

# FP061 Integrated physical adaptation and community resilience through an enhanced direct access pilot in the public, private, and civil society sectors of three Eastern Caribbean small island developing states

Annual Performance Report CY2020

## Section 1: General Information

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### Section 1: General Information

Please note that this is section 1 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

#### 1.1 Please indicate if information provided in this APR is disclosable outside the Green Climate Fund. \*

- Yes - The Accredited Entity agrees that the information reported is disclosable.
- No - The information reported is partly confidential. The disclosable version of the APR will be attached.

If you select the second option [No - The information reported is partly confidential. The disclosable version of the APR will be attached], please follow the below steps.

- Step 1: Fill in all the sections of the APR with disclosable information.
- Step 2: Save each section using the 'Open as PDF' function provided in the top-right corner. (Do NOT submit an APR at this step).
- Step 3: Attach all these disclosable six sections, including an additional section on COVID-19, to the attachment boxes below, which will be shown once you check the second option only.
- Step 4: Update all the sections of the APR below with non-disclosable information.
- Step 5: Submit the non-disclosable APR with an attachment of the disclosable APR in the PDF format.

#### 1.2 Please indicate if this report has been shared with the relevant NDA(s) for this Funded Activity

No

Once the APR is created in the PPMS, please use the 'Open as PDF' function to download the report in PDF format and to share with relevant authorities (i.e. NDAs) before submission. Select 'Yes' only if shared to ALL the relevant NDA(s).

#### Please provide further explanation, if any:

The report previously produced and submitted to OPM was shared with the NDA in Antigua and Barbuda in February 2021. The APR created here (in the PPMS) will be shared with the NDAs in all three project countries.

#### 1.3 Funded Activity Title (Project/Programme Title)

Integrated physical adaptation and community resilience through an enhanced direct access pilot in the public, private, and civil society sectors of three Eastern Caribbean small island developing states

*(Information is locked for editing)*

#### 1.4 Funding Proposal Reference Number

FP061

*(Information is locked for editing)*

#### 1.5 Board Meeting Number

19

*(Information is locked for editing)*

### 1.6 Accredited Entity contacts for this APR

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### 1.7 Executing Entity(ies)

<b>Full Name of Executing Entity</b>
Department of Environment, Ministry with responsibility for the Environment [Ministry of Health, Wellness and the Environment], Antigua and Barbuda

<b>Full Name of Executing Entity</b>
Ministry with responsibility for the Environment [Ministry of Environment, Rural Modernisation and Kalinago Upliftment], Commonwealth of Dominica

<b>Full Name of Executing Entity</b>
Ministry with responsibility for the Environment [Ministry of Tourism, Civil Aviation, Climate Resilience and the Environment] in collaboration with the Department of Economic and Technical Corporation in the Ministry of Finance, Planning, Economic Development & Physical Development, Grenada

### 1.8 Project Duration

<b>From</b>	<b>To</b>
2019-07-16	2023-07-16

### 1.9 Current Year of Implementation

2
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Indicate the year number, e.g., '2'

### 1.10 Annual reporting period covered in this report

<b>From</b>	<b>To</b>
2020-01-01	2020-12-31

### Confirmation and Acknowledgement of Information \*

\* This is a required question to submit section 1 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 1 is complete and ready for submission.

## Section 2: Implementation Progress

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### Section 2: Implementation Progress

Please note that this is section 2 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

#### 2.1 Overall (summary) project progress

### Summary

The EDA formally began implementation July 16th, 2019 and has currently completed 10% of the implementation activities (Section 2.3 provides a detailed breakdown per island). The status is as follows:

1. Antigua and Barbuda is experiencing an approximate 6-month delay in activities for Outputs 2 – 4;
2. Antigua and Barbuda in its capacity as Executing Entity for Output 1 is experiencing an approximate 9 month delay in activities;
3. Grenada and Dominica are still experiencing significant challenges in implementation of Outputs 1 – 4 and are therefore expecting a 12-month delay in overall implementation;
4. The project has spent approximately 45% of funds disbursed for Outputs 1 – 5 and 95% of Fees disbursed to the AE. This expenditure covered critical work needed to prepare the enabling environment necessary to facilitate efficient implementation of Outputs 2 - 4;
5. The AE has committed all of the funds disbursed and have put the necessary measures in place to accelerate implementation activities in Antigua and Barbuda and Dominica.
6. The next disbursement of funds for the project is contingent on GCF conditions related to Grenada's country project; which, despite the efforts of the AE have not been met. This situation now presents a risk to the regional project; and
7. Based on this assessment, the AE anticipates the need for a one year extension and additional funds of about USD 250,000 in project management costs. Please note that the EDA project was not approved with a contingency budget. The DOE is requesting a possible re-consideration of this approach for this pilot project.

At the time of completion of this report, the project is at risk of not meeting its milestones and objectives in Grenada, and to a lesser extent Dominica. However, since the 2nd disbursement of funds is linked to Grenada meeting its targets, this now places the regional project at risk. The AE has begun formal discussions with the countries and the GCF to find a solution.

Assuming no further delays, the AE anticipates that the deliverables for Outputs 2 – 4 in Dominica and Grenada will be completed if the GCF approves an extension of 12 months and the addition of USD 250,000 to the project management budget. However, should there be additional delays the AE may have no choice but to recommend project restructuring. The probability of having to re-structure is moderate to high for Output 2, but low for Outputs 3 and 4 in both Dominica and Grenada.

### General Details

The EDA Project achieved modest progress during the current reporting period despite the many setbacks resulting from the global COVID-19 pandemic. The recruitment processes for key staff were completed in the first quarter of 2020 with the anticipation of immediate on-boarding and rapid acceleration of project activities. However, by the March, governments across the Eastern Caribbean declared a 'state of emergency' in response to the pandemic which triggered border closures, significant reduction of public services and suspension of all non-essential in-person activities. Meanwhile at the OECS Commission, the recruitment of specialist consultants to spearhead the delivery of Outputs 1.2 and 1.4, and to support knowledge and information management through the development of policy documents for GCF Accreditation, was halted due to shelter-in-place orders in St. Lucia and the closure of the Commission offices.

Antigua and Barbuda was first to begin began a phased reopening in June 2020, but Dominica and Grenada remained closed. During this period, the AE supported the EEs with the on-boarding of project staff through a series of online trainings. The OECS Commission completed procurement of Consultants and Outputs 1.2 and 1.4 were launched by November. The DOE ATG held introductory sessions with the Ministries with responsibility for the Environment in Dominica ("MOE DOM") and Grenada ("MOE GRD") on the GCF accreditation process and a Technical Assistance Agreement will be signed in Q1 2021.

By the end of this reporting period, Antigua and Barbuda's national projects were approved by the PSIP, community organisations were identified as potential grant recipients and the revolving loan programme was launched. The new challenges posed by Covid-19 and the shift in government priorities in Dominica and Grenada resulted in Output 2 being identified as high risk for non-completion during the project implementation period. The countries were given the option to restructure Output 2; however, they opted instead to choose national scale projects from those pre-approved in the current PSIP cycles in an attempt to accelerate activities. While Dominica's National Climate Change Committee (NCCC ) has reviewed and approved a shortlist of four (4) projects, the list of Grenada projects is yet to be presented to their NCCC. Similarly, progress in Outputs 3 and 4 has been limited to the engagement of Service Providers and the preparation of draft Subsidiary and Service Provider Agreements. Given these delays, a revised timeline for implementation is presented in Annex 1.

Finally, following an eight (8) month hiatus, the Project Management Committee (PMC) in Grenada was reassembled and began having monthly meetings in September. In November, the MOE DOM was notified of Cabinet approval for the establishment of their PMC and the inaugural meeting was held in December. Given the delay in establishing Dominica's PMC, the Sub-regional Steering Committee did not meet in 2020.

Provide a narrative report describing the overall progress on the implementation of the funded activity, focusing on implementation achievements, delays, and challenges according to the planned activities. As relevant, include references to other sections of this report (including Annexes or Attachments). Include a description of key milestones of the funded activity achieved during this reporting period including any deviations from original expectations. Also, describe challenges encountered and actions undertaken to resolve these challenges, and lessons learned during the implementation, including issues related to non-compliance with GCF standards or conditions, if any. In parallel, include positive achievements and better-than-expected results. If any issues have arisen in the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project, please provide a description of those items and how they have impacted the implementation period and final targets. Kindly make sure that this section just gives an overall summary and doesn't have overlap with other sections.

## 2.2 Performance against the GCF investment criteria (summary)

The overall performance of the EDA project against the GCF investment criteria demonstrated positive gains during this reporting period, even though there have been delays based on the Implementation Plan. In 2020, project activities were focused on procurement and on-boarding of staff and key consultants, development of TORs and evaluation criteria/guidelines and engagement of Service Providers and key stakeholder groups. Based on the primary measurable benefits outlined in the Funding Proposal the EDA will contribute to transformational change that will benefit at least 5% on the total populations in the three project countries, of which 50% are females.

In relation to "impact potential", the direct beneficiaries in Antigua and Barbuda and Dominica have been identified, based on the physical areas / watersheds that will benefit from project activities. However, due to ongoing delays in Grenada, it is too early to gauge whether overall impact will be achieved without an intervention from the AE. Project gains in terms of "paradigm shift potential" is limited to the creation (strengthening) of the enabling environment and regulatory framework necessary for effective EDA implementation. The AE is confident that relevant processes are in place to track climate resilient development in the longer term. A report on the performance of the financing mechanisms will be provided in the next reporting period.

The "needs of the recipients" in project countries have increased since the development of the Funding Proposal, due to the emergence of more Category 5 hurricanes and the impacts of the Covid-19 pandemic. Owing to delays in implementation, there is an opportunity for the AE and EEs to reassess planned activities in light of the new realities and revise how the available financing can be used to maximise benefits to project countries. "Country ownership" of the EDA project remains strong across the three territories even while experiencing setbacks - due to strategic changes at the Cabinet and Executing Entity levels, in Dominica and Grenada. The AE has initiated its delivery of Technical Assistance to provide accreditation support to the [proposed] national Direct Access Entities in Dominica and Grenada; and at the country levels, local service providers have been engaged to programme funds for Outputs 3 and 4. Consultations with civil society organisations and key stakeholder groups continued during the reporting period, and processes are being developed to enable the mainstreaming of gender and environmental and social safeguards into all project activities.

"Efficiency and effectiveness" of the funded activity hinges on financial soundness of procedures and activities of the project. According to project design, a Financial Management Capacity Assessment for the EEs in Dominica and Grenada would be completed within 12 months after the project became effective. However, due to staffing changes and other internal capacity issues within the EEs, the FMCA's have not been completed to date. Thus, the AE continues to programme funds on behalf of Dominica and Grenada in the interim. Antigua and Barbuda has made significant progress through the passage of the Sustainable Island Resources Framework (SIRF) Fund regulations. This paves the way for the SIRFF to programme loans locally and grants across the Eastern Caribbean. The financial viability of the revolving fund in the longer term (beyond the initial injection of EDA financing) will be assessed in next reporting period.

It is too early in project implementation to determine "sustainable development potential"; however tangible progress should be more evident in the next APR. Further details of each investment criterion are provided in Sections 2.2.1 through 2.2.6.

Provide a narrative report describing the progress on the funded activity's performance against the GCF investment criteria framework. The performance should be compared against the initial assessment provided in the Board-approved Funding Proposal (section E). The list of the investment criteria as per the current framework is provided below. For each investment criteria outlined below, please include an assessment of current status, changes, progress and impact of the project as well as any impact of project context on the project during this reporting period against the initial baseline scenario and planned activities as per the assessment presented in the approved Funding Proposal. This sub-section 2.2 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

#### Relevant Links

[The GCF investment criteria framework](#)

## 2.2.1 Impact Potential

The potential impacts of the EDA are based on the RFP and the approved Funding Proposal. The major index was the potential that three (3) regional entities achieve enhanced direct access accreditation status, and demonstrate EDA principles in the government, private and civil society / NGO sectors. The FP indicators for the Eastern Caribbean were that:

- Approximately 13,200 persons in the three countries are directly impacted; and
- An estimated 87,000 persons are indirect beneficiaries – of which 50% are women

In this reporting period, the project encountered delays which were compounded by the ongoing challenges of Covid-19. As indicated in Section 2.1, with a 12-month extension, and no further disruptions, the project will remain on target to achieve the impacts as per the FP.

#### OUTPUT 1:

For this output the project aims to achieve the creation or enhancement of three (3) sustainable financing mechanisms and accreditation of three (3) Direct Access entities to the GCF or AF. To date:

- Antigua and Barbuda is providing ongoing Technical Assistance (TA) to project countries to support accreditation;
- TA is being provided to the OECS Commission, which has already submitted an accreditation application;
- TA was provided to Grenada to support the development of Readiness for the NDA. Readiness was approved, but implementation has been impacted by Covid-19;
- A Knowledge and Information Management Systems (KIMS) baseline assessment was completed for the OECS Commission and is ready to be deployed in the project countries;
- Monitoring and Evaluation support is on target to support the executing entities;
- Sustainable procurement system guidelines are being developed. On target for completion in June 2021;
- Dominica has informally identified its national entity seeking accreditation. A formal notification has not been received; and
- Grenada has informally nominated two entities. No formal notification has been received.

Summary: The output is being executed by the DOE ATG and will be on target to achieve its impacts, providing Dominica and Grenada formally nominate their Direct Access Entities.

#### OUTPUTS 2 – 4:

These outputs relate to the fund level, core indicators described above and impact is measured by the increased resilience (or reduced vulnerability) for the total number direct and indirect beneficiaries targeted by project activities.

