

Annex I: List of conditions and recommendations

1. The approval of the funding proposals approved by the Board pursuant to decision B.28/04 shall be conditional upon the satisfaction of the conditions set out in tables 1 and 2.

Table 1. General conditions applicable to all funding proposals

FP number	Conditions
All proposals	<p>(a) Signature of the funded activity agreement (“FAA”) in a form and substance satisfactory to the GCF Secretariat within 180 days from the date of Board approval, or the date the accredited entity has provided a certificate or legal opinion set out in paragraph (ii) below, or the date of effectiveness of the accreditation master agreement (“AMA”) entered into with the relevant accredited entity, whichever is later.</p> <p><u>Satisfaction of the following conditions prior to the signing of the FAA:</u></p> <p>(i) Completion of the legal due diligence to the GCF Secretariat’s satisfaction; and</p> <p>(ii) Submission of a certificate or a legal opinion in a form and substance that is satisfactory to the GCF Secretariat, within 120 days¹ after Board approval, or the date of effectiveness of the AMA entered into with the relevant accredited entity, whichever is later, confirming that the accredited entity has obtained all final internal approvals needed by it and has the capacity and authority to implement the proposed project/programme.</p>

Table 2. Conditions specific to individual funding proposals

FP number	Conditions
FP154 (ADB Mongolia)	<p><i>Relevant independent TAP conditions</i></p> <p>(a) Board approval at B.28 will cover the entire programme with the 3 tranches, following which only GCF funds for tranche 1 will be disbursed, subject to any other milestones agreed between the AE and the Secretariat in the funded activity agreement (FAA), as may be appropriate;</p> <p>(b) Satisfaction of the following conditions prior to disbursement of GCF Proceeds in relation to Tranche 2 and Tranche 3:</p> <p>(i) Delivery to the Fund by the Accredited Entity, in a form and substance acceptable to the Secretariat, of an updated climate rationale for the Aimag where Tranche 2 and Tranche 3 respectively will be implemented; and</p> <p>(ii) Delivery to the Fund by the Accredited Entity of a report, in a form and substance acceptable to the Secretariat, providing</p>

¹ For FP154 (ADB Mongolia) and FP156 (ADB ASEAN), the period shall be 180 days. For FP162 (IFAD Sahel), in case the Board approval is conditional on substantial modification of the Funding Proposal, the period shall be 180 days.

	<p>evidence that the activities under earlier Tranche have resulted in significant commitment from the beneficiary households covered by that Tranche to animal reductions and destocking plans, or other relevant evidence of progress towards the reduction of animal numbers.</p> <p>(c) Provide under the Annual Performance Reports (APRs) a monitoring report at the Programme level, also covering Tranche-level activities information, as and when available, at the minimum covering the GHG emission reductions achieved throughout the duration of the programme implementation period using the monitoring protocol of the emission reduction estimation method adopted, and covering, at the minimum:</p> <ul style="list-style-type: none"> (i) Programme parameters monitored by name, value and confidence interval; (ii) Emission reduction calculation methodologies utilized; (iii) The procedure used to develop the database; and (iv) The quality assurance/quality control methods used in preparing and reporting the data and the emission reduction calculations. <p><i>Relevant Secretariat conditions</i></p> <p><u>I. Inclusion of the following covenants in the Funded Activity Agreement:</u></p> <p>(a) Undertake and/or put in place all adequate measures and dedicate the necessary financial, human and other resources in order to ensure that the Funded Activity complies at all times with the recommendations and requirements of the Gender Action Plan (“GAP”) provided by the Accredited Entity to the Fund before the Approval Decision, which shall comply with the Accredited Entity’s own gender policies, standards, and procedures that enable it to comply with the Fund’s Updated Gender Policy; and</p> <p>(b) Ensure that (i) the infrastructure Works to be implemented as part of the Funded Activity are designed, constructed, operated and decommissioned in accordance with Good International Industry Practices¹ and any other applicable standards taking into consideration local norms and standards and local conditions, and taking into consideration health and safety risks to third parties or affected communities, and (ii) the quality of such infrastructure Works is in accordance with international best practices taking into consideration local norms and standards and local conditions.</p> <p>¹ “Good International Industry Practices” means the exercise of professional skill, diligence, prudence, and foresight that would reasonably be expected from skilled and experienced professionals engaged in the same type of undertaking under the same or similar circumstances globally or regionally.</p>
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	<p><u>II. Inclusion of the following representation and warranty in the Funded Activity Agreement:</u></p> <p>(a) In the preparation of the Funding Proposal and throughout the term of the FAA, the Accredited Entity has carried out all due diligence as necessary to ensure that the Funded Activity is at all times in compliance with its own gender and indigenous peoples policies, standards, and procedures, that enable it to comply with the Fund’s Updated Gender Policy and the Indigenous Peoples Policy.</p>
<p>FP155 (FAO Jordan)</p>	<p><i>Relevant independent TAP conditions</i></p> <p><u>I. Satisfaction of the following conditions prior to first and second disbursements under the FAA:</u></p> <p>(a) Prior to first disbursement of the GCF Proceeds under the project, the Accredited Entity shall deliver to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat, a program for hygiene training of farmers on the use of reclaimed water under Activity 1.1.2.3.</p> <p>(b) Prior to second disbursement of the GCF Proceeds under the project, the Accredited Entity shall deliver to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat, a complete study describing:</p> <p>(i) technical specifications of water reservoirs and/or alternative treatment processes to be constructed and installed under Activity 1.1.2.1 and their treatment processes together with the related calculations; and</p> <p>(ii) management and maintenance arrangements to be implemented with respect to such water reservoirs and/or alternative treatment processes.</p> <p><u>II. Inclusion of the following covenants in the FAA:</u></p> <p>(a) The Accredited Entity shall ensure that the technical specifications of the water reservoirs and/or alternative treatment processes and their construction under Activity 1.1.2.1 comply at all times with (i) the Jordanian and World Health Organization standards on elimination of the presence of nematodes, and (ii) the Jordanian regulations on reuse of reclaimed water; and</p> <p>(b) The Accredited Entity shall ensure that Activity 1.1.2.1 shall be implemented in accordance with the study delivered by the Accredited Entity to the GCF Secretariat pursuant to paragraph (I)(b) above.</p>
<p>FP156 (ADB ASEAN)</p>	<p><i>Relevant Secretariat conditions</i></p> <p><u>Satisfaction of the following condition prior to execution of the FAA:</u></p> <p>(a) The Accredited Entity and the GCF Secretariat shall agree on a process to: (i) enable communication of any comments, including from GCF Board members and active observers, on Category A and B Sub-Projects relating to the Sub-Project disclosure package to the</p>

