

Annex I: Updated work plan of the Board for 2017

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Strategies and plans			
Work plan for 2017		Circulation of an updated 2017 work plan incorporating B.16 decisions	Adoption of a work plan for 2018
Strategic plan for the GCF (decisions B.11/03 and B.12/20)	Provide a progress report on the implementation of the strategic plan (decision B.12/20, para. (d))	<ul style="list-style-type: none"> Consideration of the decision on the frequency of reporting for the Strategic Plan (matter outstanding from B.16, relating to decision B.12/20, para. (d)) Update on country and entity work programmes 	Provide a progress report on the implementation of the strategic plan (decision B.12/20, para. (d))
Strategy on accreditation (decision B.10/06, para. (r), decision B.12/21, and decision B.13/19, para. (b));		<ul style="list-style-type: none"> Consideration of matters related to the accreditation framework and policy, including An update on the strategy on accreditation (decision B.14/08, para. (b)) 	Accreditation strategy: consideration of matters related to the accreditation framework and policy
Communications strategy (decision B.01-13/05, para (c), and decision B.04/14, para. (c), B.13/25, para. (f))			Presentation of a communications strategy for adoption (decision B.13/25, para. (f))
Governance – Guidance from COP			
COP guidance and reports (Governing Instrument for the GCF, paras. 6(a-c))	Update on progress in addressing COP 22 guidance (decision B.15/03)	Approve the sixth GCF report to the COP, including the biennial report on privileges and immunities (report to address mandates in decision B.13/11, para. (a), and decision B.15/03, paras (d), (f), (h))	
Complementarity and coherence, (decision B.12/07, para. (a), and decision B.13/12, para. (a), and decision B.13/05, B.BM-2017/02)		The presentation of an operational framework on complementarity and coherence (decision B.13/12, para. (a))	The presentation of options for the operationalization of the key elements of the operational framework on

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			<p>complementarity and coherence (decision B.13/12, para. (c))</p> <p><i>[The first annual dialogue with climate finance delivery channels proposed to commence in the margins of B.18]</i></p>
<p>Technology-related matters (decision B.12/07, para. (b))</p>			<ul style="list-style-type: none"> • Presentation of recommendations on further steps to enhance cooperation and coherence with the Technology Mechanism (decision B.14/02, para. (d)); and • Consider options for GCF support for collaborative research and development in developing countries (decision B.14/02, para. (f))
<p>Results-based payments for REDD-plus (decision B.12/07, para. (d))</p>	<p>Update on work regarding support for REDD-plus</p>	<p>The presentation of:</p> <ul style="list-style-type: none"> • An RfP for REDD-plus results-based payments; and • Further guidance for NDAs and focal points on how to engage with the GCF in the early phases of REDD-plus <p>(decision B.14/03, para. (b))</p>	<p>Any follow-up matters after the adoption of the REDD-plus RfP and guidance for NDAs and focal points on how to engage with the GCF in the early phases of REDD-plus</p>
<p>Alternative policy approaches (decision B.12/07, para. (e))</p>			
<p>Mobilization of private sector finance to progress GCF forestry-related results areas (decision B.12/07, para. (f), B.BM-2017/02)</p>			
<p>Increasing proposals from direct access entities in the pipeline (decision B.15/03)</p>	<p>Presentation of actions being taken to facilitate an increase in proposals from direct access entities in the pipeline</p>	<p>Consideration of actions to be taken by the Board in order to facilitate an increase in proposals from direct access entities in the pipeline:</p>	
<p>Governance – committees, panels and groups</p>			

