

Annex III: List of conditions and recommendations

1. The approval of the funding proposals approved by the Board pursuant to decision B.22/07 shall be conditional upon the satisfaction of the conditions set out in tables 1 and 2.

Table 1: General conditions applicable to all funding proposals

FP number	Conditions
All proposals	<p>(a) Signature of the funded activity agreement (“FAA”) in a form and substance satisfactory to the GCF Secretariat within 180 days from the date of Board approval, or the date the accredited entity has provided a certificate or legal opinion set out in paragraph (ii) below, or the date of effectiveness of the accreditation master agreement (“AMA”) entered into with the relevant accredited entity, whichever is later.</p> <p><u>Satisfaction of the following conditions prior to the signing of the FAA:</u></p> <p>(i) Completion of the legal due diligence to the GCF Secretariat satisfaction; and</p> <p>(ii) Submission of a certificate or a legal opinion in a form and substance that is satisfactory to the GCF Secretariat, within 120 days after Board approval, or the date of effectiveness of the AMA entered into with the relevant accredited entity, whichever is later, confirming that the accredited entity has obtained all final internal approvals needed by it and has the capacity and authority to implement the proposed project/programme.</p>

Table 2: Conditions specific to individual funding proposals

FP number	Conditions
FP 100 (UNDP Brazil)	<p><i>Conditions from the Board</i></p> <p><u>Insertion of the following covenants in the FAA:</u></p> <p>(a) The accredited entity shall, in an annex to the first annual performance report to be provided to GCF Secretariat in accordance with the terms of the FAA, include a copy of the operations manual for the Floresta+ programme and the related monitoring and evaluation plan which shall include the criteria and process for determining the eligibility of the beneficiaries, the prioritisation of areas where the Floresta+ pilot programme will be implemented, the estimated distribution of the GCF proceeds amongst the different beneficiary stakeholder groups, and the expected outcomes at the end of year 6 of the Floresta+ pilot programme of the four modalities;</p> <p>(b) The accredited entity shall, at least 30 calendar days prior to the delivery of Floresta+ pilot programme incentives to the beneficiaries:</p> <p>(i) disclose the relevant documentation related to ESS required by the GCF’s environmental and social policy and information disclosure policy (the “Project Disclosure Package”);</p> <p>(ii) make the Project Disclosure Package available on its website and in locations convenient to affected peoples; and</p> <p>(iii) provide the Project Disclosure Package to the GCF Secretariat for further distribution to the Board and Active Observers and for posting on the GCF website^[1]; and</p> <p>(c) Ensure and confirm in each APR that the REDD+ RBP proceeds are used and the Activities set out in the Funding Proposal are implemented in a manner consistent</p>

^[1] The GCF Secretariat shall, promptly following receipt of the Project Disclosure Package, distribute the same to the Board and Active Observers, and publish it on the GCF website.



