

Annex XV: List of conditions and recommendations

1. The approval of the funding proposals approved by the Board pursuant to decision B.21/15 shall be conditional upon the satisfaction of the conditions set out in tables 1 and 2.

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Table 1:	General	conditions	applicable	to all funding	g proposals

Funding proposal number	Conditions	
All proposals	(a) Signature of the funded activity agreement ("FAA") in a form and substance satisfactory to the GCF Secretariat within 180 days from the date of Board approval, or the date the accredited entity has provided a certificate or legal opinion set out in paragraph (b) below, or the date of effectiveness of the accreditation master agreement ("AMA") entered into with the relevant accredited entity, whichever is later.	
	Satisfaction of the following conditions prior to the signing of the FAA:	
	(a) Completion of the legal due diligence to the GCF Secretariat satisfaction; ¹ and	
	(b) Submission of a certificate or a legal opinion in a form and substance that is satisfactory to the GCF Secretariat, within 120 days. ² after Board approval, or the date of effectiveness of the AMA entered into with the relevant accredited entity, whichever is later, confirming that the accredited entity has obtained all final internal approvals needed by it and has the capacity and authority to implement the proposed project/programme.	

Funding proposal number	Conditions
FP 083 (World Bank Indonesia)	 <i>Conditions from the Board</i> (a) As per the Project ESMF, the Accredited Entity (AE) will review and approve safeguards instruments for Category A sub-projects in accordance with its policies and procedures. This review will include adequacy of public disclosure and consultations. Public disclosure and consultations will be done by the sub-project owner as well as the Executing Entity (EE) following the provisions of the ESMF. When the EE sends the draft, as well as final, safeguards instruments to the AE for disclosure, the AE will undertake to forward these safeguards instruments to the Green Climate Fund Secretariat for further dissemination to the Board and Active Observers and for posting on the GCF website. The relevant safeguards instruments for the Category A sub-projects should be publicly disclosed in a timely manner so as to ensure transparent and meaningful consultation prior to when the EE approves the Category A sub-projects. The AE will follow its policies and procedures in making the disclosure and endeavour to ensure that the period between disclosure and approval be no less than

Table 2:	Conditions s	necific to	individual	funding nro	nosals
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¹ In the event of inconsistency between this condition and any equivalent condition in a term sheet, the conditions in the relevant term sheet shall prevail.

² For FP 090 (ADB Tonga), FP 091 (ADB Kiribati) and FP 093 (AfDB Burkina Faso), the period shall be 180 days.



	 60 calendar days. The AE will be responsible for monitoring the EE's compliance with this requirement in accordance with the Accredited Entity's environment, social and access to information policies. Stakeholders will provide their comments and inputs directly to the EE; and (b) Within 180 days of the GCF Board approval of the Facility, or the date of effectiveness of the AMA entered into with the relevant Accredited Entity, whichever is later, the Accredited Entity and GCF Secretariat shall agree on a process, consistent with the Accredited Entity's environmental, social, and access to information policies, to enable communication to the Accredited Entity of any comments on Category A sub-projects and the safeguard documents prepared for such sub-projects. Safeguard documents include, as appropriate, Environmental and Social Impacts Assessment (ESIA) and Environmental and Social Management Plan (ESMP), and, as appropriate, inclusive of the Land Acquisition and/or Resettlement Action Plan (LARAP or RAP), Indigenous Peoples Plan (IPP), and any other associated information policies and the Executing Entity's Environmental and Social Management Framework (ESMF) for the Project.
FP 084 (UNDP India)	None.
FP 085 (ADB Pakistan)	None.
FP 086 (EBRD Green	<i>Relevant iTAP condition</i> <u>Insertion of the following covenants in the FAA:</u>
Cities)	(a) The accredited entity shall include in each annual performance report an assessment of GHG emission reduction achieved by the funded activity in accordance with the monitoring and reporting methodology described in the operation manual for the Green Cities Programme; and
	(b) The accredited entity shall include in the operations manual for the Green Cities Programme a monitoring and reporting methodology covering GHG emissions reductions brought about by the funded activity.
	Conditions from the Board
	(a) In relation to each Category A public sector sub-project to be funded under the Facility, the Accredited Entity shall disclose the Project Summary Document, Environmental and Social Impacts Assessment (ESIA) and Environmental and Social Action Plan (ESAP), and, as appropriate, inclusive of the Resettlement Policy Framework (RPF) and/or Land Acquisition and/or Resettlement Action Plan (LARAP or RAP), and any other associated information required to be disclosed in accordance with the Accredited Entity's Public Information Policy ("Project Disclosure Package"). The Accredited Entity, 120 calendar days in advance of its Board meeting, shall disclose, in English and the local language (if not English), the Project Disclosure Package on its website and shall require that the Borrower does so in locations convenient to affected peoples, and provide the Project Disclosure Package to the GCF Secretariat for further distribution to the Board and Active Observers and for posting on the GCF website.



