

# Readiness Proposal

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**With UN Women for the Oriental Republic of Uruguay**

06 April 2022 | Capacity Building and Knowledge sharing and learning



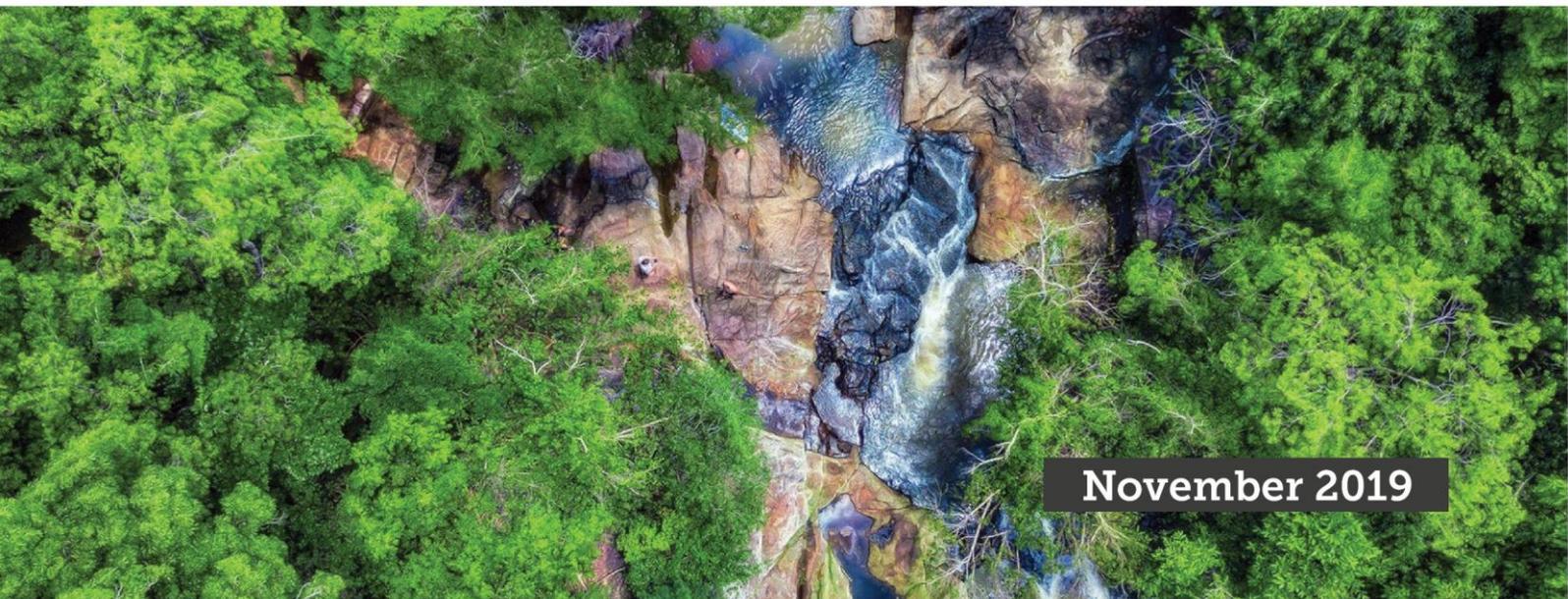
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# READINESS & PREPARATORY SUPPORT

## PROPOSAL TEMPLATE



<b>Proposal title:</b>	Enhancing the governance of gender-responsive climate change adaptation and mitigation planning and financing in key sectors and territories in Uruguay
<b>Country:</b>	Uruguay
<b>National designated authority:</b>	Ministry of Environment
<b>Implementing Institution:</b>	UN Women
<b>Date of first submission:</b>	June 27 <sup>th</sup> 2021
<b>Date of current submission / version number</b>	March 25th 2022 V.6



November 2019

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Download the guidebook:  
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Please be concise. If you need to include any additional information, please attach it to the proposal.

If the Delivery Partner implementing the Readiness support is not a GCF Accredited Entity for project Funding Proposals, please complete the Financial Management Capacity Assessment (FMCA) questionnaire and submit it prior to or with this Readiness proposal. The FMCA is available for download at the [Library](#) page of the GCF website.

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### Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, particularly to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult Annex IV of the Readiness Guidebook for more information.

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## LIST OF ABBREVIATIONS

ANDE	National Agency for Economic Development
ALIDE	Latin American Association of Development Financing Institutions
CECOED	Departmental Emergency Coordination Center (Centro Coordinador de Emergencias Departamentales)
CND	National Corporation for Development (Corporación Nacional para el Desarrollo)
DAE	Direct Access Entity
DP	Delivery Partner
GCF	Green Climate Fund
GoU	Government of Uruguay
GWG	Gender Working Group
Inmujeres	National Institute of Women (Instituto Nacional de las Mujeres)
IICA	Inter-American Institute for Cooperation on Agriculture
MA	Ministry of Environment
MGAP	Ministry of Livestock, Agriculture and Fisheries
MIDES	Ministry of Social Development (Ministerio de Desarrollo Económico y Social)
MIEM	Ministry of Industry and Energy
MINTUR	Ministry of Tourism
MRREE	Ministry of Foreign Affairs
MRV	Measurement, Reporting and Verification
MSP	Ministry of Health
NAP	National Adaptation Plan
NDA	National Designated Authority
NDC	Nationally Determined Contributions
PNCC	National Climate Change Policy (Política Nacional de Cambio Climático)
PTC	Project Technical Committee
PCU	Project Coordination Unit
PSC	Project Steering Committee
SINAE	National Emergency System
SNRCC	National Climate Change Response System

## 1. SUMMARY

### 1.1 Country submitting the proposal

Country name: Uruguay

Name of institution representing NDA or Focal Point: Ministry of Environment

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### 1.2 Date of initial submission

June 27<sup>th</sup> 2021

### 1.3 Last date of resubmission

March 25<sup>th</sup> 2022

**Version number** V.6

### 1.4 Which institution will implement the Readiness and Preparatory Support project?

- National designated authority
- Accredited entity
- Delivery partner

Please provide contact information if the implementing partner is not the NDA/focal point

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### 1.5 Title of the Readiness support proposal

Enhancing the governance of gender-responsive climate change adaptation and mitigation planning and financing in key sectors and territories in Uruguay

### 1.6 Type of Readiness support sought

- I. Capacity building
- II. Strategic frameworks
- III. Adaptation planning
- IV. Pipeline development
- V. Knowledge sharing and learning

**1.7 Brief summary of the request**

The Government of Uruguay (GoU) has made significant progress in catalyzing institutional leadership in response to climate change and developing a process of gender mainstreaming in its national climate change policy. However, there are remaining gaps such as (i) limited capacity and engagement of stakeholders at territorial level to plan for gender-responsive climate actions; (ii) limited availability of gender-disaggregated information on climate change to inform climate policy-making; (iii) awareness and engagement of the finance sector in mainstreaming gender and climate change in project investments, products and services; and (iv) insufficient climate finance accessed by women-led businesses due to limited knowledge and skills.

The goal of the Readiness request is to strengthen the engagement of key actors in the governance of gender-responsive climate planning and finance. In particular, the request is built on the advances made under the National Climate Change Response System (SNRCC) and the established Gender Working Group (GWG). The current Readiness request seeks to strengthen the role of the GWG on mainstreaming gender-responsive aspects in climate change planning and financing effectively at sector (in particular productive sectors) and territorial levels. The request will strengthen the capacity of key national and sector institutions and non-government actors, including the private sector and enhance the engagement of these stakeholders in the governance of gender and climate change. In that case, this will strengthen the governance of gender-responsive climate finance and deliver on national climate change priorities as identified in Nationally Determined Contributions (NDCs), the National Strategy for Gender and Climate Change, and climate-resilient sector strategies because of enhanced coordination and engagement mechanisms and enabled access to financial resources. The intervention's main beneficiaries are the SNRCC and the GWG, Gender Units and territorial offices of sectorial Ministries, Financial institutions and women-led businesses.

**1.8 Total requested amount and currency**

USD 235,713

**1.9 Implementation period**

18 months

**1.10 Is this request a multiple-year strategic Readiness implementation request?**

- Yes
- No

**1.11 Complementarity and coherence of existing readiness support**

- Yes
- No

Table 1 includes the details of the projects and their synergies with the current Readiness request.

