
Annex VI: List of documents to be prepared for the first Board meeting in 2013**I. Business model framework**

(a) Structure and organization of the Fund

The document will build on the provisions of the Governing Instrument and guidance received from the COP. The document will include a description and visualization of the business model of the Fund to promote the paradigm shift towards low emission and climate-resilient development pathways, with:

- (i) Structural options for the business model;
- (ii) Models for the delivery of the Fund's resources (direct and indirect/wholesale and retail) for enhanced access;
- (iii) Structural options and financial instruments in terms of its leveraging potential of additional public and private sector finance, at national and international levels in the context of funding windows and facilities; and
- (iv) Complementarity of the Fund with other channels of climate finance and linkages with thematic bodies of the Convention.

(b) Private sector facility (PSF)-related matters

The document will include the following matters:

- (i) An assessment and implications of various institutional models for the PSF, including governance structure;
- (ii) An assessment and implications of models for the delivery of the PSF resources, including direct, indirect or a combination; and
- (iii) Complementarity of the PSF with other channels of climate finance.

(c) Access modalities

The document will include the following matters:

- (i) Eligibility for accessing resources from the Fund; and
- (ii) Range of access modalities, including enhanced access modalities, and their availability across different funding programmes.

(d) Results management framework

The document will include the following matters:

- (i) Key elements of results management framework;
- (ii) Modalities for monitoring and evaluation;
- (iii) Results-based approaches for resource allocation; and
- (iv) Performance indicators for measuring performance at project and programme level and for overall performance of the funded activities.

II. Resource mobilization

The document will include the following matters:

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- (a) Policies and procedures for public contributions and other contributions, including from alternative sources; and
 - (b) Process and policies, participants and timeframes for resource mobilization and subsequent replenishments.

III. Modalities for readiness and preparatory support

The document will include the following matters:

- (a) Priority areas for readiness and preparatory support;
- (b) Mechanisms for allocation, delivery and simplified approval process for readiness and preparatory support; and
- (c) Complementarity of modalities for readiness and preparatory support with other channels of climate finance.

IV. Establishment of the independent Secretariat

The document will include matters relating to:

- (a) Selection of the Executive Director;
- (b) Review of staffing of the Interim Secretariat; and
- (c) Guidance on administrative policies of the independent Secretariat.

V. Host country agreement

- (a) Preparation of host country agreement, including provisional legal arrangements for privileges and immunities for the establishment of the independent Secretariat¹.

Method for preparing the document on business model framework

The committee/team of six Board members will facilitate the preparation of the document on business model framework. Input from Board members could be solicited on specific matters/higher order questions. The Interim Secretariat will solicit input from consultants, experts and stakeholders, including private sector and civil society organizations on specific matters.

¹ In consultation with the host country.