##### Antigua and Barbuda:

- In the Cashew Hill sub-watershed, up to 5,500 residents will benefit directly from the flood mitigation infrastructure funded by the public sector grants;
- Five communal buildings will be improved for use as shelters and community centres. Each is expected to benefit between 1,000 to 1,500 community residents annually;
- Based on market and climate change impact studies conducted, as well as the experience from the AF project in McKinnon's watershed, the revolving fund is expected to benefit approximately 100 households and up to 15 small businesses. Gender disaggregated data will be collected to monitor the target of 50% women;
- Public awareness and training activities targeting the wider population are expected to reach upwards of 20,000 residents; and
- The total number of beneficiaries is approximated in excess of the 30,000 estimated during project development.

Outputs 2 – 4 are expected to reach these targets.

##### Dominica:

- Public sector grants will fund activities on the West and South-West coasts of the island and are expected to directly impact approximately 4,500 residents through drainage improvements and the strengthening of forests and watershed resilience; and
- The Dominica Association of Industry and Commerce (DAIC) will partner with the Government Housing and Loans Board (GHLB) to target up to 25 MSMEs who are likely to benefit from the revolving fund.

The activities and corresponding impacts for Output 3 and 4 have not yet been defined for Dominica's country project. Hence, it is too early to gauge the overall impact that will be achieved.

##### Grenada:

Very limited impact can be measured for Grenada's country project to date. The project management team has given their commitment to accelerate activities in the next reporting period, and the country remains dedicated to meet the project's intended impacts.

#### POTENTIAL RISKS TO ACHIEVING IMPACT POTENTIAL:

In assessing the gains and setbacks in this reporting period, the AE proposes to support the national entities seeking accreditation up to the the submission of their individual applications for accreditation (during the project period), and thereafter providing limited support to address questions from the GCF (after project closure). Given the extensiveness of the accreditation process, the pace of progress within the EEs and the ongoing fallout from the COVID-19 pandemic, the AE believes this to be the most achievable outcome. The AE proposes to change the impact indicator to support the application of 3 entities up to stage 1 of the accreditation application.

Based on the ongoing delays being experienced in Dominica and Grenada, it may be necessary to restructure the country projects to preserve some of the impacts.

### 2.2.2 Paradigm shift potential

The “paradigm shift” of the EDA project is to deliver climate finance to the micro level in a more comprehensive, stakeholder driven and programmatic approach. To this end, project activities are tailored towards demonstrating that the most vulnerable individuals and communities can receive maximum benefits from the EDA funding.

The OECS Commission's M&E Consultant has developed a robust M&E framework and accompanying data collection matrix to track the Paradigm Shift over the life of the project, and reporting tools will enable the capture of gender-disaggregated data (see Attachment 3 for Matrix Tool). M&E Officers are currently being trained as they test the usability of the matrix, which will be hosted on the OECS Commission's website, with links to the DOE ATG's project management platform once testing is completed.

The Framework has defined specific qualitative indicators to further capture how the financial investment and the delivery of project activities jointly achieve the targets outlined in the FP, and attain longer-term change and sustainability of the results. This will aid in providing lessons learned on how stakeholders assess appropriate climate change adaptation interventions, the enabling environments necessary for them to implement them at the national, community and household scales, and the overall shifting of the ease of access to climate finance for the most vulnerable populations in the Eastern Caribbean. The country-specific M&E manuals to be developed for the EDA will permanently reside with the EEs and can be further adapted for future projects.

Scaling up and replication: The AE has developed a multi-indicator tool, that uses gender, social, financial and climate change indicators to assess and track vulnerability of borrowers (see Attachment 2). This tool is being used to prioritise loan applications to ensure fair and equitable distribution of funds and track the changes in vulnerability as a result of the impacts of the project. Lessons learned will be used to further scale up activities to support a paradigm shift in climate financing mechanisms.

Knowledge and learning: The communications teams from the OECS Commission and DOE ATG have collaborated to develop a comprehensive, gender-sensitive communications strategy and stakeholder engagement plan, with context-specific approaches for the three countries. Audience mapping is underway in each territory, and the Strategy is slated for completion in March 2021. Staff and stakeholders will receive simultaneous training in project M&E and Communications.

Creation of an Enabling Environment: During 2020, the groundwork was done to establish (or strengthen) the institutional arrangements necessary for effective EDA implementation. This was achieved by establishing formal and informal linkages among government agencies, service organisations, community groups, private sector businesses, financing bodies and academic institutions. These networks will be leveraged to support implementation in the next reporting period.

Regulatory framework and policies: Antigua and Barbuda's on-granting and on-lending activities will promote building practices outlined in the updated OECS Building Code. Grantees and loan applicants are being guided on best practices for physical adaptation that will enable them to make improvements to help preserve their lives and properties during extreme weather events.

### 2.2.3 Sustainable development potential

Based on the selected project activities, the EDA will contribute to the achievement of several Sustainable Development Goals (SDGs) in the executing countries, addressing social, economic and environmental realities faced by vulnerable populations in the Eastern Caribbean.

The Project is tracking the impacts to the SDGs by including indicators that capture progress in the following documents:

1. Project M&E Framework;
2. Grant application forms and grant tracking tools;
3. Loan assessment tool; and
4. Sustainable procurement policy and guidelines

It is too early in project implementation to determine impact, tangible progress should be more evident in the next APR.

## 2.2.4 Needs of the recipient

### SUMMARY

The needs of project countries have changed since the development of the FP and the submission of APR I. While, the types of needs initially assessed and the country priorities related to climate change adaptation remain the same; the emergence of more Category 5 hurricanes and Covid-19 have influenced the intensification of these needs. As a result of hurricanes, the cost of construction in the region has increased, resulting in a rise in adaptation costs. These new realities are being assessed and will be taken into consideration during project implementation. The need for financing to implement concrete adaptation interventions to protect against the impacts of extreme weather events in Antigua and Barbuda and Dominica are urgent and clear; however, in Grenada the climate change needs – especially with respect to hurricanes and droughts, are much less urgent and this may have impacted the pace of implementation in that country as they to focus on longer-term developmental issues.

### CLIMATE CHANGE IMPACTS SINCE APPROVAL OF FP AND THE SUBMISSION OF APR 1

Multi-level risks which were not evident at the time that the FP was being developed. Antigua and Barbuda and Dominica have consistently been impacted by tropical weather systems in past the three years. Category 5 storms continue to bear down on the region, with The Bahamas and Central America being the most recent victims. Antiguan and Barbudans and Dominicans have come to expect annual hurricanes and feel fortunate if the season passes without a direct hit. Grenada, located further south of the Hurricane Belt, does not experience that same levels of anxiety (with similar frequency), nor do they have an equal sense of urgency to implement adaptation measures in the shorter-term. While, the project design is still very relevant, at the time of this report Governments continue to juggle the priorities of climate change and the global pandemic. This has inadvertently resulted in significant delays.

### COVID-19 IMPACTS

The collective economies across the Eastern Caribbean Currency Union (ECCU) contracted by 16.2% due to the impacts of the Covid-19 pandemic. This resulted from loss of revenues primarily in the tourism and education, and to a lesser extent, the agriculture sectors. Unemployment hit a record high in 2020, owing to closures and cutbacks in the private and informal sectors, which has had a disproportionately high impact on women and youth – particularly young males. However, as project activities accelerate and life returns to a sense of normalcy, these disproportionate impacts will be considered in the beneficiary targeting for Output 4.

### RECIPIENT NEEDS ASSESSMENT CONDUCTED DURING REPORTING PERIOD

A market survey carried out in Antigua and Barbuda's project area in July 2020 provided evidence that residents were cognisant of the double threat facing the country. While the pandemic and economic downturn were in the forefront of most respondent's minds, the Atlantic hurricane sea-son was also a key cause for concern. Residents expressed the overwhelming need for access to various streams of financing to safeguard and improve their private and communal buildings, and concluded that the grants and loans offered by the EDA were both needed and timely.

In Antigua and Barbuda, a comprehensive assessment of the impacts of climate change on finances for families, young men and female-led households demonstrated that the project design will meet key needs in the community. However, as indicated in the FP, the amount of funds currently being programmed will not be adequate. This report is now being finalised and will be shared in the next APR.

Discussions with Dominica's Association of Industry and Commerce (DAIC) highlighted the extensive impact of historic storms and the pandemic on small and medium size businesses. The Chamber has forecasted a higher uptake of concessional loans by businesses who are aiming to rebrand or reestablish their operations.

While Grenada's situation differs from the other two countries, the EDA is still essential to country's medium and long-term fight against climate change. It will also potentially leverage some findings from the ongoing National Ecosystem Assessment. These long-term needs include addressing increased temperatures and the variability in precipitation patterns. The AE is seeking options on how to meet them within the project timeline.

## 2.2.5 Country Ownership

Country ownership is of vital importance to EDA project implementation. The FP and the EDA principles enhance this notion by using country systems and processes to build their capacities to pro-gram GCF funding. This design uses a “bottom up” approach and improves access to finance by vulnerable groups.

The main country ownership activities being undertaken by the project include:

- Selection of the entities to be accredited;
- Establishment of the bodies to make funding decisions;
- Utilising local service providers to program the funds in Outputs 3 and 4;
- Utilising government processes to program the funds in Output 2; and
- Supporting the OECS Commission as the first direct access entity to achieve accreditation during EDA implementation, as well as to providing regional support for M&E and the development of sustainable procurement guidelines

Antigua and Barbuda:

- Antigua and Barbuda is putting forward its DOE ATG as an EDA entity. The DOE ATG is already a DAE and aims to be able to channel funding to NGOs and low income communities via an EDA modality;
- A sustainable procurement policy is being developed for use by the Ministry of Finance;
- The SIRF Fund will be utilised to program the grants and the loans;
- A national multi-level indicator tool is being developed to assess vulnerability. The tool will be used by the SIRF Fund, as well as the national government to conduct vulnerability assessments;
- Local and regional entities are being used to provide independent oversight and support services, including monitoring and evaluation;
- The country has experienced a contraction in GDP of 18.3% in 2020, but is committed to meeting it's cofinancing obligations; and
- The Country has included ESS and Gender assessment as part of the national legislation

Dominica:

- Dominica is awaiting a Cabinet endorsement of the MOE DOM as the national Direct Access Entity. The EDA will enable this entity to build a track record in programming funding for climate change adaptation interventions;
- A collaborative agreement with UNDP GEF SGP for the execution of Output 3 will allow the MOE DOM to manage the USD 1M budget while GEF SGP manages the implementation of project activities; and
- The country has experienced a contraction in GDP of 15.4% in 2020, but will be on target to meet its cofinancing obligations if Outputs 2 – 4 are allowed to progress to completion (this will require a 12-month extension);

Grenada:

- Grenada is awaiting a Cabinet decision that appoints the MOE GRD as the national Direct Access Entity;
- The indigenous Grenada Development Bank will be utilised to program the loans, and the Rural Development Unit will program the grants;
- The country has experienced a contraction in GDP of 12.6% in 2020. Based on the on-going implementation delays, it is difficult to assess if it will meet its cofinancing obligations (even if the 12-month extension is granted)

Grenada is significantly delayed in its implementation and it is not clear whether the EDA is a priority at this time. The AE identified the need to change the structure of Grenada's project to allow for completion within the project implementation period. Grenada was consulted on the proposed change and opted to invoke its country ownership privilege. The NDA did not provide a no-objection letter to allow the AE to proceed with the proposed changes. Instead, they determined that project activities should proceed as designed, and gave a commitment to revisit the option of restructuring at a later date if project implementation timelines continued to slip. Like the other countries Grenada's project is designed to use the local institutions, processes and procedures to program GCF funds as part of the EDA project.

CONCLUSION:

Dominica and Grenada experienced setbacks due to strategic changes at the Cabinet and Executing Entity levels; however, both countries reiterated their commitments to the EDA in project review meetings hosted by the AE in December 2020 and January 2021.

A shift in government priorities to focus on addressing the public health and economic fallout from Covid-19 in 2020 greatly affected the DOE ATG's ability to provide accreditation support to Dominica, Grenada and the OECS Commission. However, the process is now moving ahead after an accreditation working session was held with the Knowledge Management team from the Commission. A plan is now in place to conduct pre-accreditation assessments of the country entities and develop a capacity building plan in early 2021.

While the countries are familiar with the use of their national systems, the AE has noted that further advocacy is necessary to help them understand the processes that would allow these systems to be utilised for the efficient and transparent programming of external funding. To assist the entities the AE has done the following:

- Hired a Technical Project Officer in Dominica to work directly with the MOE DOM;
- Planned several country missions later in 2021 (when travel restrictions are relaxed);
- Arranged for the Minister of Environment of Antigua and Barbuda to call encourage his col-leagues to assist in supporting their country programs; and
- Continues to build internal AE capacity to work directly with stakeholders in Dominica and Grenada

### 2.2.6 Efficiency and Effectiveness

The funded activities are expected to be highly effective in achieving the long-term goals of in-creased resilience of vulnerable populations in the Eastern Caribbean through devolved decision making. The project aims to maximise the impact of GCF grant financing through on-granting and on-lending programmes for enhanced direct access in the public and private sectors.

#### FINANCIAL STRUCTURE

The EDA project aims to maximise the impact of GCF grant financing by devolving decision making to the local country level. The first step to accomplishing this is to validate that the necessary financial structure exists within the Executing Entity to manage, disburse and track GCF funds. The project design determined that a Financial Management Capacity Assessment (FMCA) of the EEs in Dominica and Grenada would be completed within 12 months of project effectiveness, thus allowing them to administer their individual budget allocations. In 2020, changes in staffing arrangements and the structure of the EEs impacted completion of the FMCAs. As such, the AE has not made disbursements to the countries, and instead makes payments on their behalf. The AE will continue providing technical assistance to Dominica and Grenada to assist them with completing the FMCAs, and will continue to manage 100% of the project funds until the FMCA is approved by the GCF.