Readiness support	Project elements	DP/AE	Potential synergies with the current Readiness request
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<p>GCF Readiness support Strengthening strategic frameworks and stakeholders' engagement to scale up climate financing and enhance NDC implementation in Uruguay. (URY-RS-006). Began implementation in Q1 2021.</p>	<p>This readiness grant builds on URY-RS-001 and URY-RS-003 results and aims to strengthen capacities to scale up climate financing and enhance NDC implementation and ambition in Uruguay through: i) increasing effectiveness and operability of the NDA and facilitating direct access (CND accreditation), ii) accelerate engagement of the financial system, the private sector and subnational governments in the national climate agenda, iii) ensuring country ownership in the projects pipeline prioritized in the Country Programme and continue developing Uruguay's portfolio to access GCF support, including by preparing and submitting 1 additional Concept Note (CN).</p> <p>URY-RS-001's main outcome related to the current proposal is the creation of the Gender Working Group for NDC programming, led by the gender expert, as part of the development process of the PMRV framework. Since its creation, the GWG integrates the different units from relevant sectors in the public institutions.</p> <p>URY-RS-003's main outcome related to the current proposal is the design of the Gender and Climate Change Strategy and the progress towards a Gender Action Plan. A pilot gender sensitivity analysis of the NDC was also carried out under the PMRV framework.</p>	<p>UNDP</p>	<p>This Readiness request was elaborated in consultation with the URY-RS-006 Readiness team, which identified together with INMUJERES the gaps to be addressed with the current Readiness. In turn, the gender expert of URY-RS-006 participated in the monitoring of the NAP Cities and therefore included the gaps identified in that framework. Since all the Readiness mentioned will be finished or almost finished (URY-RS-006 ends in June 2022), this Readiness will allow to advance in closing the remaining gaps and to scale the results at the territory level.</p> <p>One of the gaps addressed by this project: the Readiness URY-RS-006 currently underway generated the Gender and Climate Change Action Plan created by the GWG and approved by the SNRCC, which contains a fundamental line of action that seeks to involve the National Gender Council in climate action. This strengthening will involve sectoral gender analysis and capacity building, which are addressed by the current Readiness. This Readiness request is proposing a governance mechanism to ensure that synergies will be realized and duplication of efforts will be avoided as proposed. For more information on the governance mechanisms for this Readiness request, see section 6.1</p> <p>The current Readiness request will build upon the progress and achieved results from this Readiness and in particular will make synergies with: Output 1.1.3. Climate finance monitoring system updated, with gender perspective mainstreamed; Output 1.1.4. Climate Change and Gender Action Plan implemented; Output 1.3.4. Financial Institutions engaged in Country programming and NDCs process, and roadmap for sustainable finance developed; Output 1.3.5. Climate Change integrated in business models with the support of appropriate innovative mechanisms.</p>
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GCF Readiness support for Adaptation Planning: Integrating adaptation into cities, infrastructure and local planning in Uruguay. (URY-RS-002) Under implementation, to be completed in Q3 2021.	This Readiness grant supports the Government of Uruguay to advance its National Adaptation Planning process in cities and infrastructures (NAP-Cities).	UNDP	The current Readiness request will make synergies with the gender mainstreaming process and results of the NAP Cities, including its outreach to the local/territorial levels.
GCF Regional Readiness support: Enhancing Climate Finance within the Banking Sector in LAC Region. (LAC-RS-015) Approved in December 2020, soon to begin implementation	The objective is to generate evidence on the opportunities and challenges to implement innovative solutions for climate finance, focusing on three main lines of action: supporting Direct Access Entities (DAEs) to assess their portfolio and meet GCF accreditation standards; streamlining financial institutions involvement in NDCs and Country Programme implementation; and promotion of joint investments and strategic alliances.	ALIDE	The current Readiness request will identify potential synergies with: Output 1.2.1: Reporting tool and analysis on Financial Institutions baseline on climate finance policies, products and services developed; and Output 5.2.1: Key financial institutions and stakeholders trained in climate finance mechanisms and relevant policies & safeguards frameworks.
GCF Regional Readiness support: Post Covid-19 green recovery for food, health and water security strengthened by financial and technological innovations in Latin American countries. (LAC-RS-010)  Approved in December 2020, soon to begin implementation.	The objective is to strengthen the foundation on which the countries' food security sectors prioritize investments for greenhouse gas (GHG) emissions reduction and resilience jointly with advanced technological trends. The regional Readiness support will contribute to the post Covid-19 recovery in Uruguay's agriculture sector, and will benefit public and private sector actors.	IICA	The current Readiness request will make synergies with the regional readiness by mainstreaming the gender perspective in the deliverables for Uruguay, since agriculture is one of the economic sectors that the current Readiness request will prioritize.
<u>Other relevant projects</u>			

<p>Gender Impact Investing: Impact reshaping market conditions and strategy to finance the transition to sustainable development in Uruguay</p>	<p>The project has four interlinked areas to ensure the achievement of its objectives: (i) awareness raising and capacity building essential to shifting perceptions; (ii) creation of the dialogue spaces to enable a shift in culture, attitudes and the collaborative definition of Uruguay's sustainable development agenda; (iii) development and testing of investment vehicles that address the financing needs, levels of risk, size and investment periods of both investors and projects alike; and (iv) development and agreement on a common reporting and accounting framework and data management protocols adapted to internationally approved standards, for the monitoring, and reporting of impact and progress towards the SDGs in Uruguay that will enable evidence-based policy making and investment allocation.</p>	<p>UN-Women (joint project)</p>	<p>The current Readiness request will make synergies with this project by integrating a climate change perspective in Output 1.3.3a for creating a dialogue with the private sector and the integration of gender aspects in the services and products of public and private financial institutions.</p>
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## 2. SITUATION ANALYSIS

The gender-differentiated consequences of climate change exacerbate existing gender inequalities in Uruguay. Women are in a situation of greater climate vulnerability due to lesser access to opportunities, information, technical training and assets to build resilience. Women's economic activities are often concentrated in sectors that are most impacted by climate change such as the agricultural or touristic sectors.

Despite their vulnerability, women play a role within these sectors, which is key to providing food security, resources and income to families, making significant contributions to risk management and reduction, and providing care for health improvement. In addition, women have applicable knowledge in areas such as agriculture and livestock, marine-coastal zones, forest management, food preparation, administration and management of water and forest resources, among others, which makes them potential "agents of change".

The Government of Uruguay (GoU) has made significant progress in catalyzing institutional leadership in response to climate change and developing a process of gender mainstreaming in its national climate change policy. The Executive Decree number 238/09 of 20 May 2009 created the National System of Response to Climate Change and Variability (SNRCC) which coordinates national policies, plans and actions on climate change. Within this inter-institutional framework, the National Climate Change Policy (PNCC, 2016) was developed as a strategic document which guides the country's adaptation and mitigation actions to address the challenge of climate change until 2050. Uruguay's first NDC (2017) was developed as the PNCC implementation instrument, with measures planned for the short, medium, and long term.

In 2018, the Gender Working Group (GWG) was established under the SNRCC and is functioning since then. The different economic sectors are represented in it and its main objective is to mainstream gender perspective in all instruments of the PNCC, including developing a "Gender sensitive programming, monitoring, reporting and verification system". The National Institute of Women (Inmujeres) of the Ministry of Social Development (MIDES) integrates the SNRCC through the GWG. The GWG is composed of one representative from each Gender Unit, which are part of the National Gender Council. Each Gender Unit involves a wider number of people, who are not familiar with the climate agenda. On the other hand, not all Gender Units participate in the GWG. At the moment the GWG is composed of representatives from Ministry of Industry and Energy (MIEM), Ministry of Livestock, Agriculture and Fisheries (MGAP), Ministry of Environment (MA), Ministry of Tourism (MinTur), Ministry of Foreign Affairs (MRREE), Ministry of Health (MSP) and the National Emergency System (SINAE).

Inmujeres is the governing body for gender policies in the Uruguayan State, with the role to coordinate and follow up the national gender policy and to execute strategic gender equality plans, in coordination with specialized

agencies<sup>1</sup>. Article 11 of Law No. 19,580 establishes that Inmujeres is the governing body for public policies for a life free of violence for women and is therefore responsible for its promotion, design, coordination, articulation, monitoring and evaluation. In the present administration, Inmujeres assumed the commitment to make effective the incorporation of the public policy guidelines against Gender Based Violence in the agenda of the competent bodies to ensure the implementation of prevention, protection, sanction, and reparation measures. Furthermore, all public bodies are mandated by law to have Specialized Gender Units, also called Gender Committees, in order to apply the rights and principles linked to the gender policy established within the scope of their competence. Gender policies are therefore implemented at sectorial or/and territorial levels by Gender units and/or Committees in each institution. Law No. 19,846<sup>2</sup> (December 2019) created the National Gender Council, which is integrated by all Ministries and other relevant public institutions, the Congress of Mayors, Business Chambers, the University of the Republic, and civil society institutions.

The Working Group on Monitoring, Reporting and Verification System under the SNRCC (MRV) has already carried out a pilot gender sensitivity analysis. This mainstreaming process began with the identification of gender sensitive categories to the measures in the NDC (gender sensitive, transformative, transformative potential, neutral) and is being further developed identifying gender measures, with goals and indicators within the transformative, potentially transformative and gender sensitive.

With the support of GCF Readiness requests URY-RS-001 and URY-RS-003, the country has developed a process of gender mainstreaming under the framework of the National Policy of Climate Change, according to the degree of development of the Gender Equality strategies at the national level in its interinstitutional expression. Also, a gender sensitive NDC and measurement, reporting and verification (MRV) system have been achieved, with the categorization of all measures to reduce gender gaps, and the definition of response actions in a group of them. With support from GCF Readiness request URY-RS.006, Uruguay has approved a Gender and Climate Change Strategy and a Gender and Climate Change Action Plan to 2025 which is beginning its implementation. This Readiness request is proposing a governance mechanism to ensure that synergies will be realized and duplication of efforts will be avoided as proposed. The project Steering Committee, which is integrated by the NDA, the Inmujeres and UN Women, will have direct oversight over the Project Coordination Unit (PCU). The NDA leads the GWG and the Inmujeres is a part of it, so they will ensure the current Readiness grant will build on previous results. The NDA oversees all ongoing Readiness grants so it will ensure synergies. UN Women is the Delivery Partner (DP) and will ensure synergies with the Gender Impact Investing project. For more information on the governance mechanisms for this Readiness request, see section 6.1.

Despite this progress, the NDA and Inmujeres have identified Readiness activities that address remaining gaps and help strengthen the capacities of key actors in mainstreaming gender-responsive climate actions and finance. While gender mainstreaming is part of the endorsed GCF Country Programme, the Fund recommended to continue building on gender mainstreaming and gender strategy initiated through the Readiness programme and ensure adherence to the gender strategy during implementation of the Country Programme. The NDA is requested to ensure 'training in access to climate financing' is informed by gender considerations, particularly in relation to understanding what sectors/sub-sectors should be prioritized in terms of financing per women's needs.

Insufficient institutional capacity, limited engagement of the private sector and limited availability of gender-disaggregated data on climate change for key sectors in Uruguay are some of the key barriers. If they remain unaddressed, these barriers pose significant challenges to pursuing effective gender-responsive climate finance and planning, both nationally and locally. In particular, this Readiness proposal seeks to address the following barriers:

**1) Limited decentralisation of the governance of gender and climate change results in lack of engagement and inadequate efforts in mainstreaming of gender-responsive climate actions in planning and finance at local level.** Local stakeholders have significant limitations to adequately integrate climate and gender aspects in their planning processes and finance. An important driver is the weak knowledge and technical skills on the topic. At the same time, an additional weakness is the limited engagement of those stakeholders in the processes of planning and decision-making for climate change adaptation and mitigation. These factors limit the governance of gender-responsive climate actions at decentralized level and consequently lead to ineffective actions to address underlying causes of climate vulnerability and lost opportunities for economic empowerment of women. Stakeholders included within the National Gender Council are Gender Units/Committees, decentralized offices

<sup>1</sup> Other tasks assigned to Inmujeres include preparing gender equality plans and other public management tools to comply with the guidelines of the national gender equality policy and the commitments made by the country in the instruments ratified at the international level.

