

Annex III: Workplan of the Board for 2019

Issue	B.22	B.23	B.24
1. BOARD MATTERS			
a. Guidance from the COP			
<p>COP guidance and reports (<i>Governing Instrument for the GCF, paras. 6 (a–c); decisions B.17/04, paras. (b) and (d); and B.19/02</i>) (UNFCCC decision 5/CP.19)</p>	<p>Incorporation of COP 24 guidance into the Board workplan</p>	<ul style="list-style-type: none"> • Consideration of the Co-Chairs' proposal on privileges and immunities of the GCF (UNFCCC decision 9/CP.23, para. 14, Decision B.19/02, para. (d)) • Approve the eighth GCF report to the COP – COP report to include the report of the COP 24 annual meeting with the UNFCCC thematic bodies; and updates related to complementarity and coherence with other funds (Decisions B.13/11, para. (e); B.17/04, para. (d); and B.18/02, para. (c)) 	<p>Addendum to the COP report submitted to the UNFCCC by the Co-Chair with the support of the Secretariat</p>
<p>Complementarity and coherence (Decisions B.13/12, para. (c); and B.17/04, para. (b)) (UNFCCC decisions 7/CP.21, para. 26; and 7/CP.20, para. 16)</p>	<ul style="list-style-type: none"> • Adoption of an updated operational framework on complementarity and coherence for 2019–2020 (Decision B.20/05, para. (e)) 	<p>Annual report presenting the outputs from the operational framework on complementarity and coherence, <i>To also be included in the seventh GCF report to the COP</i> (Decisions B.17/04, para. (b); and B.18/03, para. (e))</p>	

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Mobilization of private sector finance to progress GCF forestry-related results areas ¹ <i>(Decisions B.12/07, para. (f); B.BM-2017/02; and B.17/01, para. (b) (xxi))</i>		Mobilization of private sector finance to progress GCF forestry-related results areas	
Alternative policy approaches <i>(Decisions B.12/07, para. (e); B.14/01, para. (e); and B.17/01)</i> <i>(UNFCCC decisions 10/CP.22, para. 4; and 7/CP.21, para. 25)</i>			Consideration of alternative policy approaches for the integral and sustainable management of forests*
Support for technology <i>(Decisions B.18/03, para. (c) and B.20/03, para. (b)(ii))</i> <i>(UNFCCC decision 7/CP.21, para. 22)</i>		Presentation of the TOR for a request for proposals to support climate technology incubators and accelerators <i>(Decision B.18/03, para. (c))</i>	
b. Strategies and plans			
Workplan of the Board			Adoption of the workplan for 2020
Strategic Plan of the GCF <i>(Decisions B.11/03; B.12/20; and B.17/05, para. (d))</i>	<ul style="list-style-type: none"> • Presentation of a comprehensive 2018 annual status report on the implementation of the Strategic Plan • [Pending outcomes of B.21] Review of the Strategic Plan of the GCF <i>(Decision B.19/05, para. (c))</i> 		
Communications strategy <i>(Decisions B.01-13/05, para. (c); B.04/14, para. (c); B.13/25, para. (f); and B.17/01, para. (b) (ii))</i>	Adoption of a communications strategy <i>(Decisions B.13/25, para. (f); B.17/01, para. (b) (ii); and B.19/17, para. (b))</i>		
c. Committees, panels and groups			

¹ PSAG

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Review of committees and panels (Annexes XVI–XIX to decision B.05/13, and decision B.20/04, para. (b))	Presentation of findings of the review of committees and panel		
Oversight of Board-appointed officials ² (Decisions B.12/08; and B.15/02, para. (a))	Board decision on oversight of the independent units (Decisions B.12/08; and B.15/02, para. (a))		
Review of the TAP ³ (Decision B.19/08)		Review of the composition of the TAP upon finalization of the policies related to the proposal approval structure (Decision B.19/08, para. (k))	
Matters regarding conflicts of interest of persons engaged with the GCF ⁴ (Document GCF/B.16/23 titled “Decisions of the Board – sixteenth meeting of the Board, 4 – 6 April 2017”, para. 8)		Consideration of recommendations from the Ethics and Audit Committee on various matters regarding conflicts of interest of persons engaged with the GCF (Document GCF/B.16/23, para. 8)	
A mechanism to draw on appropriate scientific and technical advice (Decisions B.04/09, para. (d); and B.14/07, para. (o))			Presentations of options for a mechanism to draw on appropriate scientific and technical advice
d. Permanent Trustee selection			
Competitive process for the selection of the Permanent Trustee ⁵ (Decisions B.08/22, para. (b); B.15/08,	[Pending outcome of B.21]		

² Co-Chairs

³ Investment Committee.