	with the Funding Proposal and the underlying national policy framework within which these Activities are to be implemented.
FP 101 (IFAD Belize)	<p><i>Relevant iTAP conditions</i></p> <p><u>Satisfaction of the following conditions prior to first disbursement under the FAA:</u></p> <p>(d) Delivery to the Fund by the accredited entity in a form and substance satisfactory to the Secretariat an updated Appendix 11 of the Funding Proposal - Programme Implementation Manual - including the criteria for the selection of sub-projects (climate change, financial, social, environmental) eligible to receive financing by Matching Grant Fund and Infrastructure Investment Funding Award; such eligibility criteria shall take into consideration sector/measure specificities and climate change dimension.</p> <p><u>Satisfaction of the following conditions prior to second disbursement under the FAA:</u></p> <p>Delivery to the Fund by the accredited entity in a form and substance satisfactory to the Secretariat:</p> <p>(a) the final outline and the first draft of the training materials, prepared on the basis of the feasibility study, to be used during the project implementation, which shall be delivered by the accredited entity to the Fund before the start of the training activities; and</p> <p>(b) a document containing the description of some sub-projects for each sector (increase road resilience, irrigation systems, renewable energy use in irrigation systems, increase crop resilience or fertility of soil, etc.), which are considered as potential adaptation measures.</p>
FP 102 (BOAD Mali)	<i>None.</i>
FP 103 (GIZ Kenya, Senegal)	<i>None.</i>
FP 104 (AFC Nigeria)	<p><i>Condition from the Board</i></p> <p><u>Satisfaction of the following condition prior to the signing of the FAA:</u></p> <p>The accredited entity will reaffirm the long-term sustainability of its planned investment into the solar power sector.</p>
FP 105 (BOAD Regional)	<i>None.</i>
FP 106 (DBSA South Africa)	<i>None.</i>
SAP 005 (UNEP Benin)	<p><i>Relevant iTAP conditions</i></p> <p><u>Satisfaction of the following conditions prior to second disbursement under the FAA:</u></p> <p>Delivery to the Fund in a form and substance satisfactory to the Secretariat:</p> <p>(a) A detailed forest management plan for each of the 7 sites including:</p> <p>(i) The rationale for the selection of the areas to be restored based on ecosystem connectivity and functionality and social parameters in support of adaptation;</p>

	<ul style="list-style-type: none"> (ii) An accurate calculation of the area (hectares) to be restored and linear firebreaks that are needed to protect the forests sites, including detailed breakdown of the relevant costs; and (iii) A revised and improved estimate of the numbers of hectares to produce fuel wood to supply basic cooking necessities to the communities. <p>(b) A detailed operational plan to deliver seeds to the targeted communities in coordination with INRAB that specifies the varieties to make cultivars more resilient and diverse as a means to adapt to climate change, and that describes in detail the institutional arrangements to be provided in coordination with INRAB to support extension services; and</p> <p>(c) A detailed dissemination and capacity building plan to deliver information services to the targeted communities in coordination with Meteo Benin, ensuring its capacity to incorporate the 3 meteorological stations in a robust system which is able to deliver accurate and relevant climate change information to communities.</p>
SAP 006 (EIF Namibia)	<i>None.</i>

2. In addition, it is recommended that, for all approved funding proposals, disbursements by the GCF should be made only after the GCF has obtained satisfactory protection against litigation and expropriation in the country where the project/programme will be implemented, or has been provided with appropriate privileges and immunities in that country.

3. The decision by the Board to permit certain accredited entities to seek further approval of the Board of commitment of further funding pursuant to decision B.22/07 shall be conditional upon the satisfaction of the conditions set out in table 3.

Table 3: Conditions for approval of further commitment by the Board

FP number	Conditions
FP 102 (BOAD Mali)	<p><u>Satisfaction of the following conditions prior to approval by the Board of a commitment of further funding</u></p> <ul style="list-style-type: none"> (a) The GCF having resources available for commitment in respect of the amounts requested; (b) All APRs and other reports outlined in the relevant FAA Schedule submitted by the accredited entity are in form and substance satisfactory to the GCF Secretariat, and that all changes (if any) required by the GCF Secretariat as a consequence of such APRs and reports not being satisfactory have been addressed to the satisfaction of the GCF Secretariat; and (c) The accredited entity has delivered, in form and substance satisfactory to the GCF Secretariat, no later than one year prior to the closing date specified in the FAA: <ul style="list-style-type: none"> (i) A request for approval by the Board of a further commitment for the funded activity; (ii) Evidence that at least seventy per cent (70%) of the aggregate amount of the GCF Proceeds that are allocated to Component 2 under Tranche 1 has been spent by the Executing Entity for implementation of Component 2; and (iii) A document describing any changes required to the funding proposal and the FAA, including such changes to the activities, outputs, outcomes and impacts of the funded activity as are required to reflect the additional activities to be undertaken with the further funding requested.