<sup>2</sup> Law No. 19,846 establishes that the guiding principles of public policies for gender equality in Uruguay are: Human Rights priority, comprehensiveness of the different sectors of the State, social inclusion, citizen participation, transparency and accountability.

of sectoral ministries involved in the SNRCC, MIDES territorial offices, members of the departmental emergency coordination centers (CECOED).

**2) Limited options of gender-responsive financial mechanisms which support women-led initiatives in achieving low-carbon and climate resilient economic development.** Although there are some incipient initiatives which engage the private sector in climate change investments, especially in the agriculture and transport sector, providing gender-responsive financial mechanisms to support climate action remains a challenge for Uruguay. For example, the public and private financial institutions, such as the public and private banking sector or the National Agency for Economic Development (ANDE) have limited knowledge of potential opportunities to mainstream gender and environmental issues in investment projects.

**3) Lack of access to assets, financial resources and capacity by women-led productive associations and businesses to access climate finance.** Women-led businesses have limited capacity to access climate finance. Such finance is needed to foster their economic growth. Women-led businesses have, in particular in rural areas, little or no access to formal credit, which limits their capacity to invest in the technologies and inputs they need to reduce GHG emissions and vulnerability to climate change. Strategic alliances with the private sector are important to achieve the economic autonomy of women, and in this sense some financial tools and services have been identified, however they lack a gender-responsive and climate change lens.

**4) Lack of gender-disaggregated climate information and guidelines to inform gender-responsive climate change planning processes, implementation of initiatives and strategic climate financial decisions.** The effectiveness of climate adaptation and mitigation plans depends on responding to specific needs of men and women. However, there is limited information regarding the gender-specific climate vulnerabilities, impacts and adaptation capacity to inform the planning and financing of climate change actions. In particular, this information is important at sectoral (in particular the productive sectors) and territorial levels (in particular at local level), based on productive sectors mitigation strategies (e.g. transport, agriculture, waste) and adaptation plans (e.g. NAP Cities, NAP Coastal zone, NAP Agriculture). Therefore, the collection and analysis of gender-disaggregated data is critical to inform policy-making processes and achieve adequate results in addressing gender gaps while increasing the resilience of the population and exploring opportunities for low-carbon economic growth. The proposed vulnerability assessment will be based on the Gender Analysis and Recommendations process, which was conducted as part of the framework of the NAP Coastal Areas. There is a developed and tested methodology that crosses statistical information with qualitative techniques such as focus groups and in-depth interviews. This methodology will be replicated for the proposed sectoral analyses, so it is complementary in that sense.

To ensure that climate finance efforts make a difference in building resilience and reducing vulnerability, gender equality and women's empowerment dimensions should be mainstreamed within all climate finance governance structures, programmes and procedures.

The goal of the current Readiness request is to strengthen the engagement of key actors in the governance of gender-responsive climate finance.

In particular, the request builds on the advances made under the Climate Change Response System and the GWG established under previous (URY-RS-001 and 003) and undergoing (URY-RS-006) Readiness support. URY-RS-001's main outcome related to the current proposal was the creation of the Gender Working Group for NDC programming, as part of the development process of the PMRV framework. Since its creation, the GWG integrates the different units from relevant sectors in the public institutions. URY-RS-003's main outcome related to the current proposal was the design of the Gender and Climate Change Strategy and the progress towards a Gender Action Plan, as well as the development of a pilot gender sensitivity analysis of Uruguay's first NDC under the PMRV framework. URY-RS-006 developed and began implementing the Gender and Climate Change Action Plan (Output 1.1.4), created by the GWG and approved by the SNRCC, which contains a fundamental line of action that seeks to involve the National Gender Council in climate action. The current Readiness request will develop sectoral gender analysis and capacity building to implement this line of action and will build on results from URY-RS-006's outputs 1.1.4. (Climate Change and Gender Action Plan implemented), 2.2.1. (Financial Institutions engaged in Country programming and NDCs process), and 2.4.2. (Climate Change integrated in business models with the support of appropriate innovative mechanisms). The current Readiness request will also build on the gender mainstreaming process and results of the NAP Cities (URY-RS-006), including its outreach to the local/territorial levels. Another process used as baseline for this request is the assessment "Gender diagnosis and climate change narratives for socio-environmental organizations in Uruguay" conducted by UNDP in the framework of the BUR report for the UNFCCC, which identified gaps in perception of gender and climate change incorporations among environment CSOs in Uruguay.

The Readiness request seeks to strengthen the role of the GWG on mainstreaming gender-responsive aspects in climate change planning and financing effectively at sector and local levels, also building on Uruguay's governance for gender policies and their sectorial and territorial implementation through decentralized Gender units and/or Committees.

The current Readiness request establishes a robust foundation to enable the mainstreaming of gender-responsive climate actions in planning and finance for climate change at local and sector levels. The Readiness activities will build upon existing institutional arrangements, in particular the GWG, to deepen the strategic approach for gender mainstreaming in sectoral and/or territorial climate change adaptation and mitigation measures included in Uruguay's Country Programme to the GCF, the NDC and the NAPs.

The current Readiness request will be addressing these barriers in parallel by contributing to the achievement of three main outcomes integrating a robust gender-sensitive approach:

**Outcome 1.3:** Relevant country stakeholders (which may include executing entities, civil society organizations and private sector) have established adequate capacity, systems and networks to support the planning, programming and implementation of GCF-funded activities.

**Outcome 5.1:** Best practices with respect to institutional capacity building and coordination, direct access, and pipeline development are developed and disseminated to strengthen engagement by NDAs, DAEs, and delivery partners with the GCF.

Specifically, the current Readiness request will provide support to the NDA and other key stakeholders at national sectoral and local levels to address the barriers described in Table 2.

Table 2. Identified barriers and expected results from the Readiness request that will address them.

Identified barriers	How the expected results will address the barriers
<p>Limited decentralization of the governance of gender and climate change results in lack of engagement and inadequate efforts in mainstreaming of gender-responsive climate actions in planning and finance at local level.</p>	<p>The request will facilitate a dialogue between the GWG and key stakeholders at the local level in order to identify barriers and priorities regarding gender and climate change to be considered in planning processes. Local level dialogues in the form of workshops will deepen stakeholders' knowledge about the need and opportunities to integrate gender and climate change in local development strategies. The request will identify entry points for mainstreaming gender responsive climate actions and design a roadmap to outline the actions needed to enhance the role of local actors in gender and climate finance [Output 1.3.1].</p> <p>In parallel, the request will strengthen the capacities of the GWG, the Gender Units for the key sectors, and the National Gender Council to foster the effective integration of climate change and gender transformative perspective in territorial and the sectors' policies and processes. This will create an enabling factor for a more effective mainstreaming of gender and climate change at local levels. [Output 1.3.2].</p>
<p>Limited options of gender-responsive financial mechanisms which support women-led initiatives in achieving low-carbon and climate resilient economic development.</p>	<p>The request will work closely with selected actors from the finance sector to provide guidelines to transform current financial mechanisms and services towards gender-responsive ones, that create enabling environments for women-led productive associations to achieve economic empowerment via engaging in low-carbon and climate resilient economic pathways. The request will develop guidance documents providing a clear procedure for integrating gender and environmental aspects in investment projects. [Output 1.3.3].</p>
<p>Lack of access to assets, financial resources and capacity by women-led productive associations and businesses to access climate finance.</p>	<p>The request will identify women-led productive associations and businesses interested in opportunities to engage with the Country Programme and NDC processes. Consequently, the request will engage with the identified actors at local level and deliver training regarding opportunities for economic development and enhance</p>

	skills needed to access climate finance and the products developed by the financial sector [Output 1.3.5.].
Lack of gender-disaggregated climate information and guidelines to inform gender-responsive climate change planning processes, implementation of initiatives and strategic climate financial decisions.	<p>The request will collect and analyze quantitative and qualitative gender-differentiated information on climate change risks, impacts, vulnerabilities, adaptation needs and opportunities at sector level to address the lack of gender-disaggregated information and provide accurate and downscaled information. The request will generate data needed to work with governments and key sectors to invest in and scale up local mitigation and adaptation solutions [Output 1.3.4].</p> <p>Additionally, the request will generate and disseminate knowledge materials and training manuals tailored for the needs of diverse actors (e.g. government professional staff, private and finance sectors actors, women-led productive associations) to support the project activities for wide awareness raising and effective mainstreaming of gender in climate change decision-making processes [Output 5.1.1].</p>

Deliverables will provide guidelines, communication, participation and data that will help the country in ensuring that the climate change planning processes and financial instruments integrate gender equality and aim to enhance economic empowerment for women thus increasing their resilience. This Readiness request will also contribute to the design of financial instruments to leverage private sector engagement and investment for women-led productive activities.

UN Women is uniquely placed to work with the local and national stakeholders and GCF to ensure the meaningful integration of gender equality aspects in climate change adaptation and mitigation strategies and financing. The mandate of the GCF resonates at the core of UN Women's purpose, seeking to foster with the GCF a shared vision towards achieving these goals. The UN Women-GCF collaboration will, therefore, catalyze and sustain gender-transformative solutions to mitigating and adapting to climate change.