#### CO-FINANCING

The project countries remain committed to providing their respective co-financing contributions. However, owing to the economic downturn and its impacts, respective governments have signalled to the AE that the rate of delivery of co-financing might vary based on the impact of the pandemic and new government priorities. In 2020, Dominica's and Grenada's cofinancing was related to the establishment of the oversight structures under Output 1. Antigua and Barbuda provided 85% of its cofinancing contributions budgeted for the year, and its co-financing contributions for the next re-orting period is expected to surpass the budget amount based on the activities planned for 2021. It is too early to predict co-financing contributions for Dominica and Grenada for 2021 given the current challenges.

#### EFFECTIVENESS

The EDA is expected to be effective in reducing natural disaster shocks in the Eastern Caribbean, by building on existing financial structures and attracting an estimated USD 18M of counterpart and in-kind financing. At conception of the EDA the region was not expecting frequent Category 5 hurricanes; thus, the initial assessment of cost will not cover construction for this new reality. The new level of cost is likely out of the reach of most persons in the project region. The new climate change projections, resulting impacts and the effectiveness of this project are still being assessed. The acceptance of this new climate reality for the region, led the AE and the Government of Antigua and Barbuda to fast track the passage of the SIRF Fund regulations, allowing the SIRFF to continue programming concessional loans, from reflowed funds after project closure. The regulations also enable the Fund to program grants in Antigua and Barbuda as well as across the Eastern Caribbean. This approach is a risk mitigation measure to ensure that if either Dominica or Grenada is unable to identify a suitable entity, then the SIRF Fund will be able to serve the region.

The project, notwithstanding the delays in Grenada and Dominica, is establishing an M&E Frame-work to track Dominica's and Grenada's process towards establishing a similar mechanism to pro-gramme external climate finances to public, NGO and private sectors.

## 2.3 Project Outputs Implementation Status

**Use 'Add Row' button to add multiple outputs and/or activities reported against one output**

**Project Output Name**

Output 1: Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 1.1 Appoint implementation, oversight and transparency mechanisms with adequate capacity

Status	Implementation Progress
Activity started - progress delayed	30 %

**Progress for the relevant reporting period**

**KEY ACHIEVEMENTS**  
 The following were achieved during this reporting period:

- Knowledge and Information Management personnel onboarded at DOE ATG and OECS Commission;
- Self-assessment tool for DAE developed;
- Technical Assistance Agreement developed by DOE ATG for the execution of Activities 1.1 and 1.3;
- Draft Project Implementation Manuals developed for Dominica and Grenada;
- Cabinet approval received for the engagement of Dominica’s Project Management Committee and the inaugural meeting held; and
- Grenada’s PMC reengaged in August and meetings held monthly

**CHALLENGES AND LESSONS LEARNED**

- Procurement delays due to internal bureaucracy, compounded by the shut-down of the public sector in response to the COVID-19 pandemic resulted in project staff (in Grenada and Dominica) being contracted in July 2020, even though recruitment was completed January;
- The appointment of a new Permanent Secretary and Minister in the MOE DOM created a significant setback as the handover process proved insufficient and the new appointees had to be reengaged and updated about the EDA. Further, it is imperative that the EDA project receives support among other competing priorities within the MOE DOM;
- A complete Cabinet reshuffle in Grenada resulted in the EDA being transferred to a new Ministry, and time is now required to re-engage Permanent Secretaries and Ministers to garner their support for the project; and
- Key public sector personnel in both Dominica and Grenada took mandatory vacation leave within the last six (6) months, and very little was in place for continuity in their absence. Hence, several activities lagged as junior officers were not prepared to provide support nor authorised to make key decisions.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Sub-regional Project Steering Committee re-engaged;
- Technical Assistance Agreement between the DOE ATG and Ministry with responsibility for the Environment Dominica (“MOE DOM”) and Grenada (“MOE GRD”) executed;
- FMCAs for MOE DOM and MOE GRD approved by GCF;
- Capacity assessment report produced for MOE DOM and MOE GRD;
- Policies, procedures and manuals to address key GCF fiduciary criteria finalised; and
- Capacity building training conducted with key stakeholders and committees

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

Output 1: Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 1.2 Design a Sustainable Procurement system for construction supplies in pilot countries

Status	Implementation Progress
Activity started - progress on track	49 %

**Progress for the relevant reporting period**

**KEY ACHIEVEMENTS**

- OECS Commission included Activity 1.2 under their sub-regional Sustainable Public Procurement (SPP) Initiative, for which UNEP has been contracted as a Consultant;
- The SPP Initiative has been launched and bi-lateral meetings with EDA project countries were held (and are still ongoing);
- Two additional consultants were engaged as a part of UNEP’s work programme. Consultants will conduct the market analysis, and assess and update regional policies and guidelines;

**CHALLENGES AND LESSONS LEARNED**

- The commencement of Activity 1.2 while the OECS Commission finalised its contract with UNEP for the broader SPP Initiative. While this impeded EDA activities from moving forward, there was wisdom in aligning EDA activities as a part of the wider regional initiative. This has already proven beneficial in promoting cross-collaboration and learning for public sector procurement leads and has also lessened the chances of duplication of efforts by the relevant stakeholder agencies.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Market analysis report finalised;
- Sustainable procurement system and guidelines developed for construction materials;
- Key public sector agencies and stake-holder groups receive training on system; and
- Sustainable procurement policy guidelines published in project countries and at sub-regional level

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

Output 1: Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 1.3 Support accreditation of direct access entities in the Eastern Caribbean

**Status**

Activity started - progress delayed

**Implementation Progress**

3	%
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**Progress for the relevant reporting period**

**KEY ACHIEVEMENTS**

- Technical Assistance Working Session on the GCF Accreditation Process conducted by DOE ATG for key OECS stakeholders;
- DOE ATG’s and OECS Commission’s KIMS teams finalised pre-Accreditation self-assessment documentation; and
- OECS Commission’s PMU finalised arrangements with the World Bank to support capacity building for accreditation which will contribute to the Training programmes developed as a part of this activity

**CHALLENGE AND LESSONS LEARNED**

- The OECS Commission’s avidity and capacity to serve as the sub-regional accreditation hub is un-determined. The AE will be requesting that the Ministers of the Environment take a decision for the OECS Commission to continue to provide accreditation support after project completion.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Pre-accreditation gap assessment conducted, and personalised action plans prepared to address identified gaps;
- Pre-accreditation gap assessment conducted;
- Knowledge and Information Management System set-up at the OECS Commission completed and training conducted;
- Two (2) accreditation workshops con-ducted; and
- Training of Trainers programme and Training Plan developed

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

Output 1: Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 1.4 Facilitate effective monitoring and evaluation, and lessons learned

**Status**

Activity started - progress on track

**Implementation Progress**

21	%
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**Progress for the relevant reporting period**

**KEY ACHIEVEMENTS**

- M&E Consultant onboarded, Inception Report completed and Framework developed for project tracking;
- M&E Officers for Antigua and Grenada fully onboarded and have participated in introductory M&E information and planning sessions;
- Project Logframe and Risk Registry updated;
- M&E Capacity Needs Assessment survey completed (see Attachment 3 for Draft Report) and results will be used to design country manuals and develop training plan;
- Collaboration between OECS Commission and DOE, to develop of EDA Communications Strategy and individual country plans underway; and
- Baseline assessment ongoing

**CHALLENGES AND LESSONS LEARNED**

- The recruitment of a designated EDA M&E Consultant at the OECS Commission was delayed by six (6) months. While, the OECS M&E Unit supported the project in the absence of the Consultant; without designated human resources, project activities were put on hold until Q4 2020; and
- It was quickly determined that specialised training was needed for M&E Officers; however, since Dominica is delayed with the procurement of their country M&E officer, training across all project territories will likely be staggered. This will cause duplication of activities and efforts. The implications are being considered and discussed with the M&E Consultant.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Baseline Assessment Report submit-ted;
- Country M&E manuals developed and training conducted for key project stakeholders;
- Quarterly progress reports completed by M&E Officers and reviewed by M&E Consultant;
- Quarterly updates to risk registry completed and reviewed by M&E Consultant; and
- Mid-term review completed and lessons learned integrated into implementation approach

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

Output 2: Implement concrete adaptation measures using ecosystem-based approaches where appropriate

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 2.1 Competitively solicit priority adaptation interventions for adaptation in the public sector

**Status**

Activity started - progress delayed

**Implementation Progress**

13	%
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**Progress for the relevant reporting period**

**KEY ACHIEVEMENTS**

The following were achieved during this reporting period including the percentage of activities completed:

**AE | DOE ATG (88%):**

- EDA / PSIP Launch Workshop held to engage Line Ministries and inform key stakeholders about the EDA public sector grants. Workshop culminated with the launch of a Call for Proposals;
- The Ministry of Works responded with two (2) Summary Concept proposals;
- The Ministry of Works was awarded the public sector grants to implement flood mitigation interventions in the Cashew Hill sub-watershed after a rigorous evaluation process and approval by the PSIP Development Committee; and
- Grant award results were published on the DOE ATG’s website and in the local media.

**EE | MOE DOM (7%):**

- Call for Proposals issued by Dominica’s PSIP for Line Ministries to redevelop/improved project concepts previously submitted to the PSIP. Seven (7) project concepts were resubmitted with additional details to address EDA criteria;
- Concepts were evaluated by a sub-committee of NCCC members and four (4) were selected as potential grant recipients. Line Ministries were informed of evaluation results and asked to improve Concepts and resubmit to PSIP; and
- Evaluation report was prepared and will be submitted to the PMC for approval.

**EE | MOE GRD (5%):**

- Grenada’s PSIP identified six (6) projects from the current PSIP cycle to be considered for EDA public sector grants;
- Project are being reviewed within the Ministry of Implementation and will be to the NCCC for validation

**CHALLENGES AND LESSONS LEARNED**

- PSIP units in all three countries appear to be understaffed due to the lack of adequate resource allocations from the central budget. The DOE ATG and Ministry of Finance has approved the recruitment of a Project Officer to support the PSIP. Procurement is currently underway. The EEs in Dominica and Grenada will be able to provide similar support to strengthen capacity within their respective PSIP units;
- Line Ministries in Dominica lack the technical capacity to prepare detailed project proposals and would benefit from training in proposal development; and
- There were significant delays in Dominica and Grenada selecting national projects. This was due to (a) a shift in national priorities to deal with the public health crisis and resulting economic fallout that was caused by Covid-19; (b) public sector shut-down due to the Covid-19 pandemic and (c) changes within key public sector agencies.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Dominica and Grenada project selection completed and results published;
- PSIP Capacity Assessment completed and public sector capacity building activities determined; and
- Baseline data collected and environmental registries updated

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

Output 2: Implement concrete adaptation measures using ecosystem-based approaches where appropriate

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 2.2 Undertake due diligence and studies on public sector adaptation interventions as needed

**Status**

Activity started - progress delayed

**Implementation Progress**

12

%

**Progress for the relevant reporting period**

**KEY ACHIEVEMENTS**

The following were achieved during this reporting period including the percentage of activities completed:

AE: DOE | ATG (75%):

- Draft ESIA with ESMP and Gender Action Plan completed for adaptation interventions and will undergo internal review by the DOE PMU. Stakeholder consultations will follow to disseminate information before report is published online;
- Cadastral survey completed and Easement Report is being prepared for Development Control Authority and Ministry of Legal Affairs engaged; and
- Technical Designs and work schedules being finalised

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Grants awarded to Line Ministries;
- Consultancies for technical designs and feasibility studies completed;
- ESIA, ESMP and Gender Action Plan completed and published;
- Physical planning approvals received;
- Stakeholder consultations and training conducted; and
- Physical planning approval obtained

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

Output 2: Implement concrete adaptation measures using ecosystem-based approaches where appropriate

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 2.3 Implement pilot approaches for adaptation in public infrastructure

**Status**

Activity started - progress delayed

**Implementation Progress**

0 %

**Progress for the relevant reporting period**

This activity is delayed based on the initial implementation plan. Awaiting completion of preceding activities.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Memorandums of understanding finalised with the Line Ministries and the Ministries of Finance;
- Disbursements made through the MoF/PSIPs; and
- Construction works and EbA projects well underway and results being monitored

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

Output 3: Community resilience to climate impacts is enhanced through tangible adaptation benefits

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 3.1 Select community adaptation projects through a competitive small grants facility

**Status**

Activity started - progress on track

**Implementation Progress**

4 %

**Progress for the relevant reporting period**

**KEY ACHIEVEMENTS**

The following were achieved during this reporting period including the percentage of activities completed:

**AE | DOE ATG (10%):**

- SIRFF engaged as Service Provider for Antigua's adaptation grants and Term Sheet drafted for Board approval (see Attachment 4 for Draft Term Sheet);
- SIRFF adaptation grant kit developed, including application and information materials and evaluation documentation;
- Technical Evaluation Committee and Technical Expert Committee engaged in Antigua;
- Stakeholder mapping completed, key community organisations formally engaged and communal buildings that could potentially be improved were identified

**EE | MOE DOM (4%)**

- UNDP suggested a collaboration pathway that would allow the EDA to utilise the processes established Dominica's GEF Small Grants Programme without formally contracting GEF SGP as the Service Provider. The EDA will provide SGP with funding for administrative support; however, all grant funding will be programmed by the EE. The new arrangement was necessary since the EDA budget does not make allowances for the requisite 10% administrative fee that would be needed to formally contract UNDP; and
- Draft agreement was produced with input from UNDP and is being finalised by the MOE DOM before it is submitted for PMC approval.

**EE MOE | GRD (5%):**

- Rural Development Unit was engaged as Service Provider for Grenada's Output 3;
- Draft Service Provider Agreement was shared with RDU and contract negotiations are expected to be completed in February 2021.