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Committees, panels and groups (annexes XVI-XIX to decision B.05/13 (annexes XVI-XIX to document GCF/B.05/23))		<ul style="list-style-type: none"> To include Ethics and Audit Committee recommendations on various matters regarding conflicts of interest of persons engaged with the GCF (Document GCF/B.16/23, para.8) Presentation of work programmes of committees and panels that include actions outlined in the strategic plan (decision B.12/20, para. (f), B.BM-2017/02) 	<ul style="list-style-type: none"> Presentation of ToRs for the review of committees, panels and groups, to be followed by a review of committees, panels and groups
Code of conduct for the Accreditation Panel (decision B.07/02, annex V, para. 14 (document GCF/B.07/11, annex V, para. 14))	Co-Chairs provide a progress report in the report of the Co-Chairs	Co-Chairs provide a progress report in the report of the Co-Chairs	
Establishment of an appointment committee as a standing committee (decision B.12/08 and decision B.15/02 (a))	Co-Chairs provide a progress report in the report of the Co-Chairs	Co-Chairs provide a progress report in the report of the Co-Chairs	Co-Chairs provide a progress report in the report of the Co-Chairs
Review of the iTAP (Decisions B.10/09, para. (d) and B.15/06 para. (c), and Decision B.09/10, annex XII)			<ul style="list-style-type: none"> Presentation of findings of the review of the structure and effectiveness of the independent Technical Advisory panel (decisions B.10/09, para. (d), B.14/01, para. (h) and B.15/06 para. (c)); and Adoption of ToRs for the performance review of the iTAP members (Decision B.09/10, annex XII), to be followed by a presentation of findings of the performance review of iTAP members; and Matters related to the appointment of iTAP members

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Review of the Accreditation Panel (Decision B.07/02, annex V, and decision B.BM-2017/03)	Adoption of the terms of references for the review of the accreditation panel members		Presentation of findings of the performance review of the Accreditation Panel (decision B.BM-2017/03);
Governance – Board procedures and observers			
Decision-making in the absence of consensus (decision B.12/11 and decision B.15/02 (a))		Co-Chairs provide a progress report in the report of the Co-Chairs	Co-Chairs provide a progress report in the report of the Co-Chairs
Decision-making without a Board meeting (decision B.12/12 and decision B.15/02 (a))		Co-Chairs provide a progress report in the report of the Co-Chairs	Co-Chairs provide a progress report in the report of the Co-Chairs
Participation of observers (decision B.01-13/03, annex XII, para. 17 (document GCF/B.01-13/12, annex XII, para. 17), decision B.05/23, para. (b), decision B.BM-2016/11 decision B.13/27, para. (b) and B.BM-2017/02)		Presentation of policies on ethics and conflicts of interests for active observers (decision B.13/27, para. (b))	Presentation of the outcomes of the review of guidelines on observer participation (decision B.BM-2016/11, B.BM-2017/02); and
Governance – Permanent Trustee selection			
Competitive process for the selection of the Permanent Trustee (decision B.08/22, para. (b) and decision B.15/08 para. (a), B.16/05, annex II)	Adoption of terms of reference of the ad hoc Trustee Selection Committee	Presentation of: <ul style="list-style-type: none"> • The terms of reference for the Permanent Trustee; • Selection process and criteria, and timeframe; and • Approval of the announcement of the open, transparent and competitive process (B.16/05, annex II) 	Presentation of the recommended final nominee to the Board for its decision (B.16/05, annex II)
Board-appointed officials			

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Executive Director of the independent Secretariat (decision B.12/22, para. (f), B.14/05)		Presentation of the performance criteria and measurement procedures (decision B.14/05)	
Heads of the Accountability Units (decision B.10/05, para. (j))			Presentation of the performance management framework of the Heads of the Units
Review of Board processes and policies			
Risk management framework (decisions B.12/34, B.13/36, para. (a), B.14/01, para. (c) and B.BM-2017/02)	Update on matters related to risk	Consideration of matters related to the GCF risk management framework, (decision B.12/34, decision B.13/36, para. (a), and decision B.14/01, para. (c))	<ul style="list-style-type: none"> • Update on matters related to risk; • Presentation of updated risk policies and the grant equivalent calculator (decision B.12/34)
Initial investment framework: annual review of the financial terms and conditions of the GCF's financial instruments			Adopt a decision on the annual review of financial terms and conditions of the GCF financial instruments (decision B.15/05, para. (b))
Initial investment framework: high-level and low-level concessional terms for the public sector (decisions B.12/17, para. (b), and para. (a), and B.BM-2017/02)			Adopt a decision on the cases in which high-level and low-level concessional terms for the public sector will apply (decision B.12/17, para. (a))
Initial investment framework: indicative minimum benchmarks			Presentation of a proposal on indicative minimum benchmarks (decision B.13/02) (Investment Committee)
Policy matters related to the approval of funding proposals (PAP: Decision B.11/11, para. (j), (SAP: Decisions B.12/10 and (B.14/01 (l)) (B.15 FP policy matters: (decision B.15/07 para. (k))	<ul style="list-style-type: none"> • Update on: <ul style="list-style-type: none"> ○ The update and the review of the initial proposal approval process; ○ The simplified process for approval of proposals for certain activities, in particular for small-scale activities 	<ul style="list-style-type: none"> • Conclusion of the update and review of the initial proposal approval process (Decision B.11/11, para. (j)); • Status of the fulfilment of conditions on relevant approved projects (decision B.14/07, paras. (i) and (j)) 	