### 3. LOGICAL FRAMEWORK

Outcomes	Baseline <sup>3</sup>	Targets	Outputs	Activities (brief description)	Deliverables <sup>4</sup>
<b>Outcome 1.3</b> Relevant country stakeholders (which may include executing entities, civil society organizations and private sector) have established adequate capacity, systems and networks to support the planning, programming and implementation of GCF-funded activities	The institutional capacity of key national and private sector stakeholders is limited and lacks the required enabling conditions and skills to effectively engage with local actors and mainstream gender in GCF Country Programme and pipeline, climate change planning, investments and financial instruments at territorial level.	Climate change planning and investments at territorial level are gender-responsive and effectively engage with key local actors to better mainstream gender at territorial level.	<b>Output 1.3.1</b> The GWG is effectively engaging the National Gender Council and other national entities in mainstreaming gender and climate change in policy processes and actions at territorial level.	<b>Activity 1.3.1a:</b> Conduct stakeholder mapping of actors at local level to engage in dialogues for mainstreaming gender and climate change in decentralized policies and actions.	<b>Deliverable 1.3.1a</b> Report on stakeholder mapping defining institutional/territorial roles and responsibilities regarding gender and climate change.
			<b>Output 1.3.1</b> (continued)	<b>Activity 1.3.1b:</b> Facilitate four (4) (one per region) structured dialogues between the GWG and key actors at local level to identify barriers and priorities on gender and climate change to enhance policy processes and actions.  Beneficiaries will be local level key actors, including civil society organizations active on environmental issues, women's organizations, women's productive associations.	<b>Deliverable 1.3.1b (i)</b> Report on identified entry points and barriers for mainstreaming of climate change and gender in policy processes and actions at local level.  <b>Deliverable 1.3.1b (ii)</b> Roadmap with identified priorities and actions to mainstream gender and climate change at local level. The roadmap will include timeline and responsibilities.
			<b>Output 1.3.2</b> Institutional capacity of the National Gender Council and other relevant stakeholders enhanced to implement climate actions and financing	<b>Activity 1.3.2a:</b> <sup>5</sup> Conduct institutional capacity assessment regarding climate change and gender in the framework of the National Gender Council, in particular among Gender Units/Committees of prioritized sectors.	<b>Deliverable 1.3.2a</b> Institutional capacity assessment report on the knowledge and skills needs of the Gender Units, which are part of the National Gender Council

<sup>3</sup> Please briefly elaborate on current baselines on which the proposed activities can be built on, processes that are in place that the current Readiness proposal can strengthen, or any gaps that the proposed activities would fill in. If more space is needed, please elaborate this in Section 4.

<sup>4</sup> Please include tangible and specific deliverables for each activity proposed, Please note that during implementation all deliverables should be included within the implementation reports for GCF consideration.

<sup>5</sup> CND will be engaged for capacity transfer exchange rather than a beneficiary of capacity building to support its DAE accreditation status.

Outcomes	Baseline <sup>3</sup>	Targets	Outputs	Activities (brief description)	Deliverables <sup>4</sup>
			with transformative potential for women's equality and economic empowerment at sectoral and territorial levels	<p>CND Gender Committee, as applicant entity to be a DAE to GCF, will also be consulted.</p> <p><b>Activity 1.3.2b:</b><sup>6</sup> Deliver training to officials in the framework of the National Gender Council to foster the effective integration of climate change and gender transformative perspective in territorial and the sectors' policies and processes. It will also include guidelines for the implementation of the Roadmap (Deliverable 1.3.1(ii)).</p> <p>CND Gender Committee, as applicant entity to be a DAE to GCF, will be invited to the training.</p> <p>This training will be implemented in two levels: (i) general and key knowledge on climate change and gender (half-day with 70 participants), (ii) specific knowledge on integrating climate change and gender in least four prioritized sectors/ territories (e.g. agriculture, energy, health, tourism, cities, and coastal zones) (one day per sector with 30 participants for each training (total: 120 participants)).</p> <p>Beneficiaries of the National Gender Council will include Gender Units/Committees, decentralized offices of sectoral ministries involved in the SNRCC, MIDES territorial offices, members of the departmental</p>	<p><b>Deliverable 1.3.2b (i)</b> Report of the half-day capacity building workshop on general and key knowledge on climate change and gender in the framework of the National Gender Council, lists of participants, and pre-and post-session evaluation.</p> <p><b>Deliverable 1.3.2b (ii)</b> Reports of at four (4) full-day capacity building workshops with specific knowledge on integrating climate change and gender in prioritized sectors/sub-sectors/territorial issues, including lists of participants, and pre-and post-session evaluations.</p>

<sup>6</sup> CND will be engaged for capacity transfer exchange rather than a beneficiary of capacity building to support its DAE accreditation status.

Outcomes	Baseline <sup>3</sup>	Targets	Outputs	Activities (brief description)	Deliverables <sup>4</sup>
				emergency coordination centers (CECOED).	
				<b>Activity 1.3.2c:</b> Deliver two half-day training to Civil Society Organizations (CSOs) for 100 people, including rural women groups and environmental education territorial stakeholders, based on the results of a previous assessment on Gender diagnosis and climate change narratives for environmental CSOs.	<b>Deliverable 1.3.2.c</b> Report of two capacity building workshops on climate change and gender for CSOs and other actors at territorial level, including lists of participants, and pre-and post-session evaluations. The assessment used as a baseline is “Gender diagnosis and climate change narratives for socio-environmental organizations in Uruguay” <sup>7</sup>
			<b>Output 1.3.3</b> The public and private financial institutions are informed and effectively engaged in a dialogue on opportunities for integrating gender and climate change in their services and products.	<b>Activity 1.3.3a:</b> Assess existing impact investment analysis in Uruguay and create a dialogue with the public and private financial institutions to detect gaps, needs and opportunities for integrating gender and climate change into financial services and products  This activity will specifically include consultations with CND, as applicant entity to be a DAE to GCF, and BROU, as nominated entity to become accredited to GCF. Additionally, other financial institutions will be included in the activity, both public (ANDE, BROU, CND, BCU) and private banks that are venturing into impact investment (BBVA, ITAU, etc)	<b>Deliverable 1.3.3a:</b> Report on gaps, needs and opportunities to integrate gender and climate change into financial products and services in Uruguay. This report will take into consideration how the integration of gender and environmental aspects in investment projects relate to E&S safeguards, as well as other potential ESG guidance.

<sup>7</sup> The pre-assessment was conducted by UNDP in the framework of the BUR report for the UNFCCC.

Outcomes	Baseline <sup>3</sup>	Targets	Outputs	Activities (brief description)	Deliverables <sup>4</sup>
				<p>The activity will also establish synergies with current projects:</p> <p>(1) UN Women’s project: “Gender Impact Investing: Impact Reshaping market conditions and strategy to finance the transition to sustainable development in Uruguay”.</p> <p>(2) The GCF Readiness support “Strengthening strategic frameworks and stakeholders’ engagement to scale up climate financing and enhance NDC implementation in Uruguay” (URY-RS-006).</p>	
				<p><b>Activity 1.3.3b:</b> Workshop with financial institutions to present the report</p> <p>This workshop will be of two-hours length with 30 participants.</p>	<p><b>Deliverable 1.3.3b:</b></p> <p>Report with the results from the workshop to be used as input for future dialogue spaces and raise awareness within the financial institutions.</p>
			<p><b>Output 1.3.4</b></p> <p>Three prioritized sectors have comprehensive climate gender-sensitive vulnerability and opportunities profiles, and gender-responsive climate actions plans, aligned with methodological approaches included in the</p>	<p><b>Activity 1.3.4a:</b> Conduct gender-sensitive climate vulnerability and opportunities assessments for three prioritized sectors, identifying and prioritizing barriers to addressing climate change vulnerabilities to climate impacts and explore climate opportunities for the three prioritized sectors.</p> <p>The 3 sectors / territories in which this output will focus are (i) agriculture and</p>	<p><b>Deliverable 1.3.4a:</b></p> <p>Report on vulnerability assessment and barrier analysis for gender-responsive climate adaptation and mitigation in three prioritized sectors.</p>

Outcomes	Baseline <sup>3</sup>	Targets	Outputs	Activities (brief description)	Deliverables <sup>4</sup>
			Gender and Climate Change Strategy.	fishing, (ii) cities, (iii) coasts, incorporating the cross-cutting approach of tourism and disaster risk management.	
				<b>Activity 1.3.4b:</b> Identify gender-responsive adaptation and mitigation solutions for each prioritized sector which aim to reduce gender gaps.	<b>Deliverable 1.3.4b:</b> Report with recommendations for adaptation and mitigation actions and indicators at sectoral level for gender-responsive climate action plans.
			<b>Output 1.3.5</b> Women-led productive associations and businesses have the knowledge and capacity to access climate finance.	<b>Activity 1.3.5a:</b> Stakeholder mapping of women-led productive associations and businesses interested in opportunities to engage with the Country Programme and NDC processes. CND, as applicant entity to be a DAE to GCF, will be invited to participate in this activity.	<b>Deliverable 1.3.5a:</b> Stakeholder mapping report identifying key women-led productive associations and business and recommendations to engage businesses and associations with Country Programming and NDC processes.
				<b>Activity 1.3.5b:</b> Conduct assessment of existing financial services, products and mechanisms relevant for climate resilient entrepreneurship activities led by women.	<b>Deliverable 1.3.5b:</b> Report of the state of the art on the financial instruments accessible to women, including detected needs and recommendations to address them.
				<b>Activity 1.3.5c:</b> Deliver training to women-led productive associations and businesses on climate resilience and access to climate finance. The training will be half-day and with 30 companies/persons.	<b>Deliverable 1.3.5c:</b> Report of two workshops for women-led productive associations and businesses on climate resilience and access to climate finance, including lists of participants, and pre-and post-session evaluations.
<b>Outcome 5.1</b> Best practices with respect to institutional	Limited tailored information on gender and climate information	Key government, private and local actors have access to tailored knowledge	<b>Output 5.1.1</b> Knowledge products on climate finance and gender	<b>Activity 5.1.1:</b> Elaborate tailored knowledge products on gender and climate finance and disseminate them to relevant stakeholders to be used for	<b>Deliverable 5.1.1a:</b> Training materials and other resources to support capacity building and awareness raising on climate finance and gender.

Outcomes	Baseline <sup>3</sup>	Targets	Outputs	Activities (brief description)	Deliverables <sup>4</sup>
capacity building and coordination, direct access, and pipeline development are developed and disseminated to strengthen engagement by NDAs, DAEs, and delivery partners with the GCF	available to provide specific guidelines at sector level, for private sector and at local level.	products on gender and climate finance to support planning processes.	are tailored to specific public and disseminated to effectively enhance capacity building and inform stakeholders for policy and planning processes.	capacity building, awareness raising and policy planning. These materials will be used for the training and stakeholder engagement activities in this Readiness request.	<p>The training materials will be used in the training activities of 1.3.2, for all target audiences: National Gender Council, environmental organizations of the civil society with local action.</p> <p>The training materials will include:</p> <ul style="list-style-type: none"> <li>- PowerPoint Presentations</li> <li>- Technical notes</li> <li>- Guidelines</li> </ul> <p><b>Deliverable 5.1.1b:</b> Toolkit for integrating climate action into gender mainstreaming.</p> <p>The toolkit will be based on the lessons learned and generated knowledge products from Activity 1.3.1a, 1.3.1b, 1.3.2a. The toolkit will be used by National Gender Council, Environmental organizations of the civil society with local action.</p>

## 4. THEORY OF CHANGE

Women in Uruguay experience climate impacts disproportionately mainly due to factors that render them more vulnerable and restrict their agency to mitigate climate change, including persisting gender inequalities and a lack of access to resources, legal rights and decision-making. Climate actions and finance mechanisms must be responsive to the gender dimension of climate change to be effective and efficient. Despite steady progress towards greater gender sensitivity in the current climate governance and finance framework in the country, many existing mitigation and adaptation policies, processes and financing schemes have yet to deliver on women's empowerment by systematically and effectively mainstreaming gender-responsive climate finance governance.