**CHALLENGES AND LESSONS LEARNED**

The SIRFF changed staff in 2020 and new staff are being onboarded for the grant-making program. While this cause a minor setback , it is expected that the Call for Proposal will be launched in March 2021. All of the preparatory work is currently being undertaken to meet that deadline

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Contract negotiations with GEF Small Grants Programme (GEF SGP) and Rural Development Unit (RDU) completed;
- Grant materials developed (or adapted) and Technical Evaluation Committees engaged for Dominica and Grenada; and
- Call for Proposals issued

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

Output 3: Community resilience to climate impacts is enhanced through tangible adaptation benefits

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 3.2 Communities implement adaptation projects with tangible benefits

**Status**

Activity not yet due

**Implementation Progress**

%

**Progress for the relevant reporting period**

Awaiting completion of preceding activities.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Project agreements finalised with 12 community organisations;
- Technical evaluation teams complete routine field/site visits and submit reports; and
- Training completed for stakeholder organisations and community members

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

Output 4: Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 4.1 Launch the private sector Revolving Fund for adaptation in buildings

**Status**

Activity started - progress delayed

**Implementation Progress**

10

%

**Progress for the relevant reporting period**
**KEY ACHIEVEMENTS**

The following were achieved during this reporting period including the percentage of activities completed:

**AE | DOE ATG (16%):**

- Guidelines, criteria and application documentation developed EDA revolving fund;
- EDA Term Sheet approved by the SIRF Fund Board for implementation of Output 4 in Antigua and Barbuda (see Attachment 5 for Term Sheet);
- Revolving Loan Programme (RLP) launched with a Call for Applications; however, receipt of applications has been delayed as the SIRFF streamlines its processes to move the application process online (this was a necessary to the spike in local Covid-19 cases); and
- Investment Manual developed for Antigua and Barbuda. It will be used as a template for manual development in Dominica and Grenada

**EE | MOE DOM (5%):**

- Draft Subsidiary Agreement completed and submitted to the AGs Office for legal review;
- Dominica's Government Housing and Loans Board (GHLB) completed the AE's Due Diligence Assessment, clearing the way for them to programme EDA funds

**EE | MOE GRD (9%):**

- Grenada Development Bank (GDB) approved as Service Provider for Output 4;
- Draft Subsidiary Agreement completed and shared with GDB and contract negotiations are expected to be completed in March 2021.

**CHALLENGES AND LESSONS LEARNED**

- Dominica's Government Housing and Loans Board (GHLB) was identified as a potential service provider. However, both the Ministry of the Environment and the Ministry of Finance indicated that the GHLB has no legal backing to give loans to private individuals. Special permission has been sought from the Cabinet to allow the GHLB to open a special RLP window to programme EDA loans. Cabinet approval is expected in Q1 2021;
- Calls for applications in Antigua and Barbuda is delayed due to increase in cases in Covid 19. The receipt of loan application will be delayed by up to two (2) months as the SIRFF transitions to an online application process.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- RLP in Dominica and Grenada launched with Call for Applications;
- Technical evaluations of privately owned buildings completed and site visit reports from TEC submitted; and
- Loan agreements with beneficiaries signed, disbursements made and re-payments begin

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

Output 4. Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 4.2 Finance adaptation in buildings and manage repayments

**Status**

Activity not yet due

**Implementation Progress**

%

**Progress for the relevant reporting period**

Awaiting completion of preceding activities.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**Project Output Name**

Output 5: Project management

The output name should match with the output reported in the sub-section 2.4.3. If you have multiple activities to be reported against one output, you need to write down the same output name for every activity.

**Project Activity Name**

Activity 5.1 Project management consistent with an EDA programmatic approach

**Status**

Activity started - progress delayed

**Implementation Progress**

20 %

**Progress for the relevant reporting period**

**KEY ACHIEVEMENTS**

- The following were achieved during this reporting period including the percentage of activities completed:
- Staff hiring process was completed for Grenada in July 2020, with a Country Coordinator, two (2) Technical Officers and one (1) Project Officer engaged and fully onboarded;
- Similarly, the staff hiring process in Dominica is now 75% completed with a Country Coordinator and Technical Officer engaged and onboarded in June 2020. Recruitment of the Project Officer is 90% completed with only the final Cabinet Approval for the hire outstanding;
- DOE ATG Technical Project Officer was hired in Dominica to support the EDA Project following the travel restrictions resulting from the global Covid-19 pandemic. The Officer will primarily support the activities of the DOE ATG as EE for Comp 1 and also provide guidance to the country teams in their execution of Comp. 2 through 4; and
- Monthly Technical and Quarterly Financial reports completed by staff and reviewed by the AE. Staff also contributed to Logframe updates.

**CHALLENGES AND LESSONS LEARNED**

- The need for Ministerial sign-off and Cabinet approval for all project hiring decisions is a significant bottleneck in advancing project activities, and has impacted project activities in Dominica and Grenada. Mainstreaming hiring decisions at the PMC level, once validated by an approved recruitment process and procurement plan, would be valuable in making the process less time consuming;
- Grenada's Country Coordinator resigned in October 2020 and to date a replacement has not been recruited. This has put undue strain on the other team members and the AE to support the country's project; and
- Maintaining staff motivation will be a continuous challenge over the course of the project. Delayed project activities and hiccups left staff demotivated; hence, continuous staff training is deemed necessary to keep staff motivated towards the completion of project activities.

Provide an updated progress on this project activity for the relevant reporting period, including delays and issues encountered, key milestones reached, and lessons learned, including issues related to non-compliance with GCF standards or conditions, vis-à-vis expectations, if any. In parallel, include positive achievements and better-than-expected results.

**Key milestones and deliverables for the next reporting period**

- Recruitment and/or replacement of staff completed;
- Administrative, Financial and Technical training completed; and
- Reporting of project activities ongoing

Please include a list of key milestones and deliverables expected to be executed in the next reporting period.

**2.4 Progress Update on the Logic Framework Indicators**

Values of Baseline, mid-term target and final targets should be the same from the approved funding proposals unless calculation methodologies were revised in agreements with the GCF. Please attach a supporting document(s) describing the calculation methodology of the current value of all the indicators in Section 6; the indicators cover core, impact, outcome, and output levels. If there is a change in the methodology, you need to include clear justifications for the change and changed values as compared to the previous year.

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects. Please write 'Not Applicable' as the response.

**2.4.1 Core Indicators**

**Select applicable core indicators**

- Mitigation Core Indicator 1 - Tonnes of carbon dioxide equivalent (tCO2eq) reduced as a result of GCF funded project/programme
- Mitigation Core Indicator 2 - Cost per tCO2eq decreased for GCF funded project/programme
- Mitigation Core Indicator 3 - Volume of finance leveraged by GCF funding (Disaggregated by public/private source)
- Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 2 - Indirect Beneficiaries of GCF funded project/programme
- Adaptation Core Indicator 3 - Number of total beneficiaries relative to total population

**Adaptation Core Indicator 1 - Direct Beneficiaries of GCF funded project/programme (Units: number of individuals and percentage %)**

Please provide ex-post 'Current Value' on a cumulative basis. Please note that the values should be based on total funding (GCF funding and co-financing).

<b>Baseline</b>	<b>Baseline (% of female)</b>
0	0 %
<b>Current Value</b>	<b>Current Value (% of female)</b>
0	0 %
<b>Mid-term Target</b>	<b>Mid-term Target (% of female)</b>
14000	50 %
<b>Final Target</b>	<b>Final Target (% of female)</b>
31300	50 %

**Remarks (including changes, if any)**

No change to report during this reporting period

**2.4.2 Impact Indicators**

**Select applicable impact indicators**

- M1.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased low-emission energy access and power generation
- M2.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of increased access to low-emission transport
- M3.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of buildings, cities, industries and appliances
- M4.1 Tonnes of carbon dioxide equivalent (tCO2eq) reduced or avoided as a result of sustainable management of forests and conservation and enhancement of forest carbon stocks
- A1.1 Change in expected losses of lives and economic assets due to the impact of extreme climate-related disasters in the geographic area of the GCF intervention
- A1.2 Number of males and females benefiting from the adoption of diversified, climate resilient livelihood options (including fisheries, agriculture, tourism, etc.)
- A1.3 Number of Fund funded projects/programmes that supports effective adaptation to fish stock migration and depletion due to climate change
- A2.1 Number of males and females benefiting from introduced health measures to respond to climate-sensitive diseases
- A2.2 Number of food secure households (in areas/periods at risk of climate change impacts)
- A2.3 Number of males and females with year round access to reliable and safe water supply despite climate shocks and stresses
- A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (reported where applicable)
- A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change
- A4.2 Value of ecosystem services generated or protected in response to climate change

**A3.1 Number and value of physical assets made more resilient to climate variability and change, considering human benefits (Units: multiple, as applicable)**

Please provide ex-post 'Current Value' on a cumulative basis.

**Select Units (as many as applicable)**

- Persons
- Economic Assets
- Other

**Unit - Economic Assets**

**Currency**

USD

**Baseline**

**Current Value**

**Mid-term Target**

**Final Target**

0

0

17

25

**Remarks (including changes, if any)**

No change to report during this reporting period.

#### A4.1 Coverage/scale of ecosystems protected and strengthened in response to climate variability and change (Units: multiple, as applicable)

Please provide ex-post 'Current Value' on a cumulative basis.

Use 'Add row' button to add multiple units/sub-indicators

Unit			
Hectares			
Baseline	Current Value	Mid-term Target	Final Target
0	0	30	45
Remarks (including changes, if any)			
No change to report during this reporting period			

#### 2.4.3 Project/Programme-level Outcome & Output Indicators

Please provide ex-post 'Current Value' on a cumulative basis. If you have multiple outputs to be reported against one outcome, you need to write down the same outcome name for every output. Likewise, if you have multiple indicators to be reported against one output, you need to write down the same output name and corresponding outcome name for every indicator.

Use 'Add row' button to add multiple outcomes, outputs and/or indicators.

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	A5.0 Strengthened institutional and regulatory systems access climate finance from the GCF and other funds.		
<b>Output Name (under the afore-mentioned outcome)</b>			
Not Applicable			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
5.2 Number and level of effective coordination mechanisms strengthening of finance related by-laws, regulations and operational procedures			
<b>Unit</b>			
Coordination Mechanisms			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	3	3	6
<b>Remarks (including changes, if any)</b>			
<p>ANTIGUA AND BARBUDA: (3)</p> <p>Environmental Protection and Management Act (EPMA), SIRFF Board, SIRFF Regulations)</p> <ul style="list-style-type: none"> <li>- Environmental Protection and Management Act (EPMA):Antigua and Barbuda's Environmental Protection and Management Act (2015) was repealed and replaced by EPMA (2019), thus clearing the way for the implementation of the SIRF Fund (SIRFF) Board;</li> <li>- Sustainable Island Resources Framework (SIRF) Fund Board became fully functional in October 2019 and will over-see disbursement and monitoring of the grant and loan applications; and</li> <li>- SIRF Fund Regulations approved in August 2020, allowing the Fund to begin programming loans.</li> </ul> <p>Grenada: (0)</p> <p>Dominica: (0)</p>			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	A7.0 Strengthened adaptive capacity and reduced exposure to climate risks		
<b>Output Name (under the afore-mentioned outcome)</b>			
Not Applicable			
Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.			
<b>Indicator Name</b>			
7.1 Use by vulnerable households, communities, businesses and public-sector services of Fund-supported tools, instruments, strategies and activities to respond to climate change and variability (Households: disaggregated by male-headed and female-headed)			
<b>Unit</b>			
Direct Beneficiaries			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	6000	13200
<b>Remarks (including changes, if any)</b>			
<p>(0)</p> <p>Terms of Engagement finalised with the SIRFF Board in Antigua, and Revolving Loan Programme launched with a Call for Applications.</p> <p>Service providers selected in Grenada and Dominica. Contractual agreements prepared and contract negotiations underway.</p>			

<b>Results Area Type</b>	<b>Outcome Name</b>		
Adaptation	A7.0 Strengthened adaptive capacity and reduced exposure to climate risks		

**Output Name (under the afore-mentioned outcome)**

Not Applicable

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**

7.1 Number of transparent sustainable financing mechanisms supporting adap-tation in the OECS sub-region

**Unit**

Funding Mechanisms

Baseline	Current Value	Mid-term Target	Final Target
0	1	3	6

**Remarks (including changes, if any)**

Antigua and Barbuda: (1) (SIRFF)

Grenada: (0)

Dominica: (0)

<b>Results Area Type</b>	<b>Outcome Name</b>
Adaptation	A7.0 Strengthened adaptive capacity and reduced exposure to climate risks

**Output Name (under the afore-mentioned outcome)**

Not Applicable

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

**Indicator Name**

7.2 Number of direct beneficiaries (dis-aggregated by gender) of Fund-supported small grants for adaptation to respond to climate change and variability

**Unit**

Small Community Adaptation Grants

Baseline	Current Value	Mid-term Target	Final Target
0	0	6	12

**Remarks (including changes, if any)**

Due to the change in grant size from USD 50,000.00 to USD 250,000.00, as indicated above (2.2), the AE is confident that the beneficiary target will be reached. The fit-for-purpose shelters to be established would serve as hurricane and drought shelters and as communal buildings year-round for training, meetings, work-shops, social and cultural events, church services and meal feeding events, and the distribution of emergency supplies when needed. Beneficiaries are estimated to be in the region of 1,500 to 2,000 per shelter.

[An amendment to the FAA is recommended]

No changes to report this reporting period

<b>Results Area Type</b>	<b>Outcome Name</b>
Adaptation	A7.0 Strengthened adaptive capacity and reduced exposure to climate risks

**Output Name (under the afore-mentioned outcome)**

Please write 'Not Applicable' if the below-mentioned indicator is to be reported directly at the outcome level.