⁴ Ethics and Audit Committee

⁵ Ad-hoc Committee on Trustee Selection.

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<i>para. (a); B.16/05, annex II; and B.19/03)</i>			
e. Observers			
Participation of observers <i>(Decisions B.01-13/03, annex XII, para. 17; B.05/23, para. (b); B.BM-2016/11; B.13/27, para. (b); and B.BM-2017/02)</i>	Presentation of the outcomes of the review of guidelines on observer participation <i>(Decisions B.BM-2016/11; and B.BM-2017/02)</i>		
Policies on ethics and conflicts of interest ⁶ <i>(Decisions B.09/03, para. (b); and B.13/27, para. (b))</i> <i>(Document GCF/B.16/23, para. 8)</i>	Policy on ethics for active observers <i>(Decisions B.09/03, para. (b); and B.13/27, para. (b))</i>		
2. RESOURCE MOBILIZATION			
First formal replenishment process of the GCF ⁷ <i>(Decisions B.12/09; and B.19/05)</i>	[Pending outcomes of B.21]	[Pending outcomes of B.22]	[Pending outcomes of B.23]
Policies and procedures for contributions from philanthropic foundations and other non-public and alternative sources <i>(Decisions B.08/13, annex XIX, paras. 5-7; B.11/05, para. (d); B.14/01, para. (i); and B.17/01, para. (b) (viii))</i>	Policies and procedures for contributions from philanthropic foundations and other non-public and alternative sources		
3. FUND POLICIES			

⁶ Ethics and Audit Committee.

⁷ Co-Chairs

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a. Investment framework – matters related to the approval of funding proposals			
Initial proposal approval process (Decisions B.07/03; B.11/11; and B.17/09, paras. (g), (m), (o) and (p))		<ul style="list-style-type: none"> Defining the nature, scope and extent of second-level due diligence by the Secretariat* (Decisions B.17/09, para. (o), and B.20/03, para. (b)(iv)) 	
Simplified approval process (Decision B.18/06)	Review of the Simplified Approval Process Pilot Scheme (Decision B.18/06, para. (b))		
Annual review of the financial terms and conditions of the GCF financial instruments ⁸ (Decisions B.09/04, para. (h))			Annual review of the financial terms and conditions
Annual review of the scaling pilot ⁸ (Decisions B.09/05, para. (f); and B.10/17, para (e))			Annual review of the scaling pilot (Decision B.10/17, para (e))
b. Risk management framework			
Development of the risk management framework ⁹	<ul style="list-style-type: none"> Adoption of risk rating models* (Decision B.17/11, para (f); and B.20/03, para. (b)(v)) 		
	<ul style="list-style-type: none"> Adoption of the legal risk policy (Decision B.17/11, para. (g)) 		
c. Results management framework			
Results management framework (Decisions B.08/07, para. (b); B.13/34; B.17/01 para. (b) (x); and B.19/06, para. (e) (iv)), B.19/21, annex XXI, para. 5(c))	Finalization of indicators in the results management framework and performance measurement framework*		

⁸ Investment Committee

⁹ Risk Management Committee.