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	<ul style="list-style-type: none"> ○ Policy guidelines on programmatic approach ● Adoption of the list of policy matters arising from the consideration of funding proposals at B.15 	<p>Matters arising from the informal Board discussions. The Board is to consider the way forward on:</p> <ul style="list-style-type: none"> ● Consideration of a proposal to address the list of policy matters arising from the consideration of funding proposals at B.15 (decision B.15/07 para. (k)); and ● Simplified process for approval of proposals for certain activities, in particular for small-scale activities (Decisions B.12/10 and (B.14/01 (l)) ● Consideration of recommendations on needed actions to align the GCF portfolio composition with the initial results management framework as contained in decision B.07/04 (decision B.09/02, para. (b)) 	
<p>A mechanism to draw on appropriate scientific and technical advice (decision B.04/09, para. (d), and decision B.14/07, para. (o))</p>			<p>Presentations of options for a mechanism to draw on appropriate scientific and technical advice (decision B.14/07, para. (o))</p>
<p>GCF Gender policy and Gender action plan (decisions B.09/11 B.12/16, B.14/01, para. (g), B.BM-2017/02)</p>	<p>Update on actions taken to include gender considerations in the activities of the fund in the report of the Secretariat</p>	<p>Update on actions taken to include gender considerations in the activities of the fund in the report of the Secretariat</p>	<p>Presentation of findings of the review of the Gender policy and Gender action plan</p>
<p>Information disclosure policy (decision B.12/35)</p>			<ul style="list-style-type: none"> ● Approval of the modalities for the qualitative and quantitative review of the webcasting service (decision B.12/35, para (f)) ● Establishment of the independent appeals panel (decision B.12/35, annex XXIX, para.28)

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			<ul style="list-style-type: none"> • Presentation of recommendations on the review of the relevant disclosure requirements once the environmental and social management system is developed (decision B.12/35, para. (b))
Travel policy (decision B.01-13/02, para. (e), decision B.12/13, and decision B.15/02 para. (a))	Report on the Co-Chairs' consultations in the report of the Co-Chairs	Report on the Co-Chairs' consultations in the report of the Co-Chairs	Report on the Co-Chairs' consultations in the report of the Co-Chairs
Co-financing arrangements with other financial institutions (decision B.13/05, and decision B.15/02)	Report on the Co-Chairs' consultations on co-financing arrangements in the report of the Co-Chairs	Report on the Co-Chairs' consultations on co-financing arrangements in the report of the Co-Chairs	Report on the Co-Chairs' consultations on co-financing arrangements in the report of the Co-Chairs
Fund-wide Indigenous peoples' policy (decision B.15/01)			Presentation of a GCF Indigenous Peoples' Policy
Approvals			
Funding proposals	<ul style="list-style-type: none"> • Consideration of funding proposals; and • Status of the fulfilment of conditions on relevant approved projects (decision B.14/07, paras. (i) and (j)) 	Status of the GCF Portfolio and approved projects, including	Consideration of funding proposals
Private sector MSME pilot programme	Approval of proposals		<ul style="list-style-type: none"> • Approval of proposals • Presentation of ToR for request(s) for proposals for the remainder of the allocation for the MSME pilot programme (decision B.13/22, para. (f))
Mobilizing funding at scale pilot programme (decisions B.10/11, B.09/09 and B.13/04)	Presentation of an RfP for the mobilizing of funding at scale pilot programme		
Modalities that enhance direct access pilot programme (decision B.10/04, paras. (d) and (e))	Approval of proposals		Approval of proposals