<b>Indicator Name</b>			
7.3 Number of vulnerable households and businesses that use Fund-supported instruments to respond to climate change and variability (Households: disaggregated by male-headed and female-headed)			
<b>Unit</b>			
Loans			
<b>Baseline</b>	<b>Current Value</b>	<b>Mid-term Target</b>	<b>Final Target</b>
0	0	200	400
<b>Remarks (including changes, if any)</b>			
<p>Antigua and Barbuda: (0)                      Revolving loan programme launched in December 2020 with Call for Applications.                      First disbursement made to SIRFF for programming.</p> <p>Grenada: (0)</p> <p>Dominica: (0)</p>			

2.5 Report on changes during implementation (include actual and expected changes)

The project management structure of the EDA remains unchanged. The DOE ATG is fulfilling its role as AE and EE for Output 1. The joint collaboration with the OECS Commission for the execution the Activities 1.2 and 1.4 is progressing well despite the delays previously discussed. The AE continues to give support to the EEs in Dominica and Grenada, and is committed continue managing their funds – until the FMCAs are approved. The Executing Entity in Dominica remains as the Ministry with responsibility for the environment, which is closely supported by the National Designated Authority. In the case of Grenada, the Ministry with responsibility for the environment and the Department of Economic and Technical Corporation (DETC)/ NDA, jointly share responsibilities for executing the country’s EDA. This decision was made based on a decision by the Government of Grenada to give DETC the overarching responsibility for coordinating donor funded projects.

The Project Management Committee (PMC) in Antigua and Barbuda is currently providing oversight for the regional project. However, when the sub-regional steering committee is reengaged, the Chairs of Dominica and Grenada’s PMCs, along with a representative from the OECS Commission’s PMU will be invited to join Antigua and Barbuda’s PMC meetings when critical EDA related activities are on the agenda. Similar to the role of the Technical Advisory Committee (TAC) at the AE, the National Climate Change Committees (NCCC) in Dominica and Grenada are providing technical oversight for project activities.

The increase in the community adaptation grant threshold from USD 50,000 to USD 250,000 remains the only significant change to a project activity; however, no changes are anticipated to the total number of beneficiaries in Output 3. In addition, Covid-19 related delays to project implementation that have been previously re-reported to the GCF in July 2020 and January 2021, continue to pose challenges to the timely completion of project activities. Hence, the AE would like to signal the need for a 12 month extension to the project implementation period.

Describe changes to the project during the reporting period. In particular, the report should cover elements such as change of beneficial ownership structure, management changes of the Accredited Entity, policies and other elements relevant for the project, and any other material change that could influence the overall outcome of the project.

2.6 Implementation challenges and lessons learned

**Challenge encountered**

Delays in hiring staff within the Executing Entities and the lengthy contract negotiation and approval processes in Dominica and Grenada.

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

**Challenge type** Procurement **Impact on the project implementation** High

**Measures adopted**

- The AE provided the procurement guidelines, aided with the drafting of TORS and contracts and oversaw the evaluation processes.

**Lesson learned and other remarks**

- All hiring within the public sector in Dominica and Grenada must be approved by the Cabinet. This process can take between 2 to 6 weeks, depending on government priorities at the weekly Cabinet meetings. Given the shift in priorities as a result of Covid-19 in 2020, procurement was significantly delayed.
- Enabling Permanent Secretaries and the Project Management Committees to approve hiring decision would help with fast-tracking procurement.

**Challenge encountered**

Changes at the Ministerial level or the reassignment of senior public servants has caused delays. Newly appointed officials must be introduced to the project and buy-in sought.

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

**Challenge type** Political **Impact on the project implementation** Moderate

**Measures adopted**

- The AE arranged meetings with new officials to provide background information. EDA staff coordinated engagements and presented status updates.
- High level communication between the Project Manager (Director of the DOE) and senior officials were used to build relationships.

**Lesson learned and other remarks**

- There is little to no succession planning within government agencies which affects project ownership in the short term and loss of project institutional knowledge.
- Incoming officials may have their own vision for the ministry; hence it is very important to quickly engage new appointees to achieve buy-in.

**Challenge encountered**

PSIP Units struggled to implement Output 2. Lack of internal capacity and the absence of a clear vision of national priorities and impeded the advancement of activities.

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

**Challenge type** Operational **Impact on the project implementation** High

**Measures adopted**

- Clear eligibility guidelines for funding were developed by the AE and shared with the EEs.
- The AE participated in bi-lateral meetings to provide guidance and help EDA staff and public sector officials understand the required processes.

**Lesson learned and other remarks**

- Output 2 is significantly delayed. Implementation will now occur in years 3 and 4 instead of 2 and 3 as previously indicated in the implementation plan. If activities do not commence at the beginning of year 3 (July 2021), the AE will have to seek alternative pathways to implement the Output.

**Challenge encountered**

The Accredited Entity has encountered financial difficulties that will impede its continued ability to provide the necessary support for EDA project activities, due to the depletion of the AE Fees and the next disbursement being based on progress of Grenada. Fees were utilised to support a range of activities and services in the first two years of project implementation.

Describe the challenge faced during the last twelve (12) months of implementation that may result in a change to the scope and/or timing of the project; please provide a description and how they have impacted the implementation period and final targets.

**Challenge type**
**Impact on the project implementation**

Financial

High

**Measures adopted**

- The AE continues to support staff and will be engaging the GCF to renegotiate the terms of the disbursement.

**Lesson learned and other remarks**

- The AE has exhausted all of its alternate financial resources, and will be challenged to provide adequate support for EDA activities without the next disbursement of AE Fees and project financing. While the Government of Antigua and Barbuda would have provided a financial backstop to the AE, given the economic challenges that resulted from the Covid-19 pandemic, this option is not available at this time.

- The AE will make a request to the GCF for a full or partial disbursement of AE Fees in advance of the next disbursement of project funds. This would allow the DOE ATG to ramp up its support to the EEs and ad-vance project implementation.

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 2 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 2 is complete and ready for submission.

## Section 3: Financial Information

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### Section 3: Financial Information

Please note that this is section 3 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

3.1 Approved Budget for entire project period as per FAA

Currency

USD

*(Information is locked for editing)*

**GCF Funding (Equity)**

**GCF Funding (Grants)**

20 000 000

*(Information is locked for editing)*

**GCF Funding (Guarantees)**

**GCF Funding (In-kind)**

**GCF Funding (Loans)**

**GCF Funding (Results-Based Payment)**

**3.1.1 Total GCF Funding**

20 000 000

*(Information is locked for editing)*

**Please confirm if the afore-mentioned values are different as per your knowledge.**

No differences to be reported.

## 3.2 Co-financing

## Currency

USD

*(Information is locked for editing)*

## Co-financing (Equity)

## Co-financing (Grants)

## Co-financing (Guarantees)

## Co-financing (In-kind)

2 569 360

## Co-financing (Loans)

## Co-financing (Results-Based Payment)

## 3.2.1 Total Co-financing

2 569 360

*(Information is locked for editing)***Please confirm the afore-mentioned values are different as per your knowledge.**

No differences to be reported.

### 3.3 Disbursements Details (Cumulative to this reporting period)

#### 3.3.1 Total GCF Disbursement

*(Information is locked for editing)*

#### Currency

*(Information is locked for editing)*

#### GCF Equity Disbursement

#### GCF Grants Disbursement

*(Information is locked for editing)*

#### GCF Guarantees Disbursement

#### GCF In-kind Disbursement

#### GCF Loans Disbursement

#### GCF Results-Based Payment Disbursement

**Please confirm the afore-mentioned values are different as per your knowledge.**

#### 3.3.2 Co-Financing Disbursement

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

#### Choose currency

#### 3.3.3 Total Project Disbursement

Provide the cumulative amount of disbursements from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

#### Choose currency

**Please provide comments on sub-section 3.3, if any.**

## 3.4 Expenditure details (Cumulative to this reporting period)

Choose currency

Please select

## GCF Equity Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

## GCF Grants Expenditures

1 244 272.29

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

## GCF Guarantees Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

## GCF Loans Expenditures

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

## 3.4.1 GCF Expenditures

1 244 272.29

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

## 3.4.2 Co-financing Expenditures

738 590.00

Provide the cumulative amount of expenditures from the start of implementation to the end of this reporting period. Indicate '0' if no amount is disbursed yet.

## 3.4.3 Total Project Expenditures

1982862.29

Please provide comments on sub-section 3.4, if any.

## 3.5 Investment &amp; Other Income (Cumulative to this reporting period)

## Reporting Level for investment

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

- Project Level
- Accredited Entity Portfolio Level

Choose currency

Please select

Please provide comments on sub-section 3.5, if any.

## 3.6 Report on AE fees (Cumulative to this reporting period)

## Reporting Level for AE fees

Please select the second option 'Accredited Entity Portfolio Level' only if AEs have more than one project where all GCF funds are held in a consolidated GCF Special Account.

- Project Level
- Accredited Entity Portfolio Level

Choose currency

USD

## Project Level AE Fees

256 285.07

**Please provide comments on sub-section 3.6, if any.**

### 3.7 Annual Financial Performance Report

**Please download the Financial Performance Report Template in Excel.**

[Financial Performance Report Template](#)

This sub-section 3.7 is not applicable for REDD+ Results-Based Payments Projects. Please provide a separate 'Financial Progress Details' in Section 6.

**Please attach the Annual Financial Performance Report here.**

[Attachment 1 - GCF EDA APR II Financial Report \(2020\).xlsx](#)

**Please provide comments on the attachment.**

#### **Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 3 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 3 is complete and ready for submission.

## Section 4: Environmental and Social Safeguards & Gender

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### Section 4: Environmental and Social Safeguards & Gender

Please note that this is section 4 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

#### 4.1 Implementation of environmental and social safeguards and gender elements

Please provide information on the project or programme on the following: (1) key risks and impacts as identified; (ii) compliance with applicable laws and regulations including FAA conditions and covenants; and (3) progress in the implementation of environmental and social management plans and programs including monitoring activities undertaken during the implementation of the funded activity.

**4.1.1 The information includes description on any changes in the key environmental and social risks and impacts as identified and arising from the implementation including any unanticipated risks and impacts (ex. from changes in laws and regulations) and, based on these if any change in the project's environmental and social risk category. In case of a change in the E&S risk category for the project, please provide an explanation.**

There were no notable change to environmental and social risk during this reporting period. This is based on the assessment of project activities that were achieved and/or planned for the next reporting period. Key risk categories monitored were i.) transparency in decision making and selection of projects; ii.) equal opportunities and inclusion of the socially vulnerable; and iii.) exclusion activities.

In the case of Antigua and Barbuda's project, mitigation measures – such as the Complaints and Grievance Mechanism, are established to ensure high levels of transparency and accountability and provide recourse when necessary. While, Dominica and Grenada's project activities are not yet advanced, both countries also have access to the AE's online platform. TORs and RFPs developed for Antigua and Barbuda include all relevant clauses to address the key risks, and these documents will be used as guidance for Dominica and Grenada.

**4.1.2 The information should include status of compliance with applicable laws and regulations of the country as well as the relevant conditions or covenants under the FAA. This can be captured in the table below:**

**Status of compliance with applicable laws and regulations and the conditions and covenants specifically addressing ESS & Gender under FAA**

**Compliance Type**  
Condition

**Compliance Title & Description**  
9.01(a)(v) Delivery to the Fund by the Accredited Entity of evidence of an approved technical manual and an environmental and social safeguards policy that establish the procedures and requirements related to environmental and social safeguards for projects/programmes;

**Status of compliance**  
Fulfilled

**Compliance Type**  
Condition

**Compliance Title & Description**  
9.01(a)(vi) Delivery to the Fund by the Accredited Entity of evidence that the Accredited Entity has conducted consultations with all relevant stakeholders of the Funded Activity in each of the three (3) Host Countries, including with possible contractors, micro-lending institutions and beneficiaries;

**Status of compliance**  
Fulfilled

**Compliance Type**  
Covenant

**Compliance Title & Description**  
10.02 (b) Ensure that no GCF Proceeds are used to support or finance, directly or indirectly, any activities with potential environmental and social risks that are equivalent to Category A pursuant to the Environmental and Social Risks Categories to be conducted as part of the Project;

**Status of compliance**  
Compliant

**Compliance Type**  
Covenant

**Compliance Title & Description**  
10.02 (f) Undertake and/or put in place any adequate measures in order to ensure that the management of the environmental and social risks and impacts arising from the Funded Activity complies at all times the recommendations, requirements and procedures set forth in the Environmental and Social Management Plan ("ESMP"), which was provided by the Accredited Entity to the Fund before the Approval Decision;

**Status of compliance**  
Compliant

**Compliance Type**  
Covenant

**Compliance Title & Description**

10.02 (g) Obtain, or ensure that the Executing Entity(ies) and/or Final Beneficiary(ies) shall obtain, all land and rights in respect of land that are required to carry out the Funded Activity, and that such land acquisition shall not result to involuntary resettlement (either economic or physical displacement, or both) and shall promptly furnish to the Fund, upon its request, evidence satisfactory to the Fund that such land and rights in respect of the land are available for the purposes of the Funded Activity;

**Status of compliance**

Compliant

- Draft ESMP completed for construction projects under Output 2 in Antigua and Barbuda.
- TORS will be adapted for consultancies in Dominica and Grenada.