	(b) Within 180 days of the GCF Board approval of the Facility, the Accredited Entity and GCF Secretariat shall agree on a process to enable communication of any comments, including from the GCF Board members and Active Observers, on Category A public sector sub-projects relating to the Project Disclosure Package to the Accredited Entity, and to take account of such comments in the finalisation of such documents.
FP 087 (IUCN Guatemala)	<i>Relevant iTAP conditions</i> <u>Satisfaction of the following conditions prior to the first disbursement under the</u> <u>FAA:</u>
	(a) Provision by the accredited entity to the Fund, in form and substance satisfactory to the GCF Secretariat, of a report that demonstrates how the forecasts of the meteorological and hydrological information systems are considered as the criteria in the selection of climate change adaptation activities under the Grants Facility; and
	(b) Provision by the accredited entity to the Fund of a Grant Facility Manual, in a form and substance satisfactory to the Secretariat, reflecting representation of relevant ministries in the Steering Committee of the Grant Facility.
FP 089 (FAO El Salvador)	<i>Relevant iTAP conditions</i> <u>Satisfaction of the following conditions prior to the first disbursement under the</u> <u>FAA:</u>
	(a) Delivery by the accredited entity, in form and substance satisfactory to the Secretariat, of an action plan developed together with and agreed by MARN and MAG that includes:
	(i) Details on how the financing and coordination arrangements for the existing government programmes targeting the same municipalities and beneficiary households as this project, relate to and will complement the project activities as set out in the funding proposal, including the FIAES contribution;
	 (ii) Details on how complementarity between (A) the existing government "Paquete Agricola" programme to distribute maize and bean seeds and (B) the proposed interventions at family level, will be ensured, including in relation to operational and administrative aspects;
	 (iii) Details on how the accredited entity will develop an unbiased selection mechanism for investments in municipalities and family-level beneficiaries which is based on bio-physical and socio-economic indicators;
	 (iv) Copies of the draft agreements that municipalities and family-level beneficiaries will be required to enter into pursuant to which: (i) they agree to the project; (ii) commit to their share of responsibilities; and (iii) agree to sustain the relevant interventions after the completion of project implementation;
	 (v) Details on the landscape-level approach and interventions, providing details on the water and forestry components and which describes how landscape-planning supports adaptation interventions that will generate adequate ecosystem services as set out in the funding proposal;