The goal of the current Readiness request is to strengthen the engagement of key actors in the governance of gender-responsive climate finance. In particular, the request is built on the advances made under the National Climate Change Response System and the established GWG. The Readiness request seeks to strengthen the role of the GWG on mainstreaming gender-responsive aspects in climate change planning and financing effectively at sector and local levels. Suppose the capacity of key national and sector institutions and non-government actors, including the private sector, is improved and stakeholder engagement is enhanced. In that case, this will strengthen the governance of gender-responsive climate finance and deliver on national climate change priorities as identified in NDCs, the National Strategy for Gender and Climate Change and climate-resilient sector strategies, because of enhanced coordination and engagement mechanisms and enabled access to financial resources.

The Readiness request is designed to overcome four major barriers reflecting the existing limited capacities, access to information and effective engagement of actors in achieving gender-responsive climate actions in the country:

- **Barrier 1:** Limited decentralisation of the governance of gender and climate change results in lack of engagement, capacity and inadequate efforts in mainstreaming of gender-responsive climate actions in planning and finance at local level.
- **Barrier 2:** Limited options of gender-responsive financial mechanisms which support women-led initiatives in achieving low-carbon and climate resilient economic development.
- **Barrier 3:** Lack of access to assets, financial resources and capacity by women-led productive associations and businesses to access climate finance.
- **Barrier 4:** Lack of gender-disaggregated climate information and guidelines to inform gender-responsive climate change planning processes, implementation of initiatives and strategic climate financial decisions.

The current Readiness request is designed to contribute to the achievement of Outcomes 1.3 and 5.1 via the delivery of six outputs which are jointly reinforcing to deliver a transformational change towards gender-responsive climate planning and finance:

The identification and engagement of key actors (*Activity 1.3.1a*) and the creation dialogue platforms (*Activity 1.3.1b*) to explore the role of gender in territories and key sectors raises the awareness on the importance and created opportunities of adopting a gender lens in climate change-related planning and financing. This is the first step in effective gender mainstreaming processes. These activities will result (**Output 1.3.1**) in the enhanced capacity of the GWG to effectively engage actors in mainstreaming gender and climate change in policy processes and actions at a territorial, in particular local level. Activities under this output will address *Barrier 1* via creating dialogue platforms to effectively engage key actors at a local level to create a roadmap with identified priorities and actions to mainstream gender-responsive aspects in planning and finance.

Awareness raising coupled with targeted capacity building (*Activity 1.3.2a*, *Activity 1.3.2b*, *Activity 1.3.2c*) will enable diverse stakeholders to identified entry points and interventions for introducing climate change and gender-transformative perspective in sectors' plans and territorial strategies. Training will be delivered to officials in the framework of the National Gender Council to foster the effective integration of climate change and gender transformative perspective in territorial and the sectors' policies and processes. Beneficiaries of the National Gender Council will include Gender Units/Committees, decentralized offices of sectoral ministries involved in the SNRCC, MIDES territorial offices, members of the departmental emergency coordination centers (CECOED). These activities will result (**Output 1.3.2**) in the strengthened capacity of national (e.g. National Gender Council) and sector (e.g. Gender Units/Committees of prioritized sectors) actors to effectively mainstream gender and climate change in their planning processes and finance. Activities under this output will address *Barrier 1* by establishing an enabling environment for a transformative path towards adopting gender-responsive climate actions.

To ensure the effectiveness and long-term sustainability of integrating gender transformative perspective in planning processes, the engagement of the private sector is critical. The creation of communication platforms such as dialogues (*Activity 1.3.3a*) between the public and private actors will raise awareness and create a common language on climate change and gender aspects and the need for establishing of strategic partnerships. This activity will result (**Output 1.3.3**) in the engagement and guidance of existing public and private financial institutions to achieve a more meaningful integration of gender and climate change perspectives in their investments, financial services and products. The activities under this output will address *Barriers 2 and 3* by creating a dialogue with identified actors and co-designing guidelines and gender-based criteria for investments that should encourage gender and climate change mainstreaming in financial services and products.

Planning and budgeting for an effective integration of gender aspects in mitigation and adaptation strategies and actions, requires data and guidelines to inform policy processes and decision-making. The comprehensive understanding of the climate change context for each sector and what that means for gender-sensitive climate vulnerability and opportunities assessments (*Activity 1.3.4a and 1.3.4b*) is fundamental to make informed decisions. The activities will result (**Output 1.3.4**) in the generation of information on gender-sensitive climate change vulnerabilities profiles for elaborating their gender-responsive climate action plans. The result from these activities will inform activities under **Output 1.3.1** and **Output 1.3.2**. The activities under this output will address *Barriers 1 and 4* by generating gender-disaggregated data on climate vulnerabilities, impacts and opportunities for selected sectors and providing recommendations for the design of relevant and gender-responsive climate planning and actions.

The increased engagement (Output 1.3.1) and capacity of diverse actors (Output 1.3.2) enhanced multi-stakeholder dialogues (Output 1.3.3) and gender-disaggregated climate data generation (Output 1.3.4) are the required prerequisites to achieve a holistic and effective approach to gender mainstreaming in the planning and implementation of GCF-funded activities in Uruguay (**Outcome 1.3**). The effective engagement with the key stakeholders at different stages of the Readiness is part of the strategy to mitigate a risk of poor involvement of the stakeholders (see Section 6.3).

Engaging with women-led associations and small businesses play an important role for achieving climate resilience. The mapping of key women-led businesses (Activity 1.3.5a) and the assessment of the state of the art of existing financial services (Activity 1.3.5b) provides a solid background for the identification of needs and gaps to be addressed with a training session on climate finance (Activity 1.3.5c). The activities will result (**Output 1.3.5**) combined with results under Output 1.3.3 in increased capacities of women-led associations to access climate finance and will address *Barrier 3*. Key beneficiaries of this Output will be members from the Uruguayan Organization for Women Entrepreneurs (OMEU). One of OMEU's lines of action is environmental sustainability, and has therefore begun processes to integrate capacities for sustainable management. Within this, the climate change axis is a challenge that requires the generation of capacities and the definition of strategies. Uruguay's NDA is engaged in exchanges with OMEU since second semester of 2021 to design a collaborative agenda. OMEU is made up of 250 members, representing more than 200 companies. Likewise, through its training and mentoring programmes "Más Emprendedoras" and "Entre Todas", it interacts annually with more than 300 companies led by women. The companies involved, of various sizes, include a wide range of sectors such as financial services, legal services, insurance, gastronomy, clothing, aesthetics, communication, health, pharmaceuticals, among others. Key beneficiaries of this Output will be selected according to potential integration of climate action with their businesses as well as potential contribution to the climate agenda and Uruguay's Country Programme to the GCF.

**Output 5.1.1** will result in the elaboration of a set of knowledge products on gender and climate financing to support the capacity building, awareness raising and provide evidence-based information needed for policy making. This output will address all four barriers as the produced knowledge materials will see to inform the activities and capacity building sessions under all outputs. Within the 18 months of the Readiness grant, knowledge gleaned from Activity 1.3.1a, 1.3.1b, 1.3.2a as well as relevant GCF documents would provide an enabling environment to enhance responsiveness in the form of a toolkit, which is to be disseminated and made accessible and incorporated in mainstream for climate financing pathways. The activities are part of the mitigation strategy of a potential risk to encounter difficulties in knowledge sharing and skills transfer (see Section 6.3)

**Assumptions and external factors:** Underlying the potential for transformational change is the combination of effective engagement with key stakeholders, capacity enhancement and gender-disaggregated information on climate change will help convince government actors, private sector and wider communities to adopt and scale up the climate-responsive adaptation actions.

**Risks:** Key identified risks include (1) The conditions under COVID 19 and how the project may encounter difficulties in ongoing knowledge- sharing and transfer of skills and capacities to GoU officials and personnel. (2) Post-COVID 19 recession, with a decrease in public human resources and shift in priorities to facing the crisis, insufficient availability of institutional resources to respond efficiently as counterparts of the activities under the Readiness request. (3) Limited availability of experienced consultants and/or other delays in procurement could impact timely execution of key activities (e.g. delivery of trainings, etc.). (4) Poor/low level of stakeholder engagement (5) Poor coordination with other ongoing relevant Readiness activities. The mitigation strategies for each risk are described in Section 6.3.

**Inputs:** The key identified inputs include (1) Methodology for vulnerability assessment part of the framework of the NAP Coasts and (2) Methodology for vulnerability assessment part of the framework of the NAP Coasts.

Figure 1 demonstrates the Theory of Change, which underpins this proposal, presenting the pathways that will guide the efforts to address the identified barriers for adopting gender-responsive climate actions in Uruguay.

The current Readiness request will directly contribute to the following objectives under the NDC of Uruguay<sup>8</sup>:

<sup>8</sup> GoU, 2017. First Nationally Determined Contribution to the Paris Agreement. Available online: [https://www4.unfccc.int/sites/ndcstaging/PublishedDocuments/Uruguay%20First/Uruguay\\_First%20Nationally%20Determined%20Contribution.pdf](https://www4.unfccc.int/sites/ndcstaging/PublishedDocuments/Uruguay%20First/Uruguay_First%20Nationally%20Determined%20Contribution.pdf)

- Enhance strengthening of public institutions from the three levels of government, and private, academic, and organized civil society institutions, as well as of the existing institutional arrangements, for the participation and coordination of measures planning and implementation.
- Development and implementation of strategy for training, education in formal and non- formal ambits, and awareness, as appropriate, aimed at different target audiences (on management, communication and decision-making and on the institutional, political, productive and social issues, among others), that shall contribute to the implementation of the different measures and to risk-management empowerment of the population.
- Generation and access to information relevant to decision-making by the institutions related to the matter and the population.
- Social networks strengthening on territories from a rights perspective, contributing to building and outreaching of climate change knowledge, local level decision-making and early-warnings better managing.