**4.1.3 Provide a report on the progress made in implementing environmental and social management plans (ESMPs) and frameworks (ESMFs) describing achievements, and specifying details outlined in the tables below.**

### Implementation of ESMPs and ESMFs

#### Activities implemented during the reporting period, including monitoring

Enhanced capacity for climate adaptation planning, implementation, and monitoring and evaluation via direct access

#### Outputs during the reporting period

Oversight committees (PMC) established in Dominica and Grenada;  
Implementation manuals updated for Dominica and Grenada;  
Technical Assistance Agreement developed by AE for execution of Output 1

#### Key environmental, social and gender issues, risks and impacts addressed during implementation

TORs highlighted to need for gender balance and the inclusion of persons with different abilities

#### Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

Re-engage sub-regional steering committee ensuring adequate gender balance

#### Activities implemented during the reporting period, including monitoring

Governments implement concrete adaptation measures using ecosystem-based approaches where appropriate

#### Outputs during the reporting period

Draft ESMP for public sector interventions in Antigua and Barbuda currently under review.

#### Key environmental, social and gender issues, risks and impacts addressed during implementation

Finalised ESMP will highlight potential ESS risks in the Cashew Hill watershed and outline practical measures to minimise risks

#### Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

#### Activities implemented during the reporting period, including monitoring

Community resilience to climate impacts is enhanced through tangible adaptation benefits

#### Outputs during the reporting period

Grants manual developed;  
On-granting documentation finalised including guidelines for operationalising Output 3

#### Key environmental, social and gender issues, risks and impacts addressed during implementation

Call for Proposals and application documentation include information on exclusion activities; and  
Selection criteria designed to flag ESS risks in project activities

#### Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention

CBOs, NGOs will receive training in identifying and mitigating against ESS risks prior to the development of project proposals

#### Activities implemented during the reporting period, including monitoring

Privately owned physical assets of vulnerable populations are more resilient to climate variability and change through concessional microfinancing

**Outputs during the reporting period**

Term Sheet for engagement finalised by SIRFF Board;  
Call for Applications launched in Antigua and Barbuda

**Key environmental, social and gender issues, risks and impacts addressed during implementation**

Application and information documentation highlight exclusion; and  
Loan approval criteria will capture potential risks that applicants to address / re-solve before funds are disbursed

**Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention**
**Activities implemented during the reporting period, including monitoring**

Project management

**Outputs during the reporting period**

Procurement of staff and equipment

**Key environmental, social and gender issues, risks and impacts addressed during implementation**

Ensure transparency of national decision-making processes, RFPs include clauses on non-discrimination, equal opportunities and inclusion of persons with different abilities.

**Any pending key environmental, social and gender issues needing accredited entity's actions and GCF attention**

The AE has noted the fewer applications are received from male applicants for technical project positions across all three implementing countries. This might be attributed (in part) to the notable decrease in number of males are completing tertiary education in the region.

4.1.4 Provide information on how the GCF Independent Redress Mechanism, as well as the AE's GRM (e.g. contact details, accessibility, and basic procedures of such mechanisms), is brought to the attention of executing entities, people, and beneficiaries in the project target area and the public in accordance with the relevant ESMS/ESIA.

Project executing entities and key stakeholders were informed of the GCF's policies relating to grievances and redress during project development and post approval.

Antigua and Barbuda's EDA team and local stakeholders received training on the AE's GRM in March and July 2020; while the teams in Dominica and Grenada received training in July 2020. Further, Grenada's PMC approved the use of the AE's Complaints Mechanism in November 2020. A similar resolution will be presented to Dominica's PMC for approval. GRMs will be set up for each of the EEs (potential AEs) during the project implementation period.

The AE's GRM is hosted on the DOE ATG's website at "<https://environment.gov.ag/contact>" where there are direct links to three (3) Complaints Forms:

i.) External Complaints / Project Complaints at "<https://app.smartsheet.com/b/form/cb1a124a74464029b91c9fbc05d024f2>"

ii.) Whistleblower Complaints at "<https://app.smartsheet.com/b/form/54c535bc963544ebb2ddaa2e1ce18958>"

iii.) Environment Complaints (Biodiversity and Protected Areas Unit) at "<https://app.smartsheet.com/b/form/0b30e9d9a985438f88d3595978ec5475>"

Complaints could be submitted in persons at:

Department of Environment  
#1 Victoria Park Botanical Gardens  
Factory Road, St. John's, Antigua, W.I.  
Tel: +1268 462 4625

OR

Emailed to "[antiguaenvironmentdivision@gmail.com](mailto:antiguaenvironmentdivision@gmail.com)" or "[doe@ab.gov.ag](mailto:doe@ab.gov.ag)"

An instructional video on the use of AE's GRM can be found at "<https://www.youtube.com/watch?v=OTaAUiawPcA>"

#### **4.1.5 Include a description of the actions undertaken towards increasing the relevant stakeholders' engagement in the project environmental, social and gender elements.**

The information in this subsection should be provided for all projects regardless of the E&S risk category for the project.

### Implementation of the stakeholder engagement plan

#### Activities implemented during the reporting period

GCF EDA & NAP Project Brief-ing  
(Dominica)

#### Dates and venues of engagement activities

Apr-24, 2020 |  
2:00pm-4:00pm  
--  
Virtual – MS Teams  
--  
Coordination Meeting

#### Information shared with stakeholders

- An overview of the history of the EDA project, and process and benefits of gaining “direct access” to GCF financing based on lessons learned by Antigua and Barbuda;
- An overview of the Antigua and Barbuda modality for GCF “Direct Access” accreditation including in passage of the EPMA that legally established the DOE and SIRFF;
- Key elements and benefits of the EDA and NAP projects as a way of providing resources to government, private sector and civil society that will support Dominica’s climate change objectives and also assist with the economic recovery after the COVID-19 pandemic;
- Status of the EDA and NAP projects, including critical activities necessary to ad-vance implementation, e.g hiring of local project staff; and finally
- An overview of the role/responsibilities of Dominica’s Ministry of Environment in relation to the GCF projects

#### Outputs including issues addressed during the reporting period

The ministerial team ex-pressed that owing to the change in administration (re-structuring of Ministries / Ministerial staff), they would like to revisit the composition of the PMC. TORS for PMC will be made available to the PS.

The EDA Country Coordinator and Technical Officer are to be brought on board within the next month. The DOE team is willing to begin engaging staff ahead of finalisation of contracts.

#### NEXT STEPS:

- TORS for PMC shared with MOE DOM;
- EDA staff to be hired in May 2020;
- Follow up meeting on DOM Environment Bill (TBD May 2020)

#### Activities implemented during the reporting period

Action Plan on Dominica’s Legislation, EDA and NAP projects  
(Dominica)

#### Dates and venues of engagement activities

June-3, 2020 |  
2:00pm-3:00pm  
--  
Virtual – MS Teams  
--  
Planning Session

#### Information shared with stakeholders

- Overview of the last iteration of the Environment Bill (as submitted to AG);
- Issues with the incomplete / missing chapter [Ch. 20] and proposed plans to finalised and achieve a complete draft;
- Recruitment of a Consult-ant to finish the chapter and do the final review;
- TORS, timeline and budget for Consultant;
- Support from DOE, EDA and NAPS projects

**Outputs including issues addressed during the reporting period**

Consultant assured the team that the Bill was 90% complete and the final draft could be presented to the AG's office within 3 months of hiring a Consultant. TORS for the consultancy were already in hand, but should be re-viewed before the position is advertised [Kimisha will work with Mr. Pascal to follow up]

DOE Team will assist with review of TORS and NAPS project has budget to pay for the consultancy

**NEXT STEPS:**

MOE DOM to follow up with Attorney General's office on status and completion time-line for the Environment Bill;

Budget for Consultancy to be finalised

**Activities implemented during the reporting period**

EDA - NAP Team Meeting with NDA

**Dates and venues of engagement activities**

Jul-20, 2020 |

3:00pm-3:30pm

--

Virtual - MS Teams

--

Coordination Meeting

**Information shared with stakeholders**

- The Ministry to receive support for Accreditation under output 1.3 of the EDA Project - The Ministry of Environment via PS Fagan gave confirmation that the preferred choice of Ministry for accreditation is the Ministry of Finance.

- Request for an FMCA of the Ministry of Environment - Conducting the FMCA of Executing Entities (EE) is a requirement of the GCF before funds can be disbursed to the EE. The FMCA of all EEs is also tied to the EDA project receiving its second disbursement from the GCF which is delayed.

- Dominica to complete a Privileges and Immunities Agreement with the GCF. The process is necessary for completion of the EDA and NAP projects

**Outputs including issues addressed during the reporting period**

Based on EDA FP, the DOE will work with MOE DOM and does not have the experience to support Finance

**NEXT STEPS:**

NDAI to send official communication on behalf to GoCD to DOE regarding choice of DAE and other accreditation related matters

NDA to assist with completion of FMCA for EE

**Activities implemented during the reporting period**

National Climate Change Committee Meeting (NCCC)  
(Dominica)

**Dates and venues of engagement activities**

Aug-11, 2020 |

10:00am-12:30am

Sep-16, 2020 |

10:00am-12:30pm

Oct-10, 2020 |

10:00am-11:30am

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Virtual - MS Teams

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Meeting

#### Information shared with stakeholders

- TORS for the TAC, describing the roles and responsibilities with respect to the EDA Project;
- An overview of the EDA with presentations on the 4 outputs;
- Timeline and budget for activities and the urgency of moving forward with the choice of projects to be implemented under Out-put 2 [criteria for selection];
- Preliminary discussion on project currently in the pipeline for respective ministries [shared by ministerial reps. on the Committee]

#### Outputs including issues addressed during the reporting period

PSIP representative on NCCC proposed that rather than launching a new 'Call for Proposals', a review the projects that have already to the PSIP would help expedite the process. The EDA team was invited to meet with the PSIP to go over the list of climate change adaptation related projects.

The Committee acknowledged Antigua's approach of keeping all EDA project activities focused in a certain area but did not want to limit Dominica to that approach. Eligible projects from across the island will be considered

##### NEXT STEPS:

- EDA Team to arrange meeting with PSIP to discuss selection criteria for public sector grants and review submissions in the current PSIP cycle that might be eligible, and obtain copies of concept briefs;
- PSIP to send an official communication to all Line Minis-tries to improved and resubmit project concepts to be considered for EDA grant funding;
- Schedule meeting between EDA and PSIP Teams for further discussions

#### Activities implemented during the reporting period

PSIP Project Selection – Min. of Economic Affairs and Planning  
(Dominica)

#### Dates and venues of engagement activities

Sep-28, 2020 |  
11:00am-12:00pm  
--  
Virtual – MS Teams  
--  
Coordination Meeting

#### Information shared with stakeholders

- An overview of the PSIP process submission for projects, including the re-cent transition to the Smartsheet platform [in-stead of using Word documents];
- GoCD's list of priority projects and rationale;
- Technical capacity of Line Ministries to execute projects and ability to meet co-financing contributions [as required by the EDA];
- Need to upgrade the Smartsheet platform to capture more project de-tails;
- EDA team shared preliminary results from NCCC sub-committee evaluation process

#### Outputs including issues addressed during the reporting period

Four projects were identified as a priority to the GoCD [in no particular order]:

- Kalinago Research Centre;
- Watershed Rehabilitation;
- Early Warning Systems; and
- Pt. Michel Roadworks and Drainage

Results and rankings from evaluation were compared to the projects selected by the PSIP and three (except Kalinago Research Centre) were deemed highly probable for EDA funding.

##### NEXT STEPS:

- Mrs. Basil-Andrew to share a link to the Smartsheet plat-form for EDA to review and recommend upgrades;
- Request for upgrades to be made to CREAD. It was estimated that upgrades can be completed to 2 – 3 weeks;
- Sheet with budget allocations [for priority projects] to be shared with EDA team

#### Activities implemented during the reporting period

UNDP / GEF Small Grants Programme  
(Dominica and Regional Office)

**Dates and venues of engagement activities**

September 20, 2020  
(virtual) - Regional

On-going  
(in-person [DOM EDA staff] and virtual [AE])

**Information shared with stakeholders**

Review of institutional arrangement for execution of Output 3 by UNDP/GEF SGP

**Outputs including issues addressed during the reporting period**

UNDP has proposed an arrangement where GEF SGP will be responsible for Out-put 2 on-granting process, but where the funds will be programmed by the EE. This was suggested because Out-put 3's budget does not allow for the 10% project coordination fee that is required by UNDP.

A draft agreement for this new arrangement has been prepared and will be submit-ted to Dominica's Cabinet for approval.

**Activities implemented during the reporting period**

GCF National Designated Authority  
(Grenada)

**Dates and venues of engagement activities**

June 6, 2020 |  
3:00pm-4:00pm

**Information shared with stakeholders**

Updates on EDA project, implementation delays and proposed actions;  
Readiness and Accreditation activities

**Outputs including issues addressed during the reporting period**

Grenada Readiness project activities were delayed due to the impacts of Covid-19. A No Cost Extension was grant-ed and activities are now underway.

**Activities implemented during the reporting period**

National Climate Change Committee Meeting (NCCC)  
(Grenada)

**Dates and venues of engagement activities**

Jul-27, 2020 |  
2:30pm-3:30pm  
--  
Virtual - MS Teams  
--  
Meeting

**Information shared with stakeholders**

- An overview of the EDA with planned activities for the 4 outputs;
- Potential Service Providers who will be engaged;
- Budget for activities and the urgency of moving for-ward with the choice of projects [especially Output 2]

**Outputs including issues addressed during the reporting period**

NCCC gave its commitment to support the project and Service Providers in attendance gave their commitments to meet with EDA staff.

