit: Cost per tCO2eq)

Total GCF Funding	Total Co-financing	Currency
39 299 905	8 042 248	usd
This value is auto-populated from 3.1.1. *(Information is locked for editing)*	This value is auto-populated from 3.1.2. *(Information is locked for editing)*	This value is auto-populated from 3.1. *(Information is locked for editing)*

Baseline	Current Value	Mid-term Target	Final Target
		0	0

Estimated cost per tCO2eq reduced over lifetime

Calculation methodologies:

The baseline is total project funding divided by baseline emission reduction.

The current value is total project funding divided by the current value of emission reduction. This value is on a cumulative basis.

The mid-term target is total project funding by the mid-term target of emission reduction.

The final target is total project funding by the final target of emission reduction.

The estimated cost per emission reduced over lifetime is the total project funding divided by expected lifetime emission reductions over lifetime.

Please confirm the aforementioned auto-calculated values and, if different, provide values and corresponding methodologies.

Mid-term Target: USD 18.06 per tCO2eq Final Target: USD 17.33 per tCO2eq

Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

Baseline	**Baseline (% of female)**	
0	0	%

Current Value	**Current Value (% of female)**	
0	0	%

Mid-term Target	**Mid-term Target (% of female)**	
231168	50	%

Final Target	**Final Target (% of female)**	
963268	50	%

Remarks (including changes, if any)

The project expects to have supported some direct and indirect beneficiaries to adopt climate-resilient SNRM practices mid-term, but this will not be measured.
This is because the number of people benefiting from the adoption of such practices is considered to be meaning-fully measured through a household survey, which in the interest of efficient use of resources will not be commissioned at mid-term.
Project activities that will directly produce the beneficiary benefits begin in PY3 and reach scale in PY5, and thus a mid-term household survey would not provide an accurate indication of project progress.

Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

Baseline	**Baseline (% of female)**	
0	0	%

Current Value	**Current Value (% of female)**	
0	0	%

Mid-term Target	**Mid-term Target (% of female)**	
0	0	%

Final Target	**Final Target (% of female)**	
3200000	50	%

Remarks (including changes, if any)

The project expects to have supported direct and indirect beneficiaries to adopt climate-resilient SNRM practices at mid-term - this will not be measured.
In fact number of people benefiting from the adoption of such practices is considered to be meaning-fully measured through a household survey, which in the interest of efficient use of resources will not be commissioned at mid-term.
Project activities that will directly produce the beneficiary benefits begin in PY3 and reach scale in PY5, and thus a mid-term household survey would not provide an accurate indication of project progress.

2.4.2 Impact Indicators

Select applicable impact indicators

☐ M1.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased low-emission energy access and power generation

☐ M2.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased access to low-emission transport

☐ M3.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of buildings, cities, industries and appliances

☒ M4.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of sustainable management of forests and conservation and enhancement of forest carbon stocks

☐ A1.1 Change in expected losses of lives and economic assets due to the impact of extreme climate-related disasters in the geographic area of the GCF intervention

☐ A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.)

☐ A1.3 Number of Fund funded projects/programmes that supports effective adaptation to fish stock migration and depletion due to climate change

☐ A2.1 Number of males and females benefiting from introduced health measures to respond to climate-sensitive diseases

☐ A2.2 Number of food secure households (in areas/periods at risk of climate change impacts)

☐ A2.3 Number of males and females with year round access to reliable and safe water supply despite climate shocks and stresses

☐ A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (reported where applicable)

☒ A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change

☐ A4.2 Value of ecosystem services generated or protected in response to climate change

M4.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of sustainable management of forests and conservation and enhancement of forest carbon stocks (Unit: tCO2eq)

Please provide the ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing). The 'Final Target' is the amount of emission reduced up to the end of implementation. The 'Expected lifetime emission reductions overtime' is an estimate of emission reductions over the lifetime. If the end of lifetime coincides with the end of implementation then please provide the same value for 'Final Target' and 'Expected lifetime emission reductions over time'.