Additionally, the activities under the current Readiness request will contribute to achieving of the targets from the National Strategy for Gender and Climate Change. In particular, the Readiness request will contribute to the following objectives of the strategy:

- Gender Mainstreaming in the sector policies and MRV, including National Adaptation Plans
- Gender mainstreaming in Sectoral Climate Change Adaptation and Mitigation Programmes/Projects
- Gender mainstreaming in measures included in the GCF Country Programme
- Categorization of adaptation measures as opportunities for closing gender gaps

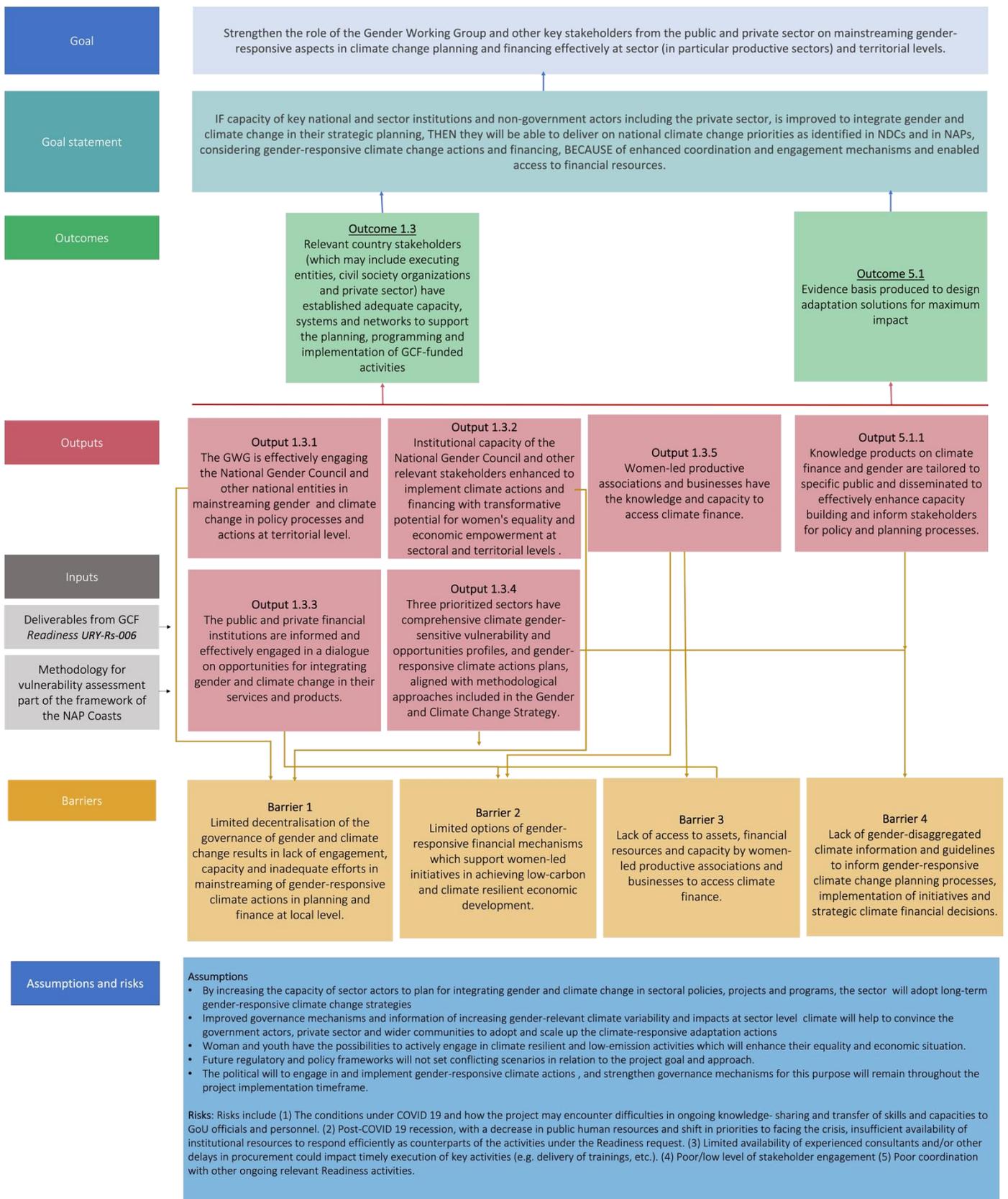


Figure 1. Theory of change for the current Readiness request.

## 5. BUDGET, PROCUREMENT, IMPLEMENTATION AND DISBURSEMENT PLAN

### 5.1 Budget plan

Please complete the Budget Plan in Excel using the template available in the [Library](#) page of the GCF website.

### 5.2 Procurement plan

Please complete the Procurement Plan in Excel using the template available in the [Library](#) page of the GCF website. For goods, services, and consultancies to be procured, please list the items, descriptions in relation to the activities in section 2, estimated cost, procurement method, relevant threshold, and the estimated dates. Please include the procurement plan for at least the first tranche of disbursement requested below and provide a full procurement plan for the entire duration of the implementation period if available at this stage.

### 5.3 Implementation Plan

Please complete the Implementation Plan in Excel using the template available in the [Library](#) page of the GCF website.

### 5.4 Disbursement schedule

Please specify the proposed schedule for requesting disbursements from the GCF. For periodicity, specify whether it's quarterly, bi-annually or annually only.

**Readiness Proposal that requires a bilateral Grant Agreement**

- The first disbursement *amounting* USD 200,000 will be transferred upon approval of the readiness request and effectiveness of the Grant Agreement;
- The Final disbursement *amounting* USD 35,713 will be made upon submission of a completion report and financial report, in form and substance acceptable to the Fund, including a Certified Financial Statement based on the UNWOMEN audited (External auditor) expenditure statement.

## 6. IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

### 6.1 Implementation arrangements

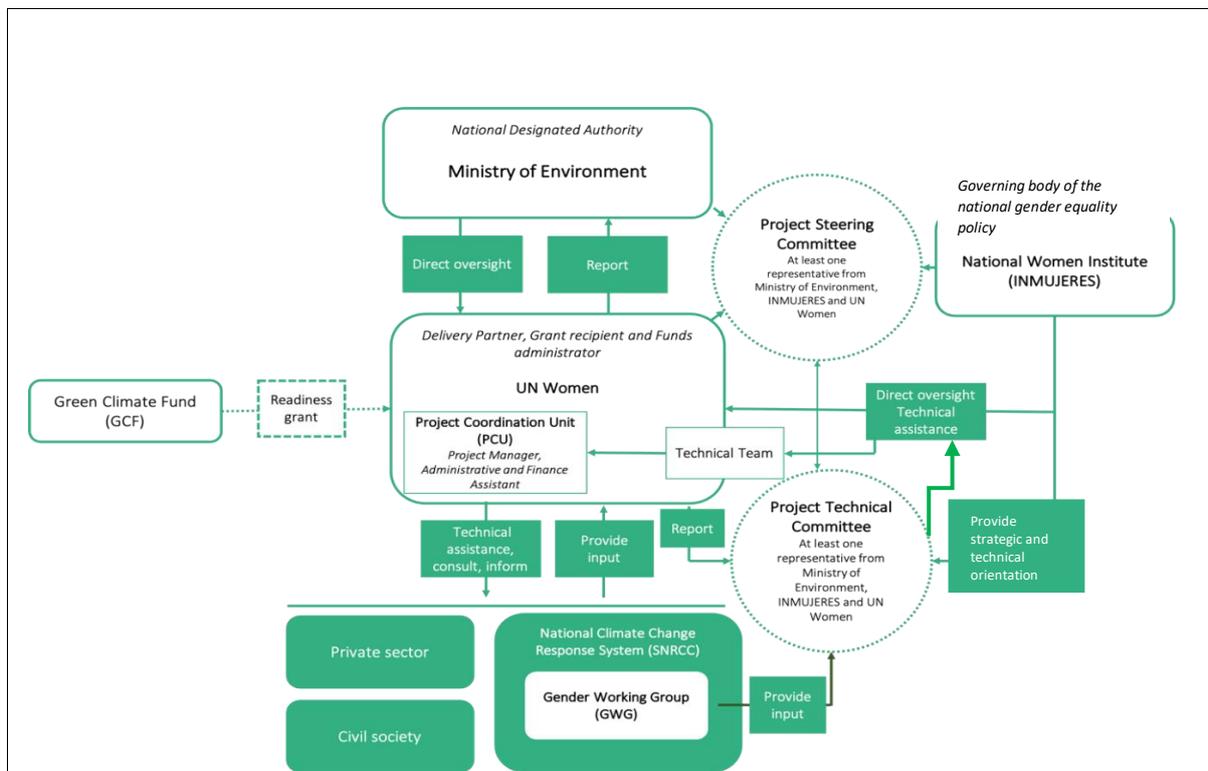


Figure 2. Organigram of the Readiness request implementation arrangements

The **Ministry of Environment as the NDA** will provide strategic oversight for the implementation of the readiness. The NDA will facilitate engagements with other government ministries and agencies as well as other relevant stakeholders as required. The NDA will closely coordinate with the Readiness Project Team, approves the technical and financial reports, ensures regular coordination with UN Women, ensures gender and multicultural visions are at the forefront and well represented.

The funds will be managed by **UN Women** as the **Delivery Partner (DP)**. The DP will be responsible for implementation of the readiness support and will carry out all fiduciary and financial management, procurement of goods and services, monitoring and reporting activities under this proposal in compliance with the DP's policies and procedures and with the Bilateral Grant Agreement to be signed with GCF or its fiduciary agent. The DP will ensure high quality control and facilitate monitoring, evaluation, and learning. A **Project Coordination Unit (PCU) under UN Women** will be responsible for the execution and implementation of the activities. UN Women is responsible of the outcomes of the readiness grant. The PCU is the technical-administrative unit for the Readiness request. The PCU coordinator and a financial and administrative assistant will be hosted in the offices of UN Women. The PCU will coordinate and support the activities, implementation, performing day-to-day implementation, coordination, and supervision activities during the project lifecycle, operating in close consultation with the governing structures of the project. The PCU will follow UN Women's operative procedures. The PCU will include the following staff (*inter alia*): (i) Project Manager, (ii) Finance and Administrative Officer.

