

Annex IX: Independent Integrity Unit Draft Budget for 2021

1. This Work Programme and Budget was submitted to and approved by the Ethics and Audit Committee.¹ Subsequently, the Budget Committee² also considered and approved the IIU budget for 2021. Below is a general overview of the 2021 budget:

Table 1. Draft Budget of the Independent Integrity Unit for 2021

		Approved Budget for 2020	Draft Budget for 2021	Increase (%)
1	Salaries and Consultants			
1.1	Full-Time Staff	1,867,398	2,299,390	23.1
1.2	Consultants	110,100	102,300	-7.1
	Sub-total: Salaries and Consultants (1)	1,977,498	2,401,690	21.5
2	Travel			
2.1	Travel	207,046	41,430	-80
	Sub-total: Travel (2)³	207,046	41,430	-80
3	Contractual Services			
3.1	Operating Costs	130,000	66,000	-49.2
3.2	Professional Services	130,000	-	-100
3.3	Brand Promotion	20,000	10,000	-50
3.4	Information Communication and Technology	95,000	66,600	-29.9
	Sub-total: Contractual Services (3)⁴	375,000	142,600	-62
	Total (1+2+3)	2,559,544	2,585,720	1
4	Shared Costs' Allocation			
4.1	Shared Costs' Allocation	78,500	210,673	168.4
	Sub-total: Shared Costs' Allocation (4)⁵	78,500	210,673	168.4
	Grand Total (1+2+3+4)	2,638,044	2,796,393	6

2. The following table elaborates on the expenditure framework by presenting the cost allocation per identified output:

¹ As presented in annex V to document GCF/B.27/08.

² As presented in annex VI to document GCF/B.27/08.

³ Estimated at 25 days of official travel for 6 staff members.

⁴ Includes costs associated with the development of risk assessment and due diligence tools, infrastructure design and implementation, and data hosting services. All purchases will be conducted according to GCF procurement rules.

⁵ Allocated shared costs with the Secretariat include ICT overhead charges, general utility costs and supplies, applicable insurances, and depreciation.



Table 2. Draft 2021 IIU Budget: Cost Allocation per Identified Output

Output	Output Description/ Budget Categories	Total Budgeted Amount	Budget Allocation (%)
1	Maximising Impact and Effectiveness of Investigations		
1.1	Full-Time Staff	740,825	
1.2	Consultants	18,840	
1.3	Travel	24,510	
1.4	Professional Services	-	
1.5	Other Operating Costs	20,000	
	Sub-total: Maximising Impact and Effectiveness of Investigations (1)	804,175	28.8
2	Integrity Policy Implementation Guidance and Oversight		
2.1	Full-Time Staff	521,690	
2.2	Consultants	9,240	
2.3	Travel	-	
2.4	Professional Services	-	
2.5	Other Operating Costs	5,000	
	Sub-total: Integrity Policy Implementation Guidance and Oversight (2)	535,930	19.2
3	Integrity Risk Assessment and Proactive Integrity Reviews		
3.1	Full-Time Staff	327,518	
3.2	Consultants	2,040	
3.3	Travel	-	
3.4	Professional Services	-	
3.5	Other Operating Costs	-	
	Sub-total: Integrity Risk Assessment and Proactive Integrity Reviews (3)	329,558	11.8
4	Capacity Building Technical Assistance		
4.1	Full-Time Staff	295,664	
4.2	Consultants	7,296	
4.3	Travel	-	
4.4	Professional Services	-	
4.5	Other Operating Costs	66,000	
	Sub-total: Capacity Building Technical Assistance (4)	368,960	13.2



Output	Output Description/ Budget Categories	Total Budgeted Amount	Budget Allocation (%)
5	Awareness Raising and Communications		
5.1	Full-Time Staff	211,809	
5.2	Consultants	27,162	
5.3	Travel	-	
5.4	Professional Services	-	
5.5	Other Operating Costs	10,000	
	Sub-total: Awareness Raising and Communications (5)	248,971	8.9
6	Operations Support Services		
6.1	Full-Time Staff	201,885	
6.2	Consultants	37,722	
6.3	Travel	16,920	
6.4	Professional Services	-	
6.5	Other Operating Costs	252,273	
	Sub-total: Awareness Raising and Communications (6)	508,800	18.2
	Grand Total (1+2+3+4+5+6)	2,796,393	

3. The table below further describes the expenditure framework with a breakdown of the budget into contingent and non-contingent costs:

Table 3. Draft 2021 IIU Budget: Contingent and Non-Contingent Costs

Cost Categories		Draft Budget for 2021	Budget Allocation (%)
	NON-CONTINGENT COSTS		
1	Salaries and Consultants		
1.1	Full-Time Staff	2,299,390	
1.2	Consultants	78,300	
	Sub-total: Salaries and Consultants (1)	2,377,690	
2	Contractual Services		
2.1	Operating Costs	66,000	
2.2	Professional Services	-	
2.3	Brand Promotion	10,000	
2.4	Information Communication and Technology	66,600	
	Sub-total: Contractual Services (2)	142,600	



Cost Categories		Draft Budget for 2021	Budget Allocation (%)
3	Shared Costs' Allocation		
3.1	Shared Costs' Allocation	210,673	
	Sub-total: Shared Costs' Allocation (3)	210,673	
A	TOTAL NON-CONTINGENT COSTS (1+2+3)	2,730,963	97.7
CONTINGENT COSTS			
4	Salaries and Consultants		
4.1	Full-Time Staff	-	
4.2	Consultants	24,000	
	Sub-total: Salaries and Consultants (4)⁶	24,000	
5	Travel		
5.1	Travel	41,430	
	Sub-total: Travel (5)⁷	41,430	
B	TOTAL CONTINGENT COSTS (3+4)	65,430	2.3
	Grand Total (A+B)	2,796,393	

⁶ Includes consultancy fees for external investigations and policy development.

⁷ All anticipated travel costs have been considered contingent due to uncertainties on whether and when travel restrictions will be lifted, and regular flight operations will resume. Taken into account are official missions related to investigations, possible staff engagements in international meetings and conferences, and participation at GCF Board Meetings.