Baseline	Current Value	Mid-term Target	Final Target
0 — tCO2eq	0 — tCO2eq	743764 — tCO2eq	2366485 — tCO2eq

Expected lifetime emission reductions overtime

tCO2eq

Remarks (including changes, if any)

A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change (Units: multiple, as applicable)

Please provide ex-post 'Current Value' on a cumulative basis.

Use 'Add row' button to add multiple units/sub-indicators

Unit			
ha of climate resilient agricultural practices implemented			

Baseline	Current Value	Mid-term Target	Final Target
0	0	12189	48772

Remarks (including changes, if any)

Unit			
ha of forest ecosystems sustainably managed			

Baseline	Current Value	Mid-term Target	Final Target
0	0	45848	148998

Remarks (including changes, if any)

Unit			
ha community managed natural forests restored through assisted regeneration			

Baseline	Current Value	Mid-term Target	Final Target
0	0	4000	12792

Remarks (including changes, if any)

Unit			
ha of new planted forests established			

Baseline	Current Value	Mid-term Target	Final Target
0	0	0	5840

Remarks (including changes, if any)

2.4.3 Project/Programme-level Outcome & Output Indicators

Please provide ex-post 'Current Value' on a cumulative basis. If you have multiple outputs to be reported against one outcome, you need to write down the same outcome name for every output. Likewise, if you have multiple indicators to be reported against one output, you need to write down the same output name and corresponding outcome name for every indicator.

Use 'Add row' button to add multiple outcomes, outputs and/or indicators.

Results Area Type	Outcome Name
Mitigation	M9.0 Improved management of land or forest areas contributing to emissions reductions

Output Name (under the afore-mentioned outcome)

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

M9.1 Hectares of land or forests under improved and effective management that contributes to CO_2 emission reductions

Unit

ha of climate resilient agricultural practices implemented

Baseline	Current Value	Mid-term Target	Final Target
0	0	12189	48772

Remarks (including changes, if any)

Results Area Type	Outcome Name
Mitigation	M9.0 Improved management of land or forest areas contributing to emissions reductions

Output Name (under the afore-mentioned outcome)

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

M9.1 Hectares of land or forests under improved and effective management that contributes to CO_2 emission reductions

Unit

ha of forest ecosystems sustainably managed

Baseline	Current Value	Mid-term Target	Final Target
0	0	45848	148998

Remarks (including changes, if any)

Results Area Type	Outcome Name
Mitigation	M9.0 Improved management of land or forest areas contributing to emissions reductions

Output Name (under the afore-mentioned outcome)

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

M9.1 Hectares of land or forests under improved and effective management that contributes to CO_2 emission reductions

Unit

ha Community man-aged natural forests restored through assisted regeneration

Baseline	Current Value	Mid-term Target	Final Target
0	0	4000	12792

Remarks (including changes, if any)

Results Area Type

Mitigation

Outcome Name

M9.0 Improved management of land or forest areas contributing to emissions reductions

Output Name (under the afore-mentioned outcome)

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

M9.1 Hectares of land or forests under improved and effective management that contributes to CO2 emission reductions

Unit

ha of new planted forests established

Baseline	Current Value	Mid-term Target	Final Target
0	0	0	5840

Remarks (including changes, if any)

Results Area Type

Please select

Outcome Name

A5.0 Strengthened institutional and regulatory systems for climate responsive planning and development

Output Name (under the afore-mentioned outcome)

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

A5.2Number and level of effective coordination mechanisms

Unit

26 river-system level CFUG coordination networks - Level

Baseline	Current Value	Mid-term Target	Final Target
1	0	2	4

Remarks (including changes, if any)

Results Area Type

Please select

Outcome Name

A8.0 Strengthened awareness of climate change threats and risk reduction processes

Output Name (under the afore-mentioned outcome)

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

Indicator Name

A8.1 Number of males and females made aware of climate threats and related appropriate responses

Unit

Baseline	Current Value	Mid-term Target	Final Target
0	0	231168	963268

Remarks (including changes, if any)

Mi-term Target:
115,584 men
115,584 women
231,168 total
Final Target:
481,634 men
481,634 women
963,268 total

2.5 Report on changes during implementation (include actual and expected changes)

There is no significant change in the context of the BRCRN project execution affecting the outcomes of the project.