The DP will pay directly to goods suppliers and non-consulting and consulting services as per the respective contracts. The DP will submit reports and audited financial statements to the GCF as per the Bilateral Grant Agreement to be signed with GCF or its fiduciary agent. The DP will prepare request to the GCF, as necessary, for budget changes and reallocation. Moreover, as the DP, UN Women will carry out all procurement activities under the proposal, in compliance with UN Women procurement policies and procedures.

The main governmental institution that the NDA and UN Women will closely coordinate with is the **National Women Institute (Inmujeres)**. The National Women Institute is a key actor for guiding and overseeing national strategical planning for gender equality and economic empowerment in Uruguay. The role will be as an **Technical Partner** and will have a direct oversight on the Readiness activities and provide technical guidance on planning, development, M&E and ensure that gender and multicultural visions are effectively mainstreamed.

The National Women Institute will not implement any activities under the Readiness and will be a technical partner from its mandate established by Law No. 19.846 on the Approval of the emerging obligations of international human rights law regarding equality and non-discrimination between women and men, including formal, substantive and recognition equality, enacted on 19 December 2019. As stated in Article 13 of this Law, the Inmujeres is the governing body of the national gender equality policy. The Inmujeres presides over the National Gender Council, as established in Article 15 of this Law, and is the inter-institutional body for the definition of priorities, advice, support, articulation and coordination of public policies on gender issues. The National Gender Council's tasks include the following: a) To ensure compliance with this law and with the National Public Policy on Gender Equality, b) To contribute to the coordination of the different actions, policies and programmes within the framework of the National Gender Equality Policy, c) To propose ways of addressing manifestations of inequality that require innovative or differentiated institutional responses, d) To promote exchange and develop intersectoral proposals, e) To coordinate actions with other inter-institutional spaces and with the departmental gender units of the municipalities.

The Readiness request will have a **Project Steering Committee (PSC)**, which will consist of members from the Ministry of Environment, UN Women and Inmujeres. The PSC will provide political orientation and ensure alignment of the Readiness activities with national policies.

**Project Technical Committee (PTC)** – The PTC will provide strategic and technical orientation to the implementation of the activities under the current Readiness request. In particular, the PTC will recommend strategic elements based on the Readiness progress, results and impacts. The members of the PTC will comprise of technical experts on climate change, gender and climate finance from the Ministry of Environment, Inmujeres and UN Women and will provide technical assistance to the Technical Team to ensure the robustness of the Readiness activities. Additionally, the PTC will ensure transparency of processes and promote ownership of actions for addressing climate issues by national authorities. The Project Manager will participate in the meetings with the PTC to report on work progress.

The Technical Team will consist of six (6) local consultants with expertise in capacity building on mainstreaming gender in climate investments, private sector and finance expert and three (3) sectoral experts to conduct vulnerability assessment of selected sectors. The role of the Technical Team is to lead and deliver the tasks and activities under this Readiness request. A detailed description of the Technical Team is provided in Section 6.2.

The Readiness request aims to provide technical assistance, consultation, and information to the GWG under the **National Climate Change Response System (SNRCC)**, which is formed by the Gender Units of the relevant Ministries. On its behalf, the GWG will provide inputs to the activities under the current Readiness request (Technical Team and PTC).

In close collaboration with the NDA, the DP will prepare grant closing documents including externally audited financial statements and submit to the GCF. The DP will ensure that all funds are provided on a timely basis to ensure expediting, and acquittal of funds are not delayed, and all funds are accounted and transparent.

The DP will be responsible for the recruitment and day-to-day management of consultants as well as quality assurance of the deliverables. The DP will work with the Technical Committee to implement work plans and ensure timely delivery of outputs.

UN Women as the DP will undertake all reasonable efforts to ensure that none of the Readiness funds are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UN Women hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via [http://www.un.org/sc/committees/1267/aq\\_sanctions\\_list.shtml](http://www.un.org/sc/committees/1267/aq_sanctions_list.shtml)

## 6.2 Implementation and execution roles and responsibilities

Technical staff of the Readiness will include seven (7) national consultants. i. UN Women works with Consultant Rosters, which will serve to identify suitable international consultants if this is required. The composition of the Technical Team will be:

- i. The PCU of the Readiness request will consist of a Project Coordinator and Financial and Administrative Assistant
- ii. The Technical Team of the project will be integrated by five (5) local consultants. The technical staff will report to the PCU.
- iii. The Project Coordinator will support all outcomes and their outputs. In addition, he/she will have the task to oversee implementation, guide and assist the Local Consultants and International Consultants.
- iv. Five (5) local consultants will be hired to assist implementation of activities. Local consultants will be selected by the DP with approval from the Technical Committee.

Position title	Outputs	Responsibilities	Minimum qualifications
Project Coordinator (part-time)	All Outputs Budget Note W	<ul style="list-style-type: none"> <li>• Lead of the readiness activities under this proposal</li> <li>• Prepare bi-annual reports in accordance to monitoring mechanism set by UN Women and the GCF</li> <li>• Maintain open and constant communication with Ministry of Environment and Inmujeres</li> <li>• Oversee all consultants</li> <li>• Maintain a close working relationship with the Project Technical Committee (PTC)</li> <li>• Perform administrative and financial tasks</li> </ul>	<p><b>ACADEMIC:</b></p> <ul style="list-style-type: none"> <li>• University degree in social sciences and humanities, natural resource management, economics</li> </ul> <p><b>PROFESSIONAL:</b></p> <ul style="list-style-type: none"> <li>• General Experience: At least six (6) years of experience in projects of public policy, climate change, environmental management, social change.</li> </ul>
Local consultant – Gender and Climate Change capacity building specialist – To also assume the role of Technical assistant to the Project Coordinator	All Outputs Budget Notes A, D, K and U	<ul style="list-style-type: none"> <li>• Ensure the technical quality of all activities and deliverables, progress reporting to the Ministry of Environment and GCF Secretariat, as a technical assistant to the Project coordinator</li> <li>• Provide technical inputs for the day-to-day operations in NDA</li> <li>• Identify relevant stakeholders and engage with them throughout the capacity assessment and trainings</li> <li>• Lead the institutional capacity assessment and drafting of the reports in associated activities</li> <li>• Prepare training materials based on the outcomes of the assessment reports</li> </ul>	<p><b>ACADEMIC:</b></p> <ul style="list-style-type: none"> <li>• University degree in social sciences and humanities, natural resource management, economics</li> </ul> <p><b>PROFESSIONAL:</b></p> <ul style="list-style-type: none"> <li>• General Experience: At least six (6) years of experience in projects of public policy, climate change, environmental management, social change.</li> <li>• Specific Experience: At least two (2) years of specific work experience in gender and climate change / natural resource management and skills in delivering workshops /training/capacity building.</li> </ul>

		<ul style="list-style-type: none"> <li>• Conduct trainings to selected participants</li> <li>• Elaborate contents for the tailored knowledge products on gender and climate finance</li> <li>• Oversee and guide the work of the three Gender and climate change Sectoral Consultants, facilitate key stakeholders' communication and consultation, and systematize lessons learned related to sectoral capacities and elaborated action plan</li> </ul>	
Outreach and communications - Local consultant	Outputs 1.3.2, 1.3.3, 1.3.5, 5.1.1  Budget Notes E and Q	<ul style="list-style-type: none"> <li>• Organizational and logistical activities for the trainings and events</li> <li>• Stakeholder engagement</li> <li>• Communications</li> </ul>	<p><b>ACADEMIC:</b></p> <ul style="list-style-type: none"> <li>• University degree in communication, business administration, similar.</li> </ul> <p><b>PROFESSIONAL:</b></p> <ul style="list-style-type: none"> <li>• General Experience: At least three (3) years of experience in events organization, logistics, etc.</li> </ul>
Gender and climate change Sectoral Consultants – Local consultants, three (3) - one for each of the selected sectors	Output 1.3.4  Budget Notes L, M, N	<p>Each of the sector experts will:</p> <ul style="list-style-type: none"> <li>• Maintain a close working relationship with the Project Technical Committee (PTC).</li> <li>• Tailor and implement the agreed methodology for identification of climate risks and impacts for the specific sector</li> <li>• Conduct stakeholder consultation and focus groups both at national and local levels</li> <li>• Collect and analyze data on the vulnerability of women and men to climate change for each sector</li> <li>• Identify barriers for the adoption of effective adaptation and mitigation actions</li> <li>• Identify adaptation options and opportunities for mitigation actions and prioritize them together with stakeholders</li> <li>• Draft the action plan for adaptation and mitigation for each of the prioritized sectors</li> </ul>	<p><b>ACADEMIC:</b></p> <ul style="list-style-type: none"> <li>• University degree in social sciences, humanities, natural resource management, others. Postgraduate degree in social sciences, natural resource management or similar</li> </ul> <p><b>PROFESSIONAL:</b></p> <ul style="list-style-type: none"> <li>• General Experience: At least six (6) years of experience in projects on climate change, identification of vulnerabilities and preparation of action plans.</li> </ul>
Private Sector and Finance - Local Consultant	Outputs 1.3.3, 1.3.5  Budget Notes H and P	<ul style="list-style-type: none"> <li>• Maintain a close working relationship with the Project Technical Committee (PTC)</li> <li>• Liaise between the project and the finance and private sector</li> <li>• Help the private sector and financial institutions identify appropriate climate financial instruments for the national context integrating gender and climate change aspects</li> <li>• Prepare recommendations for the public and financial sector actors on potential financial instruments and how to effectively mainstream gender and climate change in existing services/products Interact</li> </ul>	<p><b>ACADEMIC:</b></p> <ul style="list-style-type: none"> <li>• University degree in economics, finances, public policy.</li> </ul> <p><b>PROFESSIONAL:</b></p> <ul style="list-style-type: none"> <li>• General Experience: At least eight (6) years of experience in projects of climate change finance.</li> <li>• Specific Experience: At least two (2) years of specific work experience with project engaging with the private sector.</li> </ul>

		<p>directly with the financial sector to design identified financial mechanisms directed towards improving resilience of small and medium enterprises affected by climate change</p> <ul style="list-style-type: none"> <li>Identify women-led productive associations and businesses interested in opportunities to engage with the government in climate resilient and low-carbon activities.</li> <li>Prepare training materials and deliver training to women-led productive associations and businesses on climate resilience and access to climate finance.</li> </ul>	
Consultancy company	Output 5.1.1 Budget Note V	<ul style="list-style-type: none"> <li>Design, preparation and editing of communication and training materials.</li> </ul>	<p><b>PROFESSIONAL:</b></p> <ul style="list-style-type: none"> <li>•General Experience: At least five (5) years of experience in climate change and sustainability communications, environmental public engagement, and awareness.</li> <li>•Specific Experience: At least two (2) years of specific work experience in climate change policies and gender mainstreaming.</li> </ul>

### 6.3 Risks and mitigation measures

Please include a set of identified risks and mitigation actions for each. Please utilize the risk table below that identifies the probability of a given risk occurring and the entity that will manage the risk. Please refer to Part III Section 6.3 of the Readiness Guidebook for further information on how to complete this section.