	(vi) A detailed framework and other relevant measure to ensure that municipalities that receive funds under the project work together on their land-use plans to achieve the project's adaptation goals for the dry corridor as set out in the funding proposal; and
	(vii) A communication strategy that will effectively transfer technology and knowledge to communities so that they are empowered to sustain their livelihoods.
	 (b) Delivery by the accredited entity, in form and substance satisfactory to the GCF Secretariat, of a revised plan for the water system interventions which: (i) identifies relevant technologies, unit costs, and methods for selection of family-level beneficiaries; (ii) demonstrates that sanitation and hygiene programmes can be implemented simultaneously with drinking water interventions; and (iii) provides evidence that the relevant interventions are sustainable and scalable.
	(c) Delivery by the accredited entity, in form and substance satisfactory to the GCF Secretariat, of a plan that describes how market and financial mechanisms or arrangements with relevant institutions could be utilized by family-level beneficiaries to sustain their agricultural production and surpluses to increase their income and gradually shift from subsidies to sustainable livelihoods.
	Insertion of the following covenant in the FAA:
	(a) The plans referred to in paragraphs (a)-(c) above shall be implemented by the accredited entity and the other Executing Entities, as relevant, during the project's implementation, and the accredited entity shall report on the implementation of such plans as part of the annual performance reports to be submitted by the accredited entity to the GCF in accordance with the AMA and FAA.
FP 090 (ADB Tonga)	<i>Relevant iTAP condition</i> Satisfaction of the following condition prior to the signing of the FAA:
	(a) Submission to the Fund by the accredited entity of a copy of the Project Administrative Manual, approved by the accredited entity, which shall include, to the satisfaction of the GCF Secretariat, the methodology covering GHG emissions reductions brought about by the funded activity.
FP 091 (ADB Kiribati)	<i>Relevant iTAP condition</i> <u>Satisfaction of the following condition prior to the first disbursement under the</u> <u>FAA:</u>
	(a) Delivery by the accredited entity to the GCF Secretariat of a study that measures the community's willingness to pay for water services and that confirms that the communities are willing to pay at least the amounts set out in tables 6 and 7 of the Funding Proposal.
FP 092 (AfDB Niger Basin)	None.
FP 093 (AfDB Burkina Faso) FP 094	None.
FP 094	None.

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(UNDP	
Comoros) FP 095	Secretariat conditions
(AFD TFSC)	Satisfaction of the following conditions prior to the signing of the FAA:
	(a) The accredited entity submitting in form and substance satisfactory to the GCF Secretariat, within thirty (30) calendar days from the date of GCF board approval, a description of the legal arrangements to be put in place between AFD and PROPARCO for the implementation of the Programme; and
	(b) The accredited entity submitting in form and substance satisfactory to the GCF Secretariat, prior to the execution of the FAA, comprehensive criteria according to which: (i) eligible LFPs; and/or (ii) other entities that may be selected for the purposes of Programme implementation, such as national associations and/or project management entities, will be selected during Programme implementation.
	<i>Relevant iTAP conditions</i> <u>Satisfaction of the following conditions prior to the first disbursement under the FAA:</u>
	(a) Prior to the first disbursement of reimbursable funds by GCF under the funded activity agreement, the accredited entity shall deliver an operations manual, in a form and substance satisfactory to the Secretariat, detailing the implementation of the programme and eligibility criteria for the selection of sub-projects. The programme eligibility criteria shall be in line with the eligibility criteria in the funding proposal and the GCF investment criteria (as per the results management framework), and shall take into consideration the expected impact by different sectors; and
	(b) Prior to the first disbursement of GCF reimbursable funds under the funded activity agreement to an LFP, the accredited entity shall deliver a written confirmation, in a form and substance satisfactory to the Secretariat, that the LFP: (1) has sufficient internal capacity to disburse eligible sub-loans in compliance with the operations manual, or (2) has received technical assistance to build sufficient capacity to disburse eligible sub-loans in compliance with the operations manual.
	Satisfaction of the following condition prior to all disbursements under the FAA:
	 (b) Except for the first disbursement, the accredited entity shall deliver to GCF, together with the disbursement request, a written confirmation, issued by an authorized officer of the accredited entity, that the sub-projects being implemented under the programme are in compliance with the criteria set in the operations manual and it shall report ongoing status of implementation of the Programme.
	Conditions from the Board
	(a) As per the Programme Environmental and Social Framework (ESF), the Accredited Entity will review and approve environmental and social (E&S) safeguards for Category A sub-projects in accordance with its policies and procedures. This review will include adequacy of public disclosure and consultations. Public disclosure and consultations should be done by the