Accredited Entity and (ii) take into account such comments in the finalization of the relevant Sub-Project disclosure package (the “Communication Process”).

Inclusion of the following covenants in the FAA:

- (a) In accordance with the Communication Process, disclose in locations convenient to affected peoples and provide to the Fund, via electronic links in the Accredited Entity’s website, and/or other websites as relevant, in English, and such local language understandable to affected people if relevant, safeguard instruments for Sub-Projects classified as Category A or Category B pursuant to the Environmental and Social Management Framework submitted by the Accredited Entity to the Fund before Funding Proposal approval. The safeguard instruments shall be provided for Category A Sub-Projects at least one hundred and twenty (120) calendar days, and for Category B Sub-Projects at least thirty (30) calendar days, prior to the Accredited Entity’s Board approval of the relevant Sub-Project in order to allow the Fund to disclose and announce to the public and, via the Secretariat, to its Board and Active Observers. In connection with providing such instruments to the Fund, the Accredited Entity and the Fund shall follow the procedure set out in the Communication Process;
- (b) Undertake and/or put in place all adequate measures and dedicate the necessary financial, human and other resources in order to ensure that the Funded Activity complies at all times with the recommendations and requirements of the Gender and Social Inclusion Action Plan “GESIAP” provided by the Accredited Entity to the Fund before the Approval Decision, which shall comply with the Accredited Entity’s own gender policies, standards, and procedures that enable it to comply with the Fund’s Updated Gender Policy; and
- (c) Ensure that (i) the infrastructure Works to be implemented as part of the Funded Activity are designed, constructed, operated and decommissioned in accordance with Good International Industry Practices¹ and any other applicable standards taking into consideration local norms and standards and local conditions, and taking into consideration health and safety risks to third parties or affected communities, and (ii) the quality of such infrastructure Works is in accordance with international best practices taking into consideration local norms and standards and local conditions.

¹ “Good International Industry Practices” means the exercise of professional skill, diligence, prudence, and foresight that would reasonably be expected from skilled and experienced professionals engaged in the same type of undertaking under the same or similar circumstances globally or regionally.

Inclusion of the following representation and warranty in the FAA:

- (a) In the preparation of the Funding Proposal and throughout the term of the FAA, the Accredited Entity has carried out all due diligence as

