

Annex V: Framework for multiple-year strategic Readiness implementation requests

1. Under the Readiness and Preparatory Support Programme strategy 2019-2021 countries are fully encouraged to develop and submit multiple-year strategic Readiness implementation requests.

Multiple-year strategic Readiness implementation requests

2. Multiple-year strategic Readiness implementation requests will include, among others, the following elements:

- (a) An overall work programme and budget plan for the period of the support requested, with an overview of how the request is strategic in nature, including:
 - (i) Progressing from basic elements of Readiness support (for example but not limited to developing NoL processes, establishing NDA offices, assessing DAE applicants) to more strategic support (e.g., accreditation/accreditation upgrades of DAEs, technical support to develop of PPF applications, concept notes, and SAP and FPs);
 - (ii) Taking a programmatic approach to Readiness support requested, which may include:
 - (1) Sequencing support for multiple work areas and stakeholders, including NDAs and DAEs (e.g., to align Country Programmes and Entity Work Programmes);
 - (2) Developing and implementing strategies to reduce or eliminate policy gaps or market barriers;
 - (3) Catalysing and/or leveraging private sector investment in priority sectors;
 - (4) Developing concept notes and funding proposals, including for the SAP;
- (b) Indicative annual work programmes and associated annual budget plans covering each 12-month period of the total request;
- (c) Description of the objectives, outcomes, outputs/deliverables and activities to be undertaken during the request, with associated baselines and targets, and measurable indicators to track results, and;
- (d) Implementation schedule and implementation arrangements for the request, which will indicate the disbursement schedule, procurement plan, and a monitoring and evaluation plan.

3. Once a grant proposal for a multiple-year strategic Readiness implementation request is approved and entered into implementation phase, Secretariat will approve the subsequent annual workplans and budgets provided that the Annual Financial Reports and Annual Technical Reports meeting GCF reporting requirements.

4. There are two modalities through which countries can access resources for multiple-year strategic Readiness implementation requests:

- (a) Based on the analysis contained in a finalized Country Programme and submitted through a multiple-year strategic Readiness implementation request proposal;

- (b) Supported by a country Readiness assessment and developed through a country Readiness work plan, and submitted through a multiple-year strategic Readiness implementation request proposal.

Country Readiness assessments

5. Countries conduct a rapid self-assessment of their Readiness needs by using a standardized framework developed by the Secretariat to identify the key gaps in its capacity to access climate finance and to develop and implement related projects. The multi-stakeholder Readiness needs assessment will:

- (a) Be conducted by the NDA in consultation with other stakeholders;
- (b) Appraise a variety of essential capacities, institutional mechanisms and technical skills;
- (c) Consider capacity building initiatives and assessments for climate change done under other auspices and include feedback on previous Readiness support;
- (d) Establish a baseline to help the NDA and the Secretariat better understand how to approach Readiness support in a strategic manner;
- (e) Be bolstered by technical guidance from the Secretariat regarding its application;
- (f) Consider identified capacity needs through existing processes and documents, including National Communications, National Capacity Self Assessments, and others undertaken by other funds, bilateral or multilateral agencies, and multilateral development banks, and;
- (g) Give due attention to the issues of ESS, gender and IP integration.

Country Readiness work plans development

6. The development of country Readiness work plans contains, among others, the following elements:

- (a) Analysis of the country Readiness needs over the medium-term (three to five years);
- (b) Demonstration of how the country intends to deploy the Readiness support across multiple objectives in a coherent way;
- (c) Strategic and comprehensive view of Readiness support to be achieved by enhancing coordination at the national level and engaging with stakeholders in national and subnational government, CSOs, communities and the private sector, with due attention to gender, ESS and indigenous peoples issues.