	sub-project owner and the relevant LFP following the provisions of the ESF. When the LFP sends the draft, as well as final, Summary of Investment Information (SII) to the Accredited Entity, the Accredited Entity will forward the SII to the Fund no less than 60 calendar days before the Accredited Entity approval of the sub-project for further dissemination to the GCF Board and active observers in compliance with the GCF's and the Accredited Entity's information disclosure policies.
	(b) The SII will include the following information in accordance with the GCF's and Accredited Entity's Information Disclosure Policies:
	(i) the total sub-project cost;
	(ii) the amount and nature of the Accredited Entity's funding;
	(iii) the location of the sub-project;
	(iv) a brief description of the sub-project;
	(v) the expected development impact of the sub-project;
	(vi) E&S potential impacts and, the Environmental and Social Impact Assessment (ESIA) of the sub-project and the related Environmental and Social Action Plan (ESAP), and, as appropriate, inclusive of the Resettlement Policy Framework (RPF) and/or Land Acquisition and/or Resettlement Action Plan (LARAP or RAP), and Indigenous Peoples Plan (IPP) and/or Indigenous Peoples Planning Framework (IPPF); and
	(vii) any other associated information required to be disclosed in accordance with the Accredited Entity's Information Disclosure Policy.
	(c) Confidential information under the Accredited Entity's Information Disclosure Policy and GCF's Information Disclosure Policy shall not be disclosed.
	(d) Within 180 days of the GCF Board approval of the Programme, the Accredited Entity and GCF Secretariat shall agree on a process to enable communication of any comments on Category A sub-projects relating to the SII to the Accredited Entity, and for such comments to be taken into account in the decision on the sub-projects.
FP 096 (AfDB Congo)	None.
FP 097 (CABEI CAMBio II)	<i>Relevant iTAP conditions</i> <u>Satisfaction of the following condition prior to the first disbursement under the</u> <u>FAA:</u>
	(a) Prior to the first disbursement under the FAA, the Accredited Entity shall provide and deliver the following operations manuals, in form and substance satisfactory to the GCF Secretariat:
	(i) an Operations Manual for the Risk-Sharing Facility under component 1,
	(ii) an Operations Manual for the Technical Assistance under component 2; and
	(iii) an Operations Manual for the Adapt Awards under component 3;



	containing details of implementation of the Programme and eligibility criteria for the selection of sub-projects, including inputs from the selected Technical Assistance Service Providers (TASPs).
FP 098 (DBSA CFF)	Relevant iTAP conditions
	Satisfaction of the following condition prior to the first disbursement under the FAA:
	(a) Prior to the first disbursement of reimbursable funds by GCF under the funded activity agreement, the accredited entity will deliver a written confirmation, in a form and substance satisfactory to the Secretariat, that an operating unit of CFF has been established within the accredited entity for implementation of the programme, with sufficient capacity to originate, structure, implement and monitor the portfolio of eligible projects in accordance with the operational manual and to ensure that loans are provided to eligible sub-projects.
	Satisfaction of the following condition prior to all disbursements under the FAA:
	(a) Except for the first disbursement, the accredited entity will deliver to GCF, together with the disbursement request, a written confirmation, issued by an authorized officer of the accredited entity, that the subprojects being implemented under the programme are in compliance with the criteria set in the operational manual and it will report the ongoing status of programme implementation.
FP 099 (FMO CIO)	Conditions from the Board
	 (a) In relation to each Category A and Category B sub-project to be funded under the Programme, the Accredited Entity shall disclose the Project Summary Document, an IFC Performance Standard-compliant Environmental and Social Impact Assessment (ESIA) and Environmental and Social Management Plan (ESMP), and, as appropriate, inclusive of the Land Acquisition and/or Resettlement Action Plan (LARAP or RAP), and any other associated information including those relevant to indigenous peoples required to be disclosed in accordance with the Accredited Entity's Public Information Policy ("Project Disclosure Package"). The Accredited Entity shall disclose, 120 calendar days (for Category A sub-projects) or 30 calendar days (for Category B sub-projects) in advance of the Climate Investor One Investment Committee (on which the Accredited Entity is represented) for the Construction Equity Fund (CEF) confirming a legally binding commitment can be made to fund the sub-project that has been categorized as Category A and Category B, in English and the local language (if not English), the Project Disclosure Package on its website and in locations convenient to affected peoples, and provide the Project Disclosure Package to the GCF Secretariat for further distribution to the Board and Active Observers and for posting on the GCF website.
	 (b) Within 180 days of the GCF Board approval of the Programme, the Accredited Entity and GCF Secretariat shall agree on a process to enable communication of any comments, including from the GCF Board members and Active Observers, on Category A and Category B sub-projects relating to