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<i>[independent evaluation of the RMF/PMF]</i>			
d. Fund-wide policies			
Information disclosure policy <i>(Decisions B.12/35, paras. (b) and (g); annex XXIX, para. 28; B.17/01, para. (b) (xvii); and B.18/01, para. (g))</i>		Review of the live webcasting service for formal meetings of the Board <i>(Decision B.BM-2018/07, para. (b))</i>	Presentation of recommendations on the review of the relevant disclosure requirements once the environmental and social management system is developed <i>(Decision B.12/35, para. (b))</i>
Travel policy for the GCF ¹⁰ <i>(Decisions B.12/13, and B.15/02)</i>			Adoption of a travel policy for the GCF
4. ACCREDITATION FRAMEWORK			
Initial fiduciary standards and integrity policies <i>(Decisions B.07/02; B.12/31, para. (i); B.14/01, paras. (e) and (f); B.14/08, para. (f); and B.20/03, para. (b)(i))</i>	Adoption of fiduciary compliance and integrity policies/policies relating to prohibited practices, anti-money laundering and countering the financing of terrorism ^{11*} <i>(Decisions B.12/31, para. (i); B.14/01, para. (e); and B.15/13)</i>		Integration of policies relating to prohibited practices, anti-money laundering and countering the financing of terrorism in the interim fiduciary standards* <i>(Decision B.14/01, para. (f))</i>
Environmental and social management system ¹² <i>(Decisions B.07/02, para. (n); and B.19/10)</i>	Consideration of a proposed approach to developing the GCF environmental and social safeguards standards <i>(Decision B.19/10, para. (c))</i>		
Monitoring and accountability framework <i>(Decision B.11/10, para. (a), annex II)</i>			Presentation of the annual portfolio performance report <i>(Decision B.11/10, para. (a), and annex II, para. 9)</i>

¹⁰ Co-Chairs

¹¹ Ethics and Audit Committee

¹² Accreditation Committee.

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5. COUNTRY PROGRAMMING AND READINESS			
Implementation of the Readiness and Preparatory Support Programme <i>(Decisions B.06/11, para. (f); and B.19/15, para. (f))</i>	Consideration of a proposal for improving the Readiness Programme based on the outcome of the conclusions of the Secretariat’s initial review and of the independent evaluation of the Readiness Programme <i>(Decision B.19/15, para. (f))</i>		
Country ownership guidelines <i>(Decision B.17/21)</i>	Presentation of the annual assessment of the application of the country ownership guidelines <i>(Decision B.17/21, para. (c))</i>		
Project preparation facility (PPF) <i>Decision B.13/21</i>			<ul style="list-style-type: none"> • Independent review of the implementation of operational guidelines and the effectiveness of the PPF <i>(Decision B.13/21, para (e))</i> • Review of funding for the PPF <i>(Decision B.13/21, para (f))</i>
6. PRIVATE SECTOR MATTERS			
Private sector in LDCs and SIDS ¹³ <i>(Decisions B.19/18, para. (b); and B.20/03, para. (b)(vi); and UNFCCC decision 10/CP.22, para. 11)</i>	Consideration of modalities to support activities to enable domestic and international private sector actors to engage in GCF activities in LDCs and SIDS <i>(Decision B.19/18, para. (b), and B.20/03, para. (b)(vi))</i>		

¹³ PSAG

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Private sector in adaptation ¹³ (Decisions B.15/03, para. (i)(ii); and B.17/06, para (d)(ii))		Consideration of PSAG recommendations to engage the private sector, including local actors, in adaptation action at the national, regional and international levels	
Private sector MSME pilot programme (Decisions B.09/09, para. (h); B.10/11, para. (b)(i); and B.13/22)			Presentation of TOR for request(s) for proposals for the remainder of the allocation for the MSME pilot programme (Decision B.13/22, para. (f))
7. INDEPENDENT UNITS			
Independent Integrity Unit ¹⁴			<ul style="list-style-type: none"> • Presentation of the annual report of the Unit for 2019 • Approval of the work programme and budget of the Unit for 2020
Independent Evaluation Unit	<ul style="list-style-type: none"> • Approval of the independent evaluation policy* (Decision B.06/09, para. (a), annex III, para. (5); and B.16/07, para. (c)) 		<ul style="list-style-type: none"> • Report from the learning oriented real-time impact assessment (LORTA) programme for GCF approved investments • Presentation of the annual report of the Unit for 2019 • Approval of the work programme and budget of the Unit for 2020
Independent Redress Mechanism ¹⁴	<ul style="list-style-type: none"> • Adoption of detailed guidelines and procedures of the independent Redress Mechanism (Decision B.13/24, para. (b)) 		Approval of the work programme and budget of the Unit for 2020