	<p>necessary to ensure that the Funded Activity is at all times in compliance with its own gender and indigenous peoples policies, standards, and procedures, that enable it to comply with the Fund's Updated Gender Policy and the Indigenous Peoples Policy.</p>
<p>FP157 (UNDP Cuba)</p>	<p><i>Relevant Board conditions</i></p> <p><u>Satisfaction of the following condition prior to the effectiveness of the FAA:</u></p> <p>Delivery by the Accredited Entity to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat and following the template agreed between the GCF Secretariat and the Accredited Entity, of a report (the "Report") (in relation to the Project) containing complete information and outlining how:</p> <ul style="list-style-type: none"> (a) the potential risks identified in the Accredited Entity's Office of Audit and Investigations report of December 2020 regarding UNDP's management of Global Environment Facility (GEF) resources (the "OAI GEF Audit"); and (b) any additional recommendations and the additional risks identified in the "<i>Independent Assessment of UNDP management of GCF-supported projects</i>" carried out by BDO (UK) (the "GCF Assessment"), <p>have been, or will be, addressed by the Accredited Entity to the extent that these are relevant to the Project, or its implementation, and including information on the capacities of the relevant bodies of the Accredited Entity to effectively manage and monitor implementation of the Project, in each case, in accordance with the terms of the FAA and the AMA.</p> <p><u>Satisfaction of the following condition prior to each disbursement under the FAA:</u></p> <p>To the extent that any such recommendations remain outstanding, delivery by the Accredited Entity to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat, of an updated Report (in relation to the Project), as revised to take into account all relevant actions and recommendations from the OAI GEF Audit, the GCF Assessment and the UNDP-requested assessment carried out by EY which are then outstanding.</p> <p><u>Inclusion of the following covenants in the FAA which shall apply from the signing of the FAA:</u></p> <ul style="list-style-type: none"> (a) The Accredited Entity shall ensure that the Funded Activity is audited in compliance with the requirements set out in (i) the UNDP Policy on Harmonized Approach to Cash Transfers (HACT), and (ii) the Charter of the Office of Audit and Investigations. (b) The Accredited Entity shall, at its own cost, report to the GCF Secretariat, on a monthly basis for so long as any recommendations from the OAI GEF Audit and/or the GCF Assessment remain outstanding, following the template agreed between the Accredited Entity and the GCF

	<p>Secretariat, on the actions taken or to be taken by the Accredited Entity to address any outstanding recommendations of the OAI GEF Audit and the GCF Assessment, in each case, to the extent that is relevant to the portfolio of GCF funded activities managed by the Accredited Entity (the “UNDP Portfolio”).</p> <p>With respect to the UNDP Portfolio, the Accredited Entity shall, in each case, in accordance with the terms set forth in Clause 5.03(a) of the AMA, address all relevant actions and recommendations to the extent possible with respect to monitoring concerns raised by the GCF (with respect to e.g. annual performance reports, interim evaluations and ad hoc checks), where assessed relevant by UNDP.</p>
<p>FP158 (CI Botswana)</p>	<p><i>Relevant independent TAP conditions</i></p> <p><u>Satisfaction of the following condition prior to the second disbursement under the FAA:</u></p> <p>(a) Delivery by the Accredited Entity to the Fund of a comprehensive plan for managing and mitigating emergent conflict over grazing, water or other resources between cattle herders and/or their Associations, and between herding communities and those involved in the wildlife economy, in form and substance satisfactory to the GCF Secretariat.</p> <p><u>Inclusion of the following covenants in the FAA:</u></p> <p>(b) In the event that a climate information and early warning system project, endorsed by the Host Country’s NDA, is being proposed for Botswana by another accredited entity to the GCF, which includes building capacity to collect hydro-meteorological data in the Host Country, the Accredited Entity shall use its best efforts to coordinate, to the extent commercially reasonable and practicable, with the Secretariat and the other accredited entity in the development and implementation of such other GCF project, to ensure that both projects are aligned and to strength the ability to achieve the adaptation outcomes of both projects. The costs of such coordination are eligible expenditures under component 1 of the Funded Activity.</p> <p>(c) The Accredited Entity shall include as part of the mid-term independent evaluation to be submitted to the Fund, an assessment of the impact on the Funded Activity’s objectives of and other relevant findings on:</p> <p>(i) the regulatory and market developments in the meat industry of the Host Country with a particular focus on the role of the Botswana Meat Commission;</p> <p>(ii) behavioural changes based on the value chain activities, in particular changes to rangeland management approaches, that are being implemented under component 3 of the Funded Activity;</p>

	<p>(iii) the development of the Botswana market for private abattoir services; and</p> <p>(iv) the number of purchasing private-sector entities and volume of sales of livestock and livestock products concluded between the targeted villages/communities and private sector meat companies and exporters.</p> <p><u>Inclusion of the following provision in the FAA:</u></p> <p>(d) If, based on the mid-term independent evaluation and/or relevant APRs submitted by the Accredited Entity, the GCF determines that an average achievement of the Funded Activity across all mid-term indicators for Outputs 3.1 and 3.2 is less than seventy percent (70%) of the overall targets under all mid-term indicators for Outputs 3.1 and 3.2 set out in the logical framework and is not justified or addressed in a manner acceptable to the GCF, this may be deemed to constitute a Major Change and shall be addressed in accordance with the terms of the funded activity agreement and the GCF's Policy on Restructuring and Cancellation as may then be in force.</p>
<p>FP159 (FAO Congo)</p>	<p><i>Relevant Board conditions</i></p> <p><u>Inclusion of the following covenants in the FAA:</u></p> <p>(a) The Accredited Entity shall ensure that the coordination between the activities to be implemented under the Project and any REDD+ initiatives (including those related to Total's investments in plantations) in the Host Country be done by the national steering committee and reported in the annual performance review, to develop synergies and cost reductions; and</p> <p>(b) The Accredited Entity shall ensure that the most cost-effective practices are employed for the establishment and maintenance of plantations, natural regeneration and agroforestry systems under the Project.</p> <p><i>Relevant independent TAP conditions</i></p> <p><u>Satisfaction of the following conditions prior to second disbursement under the FAA:</u></p> <p>(a) Delivery by the Accredited Entity to the GCF Secretariat, in a form and substance satisfactory to the GCF Secretariat, of a landscape restoration and regeneration strategy explaining the rationale of the aggregated project interventions. The strategy shall provide an overview of existing and projected forest and farm areas and detail the approach to implement improved forestry and agroforestry practices for sustainable land use management.</p> <p>(b) Delivery by the Accredited Entity to the GCF Secretariat, in a form and substance satisfactory to the GCF Secretariat, of a sustainability and market strategy including (i) an updated economic and financial analysis for the implementation, based on agreed interventions, (ii) a supply and demand analysis of key forest and agricultural</p>