FAO would however like to report on the following positive changes on the national policy landscape:

• Updated of the NDC submitted by the Government of Nepal to UNFCCC. The NDC formulation and implementation process will further increase visibility and ownership of the project.

• The Parliament of Province 2 has recently passed its Forest Act. This Act will be a supporting enabling element for the Project.

Describe changes to the project during the reporting period. In particular, the report should cover elements such as change of beneficial ownership structure, management changes of the Accredited Entity, policies and other elements relevant for the project, and any other material change that could influence the overall outcome of the project.

2.6 Implementation challenges and lessons learned

Challenge encountered

Delays in appointment of Human Resource to the project by MoFE.

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

Challenge type

Operational

Impact on the project implementation

Moderate

Measures adopted

Formal request for the required staff.

Lesson learned and other remarks

Close cooperation with the appointing line ministry improves the description of the HR requirements and appointment procedures

---

Challenge encountered

Delay in FAO-TA team recruitment

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

Challenge type

Operational

Impact on the project implementation

Moderate

Measures adopted

Support requested to the Regional Office to accelerate recruitment pro-cess.

Lesson learned and other remarks

Constant follow up and direct interaction with-in the organisation enhances timely HR processes

---

Challenge encountered

Impact of CoViD-19 on Inception Phase

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

Challenge type

Operational

Impact on the project implementation

High

Measures adopted

The AE, in consultation with the Co-Executing Entity (Co-EE) of the Government of Nepal, the Ministry of Forests and Environment (MoFE) has opted for an online consultation process of two weeks instead of an inception workshop.

Lesson learned and other remarks

COVI.D Risk factors will be further included in planning as soon as FAO TA and MoFE TA units are fully operational

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 2 of the Annual Performance Report (APR).

[X] The accredited entity hereby confirms that the information provided in section 2 is complete and ready for submission.

Section 3: Financial Information

Section 3: Financial Information

Please note that this is section 3 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

3.1 Approved Budget for entire project period as per FAA

Currency

usd

(Information is locked for editing)

GCF Funding (Equity)

GCF Funding (Grants)

39 299 905

(Information is locked for editing)

GCF Funding (Guarantees)

GCF Funding (In-kind)

GCF Funding (Loans)

GCF Funding (Results-Based Payment)

3.1.1 Total GCF Funding

39 299 905

(Information is locked for editing)

Please confirm if the afore-mentioned values are different as per your knowledge.

No differences to be reported.

3.2 Co-financing

Currency

usd

(Information is locked for editing)

Co-financing (Equity)

Co-financing (Grants)

446 354

(Information is locked for editing)

Co-financing (Guarantees)

Co-financing (In-kind)

7 595 894

(Information is locked for editing)

Co-financing (Loans)

Co-financing (Results-Based Payment)

3.2.1 Total Co-financing

8 042 248

(Information is locked for editing)

Please confirm the afore-mentioned values are different as per your knowledge.

No differences to be reported.