	the Project Disclosure Package to the Accredited Entity, and to take account of such comments in the finalisation of such documents.		
SAP002	Relevant iTAP condition		
(WFP Kyrgyzstan)	Satisfaction of the following condition prior to the second disbursement under <u>the FAA:</u>		
	Submission by the accredited entity, in a form and substance satisfactory to the GCF Secretariat, of a complete capacity-building and training plan involving all types of off-farm activities. This plan must contain annexes with training manuals developed in line with curricula for similar types of training that are generally approved by a relevant national technical institution.		
SAP003	Condition from the Board		
(UNEP Bahrain)	Condition for the execution of the FAA:		
	The FAA, including all its schedules and annexes, shall reflect only the implementation of output 1.1 included in the funding proposal and in the term sheet submitted to the Board for consideration		
SAP004 (XacBank)	Relevant iTAP conditions		
	(a) Prior to the first disbursement, the AE engages an independent technical advisor to undertake and submit to the GCF a feasibility study of the Programme's assistance for the implementation of sub-component 1.2 (EE housing). The study shall include: the assessment and confirmation of the technical capacity of the construction contractors to be eligible under the Programme. Such assessment shall include the contractors' track records in designing and constructing EE houses, an analysis of price competitiveness of the EE houses built by the contractor against ordinary houses widely built (presumably non EE) in the market to ensure the price of EE houses are reasonable and competitive, and a methodology for quality control adopted by the contractor including procedure and steps to be followed during and post construction.		
	(b) Within one month after the first cooperation agreement is signed, the AE submits:		
	(i) A copy of the agreement;		
	 (ii) A legal opinion by AE legal office confirming that the cooperation agreement(s) between the AE and the eligible contractor(s) is/are effective and legally binding. Such agreement(s) shall include the right of the AE to receive an indemnification from the contractor(s) equivalent to the balance between the interest rate applied by the AE in its ordinary mortgage loan for non EE housing construction and the interest rate of the proposed concessionally priced loan for EE housing construction; 		
	 (iii) A confirmation that the beneficiaries (house owners) are financially protected and compensated under the Programme in case the house constructed by the contractor does not deliver energy saving to the level agreed by the contractor and AE (i.e. 40 per cent); and 		
	(c) Before the second disbursement, the AE submits the GCF a plan indicating the necessary arrangements to be undertaken by the AE with the		



governmental authorities to the creation and implementation of an effective
system to measure the Programme's aggregated impacts, which shall be
added to the Nationally Appropriate Mitigation Actions.

2. The decision by the Board to permit certain accredited entities to seek further approval of the Board of commitment of further funding pursuant to decision B.21/10 shall be conditional upon the satisfaction of the conditions set out in table 3.

Table 3. Conditions for approval	of further commitment by the Board
Table 5: Conditions for approval	of fulliner commitment by the board

Funding	Conditions	
proposal number		
FP 083 (World	Satisfaction of the following conditions prior to approval by the Board of	
Bank Indonesia)	<u>a commitment of further funding</u>	
	(a) The GCF having resources available for commitment in respect of the amounts requested;	
	(b) All APRs and other reports outlined in the relevant FAA Schedule submitted by the accredited entity are in form and substance satisfactory to the GCF Secretariat, and that all changes (if any) required by the GCF Secretariat as a consequence of such APRs and reports not being satisfactory have been addressed to the satisfaction of the GCF Secretariat; and	
	(c) The accredited entity has delivered, in form and substance satisfactory to the GCF Secretariat, within four (4) years of the date of approval by the Board of this funding proposal:	
	(i) A request for approval by the Board of a further commitment for the funded activity;	
	 (ii) Evidence that at least seventy per cent (70%) of the aggregate amount of the funds previously disbursed by the GCF have been cumulatively disbursed by the accredited entity or legally committed by the executing entity for the implementation of funded activity; and 	
	(iii) A document describing any changes required to the funding proposal and the FAA, including such changes to the activities, outputs, outcomes and impacts of the funded activity as are required to reflect the additional activities to be undertaken with the further funding requested.	
FP 086 (EBRD	Satisfaction of the following conditions prior to approval by the Board of	
Green Cities)	a commitment of further funding	
	(a) The GCF having resources available for commitment in respect of the amounts requested;	
	(b) All APRs and other reports outlined in the relevant FAA Schedule submitted by the accredited entity are in form and substance satisfactory to the GCF Secretariat, and that all changes (if any) required by the GCF Secretariat as a consequence of such APRs and reports not being satisfactory have been addressed to the satisfaction of the GCF Secretariat; and	