<p>FP 103 (GIZ Kenya, Senegal)</p>	<p><u>Satisfaction of the following conditions prior to approval by the Board of a commitment of further funding</u></p> <ul style="list-style-type: none"> (a) The GCF having resources available for commitment in respect of the amounts requested; (b) All APRs and other reports outlined in the relevant FAA Schedule submitted by the accredited entity are in form and substance satisfactory to the GCF Secretariat, and that all changes (if any) required by the GCF Secretariat as a consequence of such APRs and reports not being satisfactory have been addressed to the satisfaction of the GCF Secretariat; and (c) The accredited entity has delivered, in form and substance satisfactory to the GCF Secretariat, no later than one year prior to the closing date specified in the FAA: <ul style="list-style-type: none"> (i) A request for approval by the Board of a further commitment for the funded activity; (ii) Evidence that at least seventy per cent (70%) of the funds previously disbursed by the GCF have been cumulatively committed by the executing entities for the implementation of the funded activity; and (iii) A document describing any changes required to the funding proposal and the FAA, including such changes to the activities, outputs, outcomes and impacts of the funded activity as are required to reflect the additional activities to be undertaken with the further funding requested.
---	---

4. It is also recommended that the accredited entity implements the following recommendations during the implementation of the relevant project or programme.

Table 4: Project-specific recommendations

FP number	Recommendations
<p>FP 100 (UNDP Brazil)</p>	<p>The iTAP recommends the following:</p> <ul style="list-style-type: none"> (a) Consider further analysis on how aggregated uncertainties affect the results and means to improve the FRELs and reporting; (b) Taking into account that there could be significant emissions caused by forest degradation, consider the continuation of efforts to understand the effects of forest degradation in emissions reporting; (c) Consider including information for the future submission to UNFCCC on the actions that the country is taking to prevent or minimize the displacement of emissions; and (d) Ensure the sustainability of Floresta + pilot program with a plan around scalability, financing and expected outcomes and indicators that goes beyond the six year initial period.
<p>FP 101 (IFAD Belize)</p>	<p><i>None.</i></p>
<p>FP 102 (BOAD Mali)</p>	<p><i>None.</i></p>
<p>FP 103 (GIZ Kenya, Senegal)</p>	<p>The iTAP recommends the following to enhance the effectiveness of the project towards achieving low carbon development of the cooking energy sub-sector in the two countries.</p>



	<p>(a) Link ongoing projects that oversee forest conservation and restoration for the sustainable supply of forest products, including woody biomass; and</p> <p>(b) Deliver an awareness enhancement plan, in a form and substance satisfactory to the Secretariat, involving the communities and fuelwood traders regarding sustainable consumption and forest restoration.</p>
<p>FP 104 (AFC Nigeria)</p>	<p>The iTAP recommends the following in order to reduce the risk of non-delivery of power to end-users:</p> <p>(a) That the accredited entity shall undertake the responsibility to perform regular analysis of delivery of energy produced by the solar IPPs; and</p> <p>(b) In case such analysis indicates that the energy produced by solar PPAs is not properly off taken or, due to other reasons, systematically is not reaching consumers, the accredited entity should engage respective governmental authorities, energy industry participants and other stakeholders that are capable of improving this situation and, in coordination with them, will discuss and facilitate appropriate measures that will be feasible to remedy this situation and inform GCF of these measures and their results.</p> <p>The iTAP also recommends that the GCF Secretariat monitors parallel projects (FP027, FP095 and FP099) financed by the GCF for African countries, including Nigeria, that will implement activities in Nigeria that are complementary to the activities planned in this funding proposal.</p>
<p>FP 105 (BOAD Regional)</p>	<p>The iTAP recommends that the Secretariat should request BOAD to include a report of capacity development and knowledge sharing/transfer activities done and schedule for the next year in its annual performance report to GCF.</p>
<p>FP 106 (DBSA South Africa)</p>	<p><i>None.</i></p>
<p>SAP 005 (UNEP Benin)</p>	<p><i>None.</i></p>
<p>SAP 006 (EIF Namibia)</p>	<p>The iTAP recommends that under component 1, the accredited entity should develop a set of activities aimed at generating climate information.</p>