3.3 Disbursements Details (Cumulative to this reporting period)

3.3.1 Total GCF Disbursement   **Currency**

| 2 426 224 | | usd |

(Information is locked for editing)   *(Information is locked for editing)*

GCF Equity Disbursement

| |

GCF Grants Disbursement

| 2 426 224 |

(Information is locked for editing)

GCF Guarantees Disbursement

| |

GCF In-kind Disbursement

| |

GCF Loans Disbursement

| |

GCF Results-Based Payment Disbursement

| |

Please confirm the afore-mentioned values are different as per your knowledge.

| No differences to be reported. |

3.3.2 Co-Financing Disbursement   **Choose currency**

| 3 483 | | USD |

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.3.3 Total Project Disbursement   **Choose currency**

| 2 429 707 | | USD |

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

Please provide comments on sub-section 3.3, if any.

| |

3.4 Expenditure details (Cumulative to this reporting period)

Choose currency

Please select

GCF Equity Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Grants Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Guarantees Expenditures

386 683

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

GCF Loans Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.1 GCF Expenditures

386 682

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.2 Co-financing Expenditures

3 483

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

3.4.3 Total Project Expenditures

390165

Please provide comments on sub-section 3.4, if any.

3.5 Investment & Other Income (Cumulative to this reporting period)

Reporting Level for investment

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

Choose currency

USD

[X] Project Level

[ ] Accredited Entity Portfolio Level

Project Level Investment & Other Income

6 462.82

Please provide comments on sub-section 3.5, if any.

Investment Income (Bank Interest) as of CY2020

3.6 Report on AE fees (Cumulative to this reporting period)

Reporting Level for AE fees

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

Choose currency

USD

[X] Project Level

[ ] Accredited Entity Portfolio Level

Project Level AE Fees

0

Please provide comments on sub-section 3.6, if any.

3.7 Annual Financial Performance Report

Please download the Financial Performance Report Template in Excel.

Financial Performance Report Template

This sub-section 3.7 is not applicable for REDD+ Results-Based Payments Projects. Please provide a separate 'Financial Progress Details' in Section 6.

Please attach the Annual Financial Performance Report here.

APRCY2020 - Section 3 - GCP NEP 076 GCF - Final Feb 23.xlsx

Please provide comments on the attachment.

Figures are Provisional

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 3 of the Annual Performance Report (APR).

[X] The accredited entity hereby confirms that the information provided in section 3 is complete and ready for submission.

Section 4: Environmental and Social Safeguards & Gender

Please note that this is section 4 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

4.1 Implementation of environmental and social safeguards and gender elements

Please provide information on the project or programme on the following: (1) key risks and impacts as identified; (ii) compliance with applicable laws and regulations including FAA conditions and covenants; and (3) progress in the implementation of environmental and social management plans and programs including monitoring activities undertaken during the implementation of the funded activity.

4.1.1 The information includes description on any changes in the key environmental and social risks and impacts as identified and arising from the implementation including any unanticipated risks and impacts (ex. from changes in laws and regulations) and, based on these if any change in the project's environmental and social risk category. In case of a change in the E&S risk category for the project, please provide an explanation.

The current project status has not reached the implementation stage and all key environmental and social risks as well as impacts are valid from the FP. All planning documents and risk assessments will be updated as soon as the related officers have taken their post within the PMU.
There is no change in the E&S risk category for the project.

4.1.2 The information should include status of compliance with applicable laws and regulations of the country as well as the relevant conditions or covenants under the FAA. This can be captured in the table below:

Status of compliance with applicable laws and regulations and the conditions and covenants specifically addressing ESS & Gender under FAA

Compliance Type
Covenant

Compliance Title & Description

Clauses under section 10.2 of the FAA
b) Undertake and/or put in place any adequate measures in order to ensure that the management of the environmental and social risks and impacts arising from the Funded Activity complies at all times with the recommendations, requirements and procedures set forth in the Environmental and Social Management Framework ("ESMF") inclusive of Indigenous People Planning Framework and Biodiversity Management Framework provided by the Accredited Entity to the GCF with the Funding Proposal before the Approval Decision and which shall not be amended, abrogated or waived without prior written approval of the GCF;

Status of compliance

Progress: Provisions relating to the ESMF are included in the PIM
Status: Compliance reporting will be included with next APR, see PIM for measures and AWPB for timing