The accredited entity has delivered, in form and substance satisfactory to the GCF Secretariat, no later than one year prior to the closing date specified in the FAA:
(i) A request for approval by the Board of a further commitment for the funded activity;
 (ii) Evidence that at least seventy per cent (70%) of the funds previously disbursed by the GCF have been cumulatively committed by the accredited entity for the implementation of the funded activity;
(iii) An indicative pipeline of activities to be funded in full with the further requested funding and other co-financing available to the accredited entity;
(iv) In relation to any activities to be funded by a grant from the GCF, an indicative budget by expenditure type;
 (v) Confirmation that the accredited entity's board of directors has duly authorized, in principle, its co-financing proportionate to the requested subsequent tranche of the GCF funding as part of the total facility; and
(vi) A document describing any changes required to the funding proposal and the FAA, including such changes to the activities, outputs, outcomes and impacts of the funded activity as are required to reflect the additional activities to be undertaken with the further funding requested.

3. In addition, it is recommended that, for all approved funding proposals, disbursements by the GCF should be made only after the GCF has obtained satisfactory protection against litigation and expropriation in the country where the project/programme will be implemented or has been provided with appropriate privileges and immunities in that country.

It is also recommended that the accredited entity implements the following 4. recommendations during the implementation of the relevant project or programme.

Table 4: Project-specific recommendations	
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Funding proposal number	Recommendation
FP 083 (World Bank Indonesia)	None.
FP 084 (UNDP India)	iTAP recommends that the accredited entity implements a system to charge a fee to tourists attracted to this coastal area as a payment for ecosystem services to be used to support this project and its potential expansion/scalability.
FP 085 (ADB Pakistan)	None.
FP 086 (EBRD Green Cities)	None.



ED 007		
FP 087 (IUCN Guatemala)	iTAP recommends that the Evaluation Committee is expanded to include civil society members and academics representing relevant subjects in national universities.	
	The Board recommends that the accredited entity takes the following into consideration during the implementation of the funded activity:	
	(a) promoting the diversity of species, as well as the inclusion of native and endemic species, to enhance biodiversity and improved resilience;	
	 (b) promoting the diversity of crops associated with the trees, especially in the case of the vegetables and fruit trees that complement the basic staples of maize and beans; 	
	 (c) taking measures to promote the availability and promotion of seeds that enhance biodiversity and are climate resilient as well as culturally accepted, during and after the implementation period of the project; 	
	(d) entering into agreements, with diverse institutions and actors on their roles and responsibilities in planning and operating the network of network of agro-/hydrometeorological stations, as well as the agro- climatic observatory, that are aligned to avoid duplication and competition; and	
	(e) reaching agreements with the currently operating private stations on access to and sharing of data, and thereafter evaluate the need for acquiring additional new stations.	
FP 089	None.	
(FAO El Salvador)		
FP 090 (ADB Tonga)	None.	
FP 091 (ADB Kiribati)	None.	
FP 092 (AfDB Niger Basin)	None.	
FP 093	None.	
(AfDB Burkina Faso)		
FP 094	None.	
(UNDP Comoros)		
FP 095 (AFD TFSC)	None.	
FP 096	iTAP recommends that prior to the start of the implementation of this program i.e. before the first disbursement, the accredited entity should submit	



(AfDB Congo)	to the GCF Secretariat a revised plan for capacity building that will involve the selection of local critical team whose capacity will be built through tangible participation (understudying the main Consultants) in all the work of the executing entity team that will be put together by the accredited entity. Such a local team should have in place professionals who can: identify sites of future mini-grids; carry out engineering design and financial / economic analysis of such systems; and carry out all the functions that an executing entity will be involved in that will deliver mini-grid programs in other towns in the Democratic Republic of Congo.
FP 097 (CABEI CAMBio II)	None.
FP 098 (DBSA CFF)	None.
FP 099 (FMO CIO)	None.
SAP002 (WFP Kyrgyzstan)	None.
SAP003 (UNEP Bahrain)	None.
SAP004 (XacBank Mongolia)	None.