	<p>products, including market access and logistic factors, (iii) an outline of the governance arrangements with farmer associations, (iv) the identification of buyers and details of the long term contract agreements discussed with private sector companies, and (v) an updated assessment of the financial options, conditions, and resources available from financial institutions and the assessment of demand by communities; and</p> <p>(c) Delivery by the Accredited Entity to the GCF Secretariat, in a form and substance satisfactory to the GCF Secretariat, of a capacity building plan to engage local institutions and extension agencies to deliver technology transfer to communities, which shall include (i) a capacity development plan to build capacity of national institutions for the implementation of relevant Activities; and (ii) a plan detailing the gradual transfer of roles from the PMU to such national institutions.</p>
<p>FP160 (UNDP Liberia)</p>	<p><i>Relevant Board conditions</i></p> <p><u>Satisfaction of the following condition prior to the effectiveness of the FAA:</u></p> <p>Delivery by the Accredited Entity to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat and following the template agreed between the GCF Secretariat and the Accredited Entity, of a report (the “Report”) (in relation to the Project) containing complete information and outlining how:</p> <p>(a) the potential risks identified in the Accredited Entity’s Office of Audit and Investigations report of December 2020 regarding UNDP’s management of Global Environment Facility (GEF) resources (the “OAI GEF Audit”); and</p> <p>(b) any additional recommendations and the additional risks identified in the “<i>Independent Assessment of UNDP management of GCF-supported projects</i>” carried out by BDO (UK) (the “GCF Assessment”),</p> <p>have been, or will be, addressed by the Accredited Entity to the extent that these are relevant to the Project, or its implementation, and including information on the capacities of the relevant bodies of the Accredited Entity to effectively manage and monitor implementation of the Project, in each case, in accordance with the terms of the FAA and the AMA.</p> <p><u>Satisfaction of the following condition prior to each disbursement under the FAA:</u></p> <p>To the extent that any such recommendations remain outstanding, delivery by the Accredited Entity to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat, of an updated Report (in relation to the Project), as revised to take into account all relevant actions and recommendations from the OAI GEF Audit, the GCF Assessment and the UNDP-requested assessment carried out by EY which are then outstanding.</p>

	<p><u>Inclusion of the following covenants in the FAA which shall apply from the signing of the FAA:</u></p> <p>(a) The Accredited Entity shall ensure that the Funded Activity is audited in compliance with the requirements set out in (i) the UNDP Policy on Harmonized Approach to Cash Transfers (HACT), and (ii) the Charter of the Office of Audit and Investigations.</p> <p>(b) The Accredited Entity shall, at its own cost, report to the GCF Secretariat, on a monthly basis for so long as any recommendations from the OAI GEF Audit and/or the GCF Assessment remain outstanding, following the template agreed between the Accredited Entity and the GCF Secretariat, on the actions taken or to be taken by the Accredited Entity to address any outstanding recommendations of the OAI GEF Audit and the GCF Assessment, in each case, to the extent that is relevant to the portfolio of GCF funded activities managed by the Accredited Entity (the “UNDP Portfolio”).</p> <p>(c) With respect to the UNDP Portfolio, the Accredited Entity shall, in each case, in accordance with the terms set forth in Clause 5.03(a) of the AMA, address all relevant actions and recommendations to the extent possible with respect to monitoring concerns raised by the GCF (with respect to e.g. annual performance reports, interim evaluations and ad hoc checks), where assessed relevant by UNDP.</p> <p><i>Relevant independent TAP conditions</i></p> <p>Prior to the first disbursement of the project, the Accredited Entity shall submit to the Secretariat an additional report, in a form and substance satisfactory to the Secretariat, which further strengthens the project’s climate rationale by summarizing clearly the observed and predicted changes along Monrovia’s coastline in relation to the following parameters: sea level rise, sediment transport and exchange, wave conditions, and high-intensity storms; also showing how these parameters are being affected by climate and non-climate drivers, and how they interact to produce shoreline regression – both historical and anticipated.</p>
<p>FP161 (AFD Indian Ocean)</p>	<p><i>None</i></p>
<p>FP162 (IFAD Sahel)</p>	<p><i>Relevant independent TAP conditions</i></p> <p><u>Condition precedent to the second disbursement to the AE:</u></p> <p>(a) The AE shall deliver to the Fund the final version of the Programme Implementation Manual (PIM) duly approved by the Accredited Entity and including the content referred to in paragraph (b) below, in a form and substance satisfactory to the GCF Secretariat.</p> <p><u>Covenants for inclusion in the FAA:</u></p> <p>(b) The AE shall approve the final PIM only if, among others, it includes the following content:</p>