Compliance Type
Covenant

Compliance Title & Description

Clauses under section 10.2 of the FAA
c) Ensure that the GCF Proceeds will not support or finance, directly or indirectly, any activities with potential environmental and social risks that are equivalent to category A pursuant to the Environmental and Social Risks Categories to be conducted as part of the Project;

Status of compliance

Status: No activities to be reported

Compliance Type
Covenant

Compliance Title & Description

Clauses under section 10.2 of the FAA
d) Acquire, or ensure that the Host Country shall acquire, all necessary environmental licenses or clearances, land and land rights required to carry out the Funded Activity pursuant to the relevant laws, and maintain such licenses, clearances, lands and land rights throughout the term of the Project implementation, and shall promptly furnish evidence, in a form and substance satisfactory to the Fund, and upon its request, that such licenses, clearances, land and land rights are available for the purposes of the Fund-ed Activity;

Status of compliance

Status: Compliance to be reported in the next APR as no Funded Activities have been touching this condition of the FAA

Compliance Type
Covenant

Compliance Title & Description

Clauses under section 10.2 of the FAA
e) Prior to commencing any construction works or activities for the implementation of the Project, submit to the GCF a detailed Environmental and Social Management Plan ("ESMP") related to the relevant construction works or activities to be executed, in a form and substance satisfactory to the GCF;

Status of compliance

Status: Compliance to be reported in next APR as no Funded Activities have been touching this condition of the FAA

Compliance Type

Covenant

Compliance Title & Description

Clauses under section 10.2 of the FAA

f) Prior to commencing any activities that have potential application of the Accredited Entity's safeguards standard on indigenous peoples and/or ethnic minorities, submit to the GCF the relevant indigenous peoples plan and/or social inclusion management plan for such activities that includes a culturally-appropriate project-level grievance mechanism, and shall also furnish to the GCF evidence, satisfactory to the GCF, that free, prior and informed consent from the indigenous and/or ethnic minority communities has been obtained for the purposes of the relevant activities;

Status of compliance

Status: Compliance to be reported in next APR (see also IPPF)

4.1.3 Provide a report on the progress made in implementing environmental and social management plans (ESMPs) and frameworks (ESMFs) describing achievements, and specifying details outlined in the tables below.

Implementation of ESMPs and ESMFs

Activities implemented during the reporting period, including monitoring

PIM PRG and AWPB Prepared and endorsed from PSC

Outputs during the reporting period

Documents

Key environmental, social and gender issues, risks and impacts addressed during implementation

None

Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

4.1.4 Provide information on how the GCF Independent Redress Mechanism, as well as the AE's GRM (e.g. contact details, accessibility, and basic procedures of such mechanisms), is brought to the attention of executing entities, people, and beneficiaries in the project target area and the public in accordance with the relevant ESMS/ESIA.

The GRM is planned to be established In accordance with the approved ESMF and aligned to ongoing guidelines.

4.1.5 Include a description of the actions undertaken towards increasing the relevant stakeholders' engagement in the project environmental, social and gender elements.

The information in this subsection should be provided for all projects regardless of the E&S risk category for the project.

Implementation of the stakeholder engagement plan

Activities implemented during the reporting period

Project Steering Commit-tee (PSC) formation (18 members) in which FECO-FUN, ACOFUN, HIMA-WANTI and NEFIN from CSO, FAO as Development Partner/Accredited Entity, MOF as NDA and the stakeholders are involved.

Dates and venues of engagement activities

8 July 2020, at MoFE under the chairpersonship of MoFE Secretary

Information shared with stakeholders

- Project information
- PIM and PRG
- Result Framework
- Inception Report

Outputs including issues addressed during the reporting period

Inception Report published
PMU established

Activities implemented during the reporting period

Inception online Consultation with stakeholders

Dates and venues of engagement activities

from 15 September to 7 October 2020 (online)

Information shared with stakeholders

- Project introduction
- Result framework

Outputs including issues addressed during the reporting period

Feedback received and incorporated in Inception Report

Activities implemented during the reporting period

In the first PSC meeting stakeholders at wider level involved as an invitee.