Risk category	Specific risk(s) / Risk(s) description	Probability of occurrence (low, medium, high)	Impact level (low, medium, high)	Mitigation action(s)	Entity(ies) responsible to manage the risk(s)
Political and organizational	In the framework of a post-COVID 19 recession, with a decrease in public human resources and shift in priorities to face the crisis, insufficient availability of institutional resources to respond efficiently as counterparts of the activities under the Readiness request.	Medium	Medium	UN Women will ensure constant coordination and communication with related key counterparts in particular the Work Group on Gender and Climate Change. UN Women will establish a contingency plan.	UN-Women, Ministry of Environment, INMUJERES
Project Administration:	Limited availability of experienced	Low	Medium	UN Women has robust recruitment and hiring	UN-Women

Risk category	Specific risk(s) / Risk(s) description	Probability of occurrence (low, medium, high)	Impact level (low, medium, high)	Mitigation action(s)	Entity(ies) responsible to manage the risk(s)
Staff recruitment	consultants and/or other delays in procurement could impact timely execution of key activities (e.g. delivery of trainings, etc.).			<p>procedures in place and HR staff based in Uruguay with experience in interviewing and selecting personnel for this type of funding.</p> <p>UN Women has experienced staff in its Uruguay City office who are dedicated to ensuring clarity and continuity of financial and operational processes, including procurement and hiring of consultants and project staff. UN Women will prepare clear TORs, publish widely and utilize NDA and UN-Women's network.</p>	
Project implementation: Skills transfer and knowledge-sharing	Given the current situation with the pandemic COVID 19, the team may encounter difficulties in ongoing knowledge-sharing and transfer of skills and capacities to GoU officials and personnel.	Medium	Low	UN Women will develop a detailed sustainability and exit plan to outline strategies for capacities strengthening and skills transfer to the GoU institutions with which the project works. The contracts of all project staff and consultants will include a clause on skills transfer and will specify products, tools and/or training materials to be left with the GoU at the end of the project (or at the end of their term). UN Women will generate a simple database compiling all training materials and documents on an open-access platform such as google drive, which will be permanently available to government officials	UN-Women, Ministry of Environment, INMUJERES

Risk category	Specific risk(s) / Risk(s) description	Probability of occurrence (low, medium, high)	Impact level (low, medium, high)	Mitigation action(s)	Entity(ies) responsible to manage the risk(s)
				and staff. In this way, even if there is turnover, the documents will be readily available to all incoming GoJ personnel. Additionally, online trainings will be considered in the event of restrictions related to COVID 19.	
	Poor/low level of stakeholder engagement	Low	Medium	The project focuses on identifying the key stakeholders at different levels to be actively engaged in the proposed activities. Therefore, the project adopts a tailored approach to stakeholder engagement and will ensure to respond to the specific needs with regards to the availability and capacities for engagement among the different actors.	UN-Women
	Money laundering, terrorist financing and prohibited practices	Low	Medium	UN Women will take all precautionary measures to ensure due diligence	
	Low level of sustainability of trainings and the documents to be produced	Low	Low	As part of the training activities under this Readiness request, the capacities of the GWG will be strengthened on gender and climate change. The implementation structure of the project and the tight alignment with the GWG, will ensure that GWG will take the ownership of gender mainstreaming activities and continue the training sessions whenever they are	

Risk category	Specific risk(s) / Risk(s) description	Probability of occurrence (low, medium, high)	Impact level (low, medium, high)	Mitigation action(s)	Entity(ies) responsible to manage the risk(s)
				required. On its behalf, Inmujeres has an Information System of Gender, which consists of Observatory and Indicators System. Information generated by the activities under the Readiness proposal will be integrated in the Information System for wider use.	
	Poor coordination with other ongoing relevant readiness activities in the country/region	Low	Low	This Readiness request is proposing a governance mechanism to ensure that synergies will be realized and duplication of efforts will be avoided as proposed. The project Steering Committee, which is integrated by the NDA, the Inmujeres and UN Women, will have direct oversight over the PCU. The NDA leads the GWG and the Inmujeres is a part of it, so they will ensure the current Readiness grant will build on previous results. The NDA oversees all ongoing Readiness grants so it will ensure synergies. UN Women is the DP and will ensure synergies with the Gender Impact Investing project.	

## 6.4 Monitoring

UN Women will undertake annual reporting of the Readiness activities to provide mechanism for regular collection of information on progress to support oversight and adaptive management and external reporting to UN Women members/donors. Knowledge Management (KM) and Monitoring and Evaluation (M&E) are fundamental pillars in innovation processes. It is for this reason that monitoring will be carried out continuously, so that through the systematic collection of data, information can be provided on the degree of progress and achievement of project objectives and progress in the use of funds assigned. The project team will set a learning plan with questions, planned activities, and products that facilitate learning and decision-making. This learning plan is a plan for how the team will answer crucial questions or fill important knowledge gaps; that plan includes the questions or gaps to be “answered” via the monitoring of project activities. By developing a learning agenda early in the project implementation stage, the team will commit not only to collecting information to fill important gaps but also to using that information in the form of products or outputs.

The Office of UN Women will be in charge of monitoring the technical and financial implementation of all activities and the achievement of the outputs proposed in the Readiness request. In the inception phase of the Readiness is results-based monitoring and evaluation plan will be designed, as well as the lifting of the baseline, so that through quantitative indicators the progress of the Readiness activities can be monitored and be sure to evaluate performance against specific objectives. This monitoring will allow UN Women to adapt to the ever-changing context, respond quickly to the demands and needs of women, and document the challenges and lessons learned. Progress will be reported against the logframe and the budget and progress reports will be submitted for disbursement requests and closing using the GCF template as well as audited financial statements. UN Women will prepare periodic expenditure reports and communicate and share with the NDA and GCF as required. At the end of the Readiness, a financial audit of the results will be carried out.

## 6.5 Other Relevant Information

### Selection of Delivery Partner

UN Women is selected to become the Delivery Partner of the current Readiness request due to their extensive experience working with diverse actors to improve the livelihoods of rural and indigenous women. The UN Women has a long-standing relationship and partnership with the Government of Uruguay in the efforts to mainstream gender aspects at different governance levels and sectors in the country. One of the key aspects in assessing the capability of UN Women is its experience and strong partnership with key actors in the public and private sector and excellence in engaging the civil society in progressing the agenda on economic empowerment of women. UN Women has a long-standing established relationship with women-led micro, small and medium business as well as actors from the finance sector. Moreover, UN Women will make available its methodologies to support public policies, capacity development, rural development with a territorial approach, and knowledge management through multi-stakeholder and intersectoral processes. Likewise, UN Women has legal status and the institutional credentials in Uruguay that permits it to interact formally and permanently with the various national and subnational government institutions, the public and private sectors, as well as with the direct beneficiaries, providing a neutral forum to conciliate and agree on public policies and support climate change actions. No individual or entity is listed on any UNSC sanctions list. The NDA believes that UN Women will be able to perform high level and quality work as Delivery Partner for this Readiness request.

A grievance mechanisms will be designed to:

- a) Address potential breaches of UN Woman’s policies and procedures;
- b) Be independent, transparent, and effective;
- c) Be accessible to project-affected people;
- d) Keep complainants abreast of progress with cases brought forward; and
- e) Maintain records on all cases and issues brought forward for review.

The Delivery Partner will be responsible for informing project-affected parties about the Accountability and Grievance Mechanisms.

Affected Communities and other interested stakeholders may raise a grievance at any time to the Executing Entity, UN Women or the Green Climate Fund. Therefore, contact information of the Executing Entity and the GCF will be made publicly available.

Alternatively, the grievant may file a claim with the Director of Compliance (DOC) in UN Women.

### Sustainability / Exit strategy

The sustainability of the current Readiness request will be ensured through a set of mechanisms: (1) Mainstreaming gender-sensitive climate finance in national, territorial and sectoral policy instruments (2) Knowledge management and lessons learned and (3) Capacity building and knowledge transfer.

**(1) Mainstreaming gender-sensitive climate finance in national, territorial and sectoral policy instruments:** The activities under the current Readiness request will result in effective mainstreaming of gender in climate change policy making and finance, which will provide an enabling environment in a long-term for the replication and upscaling of best practices on promoting gender-responsive climate actions.

**(2) Knowledge management and lessons learned:** All reports, data, documents and other materials generated during the implementation of this Readiness request using GCF resources will be shared with the PTC who can disseminate them, e.g. to the public, private sector, NGOs, CSOs, and to update, manage and use these materials beyond the duration of the project. For example, scoping reports with recommendations, database with gender-disaggregated information.

Sharing data and documents, however, will not be sufficient to ensure continuity of the results of these Readiness activities. UN Women intends to work closely with staff from the NDA and other relevant stakeholders to transfer knowledge and develop relevant knowledge and skills through workshops and consultations. The activities under the current Readiness request aim to create champions within Inmujeres and the Gender Units of each sector, who continue to promote gender-responsive climate change action and drive forward the engagement with the GCF and stakeholders. On its behalf, Inmujeres has an Information System of Gender, which consists of Observatory and Indicators System. Information generated by the activities under the Readiness proposal will be integrated in the Information System for wider use.

**(3) Capacity building and knowledge transfer:** Training products, tools and/or training materials will be left with the GoU at the end of the project. UN Women will generate a simple database compiling all training materials and documents on an open-access platform such as google drive, which will be permanently available to government officials and staff. In this way, even if there is turnover, the documents will be readily available to all incoming GoU personnel.