	<p>(i) The eligibility criteria for the selection of the targeted beneficiary organizations that will participate in the relevant Activities of the Programme, as appropriate, including for farmer-based organizations, cooperatives and associations, which shall include among others:</p> <ol style="list-style-type: none"> (1) a requirement for the targeted beneficiary organization to show proof (e.g. registered members, area of coverage) on the number and profile of the farmers being represented by the organization; (2) a requirement for the targeted beneficiary organizations to meet the ‘context of vulnerability’ (as defined in the funding proposal) which the proposed activities will address; and (3) the submission by the targeted beneficiary organizations of a written ‘statement of intent’ showing the intent and ownership of the relevant beneficiaries to implement the proposed activities being requested in order to address the specific vulnerability(ies) and their commitment to participate in the activities until their finalization; <p>(ii) The templates of the respective contractual agreements to be entered into with the final beneficiaries of, or other counterparties involved in, the implementation of the Activities of the Programme included in the table below, which shall at least include adequate provisions to cover the following aspects:</p> <ol style="list-style-type: none"> (4) the performance target indicators in respect of the specific activity(ies) to be carried out under such relevant agreements; and (5) the specific provisions set out in the table below for the specific activity(ies) to be carried out under such relevant agreements. <p>(c) The Accredited Entity shall ensure that no funds are disbursed to the targeted beneficiary organizations, or other relevant counterparties, unless they have entered into the relevant contractual agreements in accordance with the respective templates and other requirements contained in the PIM;</p> <p>(d) The Accredited Entity shall deliver to the Fund, promptly upon its request, the copies of the relevant contractual agreements that have been put in place, in accordance with the PIM, with the targeted beneficiary organizations, or other relevant counterparties, for the Activities of the Programme set out in the table below.</p>						
	<table border="1" style="width: 100%;"> <thead> <tr> <th style="width: 15%;">Activity</th> <th style="width: 20%;">Beneficiary/ Counterparty</th> <th style="width: 65%;">Key items to be included in the contractual agreements</th> </tr> </thead> <tbody> <tr> <td>1.1.2.</td> <td>7 Hydromet Meteorological Agencies</td> <td>Maintenance and Operation of project assets by the relevant National Hydromet Agencies (e.g. AWS, rain gauges, automatic stage recorders, acoustic doppler current profiler, bathymetric instruments).</td> </tr> </tbody> </table>	Activity	Beneficiary/ Counterparty	Key items to be included in the contractual agreements	1.1.2.	7 Hydromet Meteorological Agencies	Maintenance and Operation of project assets by the relevant National Hydromet Agencies (e.g. AWS, rain gauges, automatic stage recorders, acoustic doppler current profiler, bathymetric instruments).
Activity	Beneficiary/ Counterparty	Key items to be included in the contractual agreements					
1.1.2.	7 Hydromet Meteorological Agencies	Maintenance and Operation of project assets by the relevant National Hydromet Agencies (e.g. AWS, rain gauges, automatic stage recorders, acoustic doppler current profiler, bathymetric instruments).					

		Agreement on “sharing climate information data and information between Hydromet stations, between and amongst the 7 LDCs, will be undertaken during the implementation before the second disbursement” as per FP 1.1.12 on South South Triangular Cooperation (SSTC).
1.1.3	350 Meteorologists	Minimum # of years of service required to stay at the NHA after training on impact-based forecasting methodologies, data collection and interpretation
1.1.6	LDC HMA Regional Centers of Excellence (ACMAD, Aghyrmnet, or ASECNA)	Continuous sharing of climate data and information and services between and amongst LDCS and regional centers of excellence Establishing policies and protocols on information sharing
1.2.1	50,000 Smallholder Farmers	Targeted beneficiary organization’s proof of membership of each of the 6000 smallholder farmers to be trained from Mali, Gambia, Mauritania and 8000 from Burkina Chad, Niger Senegal farmers Context of Vulnerability for each of the LDCs farmers which the early warning products (including agro-climatic information) will address
1.2.2	1.5M Smallholder farmers	Targeted beneficiary Organization’s proof of membership of each of the 200,000 smallholder farmers in Burkina, Mali, Gambia , Mauritania, Senegal and 250,000 smallholder farmers from Chad and Niger Context of Vulnerability of the farmers per country that can be addressed by best applicable climate adaptation/mitigation practices/technologies and weather index insurance
1.2.4	100,000 women and 100,000 youth	Targeted beneficiary Organization’s proof of membership of each of the 100,000 women and 100,000 youth in Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal, The Gambia Context of Vulnerability of the women and youth in each country which the trainings will address.
1.2.5	2000 cooperatives, SMEs, or farmers organizations	Proof of membership of small farmholders for each of the 285 cooperatives, SMEs or farmers organizations trained on financial literacy and integrated climate risk management in each country (Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal, The Gambia)