Dates and venues of engagement activities

2 November 2020

Information shared with stakeholders

- Briefing of the project
- PIM and PRG
- AWPB
- Inception Report

Outputs including issues addressed during the reporting period

PIM, PRG and AWPB endorsed. the Inception Report has been recommended for submission.

4.1.6 Implementation of the grievance redress mechanism - list on the grievances received in the reporting period with the description of the grievance, the date the grievance was received, and the resolution of the grievance.

Description of issues/complaints received during the reporting period	Date of receipt
No grievances received	

Description of resolution	Status of addressing issues/complaints

4.2 Gender Action Plan

There has been no dedicated gender related activity within the project inception phase. Stakeholders from HIMAWANTI have been included in the PCU and in the online inception consultations 25% of the responses where from female academics and NGO representatives.

In 2021 the project will establish Gender Focal Points in the Provincial Project Coordination Committees (PPCC). The implementation through the PMU until the end of the year includes an assessment of all partners and their gender representation. The Gender and Safeguards Officer (still to be recruited) will drive this process.

Provide a progress report on the gender action plan developed during project preparation stage for the reporting period. This will primarily be a report on activities undertaken and results achieved as a result of completion of an activity. Further it should also indicate if the project is on track to achieving the intended outcome(s). The reporting should be done for activities, targets and indicators already set in the action plan including on vulnerable groups (youth, poor, female heads of households, etc.) as would have been identified in the gender analysis and action plan. If activities or targets are not achieved as per plan, reasons should be provided, and recourse action should be proposed. Please include a reporting on any changes or deviations. Include a Report on implementation challenges and lessons learnt and how these will inform on-going actions and what action will be taken by when to address the challenges faced. Incorporate both quantitative data and qualitative report of the performance of such actions, and on progress on actions identified.

4.2.1 Progress on implementing the project-level gender action plan submitted with the funding proposal

Activity / Action

Gender focal points assigned in multi-stakeholder project coordination entities

Indicator

Number of coordination entities with assigned Gender Focal Point

Baseline	Target, including sex-disaggregation
0	Gender Focal Point assigned at least in PSC and Provincial Project Coordination Committee (PPCC)

Budget	Currency
1 070	USD

Report on annual progress

Responsibilities: NPD in coordination with gender focal point from Ministry of Forests and Environment (MoFE) and federal and PPMU safe-guard Officers
Progress: Not assigned in the first PSC meeting - PPCCs have not been formed

Activity / Action

Invitation of CSOs representing women (including indigenous women's groups and Dalit women's groups, among others) to participate on (PPCCs)

Indicator

Number of women groups participate in (PPCC)

Baseline	Target, including sex-disaggregation
0	At least one women group participates in each provincial PPCC

Budget	Currency
1 070	USD

Report on annual progress

Responsibilities: PMU Gender and Safeguard Officer
Progress: PMU Gender and Safeguard Officer hiring process ongoing

4.3 Planned activities on environmental and social safeguards for the next reporting period

Activities Planned - based on the Environmental and Social Management Framework (pag 158 - 171)

2.1.1 Participatory development of a work plan with indigenous peoples representatives

2.1.1. Capacity building of project staff on ESS and GESI

2.1.1. Participatory development of a work plan with indigenous peoples representatives

2.1.1. Consultation workshops on provincial level strategy and river system level action plans promote proportional representation within consultations

2.1.1. Participatory development of a Social Inclusion Plan, based on the SIPF

2.1.1. Participatory development of a work plan with Dalits, and other marginalised/excluded group representatives

2.1.2 Consultation workshops on provincial level strategy and river system level action plans promote proportional representation within consultations

2.1.2. Environmental and social screening of sub-activities

2.1.2. Identification of sub-activities and intervention sites

2.1.2. Consultation workshops on provincial level strategy and river system level action plans promote proportional representation within consultations