¹⁴ Ethics and Audit Committee

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	<ul style="list-style-type: none"> • Presentation of the annual report of the Unit for 2018 		
8. ADMINISTRATIVE MATTERS			
Administrative guidelines (<i>Decision B.06/03, annex I</i>)	Revised administrative guidelines on human resources	<ul style="list-style-type: none"> • Presentation of reviewed administrative guidelines on procurement (<i>Decisions B.12/39, para. (a); and B.17/01, para. (c)</i>); 	<ul style="list-style-type: none"> • Administrative guidelines on information communication and technology
Workplan and administrative budgets ¹⁵	<ul style="list-style-type: none"> • A report on the execution of the administrative budgets for 2019 • Presentation of the draft unaudited financial statements for 2018 	<ul style="list-style-type: none"> • A report on the execution of the administrative budgets for 2019 • Approval of the audited financial statements for 2018 	<ul style="list-style-type: none"> • A report on the execution of the administrative budgets for 2019 • Approval of the work programme and administrative budget for 2020

9. MATTERS TO BE ADDRESSED AT EACH BOARD MEETING	
Approvals	<ul style="list-style-type: none"> • Consideration of funding proposals • Consideration of proposals to accredit entities
Co-Chairs' report, consultations and standing matters	<p>The Co-Chairs will report to each meeting on the status of consultations and will bring those matters to the attention of the Board as appropriate.</p> <p>The report on the activities of the Co-Chairs will include status updates on:</p> <ul style="list-style-type: none"> • The revised workplan following B.22 and B.23; • Board decisions proposed* and approved between meetings; and • Election of Co-Chairs (last Board meeting of the year)
Secretariat matters	<ul style="list-style-type: none"> • Report on the activities of the Secretariat, outlining the status of implementation of the Secretariat's work programme for 2018; • Actions taken to include gender considerations in the activities of the GCF (<i>Decision B.12/20, para. (d)</i>);

¹⁵ Budget Committee.

	<ul style="list-style-type: none"> • Legal and formal arrangements with accredited entities; • Status of the GCF portfolio and pipeline (<i>Decisions B.11/11; and B.13/21, para. (d)(ix)</i>); and status report on the PPF requests received (<i>Decisions B.13/21, para. (d)(ix); B.13/21, para. (f); and B.17/01, para. (b)(xiv)</i>); • Status of the GCF portfolio: approved projects and fulfilment of conditions (<i>Decision B.14/07, paras. (i) and (j)</i>); • Status of the initial resource mobilization process; • Progress report on the implementation of the Readiness work programme, including the status of NAPs (<i>Decision B.06/11, para. (f)</i>); and • Consolidated Board document on all information reports (<i>Decision B.18/12, para. (b)</i>)
Independent unit reports	<ul style="list-style-type: none"> • Reports of the independent units (<i>Independent Evaluation Unit report – decision B.19/21, para. (d)</i>)
Other procedural agenda items	<ul style="list-style-type: none"> • Adoption of the agenda; • Adoption of the report of the previous meeting; • Reports from Board committees, panels and groups; • Dates and venues of the following meetings of the Board (<i>Decision B.17/24, para. (c)</i>); and • Report of the meeting

Abbreviations: B.18–24 = eighteenth to twenty-fourth meetings of the Board, COP = Conference of the Parties to the United Nations Framework Convention on Climate Change, LDCs = least developed countries, MSME = micro, small and medium-sized enterprise, NAP = national adaptation plan, PMFs = Performance Measurement Frameworks, PPF = Project Preparation Facility, PSAG = Private Sector Advisory Group, SIDS = small island developing States, RMF = Results management framework, TAP = independent Technical Advisory Panel, TOR = terms of reference, UNFCCC = United Nations Framework Convention on Climate Change.

*Denotes matters required to be consulted with accredited entities prior to their being presented to the Board for adoption (clause 32.04 of the template AMA adopted by decision B.12/31 (annex XXVI))