		Context of Vulnerability for each country the training on financial literacy and integrated climate risk management
1.2.6	LDCs Planning Agencies	<p>Targeted beneficiary organization's official representation and participation by 200 local government officials per country.</p> <p>Statement of Intent by each of the LDCs representatives or counterpart Local government entity on the integration of integrated climate risk management into 5 local development plans in each of the 7 countries.</p> <p>Expected workshops/seminars outputs and its application in LDC government agencies.</p>
1.2.8	1400 Local Government officials and 20,000 Farmers	<p>Targeted beneficiary organization's official representation and participation by 200 local government officials per country.</p> <p>Proof of membership in Targeted beneficiary organization of 2858 farmers.</p> <p>Local financial institutions (FIs) official representation and participation on the training on climate information and use per country (Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal, The Gambia)</p> <p>Expected outputs or application of capacity building of the LDC representatives and Local FIs</p>
2.1.1-2.1.8	<p>Farmer organizations, Carbon Project Consultant</p> <p>NDC Representatives</p>	<p>Presentation of carbon project (MRV plan and estimated GHG reductions) to national stakeholders during workshops (i.e., NDCs)</p> <p>Assignment to specific counterparty the task to prepare and implement the full Monitoring-Reporting-Verification (MRV) plan for a) Solar Energy Project b) CSN, LUM, and SFM project.</p>
2.1.1	Farmer organizations CSO/NGOs Service providers	<p>Targeted beneficiary organizations per country tasked to maintain and operate each of the 72 Agro-Pastoral/Farmer Field Schools (AP/FFS) in Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal, and 68 AP/FFS for The Gambia</p> <p>Context of Vulnerability of the farmers per country that can be addressed by AP/FFS</p> <p>Proof of registered enrollees of small farmholders for each of the AP/FFS</p>



			Geo referencing of each of the project areas per country for monitoring-reporting-verification.
	2.1.2	Farmer organizations for 1000 nurseries Ministry of Environment	<p>Targeted beneficiary organization's proof of membership and number of hectares owned by farmers participating in the 1000 nurseries program</p> <p>Targeted beneficiary organizations per country tasked to implement and maintain each of the 145 nurseries tasked to grow selected climate-adapted varieties established in each of the countries (Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal) and 130 nurseries for the Gambia</p> <p>Identification and assessment of heat-tolerant, submergence, drought, and salinity tolerant, pest resistant and prevention of alien / invasive species being propagated in nurseries that may cause harm to the ecosystem.</p> <p>Geo referencing of each of the project areas per country for monitoring-reporting-verification.</p>
	2.1.3	Local Government Communal Land Owners Small Farmholders Pastoralists	<p>Targeted beneficiary organization's proof of authority or control and capacity to implement and maintain CSA, dune stabilization, degraded land restoration, and SFM activities on 29,000 has of land in each of the countries (Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal) and 26,000 ha for the Gambia to sustain project activities.</p> <p>Targeted beneficiary organization's proof of membership and number of hectares owned by farmers and entrepreneurs participating in the dune stabilization, degraded land restoration, and SFM activities</p> <p>Identification, assessment of climate resilient species and prevention of alien / invasive species being propagated that may cause harm to the ecosystem.</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
	2.1.4	Local Government Communal Land Owners Small Farmholders Pastoralists	<p>Targeted beneficiary organization's proof of authority or control and capacity to Operate and Maintain 15,000 has of restored pasture lands via mechanical/biological pasture restoration management in Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal each and 10,000 has for the Gambia.</p> <p>Targeted beneficiary organization's proof of membership and number of hectares owned by farmers and entrepreneurs participating in the</p>

		<p>mechanical/biological pasture restoration management</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
2.1.5	<p>Local Government Communal Land Owners Small Farmholders Pastoralist</p>	<p>Targeted beneficiary organization's proof of authority or control and capacity to implement and maintain the Sustainable Forest Management program for each country.</p> <p>Targeted beneficiary organization's proof of membership and number of hectares owned by farmers or farmer entrepreneurs participating in the Sustainable Forest Management program covering 5,600 has of forests in Faso, Chad, Mali, Mauritania, Niger and 6000 has of forests in Senegal and Burkina.</p> <p>Identification, assessment, and prevention of alien / invasive species being propagated that may cause harm to the ecosystem.</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
2.1.6	<p>Local Government Communal Land Owners Small Farmholders Pastoralists</p>	<p>Targeted beneficiary organization's proof of authority or control and capacity to implement and maintain the integration of agroforestry into farming systems on selected watersheds covering 4000 has in Burkina and Chad and 3600 has in Gambia, Mali, Mauritania, Niger, Senegal.</p> <p>Targeted beneficiary organization's proof of membership and number of hectares owned by farmers or farmer entrepreneurs participating in the agroforestry program.</p> <p>Identification, assessment, and prevention of alien / invasive species being propagated that may cause harm to the ecosystem.</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
2.1.7	<p>Local Government Communal Land Owners Small Farmholders Pastoralist</p>	<p>Targeted beneficiary organization's proof of membership and number hectares owned by farmers or farmer entrepreneurs participating in the Integration of Assisted Natural Regeneration of trees (ANR) in their rain fed production systems, crop rotation and association, ecosystems covering 10,000 has each in Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal and the Gambia</p> <p>Identification, assessment, and prevention of alien / invasive species being propagated that may cause harm to the ecosystem.</p>