2.2.1. Establishment of 26 networks of CFUGs

2.2.1. Formalization/ institutionalization of at least 100 public forest user groups, which primarily include poor and marginalized households, where at least 50% of members are women with proportional representation of indigenous peoples

2.2.2 Workshops for CSOs, including indigenous organizations, on climate change, climate-change risks and climate-resilient land use in each province

2.2.2. Establishment of 26 private forest owner networks

2.2.2. Workshops for CSOs on climate change, climate-change risks and climate-resilient land use in each province

2.2.2. Workshops on climate-resilient land use planning and SNRM for 750 community-based organizations, ensuring 13% of beneficiaries are Dalits, of which 50% are women

3.2.2. Training of trainers for farmer field schools and extension, including representatives of Dalits and marginalized groups

3.2.3. Trainings for CSOs on CKC, including federations and organizations representing Dalits and marginalized groups

4 Monitoring and reporting on safeguards performance and stakeholder enagement (ongoing)

4 Invitation of stakeholders to participate in PCUs (PPCCs)

4 Multi-stakeholder PCU (PPCC) Meetings (four times a year in each province – 12 PCU meetings per year)

4 Workshops for CSOs on climate change and climate-resilient land use

4 Indigenous Peoples and Social Inclusion Specialist hired for project management unit (ongoing)

4 Invitation of representatives from indigenous federations, to participate in PPCCs

4 Participatory monitoring of ESMP and stakeholder engagement (including FPIC and IPPF-related measures), ensuring social inclusion in the monitoring process

4 Invitation of representatives from Dalit organizations and other CSOs representing marginalized/excluded groups to participate in PPCCs

4 Participatory monitoring of ESMP and stakeholder engagement (including FPIC and SIPF-related measures), ensuring social inclusion in the monitoring process

4 Monitoring and reporting on implementation of biodiversity management plans

4 Elaboration of biodiversity management plan for Activities 1.1-1.15

4 Monitoring and reporting on GAP

4 Gender focal points assigned in multi-stakeholder project coordination entities (PSC and PPCC)

4 Invitation of CSOs representing women (including indigenous women's groups and Dalit women's groups, among others) to participate on PCUs

** activities labeled 4 refers to Project Management

Provide a list of activities in the ESMP to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products. Please include the monitoring schedule concerning ESS (including other potential vulnerable groups and indigenous people) for the next annual reporting period.

4.4 Planned activities on gender elements for the next reporting period

Some activity planned for PY1 not yet implemented. These activities are now planned for 2nd year.
• Gender focal points assigned in multi-stakeholder project coordination entities (initially planned for PY1)
• Invitation of CSOs representing women (including indigenous women's groups and Dalit women's groups, among others) to participate on PPCCs
• Identification of female beneficiaries who are working with existing SNRM projects and / or those who are interested in introducing SNRM and DRR practices as champions

Provide a list of activities in the gender action plan to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products including processes that will be involved to implement the activities effectively. Please include the monitoring schedule concerning gender activities for the next annual reporting period. Report on actions taken on any of the recommendations made by the secretariat (if applicable) to improve the level of integration of gender issues in the project.

**Confirmation and Acknowledgement of Information *

* This is a required question to submit section 4 of the Annual Performance Report (APR).

[X] The accredited entity hereby confirms that the information provided in section 4 is complete and ready for submission.

Section 5: Annexes

Section 5: Annexes

Please note that this is section 5 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

Annex 1: Updated implementation timetable for the Funded Activity

APRCY2020 - GCP NEP 076 GCF - Implementation Plan.docx

Submit only if there are any changes from implementation plan submitted in the FAA.

Annex 2: Accredited Entity compliance reports

Self-assessment reports, Report on Actions pursuant to Clause 18.02, if applicable. Self-assessment reports: In accordance with the AMA requirement in Clause 13.01 of the Accreditation Master Agreement, with the Fiduciary Principles and Standards, ESS and Gender Policy. Report on Actions pursuant to Clause 18.02: Only applicable to International Accredited Entities. In accordance with the Monitoring and Accountability Framework, a report on its actions carried out or planned to be carried out pursuant to Clause 18.02 of the Accreditation Master Agreement.