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Project Preparation Facility (decision B.12/25)	Status report on the PPF requests received as part of the activities of the Secretariat report (decision B.13/21, para. (d)(ix))	Status report on the PPF requests received as part of activities of the Secretariat report (decision B.13/21, para. (d)(ix))	Status report on the PPF requests received as part of activities of the Secretariat report (decision B.13/21, para. (d)(ix))
Completion of the initial approvals process (decision B.07/03)			Policy for cancellation and termination (decision B.07/03, para. (e))
Performance measurement frameworks (decision B.08/07, para. (b) and decision B.13/34)			Further development of some indicators in the performance measurement frameworks
Accreditation			
Accreditation of entities		Consideration of proposals to accredit entities	Consideration of proposals to accredit entities
Legal and formal arrangements with accredited entities	Status of the AMAs	Status of the AMAs	Status of the AMAs
Accreditation review of applicant 026		Recommendations of the Accreditation Panel in accordance with decision B.12/30, paragraph (f)	
Initial fiduciary standards (decision B.07/02, decision B.12/31, para. (i), and decision B.14/08, para. (f))		<p>Presentation of policies relating to prohibited practices, anti-money laundering and countering the financing of terrorism (decision B.12/31, para. (i), and decision B.14/01, para (e)) (independent Integrity Unit). These include:</p> <ul style="list-style-type: none"> • KYC policy to prevent and combat money laundering and terrorist financing; • Administrative disclosure and declaration of interest statements • Policy on prohibited practices 	<ul style="list-style-type: none"> • Integration of policies relating to prohibited practices, anti-money laundering and countering the financing of terrorism in the interim fiduciary standards (decision B.14/01, para. (f)) (Accreditation Committee and independent Integrity Unit) • Commencement of the review of the initial fiduciary standards (decision B.14/08, para. (f))

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Environmental and social management system (decision B.07/02, para. (n))	Report on progress under the report on the activities of the Secretariat	Report on progress under the report on the activities of the Secretariat	Presentation of the environmental and social management system
Policy on fees for accredited entities (decision B.11/10, para. (f), B.BM-2017/02)			Revised policy on fees for accredited entities
Fast-tracking of entities			Presentation of method and criteria for the prioritization of entities applying for accreditation (decision B.14/08, para. (d)(ii))
Modalities for the use of third-party evidence in the accreditation process (decision B.14/08, para. (e)(i))			Presentation of modalities for the use of third-party evidence in the accreditation process (decision B.14/08, para. (e))
Assessment of the Adaptation Fund's environmental and social policy and gender policy and recommendations (decision B.14/08, para. (e)(iii))			Presentation of findings of the assessment, including a gap analysis of the Adaptation Fund's environmental and social policy and gender policy and recommendations on potential accreditation and fast-tracking (decision B.14/08, para. (e)(iii))
Country programming and readiness			
Implementation of the Readiness and Preparatory Support Programme (decisions B.06/11 (f) and B.16/01, para. (c))	Presentation of the progress report	<ul style="list-style-type: none"> • Readiness Programme progress and outlook report (decision B.06/11 (f)) • Presentation of a detailed and updated work plan for the Readiness and Preparatory Support Programme (B.16/01, para. (c)) 	Progress report on the implementation of Readiness work programme, including the updated readiness work plan (decision B.06/11 (f), B.16/01, para. (c))
	Status of NAP requests (decision B.13/09, para. (k))	Status of NAP requests (decision B.13/09, para. (k))	Status of NAP requests (decision B.13/09, para. (k))
Independent evaluation of the Readiness and Preparatory		Consideration of the ToR for the independent evaluation of the Readiness	Presentation of the results of the independent evaluation of the Readiness