			Geo referencing of each the project areas per country for monitoring-reporting-verification.
2.1.8	Local Government Communal Land Owners Small Farmholders Pastoralists		<p>Targeted beneficiary organization's proof of membership and number of hectares owned by farmers or farmer entrepreneurs directly participating in the 9,000 has Zai and half-moon farming techniques in Burkina and Niger and 8500 has in Mauritania, , Senegal, Chad and the Gambia</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
2.1.9	Local Government Communal Land Owners Small Farmholders Pastoralists		<p>Targeted beneficiary organization's proof of authority or control and capacity to implement and maintain the 25 constructed/rehabilitated water points (i.e., reservoirs, ponds, wells, boreholes) for farming and covering 14,285 km of transhumance pathways cutting across Burkina Faso, Chad, Mali, Mauritania, Niger, Senegal, The Gambia.</p> <p>Targeted beneficiary organization's proof of membership and number of farmers or farmer entrepreneurs directly and indirectly using the water points along the 14,285 km transhumance pathways</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
2.1.10	Local Government Communal Land Owners Small Farmholders Pastoralists		<p>Targeted beneficiary organization's proof of authority or control and capacity to implement and maintain the constructed 1 rainwater harvesting infrastructure in 1 transhumance corridors per country.</p> <p>Targeted beneficiary organization's proof of membership and number of farmers or farmer entrepreneurs directly and indirectly using the rainwater harvesting infrastructure in 1 transhumance corridors per country</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
2.1.11	Farmer and rural community organizations, National and/or Local Government		<p>Targeted beneficiary organization's proof of membership and number of farmers and community members having electricity access to the solar mini grids</p> <p>Agreement on the Maintenance and Operation of the 56 Solar mini grids set up in each country with</p>

		Electric Distribution Utilities	<p>an identified national agency (as mentioned in Para 181 of the FP).</p> <p>Geo referencing of each the project areas per country for monitoring-reporting-verification.</p>
	3.2.4.	Farmer and rural community organizations	<p>Targeted beneficiary organization’s proof of membership of farmers belonging to different areas or regions, or type of crop and/or livestock produced</p> <p>This will enable a diversified coverage of risk per area, crop, livestock, livelihood which can help identify triggering thresholds for climate extreme events.</p>
<p>FP163 (WB SRMI)</p>	<p><i>Relevant independent TAP conditions</i></p> <p><u>The inclusion of the following reporting requirements in the funded activity agreement to be signed between GCF and the AE:</u></p> <p>(a) The AE shall provide under each APR a programme monitoring report at the Facility level, also covering Project-level activities information, as and when available, at the minimum covering the following:</p> <ul style="list-style-type: none"> (i) report detailing the success and challenges of the gender mainstreaming process presented in the Gender Action Plan; (ii) report of the lessons learned, and successes and challenges, of stakeholder engagement at Project level as well as reflecting process and measures undertaken or to be undertaken in the future ensure that pitfalls are corrected in a timely way; and (iii) the GHG emission reductions achieved using the monitoring protocol of the emission reduction estimation method adopted, and covering, at the minimum: <ul style="list-style-type: none"> (1) Project parameters monitored by name, value and confidence interval; (2) Emission reduction calculation methodologies utilized; (3) The procedure used to develop the database; and (4) The quality assurance/quality control methods used in preparing and reporting the data and the emission reduction calculations. 		
<p>FP164 (FMO India)</p>	<p><i>None</i></p>		
<p>SAP020 (MCT Micronesia)</p>	<p><i>Relevant independent TAP conditions</i></p>		