**NEXT STEPS:**

EDA Team to follow up with Service Providers and make arrangements to present project ideas to the Committee [as activities progress]

**Activities implemented during the reporting period**

Service Provider Scoping  
(Grenada)

#### Dates and venues of engagement activities

Aug-24, 2020 |  
10:30am-11:30am  
--  
Virtual – MS Teams  
--  
Meeting

#### Information shared with stakeholders

Meeting with the Rural Development Unit to discuss roles of Service Provider for the Community Grants Out-put 3 of the EDA project  
- Provided a summary of the EDA Project – Grenada  
- Overview of Output 3 – Civil Society Sector Projects

#### Outputs including issues addressed during the reporting period

RDU is generally interested in being a part of the EDA. How-ever, internal capacity was raised as an area of concern. Further discussions would be necessary to determine how the EDA / EEs would provide additional support to the RDU.

#### NEXT STEPS:

RDU to review project internally to determine if the Unit would like to continue discussions about being the Service Provider for Output 3 of the EDA project

#### Activities implemented during the reporting period

EDA Technical Assistance – Project Management Team  
(Grenada)

#### Dates and venues of engagement activities

Dec-15, 2020 |  
2:30pm-3:30pm  
--  
Virtual – MS Teams  
--  
PM Meeting

#### Information shared with stakeholders

- Formalising the Technical Assistance Agreement be-tween the DOE ATG and Ministry with responsibility for the Environment GRD to provide accreditation support under EDA Comp. 1.
- Completing the FMCA for Climate Resilience / Environment Division;
- Recruiting an EDA Country Coordinator;
- Selecting national scale projects for implementation under Comp. 2; and
- Advancing the process of engaging RDU and GDB to execute Comps 3 and 4.

#### Outputs including issues addressed during the reporting period

Cabinet Submission where the Ministry w/ responsibility for the Environment was nominated as the entity to be accredited to the GCF needs to be provided

#### NEXT STEPS:

PS to check Cab Sec for Sub-mission/Approval;  
Country Coordinator candidates to be engaged for interview before the end of December;  
Dr. George to make a presentation of potential PSIP project to January's NCCC meet-ing

#### Activities implemented during the reporting period

EDA Project Implementation Planning – OECS Commission  
(Antigua and Barbuda)

**Dates and venues of engagement activities**

Jun-12, 2020 |  
 2:30pm-3:30pm  
 --  
 Virtual – Google Meet  
 --  
 Project Coordination Meeting

**Information shared with stakeholders**

- Status of counter-signed contract from the Director General's office;
- Progress of recruitment for KIMS, M&E and Sustainable Procurement Consultants
- Update on OECS Accreditation process and technical assistance to be offered under the EDA project;
- Workplanning and institution of monthly meetings between the DOE and OECS

**Outputs including issues addressed during the reporting period**

DOE will participate in procurement, i.e. aid in the evaluation and interviewing processes;

Director General has signed contract and it should have already been forwarded back to the DOE

NEXT TIME:  
 DG's office to forward signed contract. EDA team to arrange 25% payment once contract is received  
 Procurement Team to for-ward evaluation kits to DOE team members to be involved in recruitment pro-cess [Martin B, Camaria H, Emerson B, Ezra C]

**Activities implemented during the reporting period**

Public

**Dates and venues of engagement activities**

On-going  
 (in-person, virtual, online, print media)

**Information shared with stakeholders**

Information on project time-lines and proposed activities – explaining delays and set-backs;  
 Types and choice of projects and expected impact for the residents and the wider watershed;  
 Opportunities for jobs, train-ing and up-skilling;

**Outputs including issues addressed during the reporting period**

Upgrading the public disclosure platforms

**4.1.6 Implementation of the grievance redress mechanism - list on the grievances received in the reporting period with the description of the grievance, the date the grievance was received, and the resolution of the grievance.**

<b>Description of issues/complaints received during the reporting period</b>	<b>Date of receipt</b>
No complaints received to date	
<b>Description of resolution</b>	<b>Status of addressing issues/complaints</b>

4.2 Gender Action Plan

The EDA Gender Action Plan, detailed in the activities outlined in Section 4.2.1, identifies principle project activities through which gender specific strategies, actions and outcomes will be monitored during the project implementation period. Progress anticipated by the end of this reporting period was impacted by the delay in project implementation (as previously described in Section 2); however, the AE does not anticipate any changes to or deviations from the GAP as defined during project preparation.

Please see Section 4.2.1 below for more detailed information on challenges encountered and lessons learned during the reporting period.

Provide a progress report on the gender action plan developed during project preparation stage for the reporting period. This will primarily be a report on activities undertaken and results achieved as a result of completion of an activity. Further it should also indicate if the project is on track to achieving the intended outcome(s). The reporting should be done for activities, targets and indicators already set in the action plan including on vulnerable groups (youth, poor, female heads of households, etc.) as would have been identified in the gender analysis and action plan. If activities or targets are not achieved as per plan, reasons should be provided, and recourse action should be proposed. Please include a reporting on any changes or deviations. Include a Report on implementation challenges and lessons learnt and how these will inform on-going actions and what action will be taken by when to address the challenges faced. Incorporate both quantitative data and qualitative report of the performance of such actions, and on progress on actions identified.

**4.2.1 Progress on implementing the project-level gender action plan submitted with the funding proposal**

<b>Activity / Action</b>	
Train women and vulnerable groups to identify, prioritise, implement, monitor and evaluate adaptation strategies and measures	
<b>Indicator</b>	
% females of people trained	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0%	100 people trained (50% female)
<b>Budget</b>	<b>Currency</b>
30 000	USD
<b>Report on annual progress</b>	
<p>Development of gender sensitive communications and training plan underway. To be finalised in March 2021;</p> <p>M&amp;E Capacity Needs survey deployed and training activities to commence in May 2021 after development of Manuals. Sensitisation session which will feed into formal training will be initiated in April 2021.</p> <p>Baseline data collected on 1,700 persons in Antigua and Barbuda's project area. The final report and analysis of this data will be presented in the next reporting period. The findings of this study will be useful in developing targeted programs to train women on the impact of Climate Change and the cost of adaptation for themselves and their families.</p> <p>Local Company is being procured by the AE to conduct the training.</p>	

<b>Activity / Action</b>	
Inform and train women, PWDs, indigenous people, other vulnerable groups how to access and utilise the com-plaints mechanism and empower them as "allies" within their communities to share this knowledge with others who are likely to benefit	
<b>Indicator</b>	
Number of complaints received through the com-plaints mechanism	
Number of 'ally interventions or awareness sessions conducted by women	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0%	90% resolved
<b>Budget</b>	<b>Currency</b>
20 000	USD
<b>Report on annual progress</b>	
<p>AE Complaints / Grievance Mechanism developed and hosted on the DOE ATG's website, and workshops were held in March and July 2020; and Grenada's PMC approved the use of the AE's Complaints Mechanism in November 2020.</p> <p>A video was produced to inform persons on how to access the Complaints Mechanism as well as to understand the revolving loans program. Additional videos will be prepared for the Grenada and Dominica prior to loans being disbursed.</p>	

<b>Activity / Action</b>
Nominate 1 woman and 1 Person With Disability (PWD) / vulnerable group from an NGO/CSO to the Steering Committee (oversight function)

**Indicator**

At least one member of the Steering Committee represents the interests of vulnerable groups

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<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	3

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<b>Budget</b>	<b>Currency</b>
0	USD

**Report on annual progress**

Project Management Committees are pre-dominantly comprised of females [up to 80%] from public and private sector agencies.

The PMC of Antigua and Barbuda does not have NGO or CSO representation. However, CSOs are represented on the Technical Advisory Committee.

Similarly, Grenada's PMC does not include representatives from NGOs/CSOs; however, the Sustainable Development Council (SDC) which provides technical oversight along with the NCCC has adequate representation.

Dominica's PMC includes representative from the Dominica Association of Persons with Disabilities and National Youth Council.

- Efforts will be sought to encourage better inclusion of males and vulnerable groups.

**Activity / Action**

All Environmental Impact Assessments ("EIAs") to include gender and social inclusion assessments and action plans

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**Indicator**

% EIAs that include gender and social inclusion assessments and action plans

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<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0%	100%

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<b>Budget</b>	<b>Currency</b>
300 000	USD

**Report on annual progress**

Draft ESIA for Antigua and Barbuda's public sector interventions includes these requisite sections.

TORS for all EIAs require gender and social assessments and action plans. Reports will not be approved, nor project activities commenced without these aspects being completed and found to be satisfactory.

The baseline information in Antigua and Barbuda required to support the inclusion of these elements in an ESIA has been completed and available for the Consultant.

**Activity / Action**

Provide entrepreneurship training for women, PWDs, and other vulnerable groups in services related to the delivery of climate change information, adaptation and resilience services, e.g. EIAs, construction, project management, procurement, M&E, etc.

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**Indicator**

Number of women, PWDs, other vulnerable groups enrolled in continuous training in entrepreneurship as it relates to climate change adaptation and resilience services

<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
TBD	20% Staff and owners of the businesses in the project area received training with 50% of trainees to be females.
<b>Budget</b>	<b>Currency</b>
50 000	USD
<b>Report on annual progress</b>	
<p>Due in next reporting period.</p> <p>Targets for capacity building activities that are gender inclusive to be finalised by individual countries.</p> <p>In Antigua and Barbuda the Entrepreneur Development Program (EDP) is undergoing capacity assessment to deliver these training programs to the community as part of the programming of the funds by the SIRF Fund.</p> <p>Further the AE has training programs for contractors in adaptation construction.</p>	

<b>Activity / Action</b>	
Sustainable procurement of goods and services to include a fixed percentage of women and PWDs in tenders to ensure that they benefit from economic opportunities created through the construction and agricultural sectors	
<b>Indicator</b>	
Number of contracts awarded to women / PWDs	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
TBD	
<b>Budget</b>	<b>Currency</b>
30 000	USD
<b>Report on annual progress</b>	
<p>Completion of the sustainable procurement guidelines is delayed and is expected to be completed in June 2021. Ongoing consultations are examining ways to ensure equity for women and PWD.</p> <p>To be updated on in next reporting period.</p>	

<b>Activity / Action</b>	
Nominate 1 woman and 1 PWD from a CSO/NGO to represent these key issues on the decision-making body for the public sector / on-granting committee / loans board	
<b>Indicator</b>	
Ensures that rights, needs and challenges faced by women and PWDs are adequately represented and that gender is prioritised within the decision-making body	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	3
<b>Budget</b>	<b>Currency</b>
0	USD
<b>Report on annual progress</b>	
<p>Compliant</p> <p>The DOE ATG has an ESS and Gender Officer and receives further support from the Directorate of Gender Affairs. The Gender Action Plan implemented at the AE will be guided by Officer, the Data Management Unit, as well as consultants.</p>	

<b>Activity / Action</b>	
Train women, per-sons with disabilities and other vulnerable groups to ensure that community assets are more resilient to climate variability and change	
<b>Indicator</b>	
Number of women, PWDs, CSOs and NGOs trained (%) in protecting public infrastructure assets, including community shelters	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
TBD	
<b>Budget</b>	<b>Currency</b>
30 000	USD
<b>Report on annual progress</b>	
<p>Development of a Communications Strategy and Training Plan is underway. Service Organisations have been identified as potential partners and activities that target women and vulnerable groups are being defined.</p> <p>To be updated on in next reporting period.</p> <p>The project is to received additional sup-port from the Commonwealth Secretariat to provide an expert in stakeholder analysis and engagement.</p>	

<b>Activity / Action</b>	
Launch media campaign to advertise this train-ing and EDA Revolving Fund opportunities available	
<b>Indicator</b>	
Number of applicants received	
<b>Baseline</b>	<b>Target, including sex-disaggregation</b>
0	400
<b>Budget</b>	<b>Currency</b>
210 000	Please select
<b>Report on annual progress</b>	
<p>Media campaign for revolving loan pro-gramme in Antigua and Barbuda began in September 2020. Local media houses and social media platforms have been utilised to notify home and business owners about the opportunities. The SIRF Fund will report on the first batch of applications received in March 2021.</p> <p>Project activities in Dominica and Grenada are delayed.</p> <p>To be updated on in next reporting period.</p>	

4.3 Planned activities on environmental and social safeguards for the next reporting period

- Provide training for project team and stakeholders in Dominica, Grenada and at the OECS Commission in the use of the AE's Complaints and Redress Mechanism – which will serve the sub-regional project. Grenada's PMC has approved the use of the AE's mechanism, and the resolution will be presented to Dominica's PMC in January 2021. The DOE ATG has provided an instructional video and links for submitting project related, whistleblower and environmental complaints. Training was held for Antigua and Barbuda's Output 2 stakeholders and Cashew Hill community members in March and July 2020;
- Conduct a capacity assessment and develop and deliver capacity building training activities for MOE DOM and MOE GRD, as a part of the Technical Assistance provided by the DOE ATG under Outputs 1.1 and 1.3. Training will target all existing oversight and decision-making committees;
- Conduct capacity building training for public sector agencies, civil society and community-based organisations and private citizens in for implementing countries on AE's code of conduct, policy against sexual misconduct, conflict of interest and fair access to information;
- Conduct independent ESIA's for all Output 2 projects in Dominica and Grenada, and develop individual ESMPs for each construction project;
- Provide quarterly updates to the EDA risk register; and
- Integrate ESS into M&E Manuals and accompanying training as part of overall M&E compliance and capacity building activities

Provide a list of activities in the ESMP to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products. Please include the monitoring schedule concerning ESS (including other potential vulnerable groups and indigenous people) for the next annual reporting period.