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Support Programme (decision B.08/11, para. (j), and decision B.13/03)		and Preparatory Support Programme (decision B.13/03 and decision B.15/04 para (c))	and Preparatory Support Programme and Board decisions taken (decision B.08/11, para. (j), and decision B.13/03, and decision B.15/04 para(b))
Country ownership guidelines (decision B.10/10, para. (d) and decision B.14/06)		Presentation of country ownership guidelines	
Private sector matters			
Private sector matters (decision B.13/05, para. (c), and para. (d), and B.BM-2017/02)		<ul style="list-style-type: none"> • Presentation of results from an analysis of barriers to crowding-in and maximizing the engagement of the private sector (decision B.13/05, para. (c), B.BM-2017/02) • Presentation of PSAG recommendations on barriers to crowding-in and maximizing the engagement of the private (decision B.13/05, para. (c), B.BM-2017/02) 	Presentation of PSAG recommendations on the development of a private sector outreach plan (decision B.13/05, para. (d), B.BM-2017/02)
Resource mobilization			
Status of the Initial Resource Mobilization	Progress report	Progress report	Progress report
First formal replenishment process of the GCF (decision B.12/09)		Status update on the implementation of decision B.12/09 in the report on the activities of the Co-Chairs	Presentation of policies on the formal replenishment process
Policies and procedures for contributions from philanthropic foundations and other non-public and alternative sources (decision B.08/13, annex XIX, paras. 5-7 (document GCF/B.08/45, annex XIX, paras. 5-7) and decision B.11/05, para. (d))			

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Independent accountability units			
Independent Integrity Unit		Presentation of fiduciary compliance and integrity policies as per the workplan of the IIU approved at B.15 (decision B.12/31, para. (i), decision B.14/01, para. (e), decision B.15/13), including: <ul style="list-style-type: none"> • the whistle blower and witness protection policy; and • the integrity due diligence policy for the private sector 	Other follow-up matters as per the work plan of the IIU approved at B.15
Independent Evaluation Unit	Approval of the interim work programme and budget of the IEU	Approval of the interim work programme and budget of the IEU	<ul style="list-style-type: none"> • Approval of a complete work plan and budget of the IEU for 2018 • Approval of the Evaluation policy and three-year rolling evaluation work plans (decision B.06/09, annex III, para. 5 (annex III to document GCF/B.06/18, annex III, para. 5))
Independent Redress Mechanism	Presentation of revised terms of reference for the independent Redress Mechanism (decision B.13/24 para (e), decision B.15/12 para (c))		<ul style="list-style-type: none"> • Approval of the revised terms of reference for the independent Redress Mechanism (decision B.13/24 para (e), decision B.15/12 para (c)) • Presentation of the detailed guidelines and procedures of the independent Redress Mechanism (decision B.13/24, para. (b) and decision B.15/12 para. (d))
Administrative matters			
Administrative guidelines			<ul style="list-style-type: none"> • Revised administrative guidelines on human resources; • Presentation of reviewed administrative guidelines on procurement (decision B.12/39, para. (a));

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			<ul style="list-style-type: none"> • Administrative guidelines on information and communication technology
Work programme of the Secretariat and the Administrative budget	<ul style="list-style-type: none"> • Presentation of a work programme of the Secretariat and an adjusted administrative budget for 2017 (decision B.15/ • Report on the execution of the administrative budget 	<ul style="list-style-type: none"> • Secretariat report on progress in implementing the Secretariat work programme for 2017 • A report on the execution of the administrative budget for 2017 	<ul style="list-style-type: none"> • Report on the execution of the administrative budget; and • Approval of the administrative budget and work programme of the Secretariat for 2018
Financial statements	Presentation of 2016 unaudited financial statements	Presentation of 2016 audited financial statements (to be presented as part of the Secretariat report)	
Secretariat staffing	Status of staffing of the Secretariat	Issues related to the status of the staffing of the Secretariat	Consideration of the review of the structure and staffing of the Secretariat, including a proposal on Secretariat staffing for communications and outreach (decision B.13/25, para. (e))

Abbreviations: AMAs = accreditation master agreements, B.15-18 = fifteenth to eighteenth meetings of the Board, COP = Conference of the Parties to the United Nations Framework Convention on Climate Change, IEU = independent Evaluation Unit, IIU = independent Integrity Unit, MSME = micro-, small- and medium-sized enterprise, NDAs = national designated authorities, NAP = national adaptation plans, PPF = Project Preparation Facility, PSAG = Private Sector Advisory Group, RfP = request for proposal, ToR = terms of reference