	<p>The AE shall provide, before the second disbursement of GCF proceeds, to the satisfaction of the Secretariat:</p> <ul style="list-style-type: none"> (i) an operational manual including: (A) a detailed assessment of the impact of climate change on the agriculture sector nationwide and for each state in the FSM; (B) criteria for selection of climate resilient agriculture (“CRA”) packages, which shall take into consideration site- and crop-specific impact of climate change; and (C) a comprehensive baseline study of all monitoring indicators provided in the funding proposal section D.2 (“Impacts measured by GCF indicators”); and (ii) Three CRA packages developed for three different agricultural activities (one for each of sustainable land management, agroforestry, and climate change impact resistant crops) which will include information on location, observed site-specific climate change and related impact, climate change adverse impact mitigation measures planned in the activities, risk assessment, mechanisms for ensuring the long-term sustainability of results, indicators for results monitoring and monitoring modality.
<p>SAP021 (JICA Timor-Leste)</p>	<p><i>None</i></p>
<p>SAP022 (UNDP Uzbekistan)</p>	<p><i>Relevant Board conditions</i></p> <p><u>Satisfaction of the following condition prior to the effectiveness of the FAA:</u></p> <p>Delivery by the Accredited Entity to the GCF Secretariat, in form and substance satisfactory to the GCF Secretariat and following the template agreed between the GCF Secretariat and the Accredited Entity, of a report (the “Report”) (in relation to the Project) containing complete information and outlining how:</p> <ul style="list-style-type: none"> (a) the potential risks identified in the Accredited Entity’s Office of Audit and Investigations report of December 2020 regarding UNDP’s management of Global Environment Facility (GEF) resources (the “OAI GEF Audit”); and (b) any additional recommendations and the additional risks identified in the “<i>Independent Assessment of UNDP management of GCF-supported projects</i>” carried out by BDO (UK) (the “GCF Assessment”), <p>have been, or will be, addressed by the Accredited Entity to the extent that these are relevant to the Project, or its implementation, and including information on the capacities of the relevant bodies of the Accredited Entity to effectively manage and monitor implementation of the Project, in each case, in accordance with the terms of the FAA and the AMA.</p> <p><u>Satisfaction of the following condition prior to each disbursement under the FAA:</u></p> <p>To the extent that any such recommendations remain outstanding, delivery by the Accredited Entity to the GCF Secretariat, in form and</p>

	<p>substance satisfactory to the GCF Secretariat, of an updated Report (in relation to the Project), as revised to take into account all relevant actions and recommendations from the OAI GEF Audit, the GCF Assessment and the UNDP-requested assessment carried out by EY which are then outstanding.</p> <p><u>Inclusion of the following covenants in the FAA which shall apply from the signing of the FAA:</u></p> <p>(a) The Accredited Entity shall ensure that the Funded Activity is audited in compliance with the requirements set out in (i) the UNDP Policy on Harmonized Approach to Cash Transfers (HACT), and (ii) the Charter of the Office of Audit and Investigations.</p> <p>(b) The Accredited Entity shall, at its own cost, report to the GCF Secretariat, on a monthly basis for so long as any recommendations from the OAI GEF Audit and/or the GCF Assessment remain outstanding, following the template agreed between the Accredited Entity and the GCF Secretariat, on the actions taken or to be taken by the Accredited Entity to address any outstanding recommendations of the OAI GEF Audit and the GCF Assessment, in each case, to the extent that is relevant to the portfolio of GCF funded activities managed by the Accredited Entity (the “UNDP Portfolio”).</p> <p>(c) With respect to the UNDP Portfolio, the Accredited Entity shall, in each case, in accordance with the terms set forth in Clause 5.03(a) of the AMA, address all relevant actions and recommendations to the extent possible with respect to monitoring concerns raised by the GCF (with respect to e.g. annual performance reports, interim evaluations and ad hoc checks), where assessed relevant by UNDP.</p>
<p>SAP023 (FMCN Mexico)</p>	<p><i>None</i></p>

2. In addition, it is recommended that, for all approved funding proposals, disbursements by the GCF should be made only after the GCF has obtained satisfactory protection against litigation and expropriation in the country where the project/programme will be implemented, or has been provided with appropriate privileges and immunities in that country.

3. It is also recommended that the accredited entity implements the following recommendations during the implementation of the relevant project or programme.

Table 3. Project-specific recommendations

FP number	Recommendations
<p>FP154 (ADB Mongolia)</p>	<p><i>None.</i></p>
<p>FP155 (FAO Jordan)</p>	<p><i>None.</i></p>

FP156 (ADB ASEAN)	<i>None.</i>
FP157 (UNDP Cuba)	The project management unit, guided by the national project steering committee, should engage more with local people by strengthening its participatory processes, including through the project's planned provincial coordination and municipal coordination structures, so that the communities realize the value of mangroves and eventually cease unsustainable practices that damage them. The project must pay additional attention to social engagement so that business-as-usual degradation or destruction of mangroves is reversed, with active involvement of community peers and a behavioral change orchestrated through greater community engagement.
FP158 (CI Botswana)	<i>None.</i>
FP159 (FAO Congo)	<i>None.</i>
FP160 (UNDP Liberia)	<i>None.</i>
FP161 (AFD Indian Ocean)	The independent TAP recommends for the AE to actively engage with a global centre of excellence on hydrology and give adequate emphasis on the inclusion of hydrological data collection, monitoring and subsequent modelling in each of the four target countries, so that effective forecasting for multiple hazards becomes a reality within the lifetime of the project.
FP162 (IFAD Sahel)	<i>None.</i>
FP163 (WB SRMI)	<i>None.</i>
FP164 (FMO India)	<i>None.</i>
SAP020 (MCT Micronesia)	To ensure the sustainability of implemented measures and to decrease the impact of extreme events typical for FSM States, the independent TAP recommends to the AE to explore more options for disaster impact mitigation. In particular, the development of a domestic insurance industry in collaboration with private insurers, including housing and food and agriculture insurance.
SAP021 (JICA Timor-Leste)	<i>None.</i>
SAP022 (UNDP Uzbekistan)	<i>None.</i>
SAP023 (FMCN Mexico)	<i>None.</i>