Please provide comments on the annexes attached above if any.

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 5 of the Annual Performance Report (APR).

[X] The accredited entity hereby confirms that the information provided in section 5 is complete and ready for submission.

Section 6: Attachments

Section 6: Attachments

Please note that this is section 6 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

Click on '+ Add row' to attach more than one document.

APRCY2020 - FP118 - Attachment 1 - section 2.4.docx

Submit the Unaudited/Audited financial statement and Interim/Final evaluation report (as required by FAA). Submit a supporting document for Section 2.4. (Update Progress on the Logic Framework Indicators), describing the calculation methodology for the current values provided.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects.

Other Attachments (if any). Such as additional budget-related information, loan repayment schedules to GCF (interest/principal), equity investment schedules, other related reports relevant to the Funded Activity, statements of capital account, valuation reports, credit guarantee agreements, investor reports, and others, as specified in the relevant legal agreements (e.g. Funded Activity Agreement, Shareholders Agreement)

For the Annual Performance Report of REDD+ Results-Based Payments projects, provide 'Implementation Timetable/Milestones for the next reporting period' and 'Financial Progress Details' as an attachment in this section.

Comments from AE (if any)

Confirmation and Acknowledgement of Information *

* This is a required question to submit section 6 of the Annual Performance Report (APR).

[X] The accredited entity hereby confirms that the information provided in section 6 is complete and ready for submission.

Additional Section: COVID-19 Impact

Additional Section: COVID-19 Impact

In this additional section of the Annual Performance Report (APR), please provide an update of COVID-19 impact on your project/programme. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

Please indicate if your project/programme is adversely impacted by the COVID-19 pandemic.

Yes

Please choose the severity of overall impact.

Facing delays

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.

2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.

3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.

4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

GCF Policy on Restructuring and Cancellation

Please describe an overall impact on your project/programme by the COVID-19 pandemic (100-word limit).

COVID-19 Risk factors will be further included in planning. FAO Nepal prioritized national and international recruitments to support the preparation of Project Implementation Manual (PIM) and other associated documents. This has allowed the finalization and submission of Inception document within the stipulated timeframe and kick start the field level activities as early as possible thanks to the preparatory works done. It is expected that as soon as the situation goes back to some kind of normality, project implementation (field activities) will accelerate to come as close as possible to initial plan

Provide a short description of the adverse impact on your project/programme and provide references or supporting materials in the Annexes and Attachments sections as relevant.

Please describe details of challenges encountered and corrective/mitigation measures taken.

Select a type of the challenges encountered.

Field Activities

Describe details of the challenge encountered.

The project completed the inception phase (Inception Report submitted last November 2020). Startup activities have been carried out and Project Implementation Manual (PIM) and other guidelines have been finalized through virtual consultation and desk review. Project baseline could not be validated through field visit and it will be re-assessed beginning of CY2021.
However, further continuity in lockdown and restrictions in movements are expected to disrupt the smooth implementation of start activities especially organization of consultation meetings and information gathering from the field.

Sample challenges for Field Activities:
- Delays in travels, planned training, workshops, conferences, events, and awareness-raising events
- Limited access to project sites especially outer islands
- Postponed field missions for collecting/validating information, and conducting consultations with local stakeholders
- Measures required to ensure the security and safety of workers
- Delays in pilot projects, feasibility/baseline studies

Describe details of the corrective/mitigation measures taken as much as you can.

Regular virtual consultation with stakeholders and partners.

Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.

Confirmation and Acknowledgement of Information *

* This is a required question to submit the additionla section of the Annual Performance Report (APR).

X The accredited entity hereby confirms that the information provided in the additional section on COVID-19 is complete and ready for submission.