#### 4.4 Planned activities on gender elements for the next reporting period

- Revise the Stakeholder Engagement Strategy and workplan;
- Complete the gender sensitive communications strategy and training plan for EDA staff and stake-holders;
- Develop training material and initiate training of trainers and certification that target women, persons with disabilities, indigenous peoples and socially vulnerable groups, to aid them in identifying, prioritising, implementing, monitoring and evaluating adaptation measures;
- Provide entrepreneurship training for women, persons with disabilities, indigenous peoples and socially vulnerable groups in services related to the delivery of climate change information and adaptation services. This training will prepare them to participate in Environmental and Social Impact Assessments (ESIAs), construction, project management and monitoring and evaluation;
- Finalise TORS for ESIA's in Dominica and Grenada, to include gender-focused assessment and action plans, and report on the incremental progress made in Antigua and Barbuda's gender action plan for Output 2; and
- Gender-sensitive monitoring will be a critical aspect of the Country M&E Manuals and training activities being developed, and which will be implemented for Q2 and Q3 2021. Additionally, the ESS and GAP related commitments are integrated into the M&E Matrix to support regular tracking, monitoring and reporting on project progress.

Provide a list of activities in the gender action plan to be implemented in the next reporting period. Include relevant deliverables such as reports or action plans, and other project specific products including processes that will be involved to implement the activities effectively. Please include the monitoring schedule concerning gender activities for the next annual reporting period. Report on actions taken on any of the recommendations made by the secretariat (if applicable) to improve the level of integration of gender issues in the project.

#### Confirmation and Acknowledgement of Information \*

\* This is a required question to submit section 4 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 4 is complete and ready for submission.

## Section 5: Annexes

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### Section 5: Annexes

Please note that this is section 5 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

#### Annex 1: Updated implementation timetable for the Funded Activity

[FP061 EDA Implementation Plan - Updated 2021-01-24.pdf](#)

Submit only if there are any changes from implementation plan submitted in the FAA.

#### Annex 2: Accredited Entity compliance reports

Self-assessment reports, Report on Actions pursuant to Clause 18.02, if applicable. Self-assessment reports: In accordance with the AMA requirement in Clause 13.01 of the Accreditation Master Agreement, with the Fiduciary Principles and Standards, ESS and Gender Policy. Report on Actions pursuant to Clause 18.02: Only applicable to International Accredited Entities. In accordance with the Monitoring and Accountability Framework, a report on its actions carried out or planned to be carried out pursuant to Clause 18.02 of the Accreditation Master Agreement.

#### Please provide comments on the annexes attached above if any.

The Updated Implementation Plan attached in Annex 1 details the implementation timeline over the next three (3) years. It includes the 12-month extension deemed necessary to overcome the delays experienced in 2020. The AE will submit an official application to the GCF for the 12-month extension.

#### Confirmation and Acknowledgement of Information \*

\* This is a required question to submit section 5 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 5 is complete and ready for submission.

## Section 6: Attachments

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### Section 6: Attachments

Please note that this is section 6 of the six Annual Performance Report (APR) sections. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

Click on '+ Add row' to attach more than one document.

[Attachment 2 - Draft Vulnerability and Risk Assessment Tool Guidelines for the SIRF Fund \[20-06-2020\].pdf](#)

[Attachment 3 - M&E Capacity Needs Assessment Report \[Final Draft\].pdf](#)

[Attachment 3 - M&E Matrix\\_Revised.xlsx](#)

[Attachment 4 - Draft Term Sheet with SIRF FUND and DOE for Grant Making.pdf](#)

[Attachment 5 - Approved Term Sheet with SIRF Fund for RLP.pdf](#)

[Attachment 6 - EDA Master Implementation Plan Sheet.pdf](#)

[Attachment 7 - EDA CONSULTATION REPORT 2020.pdf](#)

[EDA\\_SubsiaryAgreement\\_Dominica\\_Executed.pdf](#)

[EDA\\_SubsiaryAgreement\\_Grenada\\_Signed\\_July122019.pdf](#)

**Submit the Unaudited/Audited financial statement and Interim/Final evaluation report (as required by FAA). Submit a supporting document for Section 2.4. (Update Progress on the Logic Framework Indicators), describing the calculation methodology for the current values provided.**

This sub-section 2.4 is not applicable for REDD+ Results-Based Payments Projects.

**Other Attachments (if any).** Such as additional budget-related information, loan repayment schedules to GCF (interest/principal), equity investment schedules, other related reports relevant to the Funded Activity, statements of capital account, valuation reports, credit guarantee agreements, investor reports, and others, as specified in the relevant legal agreements (e.g. Funded Activity Agreement, Shareholders Agreement)

For the Annual Performance Report of REDD+ Results-Based Payments projects, provide 'Implementation Timetable/Milestones for the next reporting period' and 'Financial Progress Details' as an attachment in this section.

**Comments from AE (if any)**

The Attachments, as referenced in Sections 2 and 4 are as follows:

Attachment 1: Unaudited Financial Statement;

Attachment 2: Multi-Indicator Vulnerability Assessment Tool (Draft);

Attachment 3: M&E Capacity Needs Assessment Report and M&E Matrix (Draft);

Attachment 4: Term Sheet with the SIRF Fund and DOE for Grant Making Programme – Antigua and Barbuda (Draft);

Attachment 5: Term Sheet with SIRF Fund for Revolving Loan Programme – Antigua and Barbuda;

Attachment 6: EDA Implementation Plan Sheet (Smartsheet);

Attachment 7: Consultation Report - 2020;

Attachment 8: Signed Subsidiary Agreements with the Commonwealth of Dominica and Grenada

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit section 6 of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in section 6 is complete and ready for submission.

## Additional Section: COVID-19 Impact

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### Additional Section: COVID-19 Impact

In this additional section of the Annual Performance Report (APR), please provide an update of COVID-19 impact on your project/programme. APR will be considered valid only after all the six sections and the additional section on COVID-19 are filled with relevant details.

**Please indicate if your project/programme is adversely impacted by the COVID-19 pandemic.**

Yes

**Please choose the severity of overall impact.**

A minor change(s) required

Description of levels of severity:

1. On-track with no or minor impact: No or minor impact on project implementation and corresponding annual activities.
2. Facing delays: Implementation progress faced delays in the timeline but did not require any substantial changes in the implementation plan.
3. A minor change(s) required: Changes that are not classified as Major changes but requires intervention from GCF.
4. A major change(s) required: As per paragraph 16 of the Policy on Restructuring and Cancellation - Board Decision B.22/14 paragraph (a). Please find the link to the policy document below.

[GCF Policy on Restructuring and Cancellation](#)

**Please describe an overall impact on your project/programme by the COVID-19 pandemic (100-word limit).**

The Covid-19 pandemic has impacted the Eastern Caribbean EDA Project by causing significant delays in implementation activities at both the AE and EE levels. Project activities planned for Outputs 1 through 4 for 2020 could not be executed on schedule due to border closures, national lockdowns and shift in government priorities to deal with the healthcare and economic fallout. As a result, the AE has signalled in this report that an extension of the project implementation period is deemed necessary for effective completion of the activities.

Provide a short description of the adverse impact on your project/programme and provide references or supporting materials in the Annexes and Attachments sections as relevant.

**Please describe details of challenges encountered and corrective/mitigation measures taken.**

**Select a type of the challenges encountered.**

Field Activities

**Describe details of the challenge encountered.**

a) The economic fallout from Covid-19 has severely impacted regional carriers, thus limiting travel from Antigua and Barbuda (AE) to Dominica and Grenada. Further, the mandatory 14-day quarantine for all arriving visitors is an added limitation to mission travel. This will impact the AE's execution of Technical Assistance for Outputs 1.1 and 1.3, and to a lesser extent the OECS Commission's execution of Outputs 1.2 and 1.4.

b) Similarly, the rate of implementation of activities in-country will continue to be impacted due to restrictions on movement and assembly. This limits in-person stakeholder consultations and community engagement, and creates greater dependence on virtual modes of communication and the use of social media platforms to maintain contact with key stakeholders and the public. Participation and feedback in virtual consultations are still a challenge, and it is harder to measure the impact of messages disseminated on social media.

c) Recent spikes in Covid-19 infections in all three countries have caused governments to warn of potential lockdowns or increased curfew restrictions. This would impact productivity within public sector agencies providing project support and can potentially slow or halt construction work.

Sample challenges for Field Activities:

- Delays in travels, planned training, workshops, conferences, events, and awareness-raising events
- Limited access to project sites especially outer islands
- Postponed field missions for collecting/validating information, and conducting consultations with local stakeholders
- Measures required to ensure the security and safety of workers
- Delays in pilot projects, feasibility/baseline studies

**Describe details of the corrective/mitigation measures taken as much as you can.**

Engagement activities are predominantly held on virtual platforms or in groups of ten or less. Participation levels in virtual activities vary, depending on the stakeholder groups and/or internet connectivity. Innovative ways of re-imagining field activities are being developed and piloted by the AE's Communications Team.

**Select a type of the challenges encountered.**

Supply Chain

**Describe details of the challenge encountered.**

Procurement delays are expected to continue in all three project countries. Construction activities will likely be impacted due to closure of local suppliers, material shortages and increased cost of imported equipment and materials. This would primarily impact capital projects implemented under Output 2 and the community adaptation projects implemented under Output 3. Landed cost of construction materials could be affected due to increases on the regional and international markets, higher freight and labour costs or inadequate supply to meet demand. Further delays may also occur if new vendor relationships have to be built to procure materials.

Sample challenges for Supply Chain:

- Delays in procurement and importation of materials, and equipment due to halt in production or lack of raw material and supplies
- Logistic challenges leading to loss of business opportunities
- Need for extensions of tender submission dates

**Describe details of the corrective/mitigation measures taken as much as you can.**

The AE and EEs have little control in mitigation supply chain impacts. The ministries monitor the activities on the local, regional and international markets, and will plan in accordance with the evolving realities. It should be noted that the Government of Antigua and Barbuda has made a commitment to allow construction works to continue during periods of lockdown. However, in Dominica and Grenada, port closures or the prioritisation of clearance of medical and/or emergency supplies will likely impact the pace of construction activities.

**Select a type of the challenges encountered.**

Liquidity and Solvency Risks

**Describe details of the challenge encountered.**

- a) Decrease in government revenue across the three islands average between 40-60%, having a direct impact on their abilities to provide in-kind contributions at the rate previously indicated.
- b) The magnitude of job losses and closure of businesses can potentially impact the appetite for the concessional loans offered in Output 4. Private individuals and small businesses may be reluctant to take on new loans to climate-proof their properties given the economic uncertainty caused by Covid-19. Further, there is a strong likelihood of default in loan repayments if the economic situation does not improve over the next 12 months.

**Sample challenges for Liquidity and Solvency Risks:**

- Liquidity, market, and credit risk of sub-projects
- Limitations on marketing process and income difficulties
- Inflation expected due to unstable markets
- Limited ability to deploy longer-term debt for capital expenditure investments
- Lower disbursements from risk mitigation measures and decreased loan demand

**Describe details of the corrective/mitigation measures taken as much as you can.**

The AE has received informal commitments from the NDAs (governments) that their co-financing contributions to the project will be met over an extended project implementation period. The AE will request formal letters communicating individual country positions on co-financing and this will be communicated to the GCF.

The Investment Guidelines being developed for the revolving loan programmes will explore (and include) special conditions that will allow for moratoriums or deferments on repayments in extenuating circumstances.

**Select a type of the challenges encountered.**

Project Costs

**Describe details of the challenge encountered.**

Renovations to offices spaces within public sector agencies that host EDA project staff have become necessary. There is a need to set up modified infrastructures to facilitate the Covid-19 work environment. Additional recurrent cost would also be incurred to facilitate sanitisation stations/supplies to ensure safe work environment for staff.

**Sample challenges for Project Costs:**

- Cost increases or budget reallocations due to personnel contract extension, security, safety, office rentals, shipping, travel as well as needs for sanitizers, workshops, communication modalities on the field, and remote-working arrangement
- Cost inflection due to delayed purchases and unstable markets

**Describe details of the corrective/mitigation measures taken as much as you can.**

In Dominica and Grenada plexiglass cubicles are needed to replace open work areas for staff, while in Antigua and Barbuda additional square footage had to be added to accommodate EDA staff. Additionally, based on the setbacks to implementation in 2020, and the now required extension to the project implementation period, project staff would have to be retained for an additional 6 - 12 months in all three project countries.

**Select a type of the challenges encountered.**

Financing and Concessionality

**Describe details of the challenge encountered.**

- a) Across all three islands there has been a shift of government priorities resulting in the redirection of resources to the prevention and mitigation of Covid-19. Dominica and Grenada have both signalled that this would affect their ability to meet the co-financing contributions on time, given the delays experienced in 2020. In Grenada the loss in two major revenue streams has resulted in reduced spending capacity of government.
- b) Community adaptation projects implemented under Output 3, could potentially be delayed or halted due to the CSO's inability to obtain the required co-financing, i.e through contributions of labour or professional services etc.

**Sample challenges for Financing and Concessionality:**

- Possible amendments to co-financing availability, financing for project management costs, and disbursement schedules
- Contractors facing challenges in acquiring loans from financial institutions and commercial banks
- Increased risk aversion towards the agricultural and agroforestry sectors
- Increasing uncertainty causing postponement or cancellation of investment decisions
- Likely extension for the closing date and completion date

**Describe details of the corrective/mitigation measures taken as much as you can.**

The AE continues to track circumstances on ground, and will work with the EEs to determine the most effective mitigation measures as project activities progress.

**Please describe if any support is required from the GCF to address the COVID-19 impact on your project/programme.**

The AE has begun discussions with the GCF on proposed risk mitigation strategies to overcome the challenges that have resulted from the Covid-19 pandemic. The AE will:

- initiate the process to request the 12-month extension to the project implementation period, and
- obtain official communications from the NDAs in Dominica and Grenada confirming their commitments to meet the individual co-financing contributions in the extended project period.

**Confirmation and Acknowledgement of Information \***

\* This is a required question to submit the additional section of the Annual Performance Report (APR).

The accredited entity hereby confirms that the information provided in the additional section on COVID-19 is complete and ready for submission.